Dr. Richard Cordero, Esq. Judicial Discipline Reform

Ph.D., University of Cambridge, England M.B.A., University of Michigan Business School D.E.A., La Sorbonne, Paris

www.Judicial-Discipline-Reform.org

2165 Bruckner Blvd., Bronx, NY 10472-6506 DrRCordero@Judicial-Discipline-Reform.org tel. (718)827-9521; follow @DrCorderoEsq

March 25, 2017

Justiceship Nominee Neil Gorsuch reportedly said:

«An attack on one of our brothers and sisters of the robe is an attack on all of us». Guided by that we-against-the-rest-of-the-world mentality, he and his peers in the 10th Circuit have protected each other by disposing of the 573 complaints filed against any of them during the 1oct06-30sep16 11-year period through self-exemption from any discipline except for one single reprimand, a 99.83% dismissal rate; and dispose of 93% of appeals with reasonless decisions. The concern is not whether Judge Gorsuch favors big corporations over the little guy, but whether anybody protects us from them: UNACCOUNTABLY INDEPENDENT JUDGES, WHO RISKLESSLY ENGAGE IN WRONGDOING. The demand for public hearings of complainants and parties that he and his peers have for their own benefit dumped out of court

- 1. After President Trump issued his first immigration ban, Federal District Judge James Robart of the 9th Circuit suspended it nationwide. The President referred to him disparagingly as "this so-called judge". When his justiceship nominee, Judge Neil Gorsuch, who sits on the Court of Appeals for the 10th Circuit, paid a goodwill visit to Congress in anticipation of his confirmation hearings, he was asked about the President's reference. He reportedly remarked that "An attack on one of our brothers and sisters of the robe is an attack on all of us". His remark was confirmed by the conduct of the three-judge appellate panel of 9th Circuit judges who unanimously upheld the nationwide suspension to send Trump a warning: 'Don't you ever mess with us!'
- 2. J. Gorsuch too has been practicing his remark. As a circuit judge for the last 11.5 years, he has tolerated and/or participated in the systematic dismissal of the 573(Line:3 of the table below) complaints against judges in his circuit and the systematic denial of petitions to review such dismissals(L:65, 68). He and his peers have protected their own, taking only one corrective action, a reprimand. Their system of self-exemption from discipline is 99.83% perfect in effect. That statistic is representative of judges' abusive dismissal of complaints against them(stat:1-60, the official tables, infra). Their self-ensured unaccountability leads to their riskless wrongdoing.
- 3. Each circuit collects its statistics and sends them to the Administrative Office of the U.S Courts (AO)¹. The latter's director is appointed by the chief justice of the Supreme Court and must include them in his Annual Report to the Judicial Conference of the U.S., which is presided over by the chief justice and gathers the chief circuit judges and representative district, bankruptcy, and magistrate judges. The Report is also submitted to Congress and the public. So, J. Gorsuch and all his peers send annually an unambiguous, unabashed message to all politicians and us:

We have rendered the Judicial Conduct and Disability Act that you, politicians, passed in 1980² to set up the complaint mechanism useless. You, the public, waste your time complaining against us, for we take care of our own. We are so powerful that we can just as easily suspend a presidential order nationwide as doom to failure a whole legislative agenda by declaring each of its laws unconstitutional. And we are untouchable! In the last 228 years since the creation of the Federal Judiciary in 1789, only 8 of us judges have been impeached and removed(*>jur:22fn14). We can engage in any wrongdoing, for we are our own police. We are the Judges Above the Law of the State Within the state.

4. J. Gorsuch stated as a badge of honor at the hearings that of the 2,700 cases in which he has being one of the appellate panel judges 97% have been decided unanimously. He added with pride "that's the way we do things in the West". He did not mean 'in the West we morph into each other to surmount the differences inherent in being appointed by either Republican or Dem-

ocratic politicians, discarding the different views that we held in college, which led me to found the opposition paper The Federalist.' Rather, he confirmed the statistics that show that circuit judges dispose of 93% of appeals in decisions "on procedural grounds [e.g., "for lack of jurisdiction or jurisdictional defect"], by consolidation, unsigned, unpublished, without comment"(† >ol2: 455). The majority of these decisions are reasonless, fiat-like summary orders(* >jur:43§1). They fit the front of a 5¢ form, with the only operative word rubberstamped, mostly 'the decision below is <u>Affirmed</u> or the motion is <u>Denied</u>'. The rest of those decisions have an opinion so arbitrary, ad-hoc to reach a desired result, or unlawful that they may not be relied upon in other cases; so they too are marked "not-precedential". Only the remaining 7% are signed, published, and intended to pass media scrutiny, be discussed in law journals, and end up in law school casebooks.

- 5. What criteria does J. Gorsuch use to treat parties so unequally: dumping their appeals with a meaningless decision or sweating it out on a meaningful one? In fact, he also bragged that in 99% of his cases he had been in the majority. This means that in only 1% of them he felt so strongly about the issues or the parties to bother to dissent, thus being in the minority. Yet, he remained a typical judge, for the 2% of cases where it was one of the other two panel members who dissented can be distributed equally by allocating 1% to each. For him and his peers getting along with each other and taking it easy with 93% of appeals are more appealing attitudes than a principled discharge of their duty. The latter requires reading the briefs, doing legal research, and coming to the panel conference prepared to advocate "a result compelled by the law", which he said a good judge pursues. No wonder he shied away from the exacting and socially lethal action of denouncing any of his peers or even protesting publicly their systematic dismissal of complaints against them, which would have led to a lot of controversy and his outcast as a traitor.
- 6. So the question for the senators to ask before voting on J. Gorsuch is not whether what got under his skin in that 1% of cases in which he stood up for something other than his camaraderie with his peers was a big corporation or a little guy. Rather, it is how he could claim commitment to rule of law results, never mind integrity, although during the past 11.5 years on the bench he has seen his peers dismiss on average one complaint a week of those 573 against them, but has simply looked the other way or even joined the other bullies in abusing their judicial power to silence complainants by resorting to false pretenses(L:44-50) to dump their complaints. Why did he tolerate, or participate in, the cheating of parties out of the meaningful appellate service to which their payment of the filing fee entitled them contractually? By ensuring his and his peers' unaccountability they have turned their independence into a cover for their riskless wrongdoing.
- 7. It is not by mounting a filibuster against J. Gorsuch that senators, or by watching it while remaining inactive that the House members, should handle his confirmation. It is by holding public hearings for the complainants and the parties to appeals that he and his peers have dumped out of court and deprived of equal justice under law. Holding those hearings will not be an attack on judicial independence. As representatives of *We the People*, the only source of sovereign power and the masters of "government of, by, and for the people", Congress has the duty to defend and enforce the *People*'s right to hold all their public servants accountable and liable for their wrong-doing. It will be an overdue application of the principle that in 'government, not of men and women, but by the rule of law', judges are not allowed to arrogate to themselves unaccountable independence. Their holding of office as public servants depends on their faithfully and competently serving their masters, *the People*. P. Trump said in his inaugural speech, "We are transferring power from Washington and giving it back to you, *the People*". Let's demand that he and Congress hold hearings to find out the masters' experience at the mercy of their judicial servants, who have trampled justice to climb to a position intrinsically for wrongdoers: Judges Above the Law.

Dare trigger history!(*>jur:7§5)...and you may enter it. Sincerely, Dr. Richard Cordero, Esq. * http://Judicial-Discipline-Reform.org/*OL*/DrRCordero-Honest_Jud_Advocates.pdf >all prefixes:page# up to ol:393 ol2:547

Dr. Richard Cordero, Esq. Judicial Discipline Reform

www.Judicial-Discipline-Reform.org

2165 Bruckner Blvd., Bronx, NY 10472-6506 DrRCordero@Judicial-Discipline-Reform.org tel. (718)827-9521; follow @DrCorderoEsq

March 25, 2017

Table¹ of Complaints² Against Judges in the 10th Circuit, where Judge N. Gorsuch³ sits, showing how he and his peers systematically dismiss 99.83% of them to exempt themselves from any discipline, thus protecting their unaccountable independence and becoming Judges Above the Law

| Line | Data of the Judicial Council 4 , 10 th Cir., filed with AO 1 | '06 ⁵ ' | '07 ⁶ | '08A 7 | '08B 8 | '09A 9 | '09B | '10 ¹⁰ | '11 ¹¹ | '12 ¹² | '13 ¹³ | '14 ¹⁴ | '15 ¹⁵ | '16 ¹⁶ | totals |
|------|---|--------------------|------------------|-----------|-----------|-----------|------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--------|
| 1. | Complaints Pending on Sep. 30 of preceding year * | 2 | 14 | 7 | - | 0 | 52 | 18 | 26• ‡ | 8 | 9 | 11 | 17 | 12 | 176 |
| 2. | Complaints Concluded | | | | | 0 | - | | | | | | | | |
| 3. | Complaints Filed ¹⁷ | 49 | 37 | 17 | 58 | 18_ | 73 | 62 | 64 | 33 | 59 | 33 | 37 | 51 | 573 |
| 4. | Complaint Type/Source | | | | | | | | | | | | | | |
| 5. | Written/Filed by Complainants | 49 | 37 | 17 | 58 | | 71 | 61 | 64 | 33 | 59 | 33 | 37 | 51 | 570 |
| 6. | On Order of/Identified by Circuit Chief Judges | 0 | 0 | 0 | 0 | | 2 | 1 | 0 | 0 | 0 | 0 | - | 0 | |
| 7. | Complainants** | | | | | | | | | | | | | | |
| 8. | Prison inmates | | | | 50 | | 47 | 26 | 37 | 13 | 27 | 15 | 22 | 13 | 250 |
| 9. | Litigants | | | | 8 | | 23 | 33 | 19 | 25 | 25 | 16 | 11 | 20 | 180 |
| 10. | Attorneys | | | | 0 | | 0 | 0 | 1 | 0 | 7 | 3 | 4 | 2 | 17 |
| 11. | Public Officials | | | | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 12. | Other | | | | 0 | | 3 | 2 | 7 | 0 | 0 | 0 | 0 | 4 | 16 |
| 13. | Judges Complained About ** | | | | | | | | | | | | | | |
| 14. | Circuit Judges | 24 | 18 | 3 | 29 | | 21 | 10 | 12 | 3 | 28 | 1 | 4 | 14 | 167 |
| 15. | District Judges | 40 | | | 27 | | 43 | 34 | 35 | 22 | 16 | 23 | 29 | 22 | 291 |
| 16. | Bankruptcy Judges | 2 | | | 0 | | 3 | 1 | 2 | 2 | 1 | 0 | 0 | 3 | 14 |
| 17. | Magistrate Judges | 8 | 12 | 7 | 2 | | 6 | 17 | 15 | 6 | 14 | 9 | 4 | 12 | 112 |
| 18. | Nature of Allegations | | | | | | | | | | | | | | |
| 19. | Erroneous Decision | | | | 2 | | 46 | 50 | 57 | 30 | 53 | 16 | 28 | 46 | 328 |
| 20. | Delayed Decision | 1 | 7 | 4 | 1 | | 5 | 10 | 1 | 4 | 7 | 4 | 6 | 0 | 50 |
| 21. | Failure to Give Reasons for Decision | | | | 0 | | 1 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 4 |
| 22. | Improper Discussions With Party or Counsel | | | | 4 | | 9 | 6 | 6 | 6 | 8 | 7 | 2 | 0 | 48 |
| 23. | Hostility Toward Litigant or Attorney | | | | 0 | | 7 | 6 | 7 | 3 | 6 | 14 | 4 | 1 | 48 |
| 24. | Racial, Religious, or Ethnic Bias | 14 | 19 | 13 | 28 | | 2 | 3 | 9 | 0 | 1 | 3 | 4 | 3 | 99 |
| 25. | Personal Bias Against Litigant or Attorney | | | | 3 | | 13 | 20 | 21 | 7 | 14 | 18 | 5 | 10 | 111 |
| 26. | Conflict of Interest (Including Refusal to Recuse) | 2 | 4 | 1 | 0 | | 7 | 4 | 5 | 1 | 4 | 10 | 2 | 3 | 43 |
| 27. | Failure to Meet Financial Disclosure Requirements | | | | 0 | | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 3 |
| 28. | Improper Outside Income | | | | 0 | | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| 29. | Partisan Political Activity or Statement | | | | 0 | | 4 | 1 | 1 | 0 | 0 | 0 | 1 | 0 | 7 |
| 30. | Acceptance of a Bribe | | | | 0 | | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 2 | 3 |
| 31. | Effort to Obtain Favor for Friend or Relative | | | | 0 | | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 4 | 6 |
| 32. | Solicitation of Funds for Organization | | | | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 33. | Violation of Other Standards | | | | 0 | | 1 | 1 | 2 | 1 | 0 | 10 | 0 | 1 | 16 |
| 34. | Other Misconduct | | | | 57 | | 48 | 23 | 28 | 14 | 23 | 0 | 25 | 40 | 258 |
| 35. | Disability | | | | 5 | | 5 | 0 | 7 | 0 | 0 | 0 | 2 | 10 | 29 |
| 36. | ACTIONS REGARDING THE COMPLAINTS | | | | | | | | | | | | | | |
| 37. | Concluded/Terminated by Complainant or Subject Judge/Withdrawn | | | | 0 | | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 3 |

| 38. | Data of the Judicial Council, 10th Cir., filed with AO | '06 | '07 | '08 A | '08 B | '09 A | '09 B | '10 | '11 | '12 | '13 | '14 | '15 | '16 | totals |
|-----|---|-----|-----|----------|----------|----------|----------|-----|-----|-----|-----|-----|-----|-----|--------|
| 39. | Complaint Withdrawn with Consent of Chief Circuit Judge | | | | 0 | | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 |
| 40. | Withdrawal of Petition for Review | | | | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 41. | Actions by Chief Circuit Judge | | | | | | | | | | | | | | |
| 42. | Matters Returned from Judicial Council/or Judicial Conference Committee | | | | 0 | | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| 43. | Complaint Dismissed * in Whole or in Part | | | | 32 | | 78 | 51 | 75 | 33 | 57 | 26 | 42 | 37 | 431 |
| 44. | Not in Conformity WIth Statute/Not Misconduct or Disability | | | | 1 | 0 | 4 | 4 | 3 | 5 | 0 | 2 | 4 | 2 | 25 |
| 45. | Directly Related to Decision or Procedural Ruling/ Merits Related | | | | 30 | 0 | 74 | 43 | 68 | 30 | 49 | 21 | 35 | 33 | 383 |
| 46. | Frivolous | | | | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 17 | 0 | 0 | 18 |
| 47. | Lacked Factual Foundation/Allegations Lack Sufficient Evidence | | | | 30 | 0 | 46 | 43 | 61 | 18 | 32 | 19 | 32 | 36 | 317 |
| 48. | Allegations Incapable of Being Established | | | | 0 | - | 0 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 3 |
| 49. | Filed in Wrong Circuit | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 50. | Otherwise Not Appropriate | | | | 1 | - | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 2 |
| 51. | Complaints Concluded in Whole or in Part | | | | 0 | | 8 | | 2 | 0 | 0 | 0 | 2 | 2 | 14 |
| 52. | Informal Resolution Before Complaint Filed | | | | 0 | | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 53. | Voluntary Corrective Action Taken | | | | 0 | | 4 | | 1 | 0 | 0 | 0 | 0 | 1 | 6 |
| 54. | Action No Longer Necessary Because of Intervening Event | | | | 0 | 0 | 4 | 0 | 1 | 0 | 0 | 0 | 2 | 1 | 8 |
| 55. | Appropriate Action Already Taken | | | | - | 0 | - | - | - | - | - | - | - | - | 0 |
| 56. | Complaint Withdrawn | | | | - | 0 | - | | - | - | - | - | - | - | 0 |
| 57. | Subtotal | | | | - | 0 | - | - | - | - | - | - | - | - | 0 |
| 58. | Special Investigative Committee Appointed/Complaint Referred to Special Committee | | | | 0 | 0 | 2 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 4 |
| 59. | Actions by Special Committees | | | | | - | | | | | | | | | |
| 60. | Matter Returned from Judicial Council | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 |
| 61. | New Matter Referred to Chief Judge | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 |
| 62. | Judicial Council Proceedings | | | | | | | | | | | | | | |
| 63. | Matter Returned from Judicial Conference | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 64. | Complaint Transferred to/from Another Circuit | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 65. | Received Petition for Review ¹⁹ | - | - | - | 0 | - | 58 | 13 | 43 | | 0 | 23 | 13 | 26 | 176 |
| 66. | Withdrawn | | | | | 0 | - | - | - | - | - | - | - | - | 0 |
| 67. | Action on Petition for Review | | | | 0 | - | | | | | | | | | 0 |
| 68. | Dismissed Complaint ²⁰ /Petition Denied | | | | 21 | - | 54 | 19 | 45 | 17 | 37 | 18 | 16 | 15 | 242 |
| 69. | Matter Returned to Chief Circuit Judge | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 3 |
| 70. | Matter Returned to Chief Judge for Appointment of Special Committee | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 71. | Ordered Other Appropriate Action /Other | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 72. | Received Special Committee Report/Special Committee Reports Submittted to Judicial Council | | | | 0 | | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 2 |
| 73. | Remedial Action Taken/Action on Special Committee Report | | | | 0 | | | | | | | | | | |
| 74. | Complaint Dismissed | | | İ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 |
| 75. | Not Misconduct or Disability | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 |

| 76. | Data of the Judicial Council, 10th Cir., filed with AO | '06 | '07 | ʻ08 A | ʻ08 B | ʻ09 A | ʻ09 B | '10 | '11 | '12 | '13 | '14 | '15 | '16 | totals |
|-----|---|-----|-----|----------|----------|----------|----------|-----|-----|-----|-----|-----|-----|-----|-----------|
| 77. | Merits Related | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 78. | Allegations Lack Sufficient Evidence | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 79. | Otherwise Not Appropriate | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 80. | Corrective Action Taken or Intervening Events | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 81. | Referred Complaint to Judicial Conference | | | | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 82. | Remedial Action Taken | | | | 0 | - | 0 | - | - | - | - | - | - | - | |
| 83. | Privately Censured | | | | - | 0 | - | - | - | - | - | - | - | - | |
| 84. | Publicly Censured | | | | - | 0 | - | - | - | - | • | - | - | 1 | |
| 85. | Censure or Reprimand | | | | 0 | - | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |
| 86. | Suspension of Assignments | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 87. | Directed Chief District J. to Take Action (Magistrates only)/Action Against Magistrate Judge | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 |
| 88. | Removal of Bankruptcy Judge | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 89. | Request of Voluntary Retirement | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 |
| 90. | Certification of Disability of Circuit or District Judge | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 0 | 0 |
| 91. | Additional Investigation Warranted | | | | 0 | - | 0 | | | | | | | | |
| 92. | Returned to Special Committee | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93. | Retained by Judicial Council | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 94. | Actions by Chief Justice | | | | | | | 0 | - | 0 | 0 | 0 | 0 | 0 | 0 |
| 95. | Transferred to Judicial Council | | | | 0 | - | 0 | 0 | - | 0 | 0 | - | 0 | 1 | 1 |
| 96. | Received from Judicial Council | | | | 0 | - | 0 | 0 | - | 0 | 0 | - | 0 | 0 | 0 |
| 97. | Complaints Concluded/Terminated by Final Action | | | | | | | | | | | | | | |
| 98. | During 12-month Period Ending Sep. 30 of reported year | 37 | 48 | 24 | 0 | 0- | 96 | 50 | 83 | 33 | 57 | 47 | 40 | 36 | 551 21 |
| 99. | Complaints Pending on Sep. 30 [end of reported year] | | | | 26 | 0 | 29 | 30 | 7 | 8 | 11 | 18 | 14 | 27 | 170 |
| | Data of the Judicial Council, 10th Cir., filed with AO | '06 | ʻ07 | ʻ08 A | ʻ08 B | ʻ09 A | '09 В | '10 | '11 | '12 | '13 | '14 | '15 | '16 | totals |

[These notes are in the original.]

• Each complaint may involve multiple reasons for dismissal.

** Number of complainants may not equal total number of filings because each complaint may have multiple complainants.

Revised

Note: Excludes complaints not accepted by the circuits because they duplicated previous fillings or were otherwise invalid filings.

* Each complaint may involve multiple allegations against numerous judicial officers. Nature of allegations is counted when a complaint is concluded.

Each complaint may involve multiple allegations. Each complaint may have multiple reasons for dismissal.

ENDNOTES

The above article is supported by Dr. Cordero's study of judges and their judiciaries, titled:

Exposing Judges' Unaccountability andConsequent Riskless Wrongdoing: Pioneering the news and publishing field of judicial unaccountability reporting* [†]

The above table collecting all the statistics on complaints against federal judges filed in the 10th Circuit between 1oct06 through 30sep16 together with its source, namely, the official tables presenting the statistics of the complaints filed in all circuits between 1oct96 through 30sep16 are found in the file at:

http://Judicial-Discipline-Reform.org/ol2/DrRCordero_hearings_JGorsuch_complainants&parties.pdf

Visit the website at, and subscribe to its series of articles thus: www.Judicial-Discipline-Reform.org> + New or Users >Add New This table is based on Table S-22 presenting the statistics on complaints filed against judges and action taken under 28 U.S.C. §604(h)(2). That Table is included in the Annual Report that must be submitted to Congress as a public document, §604(a)(3), by the Director of the Administrative Office of the U.S. Courts (AO), §§601-613. On AO, see also http://Judicial-Discipline-Reform.org/*OL*/DrRCordero-Honest_Jud_Advocates.pdf >jur:21fn10.

Each of the 12 regional federal judicial circuits and two national courts must file its statistics on complaints against its judges with AO for inclusion in the statistical tables in its Annual Report. The tables for the fiscal years 1oct96-30sep97 and since have been collected in the file at http://Judicial-Discipline-Reform.org/statistics&tables/statistical_tables_complaints_v_judges .pdf. Hence, readers can conveniently download that file and prepare similar tables for each of the other circuits and any period of years. To that end, that file contains a table template that readers can fill out.

The above table for the 10th Circuit is representative of the other circuits' systematic dismissal of complaints against their respective judges and their judicial councils' systematic denial of petitions for review of those dismissals. That constitutes the foundation for the assertion that the judges have proceeded to abuse the self-discipline power granted to them under the Judicial Conduct and Disability Act² to exempt themselves from discipline, placing themselves beyond investigation(L:58-61) and above any liability. They hold themselves unaccountable by arrogating to themselves the power to abrogate in practice that Act of Congress. By so doing, they harm the complainants, who are left with no relief from the harmful conduct of the complained-about judge and exposed to his or her retaliation. Likewise, they harm the rest of the public, who is left with judges who know that as a matter of fact they can rely on the protection of their peers to abuse their power and disregard due process and the equal protection of the law, for their are in effect Judges Above the Law.

Any person, whether a party to a case or a non-party, even a judge, can file a complaint against the conduct or disability of a federal judge under the provisions of the Judicial Conduct and Disability Act of 1980, 28 U.S.C. §§351-364; http://Judicial-Discipline-Reform.org/docs/28usc_ Judicial_Code.pdf. The complaint is not a means for a party to avoid an appeal on the merits from a judge's decision. In fact, the complaint need not be related to any lawsuit at all; e.g., it may concern the attendance of a judge at a seminar where she became drunk and disorderly or at a fund raising meeting in favor of a political candidate or against a given issue where the judge appeared to breach her impartiality or place the prestige of judicial office in favor or against thereof. But it is obvious that the most frequent occasion where a person comes in contact with a judge and for complaints against her to arise is a lawsuit, whether at the trial or appeallate level.

In any event, the complaint must be filed with the chief circuit judge of the circuit where the complained-about judge sits. The chief and the complained-about judge may have been colleagues, peers, and friends for 1, 5, 10, 15, 20, 25 years or more. If they hold life-appointments, as circuit and district judges do, they are stuck with each other for the rest of their professional lives. If she is a bankruptcy judge, she was appointed for a renewable term of 14 years by the respective circuit judges under 28 U.S.C. §152. If she is a magistrate judge, the respective district judges appointed her for a renewable term of 8 years under 28 U.S.C. §631(a) and (e).

The very last thing that they want is a peer holding professional and personal grudges against them for their rest of their lives or even for a term of years for failure to dismiss the complaint and insulate her from any discipline. Actually, appointing-judges who hold an appointee of theirs liable for misconduct or incompentence indict their own good judgment and the quality and impartiality of their vetting procedure. Think of all the criticism that has been heaped on President Trump for having appointed General Michael Flynn his National Security Advisor allegedly without having found out during the vetting of him that he had had meetings with the Russian ambassador; and for demonstrating a dishonest character when he lied thereabout to the Vice President. The President fired him less than a month after appointing him.

Worse yet, finding that a judge behaved dishoneslty or incompetently casts doubt on her character and professional capacity. This provides grounds for every party that has appeared before her to file a motion in his own case for recusal or disqualification, to quash her decision, to reverse and remand for a new trial, for leave to appeal...

Why bother!', shout the judges handling the complaint. 'It suffices for me as chief circuit judge to dismiss the complaint by signing a decision with boilerplate text alleging that it relates to the merits of the case or lacks any evidence; or by us in the judicial council having an unsigned 5ϕ form issued that disposed of the petition for review of such dismissal with one single operative word: Denied. That's how we avoid all the hassle and the bad blood that comes with it.'

And then there is the self-serving consideration of reciprocally ensured survival: 'Today I dismiss this complaint against you, and tomorrow, when I am or one of my friends is the target of one of these pesky complaints, you in turn dismiss it'. By so doing, the judges assure each other that no matter the wrongdoing they engage in, their "brothers and sisters of the robe" will exempt them from any discipline and let them go on to do ever graver wrongs.(* >jur:68§§a-c)

The result is the same: Complainants are left to bear the dire consequences of the misconduct and wrongdoing of judges, and the rest of the public is left at the mercy of a judicial class with ever less integrity and regard for the strictures of due process and equal protection of the law, for the class is composed of Judges Above the Law.

³ Judge Neil M. Gorsuch received his commission to a seat on the U.S. Court of Appeals for the 10th Circuit on August 8, 2006; https://www.ca10.uscourts.gov/judges/judge-neil-m-gorsuch. Thereafter he may have served on that Circuit's judicial council; on the administrative, policy-making, and disciplinary functions of judicial councils see [‡] http://Judicial-Discipline-Reform.org/docs/28usc_Judicial_Code.pdf >28usc§332(g).

However, the website of the 10th Circuit does not provide information on its judicial council, let alone on its current membership, much less on its members in previous years. The members of the judicial council are the ones who systematically denied petitions from complainants to review the dismissal by the chief circuit judge of their complaints against judges in the circuit.

⁴ On judicial councils see http://Judicial-Discipline-Reform.org/docs/28usc_Judicial_Code.pdf >28usc§332(g).

⁵ http://www.uscourts.gov/statistics-reports/judicial-business-2006

⁶ http://www.uscourts.gov/statistics-reports/judicial-business-2007

⁷ http://www.uscourts.gov/statistics-reports/judicial-business-2008

⁸ The adoption on March 11, 2008, of new rules for filing and processing complaints against judges caused the complaints filed from 1oct07 through 10may08 under the old rules to be reported in Table S-22A in the 2008 Judicial Business Report; and those filed under the new

rules from 11may-30sep08 to be reported in that year's Table S-22B. The same applies to the corresponding 2009 tables.

⁹ http://www.uscourts.gov/statistics-reports/judicial-business-2009. While the 2009 Judicial Business Report covers only the fiscal year that started on October 1, 2008, its table on complaints against judges includes the complaints filed under the new rules during May 11 through September 30, 2008. This period alone is reported in Table S-22B of 2008.

¹⁰ http://www.uscourts.gov/statistics-reports/judicial-business-2010

¹¹ http://www.uscourts.gov/statistics-reports/judicial-business-2011

¹²http://www.uscourts.gov/statistics-reports/judicial-business-2012 >Complaints against judges,

Table 10 Judicial Complaints Commenced, Terminated, and Pending Fiscal Years 2010-2012 >Table S-22, http://www.uscourts.gov/statistics/table/s-22/judicial-business/2012/09/30

¹³http://www.uscourts.gov/statistics-reports/judicial-business-2013 >Complaints against judges,

http://www.uscourts.gov/statistics-reports/complaints-against-judges-judicial-business-2013

>Table 10 Judicial Complaints Commenced, Terminated, and Pending Fiscal Years 2011-2013 >Table S-22, http://www.uscourts.gov/statistics/table/s-22/judicial-business/2013/09/30

¹⁴http://www.uscourts.gov/statistics-reports/judicial-business-2014 >Complaints against judges,

http://www.uscourts.gov/statistics-reports/complaints-against-judges-judicial-business-2014 >Table 10 Judicial Complaints Commenced, Terminated, and Pending Fiscal Years 2012–2014

>Table S-22, http://www.uscourts.gov/statistics/table/s-22/judicial-business/2014/09/30

¹⁵ http://www.uscourts.gov/statistics-reports/judicial-business-2015 >Complaints against judges,

http://www.uscourts.gov/statistics-reports/complaints-against-judges-judicial-business-2015

>Table 10 Judicial Complaints Commenced, Terminated, and Pending Fiscal Years 2013-2015 >Table S-22, http://www.uscourts.gov/statistics/table/s-22/judicial-business/2015/09/30

¹⁶ http://www.uscourts.gov/statistics-reports/judicial-business-2016 >Complaints against judges,

http://www.uscourts.gov/statistics-reports/complaints-against-judges-judicial-business-2016

>Table 10 Judicial Complaints Commenced, Terminated, and Pending Fiscal Years 2015-2016 >Table S-22, http://www.uscourts.gov/statistics/table/s-22/judicial-business/2016/09/30

¹⁷ Over the years, the judges have added some headings and removed others to and from the table for reporting the statistics on complaints against judges. This explains why some cells have no values, which is indicated by an unobstrusive hypejn - so that it may not be misinterpred as a failure to include the corresponding value. In the same vein, this is a composite table that aggregates all headings and entries and place them in the most logical position in the series of headings and entries. The most significant addition and removal came when the new rules for processing these complaints were adopted in 2008. The use of the new rules became mandatory on May 11, 2008. Since then a new reporting table with more numerous and detailed headings and entries has been used to report the statistics on complaints filed under the new rules.

Although the new rules for filing complaints against federal judges provided more numerous and detailed causes for complaint, the systematic dismissal of them and denial of petitions for review of such dismissals by judges protecting their own as well as themselves –'I protect you today, and if tomorrow I'm or any of my friends is the one complained against, you protect me or them-continued unabated.

* http://Judicial-Discipline-Reform.org/*OL*/DrRCordero-Honest_Jud_Advocates.pdf >all prefixes:page# up to ol:393 ol2:553

The new rules was a ruse by the judges to dissuade Congress from taking action to correct the fact that the judges had applied for over 20 years the Judicial Conduct and Disability Act of 1980 in such a way as to render it useless so that judicial discipline was as inexistence as it had been since the creation of the Federal Judiciary in 1789, a period during which there was no formal mechanism for complaining against judges; see the history of, and a comment on, the new rules http://Judicial-Discipline-Reform.org/judicial complaints/8-4at

3DrRCordero new rules no change.pdf.

- ¹⁸Table S-22A(stat:28) for the fiscal year 1oct08-30sep09 deals only with the action taken on the complaints filed under the old rules up to and including May 10, 2008. By definition, none of those complaints could have been filed during that fiscal year. Consequently, that table does not report any complaint filed.
- ¹⁹The table(cf. stat:24) used to report complaints about judges filed under the old rules did not report the number of complainants' petitions to the judicial circuit to review the unfavorable disposition of their complaints, which consisted in their systematic dismissal without any investigation. Accordingly, it did not report on the disposition by judicial councils of such petitions.

The table(cf. stat:26) used for reporting under the new rules began reporting both the number of petitons for review and their disposition. This explains why the number of "Received Petitions for Review" is 176(L65), yet the number of "Petitions Denied" is 242(L68). This illustrates that the circuit and district judges on the judicial council of the respective circuit overwhelmingly disposed of those petitions through their systematic denial. Thereby they attained the same objective: their self-exemption from discipline to ensure their unaccountability as Judges Above the Law.

²⁰ Cf. stat:28. The entry "Action on Petition for Review: Petition Denied" under the heading Judicial Council Proceedings" first appear in Table S-22B of 2009(stat:30).

²¹To the 551 «Complaints Concluded/Terminated by Final Action»(L98) there have been added the 1 «Complaint Dismissed»(L74) and the 14 «Complaints Concluded in Whole or in Part»(L51) to arrive at the total of 566 complaints terminated before and through final action.

www.Judicial-Discipline-Reform.org

Ph.D., University of Cambridge, England M.B.A., University of Michigan Business School D.E.A., La Sorbonne, Paris 2165 Bruckner Blvd., Bronx, NY 10472-6506 DrRCordero@Judicial-Discipline-Reform.org tel. (718)827-9521; follow @DrCorderoEsq

April 4, 2017

Dear Publisher and Editor,

Kindly find herein(† >ol2:546) an article that I offer for publication. It has national appeal because it concerns the current controversial confirmation by the Senate of President Trump's nominee to the Supreme Court, Judge Neil Gorsuch. This is its gist:

How Judge Gorsuch and his peers dismiss 99.83% of complaints against them and dispose of 93% of appeals with reasonless decisions; the need for *We the People* to demand that Congress hold public hearings on our experience at the mercy of unaccountably independent Judges Above the Law

A. The article's avoids the failed angle of guessing a judge's views on issues

- 1. At the confirmation hearings, the Senate Committee on the Judiciary asked of Judge Gorsuch questions concerning his position on specific issues that are likely to come before him if he were confirmed as justice. Like all judicial nominees regardless of which was the nominating party, he refused to express his views on those issues, claiming that otherwise he would show that he had made up his mind and would expose himself to litigants' motion of recusal for lack of impartiality. Thus, he revealed little about himself. Moreover, what little he did reveal was as expected favorable to himself and his confirmation. So, the hearings were structurally not enlightening.
- 2. His decisions for the past 11 years on the bench may be a more revealing means of predicting his future decisions, but not necessarily: The decisions of a circuit court are taken by a three-judge panel. As shown by the official statistics discussed in the article, 93% of appeals are disposed of pro-forma in decisions "on procedural grounds [e.g., "for lack of jurisdiction or jurisdictional defect"], by consolidation, unsigned, unpublished, without comment". As to the 7% that have reasons and are signed by a judge, the latter can always find a form of words to conceal his wrongful motives and render his decisions plausible within the margins of his judicial discretion.

B. New angle: official statistics to impeach with facts revealed by his own peers

3. The article provides original analysis of J. Gorsuch's statements at his hearings, doing so on a solid new foundation, i.e., original research on the official statistics of the Administrative Office of the U.S. Courts. It confronts his words against the background of his and his peers' own official facts. That kind of analysis shows that unaccountably independent judges do not serve the interest of either litigants or the rest of the public; they serve their own. This showing can reasonably be expected to interest, even outrage, your readers and make them come back for broader and deeper analysis and facts, such as those that he has disclosed officially to his peers(infra §E).

C. Finding the article and its supporting materials

4. To enable you to corroborate that showing, the official statistical tables that provide the foundation of the article together with it and related materials are in the file at: http://Judicial-Discipline-Reform.org/OL2/DrRCordero_hearings_JGorsuch_complainants&parties.pdf. In turn, the article is supported by this study*[†] of judges' performance in practice as opposed to the theory of their codes:

Exposing Judges' Unaccountability and Consequent Riskless Wrongdoing: Pioneering the news and publishing field of judicial unaccountability reporting* [†]

D. An innovative proposal: the media to conduct 'public hearings' on judges

5. The article proposes that you and top national media outlets form a board to conduct national

'public hearings' for people to share their experience at the hands of judges who deny them due process and equal protection risklessly because they dismiss all complaints against them and are held unaccountable by the politicians who nominated and confirmed them to the bench. The established media have the means to conduct those hearings and stand to gain therefrom: They are commercially threatened by the new media; distrusted by polls that have turned out to be wrong; and challenged by the advent of state-sponsored and ad revenue-driven fake news. Yet, the established media are the only ones that have the necessary financial and technical resources, and field the most and best known reporters with access to influential people. They can announce, and attract the national public to, hearings on its experience with judges and its opinion of the justice that they administer. Thereby the media can generate news and steer its flow while highlighting the status of *We the People* as the masters of all public servants, even judicial ones, with the right to hold them accountable. So, the hearings are a strategic means for the established media to enhance their competitive position, credibility, and reputation. By taking the lead in promoting their holding, you can become *the People*'s Champion of Justice(*>ol2:201§§J,K).

E. A trend-setting project: analysis of judges' disclosed financial statements

6. Like all nominees, J. Gorsuch had to submit a vast amount of information about his cases and personal finances; the Senate Committee on the Judiciary has made gigabytes of it public. Instead of wasting effort and time trying to know his views on legal issues, which he and the other nominees make unknowable, it is more sensible to use that information for knowing his integrity, for a financially dishonest judge cannot be reasonably expected to have any respect for the law and its equal application. Hence the proposed project to 'audit' his disclosed "in detail assets and liabilities" (cf. jur:65¶137) to determine whether they make sense, by contrast to the annual financial reports that judges submit to their own peers, who have no more interest in finding nonsense(jur:105fn 213b) in them than they have in finding actionable misconduct in the complaints filed against them and that they dismiss to the tune of 99.83%. The 'audit' of J. Gorsuch can use that of another justiceship nominee(jur:65fn107) as its model. It will be timely even after his confirmation because his position would become untenable if it showed that he had failed the judges' requirement in their Code to "avoid even the appearance of impropriety"(jur:68fn123a).

F. A huge audience waiting for a pioneering media outlet

7. Every year more than 50 million new cases are filed in the federal and state courts(jur:8fn4,5); each has at least two parties –the Wal-Mart class action had over 2 million members-, and there are scores of millions of cases pending or deemed to have been decided wrongly or wrongfully. Those parties are passionate, for hardly anything aggrieves a person more deeply than having their property, liberty, and the rights and duties that shape their lives trampled by those who wield power abusively. They constitute a huge constituency: the dissatisfied with the judicial and legal system. You can provide the judge-uncontrolled means that they need to pursue their quest for vindication, restoration, and justice while they can earn you substantial revenue and goodwill.

G. My offer of a presentation on one or a series of articles and proposals

8. I offer to make a presentation to you by video conference or, upon your invitation, in person on why it is in your interest to publish that article either alone or as part of a paid series([†]>ol2:483) of articles, and implement the proposal for media-conducted public hearings on judges and the auditing of their financial statements. Taking such actions can make you a pioneer in the news and publishing field of judicial unaccountability reporting. So I look forward to hearing from you.

Dare trigger history!(*>jur:7§5)...and you may enter it. Sincerely, Dr. Richard Cordero, Esq. * http://Judicial-Discipline-Reform.org/*OL*/DrRCordero-Honest_Jud_Advocates.pdf >all prefixes:page# up to ol:393 ol2:559





Judicial Business of the United States Courts

This portion of the Annual Report of the Director addresses the workload of all federal courts, the federal probation and pretrial services system, representations under the Criminal Justice Act, and complaints filed under the Judicial Conduct and Disability Act. Covers 12-month period ending September 30.

Reports

- Judicial Business 2016
- Judicial Business 2015
- Judicial Business 2014
- Judicial Business 2013
- Judicial Business 2012
- Judicial Business 2011
- Judicial Business 2010
- Judicial Business 2009
- Judicial Business 2008
- Judicial Business 2007
- Judicial Business 2006
- Judicial Business 2005
- Judicial Business 2004
- Judicial Business 2003
- Judicial Business 2002
- Judicial Business 2001
- Judicial Business 2000
- Judicial Business 1999
- Judicial Business 1998
- Judicial Business 1997



Related Content

Judicial Business 2016

Read the most recent Judicial Business report presenting data and statistics on the work of the Federal Judiciary for the fiscal year ending September 30, 2016.

| *** COURTS *** | |
|---------------------------|-------------|
| MENU | |
| RSS | y |
| PRIVACY & SECURITY POLICY | You Tube |
| GLOSSARY OF LEGAL TERMS | Tube |
| OPERATING STATUS | in |
| F DOWNLOAD PLUG-INS | |
| EMAIL UPDATES | |
| Secontact US | |
| ? FAQS | |

This site is maintained by the Administrative Office of the U.S. Courts on behalf of the Federal Judiciary. The purpose of this site is to provide information from and about the Judicial Branch of the U.S. Government.



Table S-24.Report of Complaints Filed and Action Taken Under Authority of Title 28 U.S.C. Section 372(c)for the Twelve-Month Period Ended September 30, 1997

| | | | | | | | Cire | cuits | | | | | | | | ationa ourts |
|---|-------|-----|----|-----|-----|-----|------|-------|-----|-----|-----|-----|------|------|---|-----------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT |
| | | | | | | | | | | | | | | | | |
| Complaints Pending on September 30, 1996* | 109 | 0 | 1 | 21 | 5 | 11 | 7 | 10 | 1 | 3 | 11 | 31 | 8 | 0 | 0 | 0 |
| Complaints Filed | 679 | 3 | 15 | 16 | 40 | 62 | 69 | 84 | 68 | 28 | 56 | 137 | 54 | 47 | 0 | 0 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complaint | 678 | 3 | 15 | 16 | 40 | 62 | 69 | 84 | 68 | 27 | 56 | 137 | 54 | 47 | 0 | 0 |
| On Order of Chief Judges | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| Officials Complained About** | | | | | | | | | | | | | | | | |
| Judges | | | | | | | | | | | | | | | | |
| Circuit | 461 | 3 | 4 | 10 | 3 | 24 | 29 | 14 | 11 | 5 | 102 | 249 | 7 | 0 | 0 | 0 |
| District | 497 | 0 | 14 | 17 | 27 | 28 | 48 | 43 | 59 | 25 | 45 | 121 | 38 | 32 | 0 | 0 |
| National Courts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bankruptcy Judges | 31 | 0 | 0 | 2 | 2 | 2 | 6 | 3 | 2 | 2 | 2 | 6 | 1 | 3 | 0 | 0 |
| Magistrate Judges | 138 | 0 | 0 | 1 | 8 | 7 | 15 | 27 | 10 | 0 | 9 | 24 | 25 | 12 | 0 | 0 |
| Nature of Allegations** | | | | | | | | | | | | | | | | |
| Mental Disability | 11 | 0 | 0 | 0 | 1 | 1 | 2 | 0 | 2 | 0 | 3 | 2 | 0 | 0 | 0 | 0 |
| Physical Disability | 4 | 0 | 0 | 1 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Demeanor | 11 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 4 | 0 | 4 | 0 | 0 |
| Abuse of Judicial Power | 179 | 3 | 0 | 6 | 25 | 1 | 40 | 20 | 8 | 13 | 17 | 19 | 22 | 5 | 0 | 0 |
| Prejudice/Bias | 193 | 1 | 9 | 8 | 32 | 8 | 27 | 12 | 17 | 4 | 14 | 30 | 20 | 11 | 0 | 0 |
| Conflict of Interest | 12 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 2 | 0 | 3 | 3 | 0 | 1 | 0 | 0 |
| Bribery/Corruption | 28 | 0 | 0 | 1 | 0 | 2 | 1 | 0 | 4 | 2 | 4 | 13 | 0 | 1 | 0 | 0 |
| Undue Decisional Delay | 44 | 0 | 0 | 1 | 0 | 6 | 1 | 10 | 4 | 2 | 3 | 11 | 5 | 1 | 0 | 0 |
| Incompetence/Neglect | 30 | 0 | 0 | 3 | 4 | 1 | 0 | 0 | 5 | 0 | 0 | 16 | 1 | 0 | 0 | 0 |
| Other | 161 | 1 | 3 | 2 | 0 | 30 | 1 | 38 | 24 | 10 | 7 | 19 | 22 | 4 | 0 | 0 |
| Complaints Concluded | 482 | 3 | 9 | 13 | 33 | 31 | 69 | 80 | 49 | 24 | 41 | 60 | 53 | 17 | 0 | 0 |
| Action By Chief Judges | | | | | | | | | | | | | | | | |
| Complaint Dismissed | | | | | | | | | | | | | | | | |
| Not in Conformity With Statute | 29 | 2 | 4 | 0 | 3 | 1 | 4 | 2 | 1 | 3 | 6 | 2 | 0 | 1 | 0 | 0 |
| Directly Related to Decision | | | | | | | | | | | | | | | | |
| or Procedural Ruling | 215 | 0 | 0 | 6 | 12 | 21 | 34 | 26 | 21 | 11 | 14 | 31 | 24 | 15 | 0 | 0 |
| Frivolous | 19 | 1 | 0 | 0 | 0 | 0 | 3 | 0 | 1 | 6 | 1 | 5 | 2 | 0 | 0 | 0 |

Table S-24. (Continued)

| | | | | | | | Cir | cuits | | | | | | | | tional ourts |
|--|-------|-----|----|-----|-----|-----|-----|-------|-----|-----|-----|-----|------|------|---|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT ² |
| Appropriate Action Already Taken | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action No Longer Necessary Because of | | | | | | | | | | | | | | | | |
| Intervening Events | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Withdrawn | 5 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| Subtotal | 270 | 3 | 4 | 6 | 15 | 22 | 45 | 29 | 23 | 21 | 21 | 38 | 26 | 17 | 0 | 0 |
| Action by Judicial Councils | | | | | | | | | | | | | | | | |
| Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judges only) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Temporary Suspension | | | | | | | | | | | | | | | | |
| of Case Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Publicly Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dismissed the Complaint | 212 | 0 | 5 | 7 | 18 | 9 | 24 | 51 | 26 | 3 | 20 | 22 | 27 | 0 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 212 | 0 | 5 | 7 | 18 | 9 | 24 | 51 | 26 | 3 | 20 | 22 | 27 | 0 | 0 | 0 |
| Complaints Pending on September 30, 1997 | 306 | 0 | 7 | 24 | 12 | 42 | 7 | 14 | 20 | 7 | 26 | 108 | 9 | 30 | 0 | 0 |

¹CC = U.S. CLAIMS COURT. ²CIT = COURT OF INTERNATIONAL TRADE. * REVISED. ** EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS AGAINST NUMEROUS JUDICIAL OFFICERS. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-24.Report of Complaints Filed and Action Taken Under Authority of Title 28 U.S.C. Section 372(c)for the Twelve-Month Period Ended September 30, 1998

| | | | | | | | Cire | cuits | | | | | | | | ation: ourts |
|---|-------|-----|----|-----|-----|-----|------|-------|-----|-----|-----|-----|------|------|-----------------|-----------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT |
| empleinte Danding en Captember 20, 1007* | 014 | 0 | 6 | 3 | 10 | 24 | 0 | C | 10 | 4 | 40 | 00 | 1 | 25 | 0 | 0 |
| complaints Pending on September 30, 1997* | 214 | 0 | 6 | 3 | 10 | 31 | 0 | 6 | 18 | 4 | 18 | 82 | I | 35 | 0 | U |
| complaints Filed | 1,051 | 1 | 27 | 10 | 73 | 120 | 73 | 46 | 86 | 37 | 78 | 265 | 37 | 197 | 1 | 0 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complainant | 1,049 | 1 | 27 | 10 | 73 | 120 | 73 | 46 | 86 | 36 | 78 | 264 | 37 | 197 | 1 | 0 |
| On Order of Chief Judges | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 |
| officials Complained About** | | | | | | | | | | | | | | | | |
| Judges | | | | | | | | | | | | | | | | |
| Circuit | 443 | 1 | 16 | 2 | 14 | 22 | 23 | 13 | 8 | 17 | 134 | 20 | 11 | 162 | 0 | 0 |
| District | 758 | 0 | 47 | 9 | 56 | 83 | 50 | 27 | 82 | 26 | 83 | 250 | 29 | 16 | 0 | (|
| National Courts | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | C |
| Bankruptcy Judges | 28 | 0 | 2 | 0 | 1 | 2 | 5 | 1 | 3 | 2 | 3 | 6 | 1 | 2 | 0 | (|
| Magistrate Judges | 215 | 0 | 3 | 2 | 8 | 13 | 15 | 12 | 16 | 5 | 7 | 110 | 8 | 16 | 0 | C |
| lature of Allegations** | | | | | | | | | | | | | | | | |
| Mental Disability | 92 | 0 | 0 | 3 | 9 | 4 | 7 | 2 | 18 | 0 | 36 | 13 | 0 | 0 | 0 | 0 |
| Physical Disability | 7 | 0 | 0 | 2 | 1 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | C |
| Demeanor | 19 | 0 | 0 | 0 | 2 | 3 | 0 | 1 | 3 | 0 | 0 | 8 | 0 | 2 | 0 | C |
| Abuse of Judicial Power | 511 | 1 | 2 | 2 | 30 | 8 | 48 | 16 | 8 | 21 | 27 | 168 | 9 | 171 | 0 | C |
| Prejudice/Bias | 647 | 0 | 21 | 9 | 36 | 32 | 22 | 22 | 44 | 19 | 46 | 198 | 20 | 178 | 0 | C |
| Conflict of Interest | 141 | 0 | 0 | 1 | 0 | 7 | 3 | 3 | 0 | 0 | 3 | 117 | 2 | 5 | 0 | (|
| Bribery/Corruption | 166 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 1 | 2 | 155 | 2 | 3 | 0 | (|
| Undue Decisional Delay | 50 | 0 | 3 | 1 | 4 | 4 | 2 | 0 | 1 | 5 | 7 | 14 | 8 | 1 | 0 | C |
| Incompetence/Neglect | 99 | 0 | 0 | 0 | 1 | 4 | 4 | 0 | 3 | 1 | 1 | 81 | 1 | 3 | 0 | C |
| Other | 193 | 0 | 17 | 1 | 11 | 94 | 3 | 13 | 20 | 4 | 11 | 3 | 10 | 6 | 0 | (|
| omplaints Concluded | 1,002 | 1 | 33 | 13 | 56 | 95 | 73 | 49 | 70 | 40 | 78 | 257 | 35 | 202 | 0 | C |
| ctions by Chief Judges | | | | | | | | | | | | | | | | |
| Complaint Dismissed | | | | | | | | | | | | | | | | |
| Not in Conformity With Statute | 43 | 0 | 6 | 0 | 4 | 2 | 5 | 0 | 2 | 3 | 6 | 5 | 3 | 7 | 0 | C |
| Directly Related to Decision | | | | | | | | | | | | | | | | |
| or Procedural Ruling | 532 | 1 | 0 | 5 | 19 | 54 | 42 | 15 | 43 | 16 | 52 | 88 | 18 | 179 | 0 | (|
| Frivolous | 159 | 0 | 1 | 1 | 1 | 1 | 0 | 1 | 5 | 13 | 2 | 133 | 1 | 0 | 0 | C |

Table S-24. (September 30, 1998—Continued)

| | | | | | | | Circ | cuits | | | | | | | | tional ourts |
|--|-------|-----|----|-----|-----|-----|------|-------|-----|-----|-----|-----|------|------|---|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT ² |
| Appropriate Action Already Taken | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |
| Action No Longer Necessary Because of | | | | | | | | | | | | | | | | |
| Intervening Events | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Complaint Withdrawn | 5 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 742 | 1 | 8 | 6 | 24 | 57 | 48 | 16 | 51 | 34 | 62 | 227 | 22 | 186 | 0 | 0 |
| ction by Judicial Councils | | | | | | | | | | | | | | | | |
| Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judges only) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Temporary Suspension | | | | | | | | | | | | | | | | |
| of Case Assignments | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Publicly Censured | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dismissed the Complaint | 258 | 0 | 25 | 7 | 32 | 38 | 25 | 32 | 19 | 6 | 16 | 29 | 13 | 16 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 260 | 0 | 25 | 7 | 32 | 38 | 25 | 33 | 19 | 6 | 16 | 30 | 13 | 16 | 0 | 0 |
| Complaints Pending on September 30, 1998 | 263 | 0 | 0 | 0 | 27 | 56 | 0 | 3 | 34 | 1 | 18 | 90 | 3 | 30 | 1 | 0 |

NOTE: EXCLUDES COMPLAINTS NOT ACCEPTED BY THE CIRCUITS BECAUSE THEY DUPLICATED PREVIOUS FILINGS OR WERE OTHERWISE INVALID FILINGS.

¹CC = U.S. CLAIMS COURT.

²CIT = COURT OF INTERNATIONAL TRADE.

* REVISED. ** EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS AGAINST NUMEROUS JUDICIAL OFFICERS. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-23.Report of Complaints Filed and Action Taken Under Authority of Title 28 U.S.C. Section 372(c)for the 12-Month Period Ending September 30, 1999

| | | | | | | | Cir | cuits | | | | | | | | ational ourts |
|--|-------|-----|----|-----|-----|-----|-----|-------|-----|-----|-----|-----|------|------|-----|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC1 | CIT ² |
| Complaints Pending on September 30, 1998* | 228 | 0 | 3 | 1 | 23 | 48 | 0 | 3 | 28 | 0 | 19 | 75 | 3 | 25 | 0 | 0 |
| Complaints Filed | 781 | 2 | 16 | 17 | 99 | 34 | 55 | 196 | 72 | 31 | 36 | 115 | 58 | 50 | 0 | 0 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complaint | 781 | 2 | 16 | 17 | 99 | 34 | 55 | 196 | 72 | 31 | 36 | 115 | 58 | 50 | 0 | 0 |
| On Order of Chief Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Officials Complained About** | | | | | | | | | | | | | | | | |
| Judges | | | | | | | | | | | | | | | | |
| Circuit | 174 | 4 | 16 | 0 | 23 | 3 | 7 | 31 | 16 | 7 | 25 | 31 | 11 | 0 | 0 | 0 |
| District | 598 | 0 | 48 | 17 | 63 | 24 | 55 | 98 | 58 | 27 | 24 | 99 | 47 | 38 | 0 | 0 |
| National Courts | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bankruptcy Judges | 30 | 0 | 0 | 1 | 2 | 2 | 0 | 3 | 2 | 1 | 2 | 16 | 0 | 1 | 0 | 0 |
| Magistrate Judges | 229 | 0 | 1 | 4 | 11 | 5 | 6 | 64 | 14 | 4 | 10 | 69 | 30 | 11 | 0 | 0 |
| Nature of Allegations** | | | | | | | | | | | | | | | | |
| Mental Disability | 69 | 0 | 0 | 0 | 26 | 4 | 3 | 11 | 3 | 0 | 2 | 5 | 0 | 15 | 0 | 0 |
| Physical Disability | 6 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 1 | 1 | 0 | 2 | 0 | 0 | 0 | 0 |
| Demeanor | 34 | 0 | 0 | 0 | 2 | 1 | 4 | 0 | 5 | 3 | 1 | 14 | 1 | 3 | 0 | 0 |
| Abuse of Judicial Power | 254 | 0 | 1 | 2 | 7 | 45 | 17 | 4 | 9 | 10 | 16 | 91 | 27 | 25 | 0 | 0 |
| Prejudice/Bias | 360 | 2 | 15 | 8 | 34 | 20 | 16 | 28 | 41 | 15 | 23 | 85 | 32 | 41 | 0 | 0 |
| Conflict of Interest | 29 | 0 | 0 | 0 | 5 | 1 | 6 | 4 | 0 | 0 | 2 | 6 | 2 | 3 | 0 | 0 |
| Bribery/Corruption | 104 | 0 | 0 | 4 | 10 | 26 | 4 | 4 | 3 | 1 | 2 | 44 | 0 | 6 | 0 | 0 |
| Undue Decisional Delay | 80 | 0 | 5 | 0 | 0 | 6 | 6 | 2 | 5 | 2 | 2 | 30 | 18 | 4 | 0 | 0 |
| Incompetence/Neglect | 108 | 1 | 0 | 0 | 3 | 5 | 3 | 0 | 6 | 0 | 2 | 71 | 2 | 15 | 0 | 0 |
| Other | 288 | 0 | 2 | 0 | 3 | 62 | 0 | 143 | 25 | 7 | 4 | 26 | 8 | 8 | 0 | 0 |
| Complaints Concluded | 826 | 2 | 18 | 12 | 57 | 63 | 53 | 184 | 82 | 31 | 45 | 163 | 50 | 66 | 0 | 0 |
| Action by Chief Judges Complaint Dismissed | | | | | | | | | | | | | | | | |
| Not in Conformity With Statute Directly Related to Decision | 27 | 0 | 4 | 0 | 0 | 0 | 6 | 0 | 8 | 1 | 4 | 4 | 0 | 0 | 0 | 0 |
| or Procedural Ruling | 300 | 2 | 0 | 5 | 19 | 12 | 21 | 31 | 24 | 14 | 11 | 84 | 28 | 49 | 0 | 0 |
| Frivolous | 66 | 0 | 5 | 2 | 19 | 0 | 6 | 6 | 1 | 3 | 3 | 16 | 4 | 1 | 0 | 0 |

Table S-23. (September 30, 1999—Continued)

| | | | | | | | Cir | cuits | | | | | | | | tional ourts |
|---|-------|-----|----|-----|-----|-----|-----|-------|-----|-----|-----|-----|------|------|---|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT ² |
| Appropriate Action Already Taken | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action No Longer Necessary Because of | | | | | | | | | | | | | | | | |
| Intervening Events | 10 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 1 | 0 | 0 | 3 | 2 | 1 | 0 | 0 |
| Complainant Withdrawn | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Subtotal | 406 | 2 | 9 | 7 | 41 | 12 | 34 | 37 | 34 | 19 | 18 | 107 | 35 | 51 | 0 | 0 |
| ction by Judicial Councils | | | | | | | | | | | | | | | | |
| Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judges Only) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Temporary Suspension | | | | | | | | | | | | | | | | |
| of Case Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Publicly Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dismissed the Complaint | 416 | 0 | 9 | 5 | 16 | 51 | 19 | 147 | 46 | 12 | 27 | 54 | 15 | 15 | 0 | 0 |
| Withdrawn | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 420 | 0 | 9 | 5 | 16 | 51 | 19 | 147 | 48 | 12 | 27 | 56 | 15 | 15 | 0 | 0 |
| omplaints Pending on September 30, 1999 | 183 | 0 | 1 | 6 | 65 | 19 | 2 | 15 | 18 | 0 | 10 | 27 | 11 | 9 | 0 | 0 |

NOTE: EXCLUDES COMPLAINTS NOT ACCEPTED BY THE CIRCUITS BECAUSE THEY DUPLICATED PREVIOUS FILINGS OR WERE OTHERWISE INVALID FILINGS.

 $^{1}CC = U.S. CLAIMS COURT.$

²CIT = COURT OF INTERNATIONAL TRADE.

* REVISED.

** EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS AGAINST NUMEROUS JUDICIAL OFFICERS. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-22.Report of Complaints Filed and Action Taken Under Authority of Title 28 U.S.C. Section 372(c)for the 12-Month Period Ending September 30, 2000

| | | | | | | | Ciu | cuits | | | | | | | | ation ourts |
|---|-------|-----|----|-----|-----|-----|-----|-------|-----|-----|-----|-----|------|------|---|----------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT |
| complaints Pending on September 30, 1999* | 181 | 0 | 1 | 5 | 65 | 19 | 2 | 18 | 15 | 0 | 7 | 27 | 11 | 11 | 0 | C |
| complaints Filed | 696 | 2 | 18 | 21 | 59 | 53 | 61 | 113 | 56 | 44 | 51 | 111 | 32 | 73 | 2 | 0 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complainant | 695 | 2 | 18 | 21 | 59 | 53 | 61 | 113 | 56 | 44 | 51 | 111 | 31 | 73 | 2 | 0 |
| On Order of Chief Judges | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Officials Complained About** | | | | | | | | | | | | | | | | |
| Judges | | | | | | | | | | | | | | | | |
| Circuit | 191 | 4 | 4 | 4 | 9 | 10 | 14 | 23 | 4 | 11 | 45 | 35 | 15 | 13 | 0 | C |
| District | 522 | 0 | 17 | 20 | 41 | 36 | 62 | 60 | 50 | 29 | 52 | 92 | 26 | 37 | 0 | C |
| National Courts | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | C |
| Bankruptcy Judges | 26 | 0 | 0 | 1 | 2 | 6 | 1 | 2 | 2 | 2 | 2 | 5 | 2 | 1 | 0 | C |
| Magistrate Judges | 135 | 0 | 0 | 3 | 7 | 2 | 10 | 28 | 13 | 6 | 6 | 32 | 6 | 22 | 0 | 0 |
| Vature of Allegations** | | | | | | | | | | | | | | | | |
| Mental Disability | 26 | 0 | 0 | 0 | 2 | 6 | 6 | 5 | 0 | 1 | 3 | 2 | 0 | 1 | 0 | C |
| Physical Disability | 12 | 0 | 0 | 1 | 1 | 3 | 4 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | C |
| Demeanor | 13 | 0 | 0 | 0 | 3 | 2 | 0 | 0 | 0 | 0 | 1 | 6 | 0 | 1 | 0 | 0 |
| Abuse of Judicial Power | 272 | 0 | 0 | 10 | 29 | 25 | 29 | 43 | 9 | 23 | 20 | 38 | 16 | 30 | 0 | 0 |
| Prejudice/Bias | 257 | 1 | 13 | 8 | 28 | 17 | 15 | 24 | 28 | 13 | 17 | 39 | 25 | 29 | 0 | 0 |
| Conflict of Interest | 48 | 1 | 0 | 0 | 11 | 9 | 1 | 5 | 1 | 0 | 3 | 8 | 1 | 8 | 0 | C |
| Bribery/Corruption | 83 | 0 | 0 | 2 | 21 | 12 | 8 | 4 | 0 | 2 | 6 | 22 | 2 | 4 | 0 | C |
| Undue Decisional Delay | 75 | 0 | 2 | 1 | 11 | 6 | 6 | 7 | 5 | 3 | 3 | 16 | 4 | 11 | 0 | C |
| Incompetence/Neglect | 61 | 0 | 0 | 0 | 1 | 7 | 8 | 3 | 1 | 3 | 5 | 31 | 0 | 2 | 0 | C |
| Other | 188 | 0 | 7 | 1 | 5 | 66 | 0 | 50 | 4 | 7 | 13 | 20 | 9 | 6 | 0 | C |
| complaints Concluded | 715 | 2 | 15 | 17 | 80 | 67 | 60 | 123 | 48 | 44 | 51 | 104 | 39 | 65 | 0 | C |
| ction by Chief Judges | | | | | | | | | | | | | | | | |
| Complaint Dismissed | | | | | | | | | | | | | | | | |
| Not in Conformity With Statute | 29 | 0 | 0 | 2 | 0 | 0 | 4 | 0 | 9 | 1 | 0 | 12 | 1 | 0 | 0 | C |
| Directly Related to Decision | | | | | | | | | | | | | | | | |
| or Procedural Ruling | 264 | 2 | 4 | 3 | 29 | 31 | 26 | 23 | 21 | 11 | 23 | 38 | 15 | 38 | 0 | C |
| Frivolous | 50 | 0 | 4 | 1 | 0 | 0 | 2 | 8 | 2 | 12 | 8 | 9 | 2 | 2 | 0 | 0 |

Table S-22. (September 30, 2000—Continued)

| | | | | | | | Cire | cuits | | | | | | | | tional ourts |
|--|-------|-----|----|-----|-----|-----|------|-------|-----|-----|-----|-----|------|------|---|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT ² |
| Appropriate Action Already Taken | 6 | 0 | 0 | 1 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 |
| Action No Longer Necessary Because of | | | | | | | | | | | | | | | | |
| Intervening Events | 7 | 0 | 0 | 0 | 1 | 0 | 1 | 2 | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 0 |
| Complaint Withdrawn | 3 | 0 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 359 | 2 | 8 | 8 | 30 | 31 | 34 | 37 | 32 | 24 | 31 | 60 | 20 | 42 | 0 | 0 |
| Action by Judicial Councils | | | | | | | | | | | | | | | | |
| Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judge Only) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Temporary Suspension | | | | | | | | | | | | | | | | |
| of Case Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Publicly Censured | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dismissed the Complaint | 354 | 0 | 7 | 9 | 50 | 36 | 26 | 86 | 16 | 20 | 20 | 42 | 19 | 23 | 0 | 0 |
| Withdrawn | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 356 | 0 | 7 | 9 | 50 | 36 | 26 | 86 | 16 | 20 | 20 | 44 | 19 | 23 | 0 | 0 |
| Complaints Pending on September 30, 2000 | 162 | 0 | 4 | 9 | 44 | 5 | 3 | 8 | 23 | 0 | 7 | 34 | 4 | 19 | 2 | 0 |

NOTE: EXCLUDES COMPLAINTS NOT ACCEPTED BY THE CIRCUITS BECAUSE THEY DUPLICATED PREVIOUS FILINGS OR WERE OTHERWISE INVALID FILINGS.

¹CC = U.S. CLAIMS COURT.

²CIT = COURT OF INTERNATIONAL TRADE. * REVISED.

** EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS AGAINST NUMEROUS JUDICIAL OFFICERS. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-22.Report of Complaints Filed and Action Taken Under Authority of 28 U.S.C. 372(c)During the 12-Month Period Ending September 30, 2001

| | | | | | | | Ci | cuits | | | | | | | | ationa courts |
|--|-------|-----|----|-----|-----|-----|-----|-------|-----|-----|-----|-----|------|------|---|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT ² |
| | | | - | | | | | | | | | | | | | |
| Complaints Pending on September 30, 2001* | 150 | 0 | 4 | 9 | 33 | 5 | 3 | 9 | 23 | 1 | 6 | 32 | 4 | 18 | 3 | 0 |
| Complaints Filed | 766 | 0 | 31 | 22 | 102 | 50 | 63 | 100 | 97 | 43 | 52 | 102 | 32 | 70 | 1 | 1 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complainant | 766 | 0 | 31 | 22 | 102 | 50 | 63 | 100 | 97 | 43 | 52 | 102 | 32 | 70 | 1 | 1 |
| On Order of Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Officials Complained About** | | | | | | | | | | | | | | | | |
| Judges | | | | | | | | | | | | | | | | |
| Circuit | 273 | 0 | 15 | 16 | 31 | 13 | 25 | 23 | 12 | 16 | 33 | 53 | 16 | 20 | 0 | 0 |
| District | 563 | 0 | 16 | 26 | 52 | 23 | 45 | 50 | 86 | 37 | 69 | 104 | 25 | 30 | 0 | 0 |
| National Court | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 1 |
| Bankruptcy Judges | 34 | 0 | 0 | 2 | 2 | 6 | 2 | 2 | 1 | 3 | 0 | 12 | 2 | 2 | 0 | 0 |
| Magistrate Judges | 143 | 0 | 3 | 1 | 17 | 8 | 12 | 25 | 17 | 3 | 10 | 20 | 9 | 18 | 0 | 0 |
| Nature of Allegations** | | | | | | | | | | | | | | | | |
| Mental Disability | 29 | 0 | 0 | 0 | 5 | 4 | 1 | 3 | 3 | 1 | 2 | 5 | 0 | 5 | 0 | 0 |
| Physical Disability | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Demeanor | 31 | 0 | 0 | 1 | 14 | 2 | 1 | 0 | 1 | 4 | 2 | 5 | 0 | 1 | 0 | 0 |
| Abuse of Judicial Power | 200 | 0 | 3 | 3 | 28 | 3 | 35 | 28 | 1 | 13 | 21 | 33 | 15 | 16 | 1 | 0 |
| Prejudice/Bias | 266 | 0 | 18 | 11 | 24 | 9 | 17 | 31 | 36 | 13 | 11 | 43 | 14 | 38 | 1 | 0 |
| Conflict of Interest | 38 | 0 | 0 | 0 | 10 | 4 | 3 | 8 | 1 | 1 | 0 | 5 | 4 | 2 | 0 | 0 |
| Bribery/Corruption | 61 | 0 | 0 | 0 | 2 | 5 | 4 | 6 | 1 | 1 | 1 | 33 | 3 | 5 | 0 | 0 |
| Undue Decisional Delay | 60 | 0 | 0 | 0 | 6 | 6 | 3 | 11 | 2 | 6 | 4 | 15 | 0 | 7 | 0 | 0 |
| Incompetence/Neglect | 50 | 0 | 0 | 2 | 5 | 8 | 3 | 3 | 7 | 0 | 1 | 20 | 0 | 1 | 0 | 0 |
| Other | 186 | 0 | 8 | 1 | 0 | 50 | 4 | 47 | 16 | 3 | 8 | 32 | 7 | 10 | 0 | 0 |
| Complaints Concluded | 668 | 0 | 18 | 16 | 75 | 53 | 61 | 108 | 68 | 39 | 41 | 100 | 30 | 58 | 1 | 0 |
| Action by Chief Judges Complaint Dismissed | | | | | | | | | | | | | | | | |
| Not in Conformity With Statute Directly Related to Decision | 13 | 0 | 1 | 0 | 4 | 0 | 0 | 0 | 1 | 2 | 1 | 4 | 0 | 0 | 0 | 0 |
| or Procedural Ruling | 235 | 0 | 2 | 3 | 17 | 26 | 25 | 42 | 20 | 14 | 18 | 27 | 14 | 27 | 0 | 0 |
| Frivolous | 103 | 0 | 0 | 2 | 13 | 0 | 6 | 13 | 14 | 12 | 7 | 31 | 2 | 3 | 0 | 0 |

70

Table S-22. (September 30, 2001—Continued)

| | | | | | | | Circ | cuits | | | | | | | | tional ourts |
|--|-------|-----|----|-----|-----|-----|------|-------|-----|-----|-----|-----|------|------|---|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT ² |
| Appropriate Action Already Taken | 4 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 |
| Action No Longer Necessary Because of | | Ū | Ũ | Ŭ | Ū | | Ũ | Ũ | Ũ | • | | Ũ | • | Ũ | Ũ | 0 |
| Intervening Events | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 0 | 0 |
| Complaint Withdrawn | 3 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 363 | 0 | 3 | 6 | 34 | 28 | 31 | 55 | 35 | 29 | 28 | 62 | 17 | 35 | 0 | 0 |
| Action by Judicial Councils | | | | | | | | | | | | | | | | |
| Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judge Only) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Temporary Suspension | | | | | | | | | | | | | | | | |
| of Case Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Publicly Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dismissed the Complaint | 303 | 0 | 15 | 10 | 40 | 25 | 30 | 53 | 33 | 10 | 13 | 38 | 12 | 23 | 1 | 0 |
| Withdrawn | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 305 | 0 | 15 | 10 | 41 | 25 | 30 | 53 | 33 | 10 | 13 | 38 | 13 | 23 | 1 | 0 |
| Complaints Pending on September 30, 2001 | 248 | 0 | 17 | 15 | 60 | 2 | 5 | 1 | 52 | 5 | 17 | 34 | 6 | 30 | 3 | 1 |

NOTE: EXCLUDES COMPLAINTS NOT ACCEPTED BY THE CIRCUITS BECAUSE THEY DUPLICATED PREVIOUS FILINGS OR WERE OTHERWISE INVALID FILINGS.

¹CC = U.S. CLAIMS COURT.

² CIT = COURT OF INTERNATIONAL TRADE.

* REVISED.

** EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS AGAINST NUMEROUS JUDICIAL OFFICERS. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-22.Report of Complaints Filed and Action Taken Under Authority of 28 U.S.C. 372(c)During the 12-Month Period Ending September 30, 2002

| | | | | | | | - | cuits | | | | | | | c | ation <i>a</i> ourts |
|--|------------|-----|----|-----|---------|-----|----------|---------|-----|-----|-----|----------|------|------|---|-------------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT |
| Complaints Pending on September 30, 2001* | 262 | 0 | 17 | 15 | 60 | 3 | 5 | 19 | 44 | 5 | 17 | 36 | 6 | 31 | 3 | 1 |
| Complaints Filed | 657 | 0 | 20 | 14 | 62 | 51 | 59 | 81 | 77 | 28 | 54 | 105 | 47 | 54 | 5 | 0 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complainant | 656 | 0 | 20 | 13 | 62 | 51 | 59 | 81 | 77 | 28 | 54 | 105 | 47 | 54 | 5 | 0 |
| On Order of Chief Judge | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Officials Complained About** | | | | | | | | | | | | | | | | |
| Judges | | | | | | | | | | | | | | | | |
| Circuit | 353 | 0 | 47 | 6 | 10 | 4 | 17 | 26 | 52 | 11 | 52 | 114 | 11 | 3 | 0 | 0 |
| District | 548 | 0 | 13 | 20 | 41 | 35 | 68 | 32 | 72 | 29 | 43 | 127 | 36 | 32 | 0 | 0 |
| National Courts | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 0 |
| Bankruptcy Judges | 57 | 0 | 1 | 1 | 1 | 6 | 4 | 2 | 2 | 0 | 3 | 27 | 2 | 8 | 0 | 0 |
| Magistrate Judges | 152 | 0 | 1 | 2 | 10 | 6 | 8 | 21 | 11 | 2 | 21 | 48 | 11 | 11 | 0 | 0 |
| lature of Allegations** | | | | | | | | | | | | | | | | |
| Mental Disability | 33 | 0 | 0 | 0 | 4 | 1 | 3 | 2 | 6 | 1 | 3 | 11 | 2 | 0 | 0 | 0 |
| Physical Disability | 6 | 0 | 0 | 0 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 |
| Demeanor | 17 | 0 | 0 | 1 | 3 | 0 | 3 | 0 | 0 | 0 | 0 | 7 | 0 | 3 | 0 | 0 |
| Abuse of Judicial Power | 327 | 0 | 1 | 7 | 57 | 6 | 29 | 49 | 14 | 13 | 19 | 71 | 17 | 41 | 3 | 0 |
| Prejudice/Bias | 314 | 0 | 34 | 16 | 40 | 13 | 20 | 35 | 51 | 11 | 20 | 36 | 19 | 16 | 3 | 0 |
| Conflict of Interest | 46 | 0 | 1 | 0 | 18 | 9 | 2 | 3 | 2 | 0 | 4 | 3 | 1 | 3 | 0 | 0 |
| Bribery/Corruption | 63 | 0 | 0 | 0 | 15 | 0 | 4 | 6 | 8 | 0 | 5 | 20 | 1 | 4 | 0 | 0 |
| Undue Decisional Delay | 75 | 0 | 1 | 0 | 15 | 3 | 3 | 5 | 3 | 7 | 10 | 15 | 7 | 6 | 0 | 0 |
| Incompetence/Neglect | 45 | 0 | 0 | 2 | 2 | 1 | 7 | 1 | 9 | 0 | 6 | 16 | 1 | 0 | 0 | 0 |
| Other | 129 | 0 | 4 | 2 | 0 | 46 | 3 | 16 | 8 | 2 | 4 | 32 | 9 | 3 | 0 | 0 |
| Complaints Concluded | 780 | 0 | 35 | 25 | 93 | 48 | 61 | 98 | 98 | 30 | 57 | 124 | 47 | 61 | 3 | 0 |
| ction By Chief Judges | | | | | | | | | | | | | | | | |
| Complaint Dismissed | | | | | | | | | | | | | | | | |
| Not in Conformity with Statute Directly Related to Decision | 27 | 0 | 1 | 0 | 1 | 0 | 3 | 1 | 7 | 0 | 1 | 9 | 1 | 3 | 0 | 0 |
| or Procedural Ruling | 249 | 0 | 6 | 5 | 23 | 17 | 24 | 36 | 31 | 14 | 11 | 36 | 22 | 22 | 2 | 0 |
| Frivolous | 249 110 | 0 | 9 | 2 | 23 9 | 2 | 24 13 | 30 7 | 5 | 7 | 10 | 36 36 | 7 | 3 | 2 | 0 |

Table S-22. (September 30, 2002—Continued)

| | | | | | | | Circ | uits | | | | | | | | tional ourts |
|--|-------|-----|----|-----|-----|-----|------|------|-----|-----|-----|-----|------|------|---|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT ² |
| Appropriate Action Already Taken | 3 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| Action No Longer Necessary Because of | 0 | Ū | Ũ | | Ŭ | Ũ | Ū | Ū | • | Ū | • | 0 | Ũ | 0 | 0 | Ŭ |
| Intervening Events | 6 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 0 | 0 |
| Complaint Withdrawn | 8 | 0 | 0 | 2 | 2 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 |
| Subtotal | 403 | 0 | 16 | 10 | 37 | 20 | 41 | 44 | 45 | 22 | 23 | 82 | 30 | 30 | 3 | 0 |
| Action by Judicial Councils | | | | | | | | | | | | | | | | |
| Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judges Only) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Temporary Suspension | | | | | | | | | | | | | | | | |
| of Case Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Publicly Censured | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dismissed the Complaint | 375 | 0 | 19 | 15 | 56 | 28 | 20 | 54 | 51 | 8 | 34 | 42 | 17 | 31 | 0 | 0 |
| Withdrawn | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 377 | 0 | 19 | 15 | 56 | 28 | 20 | 54 | 53 | 8 | 34 | 42 | 17 | 31 | 0 | 0 |
| Complaints Pending on September 30, 2002 | 139 | 0 | 2 | 4 | 29 | 6 | 3 | 2 | 23 | 3 | 14 | 17 | 6 | 24 | 5 | 1 |

NOTE: EXCLUDES COMPLAINTS NOT ACCEPTED BY THE CIRCUITS BECAUSE THEY DUPLICATED PREVIOUS FILINGS OR WERE OTHERWISE INVALID FILINGS.

¹CC = U.S. CLAIMS COURT.

² CIT = COURT OF INTERNATIONAL TRADE.

* REVISED.

** EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS AGAINST NUMEROUS JUDICIAL OFFICERS. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-22.Report of Complaints Filed and Action Taken Under Authority of 28 U.S.C. 351-364During the 12-Month Period Ending September 30, 2003

| | | | | | | | <u>.</u> | | | | | | | | | ationa |
|--|------------------|-----|----|-----|-----|-----|----------|-------|-----|-----|-----|-----|------|------|---|--------|
| | T . (.) | | | | | 0.1 | | cuits | | | 0.1 | 0/1 | 40/1 | | | ourts |
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | СІТ |
| Complaints Pending on September 30, 2002* | 141 | 0 | 3 | 4 | 29 | 6 | 3 | 7 | 22 | 4 | 15 | 16 | 6 | 20 | 5 | 1 |
| Complaints Filed | 835 | 2 | 11 | 36 | 69 | 41 | 67 | 107 | 73 | 28 | 97 | 146 | 47 | 110 | 0 | 1 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complainant | 835 | 2 | 11 | 36 | 69 | 41 | 67 | 107 | 73 | 28 | 97 | 146 | 47 | 110 | 0 | 1 |
| On Order of Chief Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Officials Complained About** | | | | | | | | | | | | | | | | |
| Judges | | | | | | | | | | | | | | | | |
| Circuit | 204 | 6 | 4 | 19 | 8 | 4 | 16 | 27 | 15 | 2 | 26 | 43 | 12 | 22 | 0 | 0 |
| District | 719 | 0 | 14 | 24 | 49 | 28 | 54 | 54 | 53 | 34 | 157 | 156 | 39 | 57 | 0 | 0 |
| National Courts | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Bankruptcy Judges | 38 | 0 | 0 | 2 | 1 | 3 | 1 | 2 | 5 | 2 | 1 | 16 | 3 | 2 | 0 | 0 |
| Magistrate Judges | 257 | 0 | 0 | 5 | 11 | 6 | 21 | 24 | 21 | 3 | 91 | 40 | 7 | 28 | 0 | 0 |
| Vature of Allegations** | | | | | | | | | | | | | | | | |
| Mental Disability | 26 | 0 | 0 | 1 | 6 | 4 | 5 | 1 | 0 | 1 | 2 | 5 | 0 | 1 | 0 | 0 |
| Physical Disability | 7 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 2 | 1 | 0 | 1 | 0 | 0 |
| Demeanor | 21 | 0 | 0 | 1 | 4 | 3 | 1 | 4 | 0 | 1 | 1 | 3 | 1 | 1 | 1 | 0 |
| Abuse of Judicial Power | 239 | 1 | 0 | 7 | 20 | 3 | 29 | 22 | 2 | 6 | 30 | 59 | 14 | 45 | 0 | 1 |
| Prejudice/Bias | 263 | 2 | 12 | 9 | 20 | 14 | 21 | 26 | 29 | 11 | 36 | 37 | 14 | 29 | 2 | 1 |
| Conflict of Interest | 33 | 0 | 0 | 1 | 3 | 5 | 3 | 2 | 2 | 1 | 2 | 7 | 3 | 4 | 0 | 0 |
| Bribery/Corruption | 87 | 0 | 0 | 1 | 4 | 6 | 10 | 6 | 15 | 0 | 20 | 22 | 0 | 3 | 0 | 0 |
| Undue Decisional Delay | 81 | 0 | 0 | 3 | 9 | 6 | 6 | 4 | 3 | 5 | 25 | 16 | 2 | 1 | 0 | 1 |
| Incompetence/Neglect | 47 | 0 | 0 | 3 | 3 | 2 | 8 | 2 | 3 | 0 | 15 | 6 | 1 | 4 | 0 | 0 |
| Other | 131 | 0 | 0 | 0 | 4 | 37 | 4 | 45 | 0 | 9 | 2 | 13 | 14 | 0 | 3 | 0 |
| Complaints Concluded | 682 | 2 | 12 | 18 | 42 | 40 | 69 | 94 | 53 | 31 | 87 | 117 | 42 | 69 | 4 | 2 |
| Action by Chief Judges | | | | | | | | | | | | | | | | |
| Complaint Dismissed | | | | | | | | | | | | | | | | |
| Not in Conformity With Statute Directly Related to Decision | 39 | 0 | 1 | 0 | 1 | 0 | 3 | 0 | 17 | 2 | 9 | 6 | 0 | 0 | 0 | 0 |
| or Procedural Ruling | 230 | 2 | 3 | 2 | 14 | 13 | 30 | 24 | 10 | 15 | 15 | 46 | 9 | 46 | 1 | 0 |
| Frivolous | 77 | 0 | 0 | 0 | 7 | 1 | 3 | 6 | 0 | 7 | 25 | 21 | 1 | 6 | 0 | 0 |

| | | | | | | | Circ | cuits | | | | | | | | tional ourts |
|--|-------|-----|----|-----|-----|-----|------|-------|-----|-----|-----|-----|------|--------|---|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT ² |
| Appropriate Action Already Taken | 3 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| Action No Longer Necessary Because of | 0 | Ū | 0 | 0 | 0 | | 0 | 0 | 0 | Ū | | | 0 | Ū | 0 | 0 |
| Intervening Events | 8 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 5 | 1 | 0 | 0 | 0 | 0 |
| Complaint Withdrawn | 8 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 4 | 2 | 0 | 8 1 | 0 | 0 |
| Subtotal | 365 | 2 | 4 | 3 | 22 | 15 | 37 | 31 | 27 | 24 | 59 | 77 | 10 | 53 | 1 | 0 |
| Action by Judicial Councils | | | | | | | | | | | | | | | | |
| Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judges Only) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Temporary Suspension | | | | | | | | | | | | | | | | |
| of Case Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Publicly Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Dismissed the Complaint | 316 | 0 | 8 | 15 | 20 | 25 | 32 | 63 | 26 | 7 | 28 | 40 | 32 | 16 | 3 | 1 |
| Withdrawn | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 0 | 0 | | | | | | | | | | | | | | |
| Subtotal | 317 | 0 | 8 | 15 | 20 | 25 | 32 | 63 | 26 | 7 | 28 | 40 | 32 | 16 | 3 | 2 |
| Complaints Pending on September 30, 2003 | 294 | 0 | 2 | 22 | 56 | 7 | 1 | 20 | 42 | 1 | 25 | 45 | 11 | 61 | 1 | 0 |

Table S-22. (September 30, 2003—Continued)

NOTE: EXCLUDES COMPLAINTS NOT ACCEPTED BY THE CIRCUITS BECAUSE THEY DUPLICATED PREVIOUS FILINGS OR WERE OTHERWISE INVALID FILINGS.

¹CC = U.S. COURT OF FEDERAL CLAIMS.

²CIT = U.S. COURT OF INTERNATIONAL TRADE.

* REVISED.

** EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS AGAINST NUMEROUS JUDGES. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-22.Report of Complaints Filed and Action Taken Under Authority of 28 U.S.C. 351-364During the 12-Month Period Ending September 30, 2004

| | | | | | | | C :- | cuits | | | | | | | | ation courts |
|---|-------|-----|----|-----|-----|-----|-------------|-------|-----|-----|-----|-----|------|------|---|-----------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT |
| | | | I | | | | | | | | | | | | | |
| Complaints Pending on September 30, 2003* | 249 | 0 | 2 | 19 | 34 | 3 | 10 | 19 | 22 | 1 | 29 | 38 | 11 | 61 | 0 | 0 |
| Complaints Filed | 712 | 2 | 31 | 30 | 23 | 40 | 63 | 95 | 72 | 34 | 77 | 146 | 41 | 58 | 0 | 0 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complainant | 712 | 2 | 31 | 30 | 23 | 40 | 63 | 95 | 72 | 34 | 77 | 146 | 41 | 58 | 0 | 0 |
| On Order of Chief Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Officials Complained About** | | | | | | | | | | | | | | | | |
| Judges | | | | | | | | | | | | | | | | |
| Circuit | 240 | 6 | 20 | 16 | 4 | 6 | 23 | 16 | 24 | 8 | 14 | 84 | 13 | 6 | 0 | C |
| District | 539 | 0 | 39 | 21 | 15 | 22 | 52 | 51 | 69 | 27 | 55 | 128 | 23 | 37 | 0 | C |
| National Courts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | C |
| Bankruptcy Judges | 28 | 0 | 0 | 8 | 1 | 2 | 1 | 2 | 4 | 1 | 0 | 6 | 2 | 1 | 0 | C |
| Magistrate Judges | 149 | 0 | 1 | 5 | 3 | 10 | 18 | 26 | 7 | 3 | 25 | 26 | 11 | 14 | 0 | C |
| lature of Allegations** | | | | | | | | | | | | | | | | |
| Mental Disability | 34 | 0 | 0 | 4 | 3 | 5 | 4 | 4 | 2 | 0 | 1 | 10 | 0 | 1 | 0 | C |
| Physical Disability | 6 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | C |
| Demeanor | 34 | 0 | 1 | 1 | 6 | 0 | 4 | 3 | 0 | 1 | 7 | 9 | 1 | 1 | 0 | C |
| Abuse of Judicial Power | 251 | 1 | 3 | 11 | 6 | 0 | 42 | 2 | 4 | 2 | 71 | 59 | 22 | 28 | 0 | C |
| Prejudice/Bias | 334 | 2 | 19 | 27 | 35 | 14 | 22 | 35 | 42 | 7 | 38 | 52 | 20 | 21 | 0 | C |
| Conflict of Interest | 67 | 0 | 5 | 8 | 4 | 6 | 3 | 3 | 2 | 0 | 5 | 22 | 7 | 2 | 0 | C |
| Bribery/Corruption | 93 | 0 | 0 | 9 | 5 | 10 | 5 | 3 | 1 | 0 | 25 | 33 | 0 | 2 | 0 | C |
| Undue Decisional Delay | 70 | 0 | 2 | 7 | 5 | 7 | 4 | 10 | 2 | 5 | 8 | 13 | 4 | 3 | 0 | C |
| Incompetence/Neglect | 106 | 0 | 0 | 9 | 3 | 8 | 2 | 3 | 0 | 0 | 18 | 16 | 0 | 47 | 0 | C |
| Other | 224 | 0 | 1 | 1 | 33 | 30 | 10 | 89 | 3 | 24 | 0 | 24 | 9 | 0 | 0 | C |
| Complaints Concluded | 784 | 2 | 28 | 40 | 51 | 34 | 73 | 99 | 56 | 35 | 94 | 135 | 42 | 95 | 0 | (|
| ction By Chief Judges | | | | | | | | | | | | | | | | |
| Complaint Dismissed | | | | | | | | | | | | | | | | |
| Not in Conformity With Statute | 27 | 0 | 4 | 0 | 6 | 0 | 5 | 0 | 4 | 1 | 5 | 0 | 0 | 2 | 0 | C |
| Directly Related to Decision | | | | | | | | | | | | | | | | |
| or Procedural Ruling | 295 | 2 | 9 | 7 | 18 | 13 | 31 | 38 | 16 | 21 | 37 | 65 | 8 | 30 | 0 | (|
| Frivolous | 112 | 0 | 8 | 4 | 3 | 0 | 1 | 11 | 3 | 5 | 18 | 5 | 4 | 50 | 0 | 0 |

| Table S-22. (S | eptember | 30, 2004– | -Continued) |
|----------------|----------|-----------|-------------|
|----------------|----------|-----------|-------------|

| | | | | | | | Circ | uits | | | | | | | | tional ourts |
|--|-------|-----|----|-----|-----|-----|------|------|-----|-----|-----|-----|------|------|---|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT ² |
| Appropriate Action Already Taken | 3 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| Action No Longer Necessary Because of | | | | | | | | | | | | | | | | |
| Intervening Events | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 5 | 0 | 0 |
| Complaint Withdrawn | 3 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |
| Subtotal | 449 | 2 | 21 | 11 | 29 | 13 | 37 | 51 | 23 | 27 | 63 | 72 | 13 | 87 | 0 | 0 |
| Action by Judicial Councils | | | | | | | | | | | | | | | | |
| Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judges Only) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Temporary Suspension | | | | | | | | | | | | | | | | |
| of Case Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Publicly Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dismissed the Complaint | 335 | 0 | 7 | 29 | 22 | 21 | 36 | 48 | 33 | 8 | 31 | 63 | 29 | 8 | 0 | 0 |
| Withdrawn | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 335 | 0 | 7 | 29 | 22 | 21 | 36 | 48 | 33 | 8 | 31 | 63 | 29 | 8 | 0 | 0 |
| Complaints Pending on September 30, 2004 | 177 | 0 | 5 | 9 | 6 | 9 | 0 | 15 | 38 | 0 | 12 | 49 | 10 | 24 | 0 | 0 |

NOTE: EXCLUDES COMPLAINTS NOT ACCEPTED BY THE CIRCUITS BECAUSE THEY DUPLICATED PREVIOUS FILINGS OR WERE OTHERWISE INVALID FILINGS.

 1 CC = U.S. COURT OF FEDERAL CLAIMS. 2 CIT = U.S. COURT OF INTERNATIONAL TRADE.

* REVISED. ** EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS AGAINST NUMEROUS JUDGES. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-22.Report of Complaints Filed and Action Taken Under Authority of 28 U.S.C. 351-364During the 12-Month Period Ending September 30, 2005

| | | | | | | | C :- | cuits | | | | | | | | ationa |
|--|-------|-----|----|-----|---------|-----|-------------|-------|-----|-----|-----|-----|------|------|---|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT ² |
| Complaints Pending on September 30, 2004* | 212 | 0 | 4 | 9 | 57 | 9 | 8 | 16 | 30 | 1 | 13 | 30 | 8 | 25 | 2 | 0 |
| | 212 | Ū | - | 5 | 01 | 5 | 0 | 10 | 50 | • | 10 | 00 | 0 | 20 | 2 | 0 |
| Complaints Filed | 642 | 1 | 33 | 19 | 36 | 58 | 43 | 99 | 55 | 15 | 38 | 122 | 36 | 85 | 2 | 0 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complainant | 642 | 1 | 33 | 19 | 36 | 58 | 43 | 99 | 55 | 15 | 38 | 122 | 36 | 85 | 2 | 0 |
| On Order of Chief Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Officials Complained About** | | | | | | | | | | | | | | | | |
| Judges | | | | | | | | | | | | | | | | |
| Circuit | 177 | 1 | 18 | 1 | 7 | 4 | 28 | 10 | 7 | 6 | 2 | 80 | 7 | 6 | 0 | 0 |
| District | 456 | 0 | 21 | 15 | 23 | 41 | 32 | 52 | 51 | 11 | 22 | 102 | 27 | 59 | 0 | 0 |
| National Courts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bankruptcy Judges | 31 | 0 | 0 | 4 | 0 | 5 | 1 | 2 | 3 | 1 | 2 | 9 | 2 | 2 | 0 | 0 |
| Magistrate Judges | 135 | 0 | 1 | 4 | 6 | 8 | 9 | 35 | 5 | 2 | 13 | 27 | 7 | 18 | 0 | 0 |
| Nature of Allegations** | | | | | | | | | | | | | | | | |
| Mental Disability | 22 | 0 | 1 | 2 | 3 | 2 | 2 | 3 | 0 | 0 | 0 | 6 | 0 | 1 | 2 | 0 |
| Physical Disability | 9 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 2 | 1 | 0 |
| Demeanor | 20 | 0 | 0 | 3 | 0 | 2 | 0 | 2 | 0 | 1 | 2 | 8 | 1 | 1 | 0 | 0 |
| Abuse of Judicial Power | 206 | 1 | 7 | 13 | 3 | 5 | 26 | 6 | 3 | 4 | 28 | 57 | 0 | 52 | 1 | 0 |
| Prejudice/Bias | 275 | 1 | 12 | 19 | 43 | 21 | 9 | 16 | 40 | 5 | 15 | 57 | 15 | 20 | 2 | 0 |
| Conflict of Interest | 49 | 0 | 2 | 5 | 5 | 11 | 2 | 1 | 3 | 1 | 2 | 13 | 3 | 1 | 0 | 0 |
| Bribery/Corruption | 51 | 0 | 0 | 3 | 2 | 1 | 2 | 2 | 1 | 0 | 4 | 32 | 0 | 4 | 0 | 0 |
| Undue Decisional Delay | 65 | 0 | 0 | 6 | 8 | 8 | 2 | 9 | 2 | 0 | 4 | 14 | 7 | 5 | 0 | 0 |
| Incompetence/Neglect | 52 | 0 | 2 | 4 | 4 | 3 | 2 | 3 | 0 | 1 | 8 | 22 | 1 | 1 | 1 | 0 |
| Other | 260 | 0 | 2 | 1 | 80 | 40 | 11 | 80 | 0 | 7 | 1 | 19 | 18 | 0 | 1 | 0 |
| Complaints Concluded | 667 | 1 | 22 | 23 | 91 | 47 | 48 | 90 | 47 | 16 | 45 | 120 | 33 | 81 | 3 | 0 |
| action by Chief Judges | | | | | | | | | | | | | | | | |
| Complaint Dismissed | | | | | | | | | | | | | | | | |
| Not in Conformity With Statute Directly Related to Decision | 21 | 0 | 1 | 0 | 5 | 0 | 1 | 0 | 2 | 0 | 3 | 5 | 3 | 1 | 0 | 0 |
| or Procedural Ruling | 319 | 1 | 8 | 8 | 46 | 18 | 20 | 30 | 12 | 6 | 29 | 57 | 16 | 65 | 3 | 0 |
| Frivolous | 41 | 0 | 1 | 3 | 40 1 | 0 | 4 | 6 | 3 | 8 | 5 | 10 | 0 | 0 | 0 | 0 |

| | | | | | | | Circ | cuits | | | | | | | | ional ourts |
|--|-------|-----|----|-----|-----|-----|------|-------|-----|-----|-----|-----|------|------|---|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT ² |
| Appropriate Action Already Taken | 5 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 |
| Action No Longer Necessary Because of | Ū | Ū | Ū | | Ŭ | | Ũ | • | 0 | 0 | 0 | - | 0 | | Ū | U |
| Intervening Events | 8 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 4 | 0 | 0 |
| Complaint Withdrawn | 6 | 0 | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 |
| Subtotal | 400 | 1 | 11 | 11 | 54 | 20 | 26 | 39 | 17 | 14 | 38 | 76 | 19 | 71 | 3 | 0 |
| Action by Judicial Councils | | | | | | | | | | | | | | | | |
| Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judges only) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Temporary Suspension | | | | | | | | | | | | | | | | |
| of Case Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Publicly Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dismissed the Complaint | 267 | 0 | 11 | 12 | 37 | 27 | 22 | 51 | 30 | 2 | 7 | 44 | 14 | 10 | 0 | 0 |
| Withdrawn | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 267 | 0 | 11 | 12 | 37 | 27 | 22 | 51 | 30 | 2 | 7 | 44 | 14 | 10 | 0 | 0 |
| Complaints Pending on September 30, 2005 | 187 | 0 | 15 | 5 | 2 | 20 | 3 | 25 | 38 | 0 | 6 | 32 | 11 | 29 | 1 | 0 |

Table S-22. (September 30, 2005—Continued)

NOTE: EXCLUDES COMPLAINTS NOT ACCEPTED BY THE CIRCUITS BECAUSE THEY DUPLICATED PREVIOUS FILINGS OR WERE OTHERWISE INVALID FILINGS.

¹CC = U.S. COURT OF FEDERAL CLAIMS.

²CIT = U.S. COURT OF INTERNATIONAL TRADE. * REVISED.

** EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS AGAINST NUMEROUS JUDGES. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-22.Report of Complaints Filed and Action Taken Under Authority of 28 U.S.C. 351-364During the 12-Month Period Ending September 30, 2006

| | | | | | | | Cir | cuits | | | | | | | | ationa courts |
|---|-------|-----|----|-----|------|-----|-----|-------|------|------|-----|-----|-------|------|----|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT |
| Cullinary of Activity | Total | TCu | 00 | 131 | 2110 | 514 | | 500 | VIII | 7.01 | Ull | 500 | Total | | 00 | |
| | | | | | | | | | | | | | | | | |
| Complaints Pending on September 30, 2005* | 210 | 0 | 3 | 5 | 31 | 20 | 12 | 21 | 42 | 3 | 6 | 29 | 2 | 35 | 1 | 0 |
| Complaints Filed | 643 | 1 | 16 | 31 | 14 | 43 | 47 | 76 | 72 | 35 | 44 | 133 | 49 | 79 | 3 | 0 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complainant | 555 | 1 | 16 | 0 | 0 | 0 | 47 | 76 | 72 | 35 | 44 | 133 | 49 | 79 | 3 | 0 |
| On Order of Chief Judges | 88 | 0 | 0 | 31 | 14 | 43 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| officials Complained About** | | | | | | | | | | | | | | | | |
| Judges | | | | | | | | | | | | | | | | |
| Circuit | 141 | 1 | 14 | 13 | 0 | 3 | 7 | 6 | 14 | 16 | 3 | 34 | 24 | 6 | 0 | 0 |
| District | 505 | 0 | 17 | 50 | 10 | 31 | 36 | 45 | 68 | 31 | 32 | 99 | 40 | 46 | 0 | 0 |
| National Courts | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 |
| Bankruptcy Judges | 33 | 0 | 0 | 2 | 0 | 1 | 2 | 5 | 2 | 3 | 0 | 12 | 2 | 4 | 0 | 0 |
| Magistrate Judges | 159 | 0 | 0 | 26 | 4 | 6 | 18 | 20 | 14 | 1 | 8 | 31 | 8 | 23 | 0 | 0 |
| lature of Allegations** | | | | | | | | | | | | | | | | |
| Mental Disability | 30 | 0 | 3 | 4 | 1 | 3 | 1 | 4 | 0 | 1 | 0 | 11 | 2 | 0 | 0 | 0 |
| Physical Disability | 3 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 |
| Demeanor | 35 | 0 | 0 | 0 | 0 | 4 | 2 | 4 | 1 | 1 | 1 | 17 | 5 | 0 | 0 | 0 |
| Abuse of Judicial Power | 234 | 1 | 6 | 18 | 0 | 0 | 38 | 22 | 4 | 2 | 21 | 63 | 14 | 44 | 1 | 0 |
| Prejudice/Bias | 295 | 1 | 3 | 22 | 28 | 22 | 16 | 35 | 50 | 9 | 18 | 45 | 14 | 31 | 1 | 0 |
| Conflict of Interest | 43 | 0 | 1 | 6 | 1 | 15 | 2 | 2 | 0 | 0 | 4 | 9 | 2 | 0 | 1 | 0 |
| Bribery/Corruption | 40 | 0 | 0 | 8 | 2 | 4 | 2 | 0 | 3 | 0 | 3 | 16 | 0 | 2 | 0 | 0 |
| Undue Decisional Delay | 53 | 0 | 0 | 2 | 2 | 8 | 5 | 5 | 2 | 5 | 2 | 11 | 1 | 10 | 0 | 0 |
| Incompetence/Neglect | 37 | 0 | 1 | 5 | 0 | 3 | 1 | 2 | 0 | 0 | 7 | 15 | 0 | 3 | 0 | 0 |
| Other | 200 | 0 | 0 | 2 | 38 | 41 | 4 | 59 | 0 | 23 | 4 | 9 | 18 | 0 | 2 | 0 |
| omplaints Concluded | 619 | 1 | 13 | 26 | 45 | 46 | 59 | 74 | 58 | 38 | 35 | 102 | 37 | 81 | 4 | 0 |
| Action By Chief Judges Complaint Dismissed | | | | | | | | | | | | | | | | |
| Not in Conformity With Statute | 25 | 0 | 2 | 1 | 8 | 0 | 2 | 0 | 3 | 2 | 2 | 3 | 2 | 0 | 0 | 0 |
| Directly Related to Decision | | | | | | | | | | | | | | | | |
| or Procedural Ruling | 283 | 1 | 2 | 5 | 15 | 26 | 24 | 35 | 25 | 13 | 21 | 46 | 17 | 51 | 2 | 0 |
| Frivolous | 63 | 0 | 4 | 4 | 3 | 0 | 3 | 4 | 5 | 18 | 4 | 7 | 4 | 7 | 0 | 0 |

| | Circuits | | | | | | | | | | | | | | | tiona ourts |
|---|----------|-----|----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|-----------------|----------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | СІТ |
| Appropriate Action Already Taken | 5 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 0 |
| Action No Longer Necessary Because of | 5 | 0 | 0 | 0 | I | 0 | 0 | 0 | 0 | I | 0 | 1 | 1 | | 0 | 0 |
| Intervening Events | 6 | 0 | 1 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 0 |
| Complaint Withdrawn | 9 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 1 | 0 | 1 | 1 | 0 | 3 | 0 | 0 |
| Subtotal | 391 | 1 | 9 | 10 | 28 | 27 | 30 | 41 | 34 | 34 | 28 | 59 | 24 | 64 | 2 | 0 |
| | | · | 0 | | 20 | | | •• | 0. | 0. | 20 | | | 0. | _ | Ũ |
| Action by Judicial Councils | | | | | | | | | | | | | | | | |
| Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judges only) | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Temporary Suspension | | | | | | | | | | | | | | | | |
| of Case Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Publicly Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dismissed the Complaint | 227 | 0 | 4 | 16 | 17 | 19 | 29 | 33 | 24 | 4 | 7 | 43 | 13 | 16 | 2 | 0 |
| Withdrawn | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 0 | 0 | 0 | | | | | | | | | | | | | |
| Subtotal | 228 | 0 | 4 | 16 | 17 | 19 | 29 | 33 | 24 | 4 | 7 | 43 | 13 | 17 | 2 | 0 |
| omplaints Pending on September 30, 2006 | 234 | 0 | 6 | 10 | 0 | 17 | 0 | 23 | 56 | 0 | 15 | 60 | 14 | 33 | 0 | 0 |
| pecial Investigating Committees Appointed | 7 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 2 | 0 | 2 | 0 | 0 |

Table S-22. (September 30, 2006—Continued)

NOTE: EXCLUDES COMPLAINTS NOT ACCEPTED BY THE CIRCUITS BECAUSE THEY DUPLICATED PREVIOUS FILINGS OR WERE OTHERWISE INVALID FILINGS.

¹CC = U.S. COURT OF FEDERAL CLAIMS.

²CIT = U.S. COURT OF INTERNATIONAL TRADE.

* REVISED.

** EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS AGAINST NUMEROUS JUDGES. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-22.Report of Complaints Filed and Action Taken Under Authority of 28 U.S.C. 351-364During the 12-Month Period Ending September 30, 2007

| | Circuits | | | | | | | | | | | | | | Natio Cou | | |
|--|----------|-----|----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|-----------------|-----|--|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT | |
| Complaints Pending on September 30, 2006 * | 241 | 0 | 6 | 10 | 28 | 14 | 7 | 22 | 45 | 4 | 15 | 39 | 14 | 36 | 1 | 0 | |
| Complaints Filed | 841 | 0 | 30 | 32 | 22 | 81 | 82 | 130 | 76 | 48 | 49 | 151 | 37 | 99 | 4 | 0 | |
| Complaint Type | | | | | | | | | | | | | | | | | |
| Written by Complainant | 841 | 0 | 30 | 32 | 22 | 81 | 82 | 130 | 76 | 48 | 49 | 151 | 37 | 99 | 4 | 0 | |
| On Order of Chief Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Officials Complained About ** | | | | | | | | | | | | | | | | | |
| Judges | | | | | | | | | | | | | | | | | |
| Circuit | 226 | 0 | 14 | 0 | 6 | 21 | 37 | 30 | 18 | 6 | 1 | 61 | 18 | 14 | 0 | 0 | |
| District | 792 | 0 | 22 | 26 | 12 | 46 | 89 | 69 | 58 | 43 | 41 | 286 | 35 | 65 | 0 | 0 | |
| National Courts | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | |
| Bankruptcy Judges | 46 | 0 | 1 | 2 | 0 | 6 | 2 | 3 | 4 | 2 | 3 | 19 | 2 | 2 | 0 | 0 | |
| Magistrate Judges | 197 | 0 | 2 | 8 | 4 | 8 | 26 | 28 | 14 | 3 | 16 | 58 | 12 | 18 | 0 | 0 | |
| Nature of Allegations ** | | | | | | | | | | | | | | | | | |
| Mental Disability | 20 | 0 | 0 | 2 | 1 | 3 | 1 | 3 | 0 | 1 | 2 | 4 | 1 | 2 | 0 | 0 | |
| Physical Disability | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | |
| Demeanor | 22 | 0 | 0 | 2 | 0 | 3 | 2 | 3 | 2 | 1 | 4 | 4 | 1 | 0 | 0 | 0 | |
| Abuse of Judicial Power | 261 | 0 | 9 | 21 | 1 | 0 | 57 | 19 | 6 | 7 | 28 | 48 | 12 | 53 | 0 | 0 | |
| Prejudice/Bias | 298 | 0 | 13 | 19 | 30 | 22 | 19 | 41 | 51 | 10 | 15 | 27 | 19 | 31 | 1 | 0 | |
| Conflict of Interest | 46 | 0 | 0 | 5 | 1 | 7 | 4 | 7 | 3 | 0 | 5 | 10 | 4 | 0 | 0 | 0 | |
| Bribery/Corruption | 67 | 0 | 1 | 3 | 1 | 4 | 7 | 2 | 8 | 5 | 2 | 25 | 5 | 4 | 0 | 0 | |
| Undue Decisional Delay | 81 | 0 | 2 | 6 | 3 | 6 | 8 | 7 | 9 | 9 | 8 | 13 | 7 | 3 | 0 | 0 | |
| Incompetence/Neglect | 59 | 0 | 0 | 15 | 3 | 2 | 1 | 0 | 4 | 0 | 8 | 16 | 4 | 5 | 1 | 0 | |
| Other | 301 | 0 | 0 | 1 | 47 | 39 | 11 | 112 | 1 | 38 | 3 | 18 | 27 | 0 | 4 | 0 | |
| Complaints Concluded | 752 | 0 | 21 | 29 | 50 | 40 | 89 | 117 | 78 | 51 | 47 | 94 | 48 | 83 | 5 | 0 | |
| Action By Chief Judges Complaint Dismissed | | | | | | | | | | | | | | | | | |
| Not in Conformity With Statute Directly Related to Decision | 18 | 0 | 0 | 1 | 1 | 0 | 7 | 0 | 6 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | |
| or Procedural Ruling | 318 | 0 | 12 | 14 | 10 | 24 | 49 | 51 | 18 | 29 | 15 | 25 | 8 | 60 | 3 | 0 | |
| Frivolous | 56 | 0 | 4 | 3 | 2 | 0 | 2 | 9 | 2 | 12 | 6 | | 7 | 2 | 0 | 0 | |

Table S-22. (September 30, 2007—Continued)

| | Circuits | | | | | | | | | | | | | | | itional ourts |
|---|----------|-----|----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|-----------------|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT ² |
| Appropriate Action Already Taken | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action No Longer Necessary Because of | | | | | | | | | | | | | | | | |
| Intervening Events | 6 | 0 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 |
| Complaint Withdrawn | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 |
| Subtotal | 404 | 0 | 18 | 18 | 13 | 24 | 60 | 61 | 27 | 42 | 21 | 35 | 15 | 67 | 3 | 0 |
| Action by Judicial Councils | | | | | | | | | | | | | | | | |
| Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judges only) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Temporary Suspension | | | | | | | | | | | | | | | | |
| of Case Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Publicly Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Dismissed the Complaint | 344 | 0 | 3 | 11 | 37 | 16 | 29 | 54 | 50 | 9 | 26 | 58 | 33 | 16 | 2 | 0 |
| Withdrawn | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 348 | 0 | 3 | 11 | 37 | 16 | 29 | 56 | 51 | 9 | 26 | 59 | 33 | 16 | 2 | 0 |
| Complaints Pending on September 30, 2007 | 330 | 0 | 15 | 13 | 0 | 55 | 0 | 35 | 43 | 1 | 17 | 96 | 3 | 52 | 0 | 0 |
| pecial Investigating Committees Appointed | 5 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |

NOTE: EXCLUDES COMPLAINTS NOT ACCEPTED BY THE CIRCUITS BECAUSE THEY DUPLICATED PREVIOUS FILINGS OR WERE OTHERWISE INVALID FILINGS. ¹ CC = U.S. COURT OF FEDERAL CLAIMS.

² CIT = U.S. COURT OF INTERNATIONAL TRADE. * REVISED.

** EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS AGAINST NUMEROUS JUDGES. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-22A.Report of Complaints Filed and Action Taken Under Authority of 28 U.S.C. 351-364During October 1, 2007, Through May 10, 2008

| | | Circuits | | | | | | | | | | | | | | ationa Courts |
|---|-------|----------|----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|------|-----------------|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT |
| | | | 1 | | | | | | | | 1 | | | | <u> </u> | |
| Complaints Pending on September 30, 2007* | 333 | 1 | 12 | 13 | 13 | 54 | 12 | 25 | 42 | 0 | 16 | 87 | 7 | 51 | 0 | 0 |
| Complaints Filed | 491 | 0 | 17 | 12 | 4 | 66 | 37 | 82 | 41 | 43 | 33 | 87 | 17 | 52 | 0 | 0 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complainant | 491 | 0 | 17 | 12 | 4 | 66 | 37 | 82 | 41 | 43 | 33 | 87 | 17 | 52 | 0 | 0 |
| On Order of Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Officials Complained About** | | | | | | | | | | | | | | | | |
| Judges | | | | | | | | | | | | | | | | |
| Circuit | 112 | 0 | 4 | 0 | 1 | 14 | 10 | 19 | 9 | 9 | 10 | 24 | 3 | 9 | 0 | 0 |
| District | 344 | 0 | 12 | 9 | 3 | 37 | 38 | 43 | 41 | 32 | 26 | 60 | 13 | 30 | 0 | 0 |
| National Court | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bankruptcy Judges | 24 | 0 | 0 | 1 | 0 | 2 | 4 | 3 | 1 | 1 | 5 | 1 | 6 | 0 | 0 | 0 |
| Magistrate Judges | 105 | 0 | 1 | 2 | 0 | 13 | 8 | 17 | 9 | 6 | 9 | 26 | 7 | 7 | 0 | 0 |
| Nature of Allegations** | | | | | | | | | | | | | | | | |
| Mental Disability | 16 | 0 | 0 | 3 | 1 | 6 | 1 | 2 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 |
| Physical Disability | 4 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Demeanor | 5 | 0 | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 |
| Abuse of Judicial Power | 242 | 1 | 11 | 16 | 1 | 2 | 31 | 19 | 6 | 7 | 44 | 59 | 0 | 45 | 0 | 0 |
| Prejudice/Bias | 232 | 1 | 2 | 13 | 5 | 27 | 8 | 49 | 18 | 9 | 25 | 30 | 13 | 32 | 0 | 0 |
| Conflict of Interest | 25 | 0 | 0 | 0 | 0 | 9 | 1 | 3 | 0 | 0 | 5 | 6 | 1 | 0 | 0 | 0 |
| Bribery/Corruption | 51 | 0 | 0 | 3 | 1 | 11 | 1 | 0 | 4 | 5 | 13 | 11 | 1 | 1 | 0 | 0 |
| Undue Decisional Delay | 45 | 0 | 1 | 3 | 3 | 8 | 6 | 0 | 1 | 2 | 6 | 7 | 4 | 4 | 0 | 0 |
| Incompetence/Neglect | 46 | 1 | 2 | 4 | 2 | 7 | 2 | 0 | 8 | 1 | 12 | 1 | 1 | 5 | 0 | 0 |
| Other | 225 | 1 | 0 | 1 | 14 | 56 | 9 | 86 | 1 | 30 | 1 | 8 | 18 | 0 | 0 | 0 |
| | | | | | | | | | | | | 0 | 0 | | | |
| Complaints Concluded | 552 | 1 | 14 | 21 | 17 | 60 | 49 | 93 | 33 | 43 | 46 | 69 | 24 | 82 | 0 | 0 |
| Action by Chief Judges | | | | | | | | | | | | | | | | |
| Complaint Dismissed | | | | | | | | | | | | | | | | |
| Not in Conformity With Statute | 13 | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 1 | 0 | 1 | 7 | 0 | 0 | 0 | 0 |
| Directly Related to Decision | | | | | | | | | | | | | | | | |
| or Procedural Ruling | 236 | 1 | 3 | 6 | 9 | 31 | 29 | 22 | 20 | 26 | 13 | 25 | 11 | 40 | 0 | 0 |
| Frivolous | 23 | 0 | 0 | 0 | 1 | 0 | 1 | 7 | 1 | 6 | 1 | 4 | 1 | 1 | 0 | 0 |

Table S-22A. (May 10, 2008—Continued)

| | | | | | | | Cii | rcuits | | | | | | | | tional ourts |
|--|-------|-----|----|-----|-----|-----|-----|--------|-----|-----|-----|-----|------|------|------|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC 1 | CIT ² |
| Lacked Factural Foundation | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 3 | 0 | 0 |
| Appropriate Action Already Taken | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 |
| Action No Longer Necessary Because of | | | | | | | | | | | | | | | | |
| Intervening Events | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 0 | 1 | 0 | 0 |
| Complaint Withdrawn | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 288 | 1 | 3 | 8 | 12 | 31 | 30 | 34 | 22 | 32 | 17 | 41 | 12 | 45 | 0 | 0 |
| Action by Judicial Councils | | | | | | | | | | | | | | | | |
| Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judges only) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Temporary Suspension | | | | | | | | | | | | | | | | |
| of Case Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Publicly Censured | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dismissed the Complaint | 263 | 0 | 11 | 13 | 6 | 29 | 19 | 58 | 10 | 10 | 29 | 33 | 12 | 33 | 0 | 0 |
| Withdrawn | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 264 | 0 | 11 | 13 | 6 | 29 | 19 | 59 | 10 | 10 | 29 | 33 | 12 | 33 | 0 | 0 |
| Complaints Pending on September 30, 2008 | 272 | 0 | 15 | 4 | 0 | 60 | 0 | 14 | 50 | 0 | 3 | 105 | 0 | 21 | 0 | 0 |
| Special Investigating Committees Appointed | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |

NOTE: EXCLUDES COMPLAINTS NOT ACCEPTED BY THE CIRCUITS BECAUSE THEY DUPLICATED PREVIOUS FILINGS OR WERE OTHERWISE INVALID FILINGS.

 1 CC = U.S. COURT OF FEDERAL CLAIMS.

² CIT = U.S. COURT OF INTERNATIONAL TRADE.

* REVISED.

** EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS AGAINST NUMEROUS JUDGES. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-22B.Report of Complaints Filed and Action Taken Under Authority of 28 U.S.C. 351-364During May 11, 2008, Through September 30, 2008

| | | | | | | | Cir | cuits | | | | | | | C | ationa Courts |
|--|-------|-----|----|-----|-----|-----|-----|-------|-----|-----|-----|-----|------|------|-----------------|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT ² |
| Complaints Filed | 672 | 10 | 20 | 26 | 71 | 44 | 52 | 52 | 35 | 67 | 24 | 144 | 58 | 69 | 0 | 0 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complainant | 670 | 10 | 20 | 26 | 71 | 43 | 52 | 52 | 35 | 67 | 24 | 143 | 58 | 69 | 0 | 0 |
| On Order of Chief Judge | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Complainants | | | | | | | | | | | | | | | | |
| Prison Inmates | 354 | 1 | 4 | 7 | 18 | 14 | 40 | 31 | 18 | 36 | 20 | 83 | 50 | 32 | 0 | 0 |
| Litigants | 303 | 9 | 14 | 17 | 51 | 29 | 12 | 21 | 12 | 32 | 5 | 56 | 8 | 37 | 0 | 0 |
| Attorneys | 7 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Public Officials | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 13 | 0 | 1 | 2 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 8 | 0 | 0 | 0 | 0 |
| Judges Complained About | | | | | | | | | | | | | | | | |
| Circuit Judges | 165 | 4 | 5 | 6 | 10 | 11 | 3 | 8 | 0 | 26 | 7 | 43 | 29 | 13 | 0 | 0 |
| District Judges | 382 | 5 | 14 | 17 | 50 | 25 | 35 | 25 | 24 | 35 | 14 | 77 | 27 | 34 | 0 | 0 |
| Court of International Trade Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Court of Federal Claims Judges | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Bankruptcy Judges | 16 | 0 | 0 | 0 | 3 | 2 | 0 | 3 | 2 | 1 | 0 | 2 | 0 | 3 | 0 | 0 |
| Magistrate Judges | 107 | 1 | 1 | 3 | 8 | 5 | 14 | 16 | 9 | 5 | 3 | 21 | 2 | 19 | 0 | 0 |
| Nature of Allegations * | | | | | | | | | | | | | | | | |
| Erroneous Decision | 338 | 4 | 13 | 19 | 26 | 29 | 11 | 39 | 19 | 6 | 13 | 113 | 2 | 44 | 0 | 0 |
| Delayed Decision | 104 | 1 | 1 | 6 | 6 | 12 | 11 | 4 | 8 | 1 | 3 | 44 | 1 | 6 | 0 | 0 |
| Failure to Give Reasons for Decision | 18 | 0 | 0 | 3 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 10 | 0 | 1 | 0 | 0 |
| Improper Discussions With Party or Counsel | 29 | 0 | 1 | 3 | 2 | 1 | 1 | 0 | 1 | 5 | 4 | 7 | 4 | 0 | 0 | 0 |
| Hostility Toward Litigant or Attorney | 69 | 0 | 1 | 6 | 2 | 8 | 4 | 4 | 4 | 0 | 10 | 28 | 0 | 2 | 0 | 0 |
| Racial, Religious, or Ethnic Bias | 93 | 0 | 4 | 2 | 6 | 2 | 6 | 4 | 2 | 0 | 6 | 30 | 28 | 3 | 0 | 0 |
| Personal Bias Against Litigant or Attorney | 116 | 1 | 5 | 9 | 11 | 4 | 8 | 14 | 13 | 1 | 12 | 22 | 3 | 13 | 0 | 0 |
| Conflict of Interest (Including Refusal to Recuse) | 46 | 0 | 3 | 5 | 5 | 2 | 3 | 10 | 2 | 2 | 4 | 9 | 0 | 1 | 0 | 0 |
| Failure to Meet Financial Disclosure Requirements | s 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Improper Outside Income | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Partisan Political Activity or Statement | 3 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Acceptance of Bribe | 21 | 0 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | 0 | 17 | 0 | 1 | 0 | 0 |
| Effort to Obtain Favor for Friend or Relative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Solicitation of Funds for Organization | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Violation of Other Standards | 55 | 1 | 1 | 5 | 1 | 1 | 1 | 0 | 9 | 1 | 14 | 20 | 0 | 1 | 0 | 0 |
| Other Misconduct | 262 | 4 | 1 | 9 | 39 | 7 | 24 | 14 | 1 | 60 | 5 | 28 | 57 | 13 | 0 | 0 |
| Disability | 30 | 0 | 0 | 2 | 10 | 1 | 2 | 4 | 1 | 0 | 2 | 2 | 5 | 1 | 0 | 0 |
| Actions Regarding the Complaints | | | | | | | | | | | | | | | | |
| Concluded by Complainant or Subject Judge | 4 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Complaint Withdrawn With Consent of Chief Judge | e 4 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Withdrawal of Petition for Review | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Table S-22B. | (September 30, | 2008—Continued) |
|--------------|----------------|-----------------|
| | | |

| | | | | | | | Cir | rcuits | | | | | | | | ational ourts |
|---|-------|-----|----|-----|-----|-----|-----|--------|-----|-----|-----|-----|------|------|---|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | |
| | 1 | 1 | 1 | 1 | 1 | | 1 | | -1 | | | | | | 1 | <u> </u> |
| ctions by Chief Judge | | | | | | | | | | | | | | | | |
| Matters returned from Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Dismissed in Whole or in Part | 199 | 6 | 13 | 2 | 26 | 0 | 37 | 16 | 0 | 28 | 10 | 0 | 32 | 29 | 0 | 0 |
| Not Misconduct or Disability | 23 | 0 | 0 | 2 | 1 | 0 | 11 | 0 | 0 | 5 | 3 | 0 | 1 | 0 | 0 | 0 |
| Merits Related | 167 | 0 | 10 | 2 | 14 | 0 | 34 | 15 | 0 | 25 | 10 | 0 | 30 | 27 | 0 | 0 |
| Frivolous | 39 | 0 | 0 | 2 | 4 | 0 | 4 | 4 | 0 | 9 | 8 | 0 | 1 | 7 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 56 | 0 | 5 | 0 | 9 | 0 | 7 | 0 | 0 | 0 | 4 | 0 | 30 | 1 | 0 | 0 |
| Allegations Incapable of Being Established | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Filed in Wrong Circuit | 6 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 4 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 0 | 0 |
| Complaint Concluded in Whole or in Part | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 |
| Informal Resolution Before Complaint Filed | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 |
| Voluntary Corrective Action Taken | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Intervening Events | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Referred to Special Committee | 2 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ctions by Special Committees | | | | | | | | | | | | | | | | |
| Matter Returned From Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New Matter Referred to Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| idicial Council Proceedings | | | | | | | | | | | | | | | | |
| Matter Returned from Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Transferred to/From Another Circuit Special Committee Reports Submitted to | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received Petition for Review | 22 | 0 | 0 | 1 | 8 | 0 | 3 | 0 | 0 | 0 | 8 | 0 | 0 | 2 | 0 | 0 |
| Action on Petition for Review Petition Denied | 77 | 0 | 8 | 2 | 8 | 0 | 22 | 0 | 0 | 6 | 8 | 0 | 21 | 2 | 0 | 0 |
| Matter Returned to Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Matter Returned to Chief Judge for | - | • | - | - | - | - | - | - | - | - | - | - | - | - | • | - |
| Appointment of Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received Special Committee Report | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| tion on Special Committee Report | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Dismissed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | ~ |
| Not Misconduct or Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Merits Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Corrective Action Taken or Intervening Events | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Remedial Action Taken | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table S-22B. (September 30, 2008—Continued)

| | | | | | | | Cir | cuits | | | | | | | | tional ourts |
|--|-------|-----|----|-----|-----|-----|-----|-------|-----|-----|-----|-----|------|------|-----------------|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT ² |
| | | | | | | | | | | | | | | | | |
| Censure or Reprimand | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Suspension of Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ction Against Magistrate Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Removal of Bankruptcy Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requesting of Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certifying Disability of Circuit or District Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Additional Investigation Warranted | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Returned to Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Retained by Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ctions by Chief Justice | | | | | | | | | | | | | | | | |
| Transferred to Judicial Council | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Received From Judicial Council | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| omplaints Pending on September 30, 2008 | 465 | 4 | 6 | 24 | 45 | 43 | 13 | 36 | 35 | 39 | 14 | 142 | 26 | 38 | 0 | 0 |

NOTE: EXCLUDES COMPLAINTS NOT ACCEPTED BY THE CIRCUITS BECAUSE THEY DUPLICATED PREVIOUS FILINGS OR WERE OTHERWISE INVALID FILINGS.

¹ CC = U.S. COURT OF FEDERAL CLAIMS.

² CIT = U.S. COURT OF INTERNATIONAL TRADE.

* EACH COMPLAINT MAY INVOLVE MULTIPLE ALLEGATIONS. NATURE OF ALLEGATIONS IS COUNTED WHEN A COMPLAINT IS CONCLUDED.

Table S-22A.Report of Action Taken Under Authority of 28 U.S.C. 351-364During the 12-Month Period Ending September 30, 2009, on Complaints Filed Before May 11, 2008

| | | | | | | | 0 | | | | | | | | | ationa |
|---|-------|------|-----------|-------|-------|------|-----|-------|-------|-----|-----|-----|------|------|---|--------|
| | Tatal | Fed | DO | 4 - 4 | Oreal | Oral | - | cuits | Cille | 746 | 046 | 046 | 1046 | 4446 | | Courts |
| Summary of Activity | Total | Fed. | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | CIT |
| Complaints Pending on September 30, 2008 ³ | 165 | 0 | 0 | 3 | 0 | 38 | 0 | 8 | 32 | 0 | 9 | 74 | 0 | 1 | 0 | 0 |
| Complaints Concluded | 150 | 0 | 0 | 3 | 0 | 37 | 0 | 8 | 24 | 0 | 9 | 68 | 0 | 1 | 0 | 0 |
| Action by Chief Judges Complaint Dismissed ⁴ | | | | | | | | | | | | | | | | |
| Not in Conformity With Statute Directly Related to Decision | 24 | 0 | 0 | 3 | 0 | 6 | 0 | 0 | 1 | 0 | 9 | 5 | 0 | 0 | 0 | 0 |
| or Procedural Ruling | 128 | 0 | 0 | 3 | 0 | 35 | 0 | 6 | 22 | 0 | 9 | 53 | 0 | 0 | 0 | 0 |
| Frivolous | 95 | 0 | 0 | 2 | 0 | 35 | 0 | 2 | 3 | 0 | 9 | 43 | 0 | 1 | 0 | 0 |
| Lacked Factual Foundation | 4 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Appropriate Action Already Taken Action No Longer Necessary Because of | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 |
| Intervening Events | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 |
| Complaint Withdrawn | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 258 | 0 | 0 | 9 | 0 | 77 | 0 | 8 | 27 | 0 | 27 | 109 | 0 | 1 | 0 | 0 |
| Action by Judicial Councils Directed Chief District Judge to | | | | | | | | | | | | | | | | |
| Take Action (Magistrate Judges Only) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certified Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requested Voluntary Retirement Ordered Temporary Suspension | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| of Case Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Privately Censured | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Publicly Censured | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ordered Other Appropriate Action | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dismissed Complaint | 85 | 0 | 0 | 3 | 0 | 28 | 0 | 7 | 16 | 0 | 8 | 23 | 0 | 0 | 0 | 0 |
| Withdrawn | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial | | | | | | | | | | | | | | | | |
| Conference | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 87 | 0 | 0 | 3 | 0 | 28 | 0 | 9 | 16 | 0 | 8 | 23 | 0 | 0 | 0 | 0 |
| Complaints Pending on September 30, 2009 | 15 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 8 | 0 | 0 | 6 | 0 | 0 | 0 | 0 |
| Special Investigating Committees Appointed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

NOTE: Excludes complaints not accepted by the circuits because they duplicated previous filings or were otherwise invalid filings.

¹ CC = U.S. Court of Federal Claims.

² CIT = U.S. Court of International Trade.

³ Revised.

⁴ Each complaint may involve multiple reasons for dismissal.

Table S-22B.Report of Action Taken Under Authority of 28 U.S.C. 351-364 Duringthe 12-Month Period Ending September 30, 2009, on Complaints Filed on or After May 11, 2008

| Complaints Principal Subsection Subsection <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th>Ci</th> <th>rcuits</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th>ational Courts</th> | | | | | | | | Ci | rcuits | | | | | | | | ational Courts |
|--|---|-------|------|----|-----|-----|-----|-----|--------|-----|-----|-----|-----|------|------|-----------------|-------------------|
| Complaints Field 1,543 14 48 81 94 149 138 164 170 111 61 304 73 136 0 Complaint Thy Complanant 1,540 14 48 81 94 149 138 164 170 110 61 304 73 136 0 Or Order of Chel Judges 3 0 0 0 0 0 0 1 0 0 11 10 61 304 73 136 0 Complainants 778 12 9 67 33 61 78 73 58 49 33 128 47 53 0 Litigaris 778 12 38 12 58 73 68 49 33 128 47 53 0 14 55 50 0 1 0 0 0 0 0 0 0 0 0 | Summary of Activity | Total | Fed. | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT ² |
| Undersonality of the py Complainant 1.540 14 44 149 138 164 170 110 61 304 71 136 0 0 Complainants * 9 67 33 61 78 73 58 49 33 128 27 30 0 Complainants * 9 51 64 113 66 32 128 27 30 0 Attorneys 34 0 1 1 36 2 16 0 1 0 | Complaints Pending on September 30, 2008 ³ | 498 | 5 | 2 | 22 | 62 | 42 | 22 | 22 | 35 | 36 | 19 | 143 | 52 | 36 | 0 | 0 |
| Write by Complainant 1.540 14 48 81 94 140 138 164 170 110 61 204 71 136 0 Com Order of Chel Judges 3 0 | Complaints Filed | 1,543 | 14 | 48 | 81 | 94 | 149 | 138 | 164 | 170 | 111 | 61 | 304 | 73 | 136 | 0 | 0 |
| On Order of Chief Judges 3 0 0 0 0 0 0 0 0 1 0 0 2 0 0 Complainants ' Prison Inmates 778 12 38 12 58 79 51 64 113 60 32 163 23 73 0 Attorneys 34 0 1 0 | Complaint Type | | | | | | | | | | | | | | | | |
| Prison Immates 691 2 9 67 33 61 78 73 58 49 33 128 47 53 0 Attorneys 34 0 1 1 3 6 2 16 0 1 0 4 0 | | | | | | | | | | | | | | | | | 0 0 |
| Lingants 778 12 38 12 58 79 51 64 113 60 32 163 23 73 0 Attorneys 34 0 | | | | | | | | | | | | | | | | | |
| Attorneys 34 0 1 1 3 6 2 16 0 1 0 4 0 0 0 Other 55 0 0 1 0 4 8 12 0 4 0 14 3 9 0 Judges Complained About | | | | | | | | | | | | | | | | | 0 |
| Public Ófficials 1 0 | | | | | | | | | | | | | | | | - | 0 |
| Other 55 0 0 1 0 4 8 12 0 4 0 14 3 9 0 Judges Complained About 0 0 0 1 0 9 14 14 57 21 34 0 | | | | | | | | | | | | • | | | | - | 0 |
| Judges Controllined About 0 0 0 Cincuit Judges 373 11 10 9 15 44 26 31 57 44 14 57 21 34 0 District Judges 869 1 34 52 62 77 86 94 79 59 37 170 43 75 0 Court of International Trade Judges 1 1 0 | | | | | | | | | | | | | | | - | | 0 |
| Chrout Judges 373 11 10 9 15 44 26 31 57 44 14 57 21 34 0 District Judges 0 | Other | 55 | 0 | 0 | 1 | 0 | 4 | 8 | 12 | 0 | | | 14 | 3 | 9 | 0 | 0 |
| District Judges 869 1 34 52 62 77 86 94 79 59 37 170 43 75 0 Court of International Trade Judges 1 1 0 </td <td>Judges Complained About</td> <td></td> | Judges Complained About | | | | | | | | | | | | | | | | |
| Court of International Trade Judges 0 | Circuit Judges | 373 | 11 | 10 | | 15 | 44 | 26 | 31 | 57 | 44 | | 57 | 21 | | 0 | 0 |
| Court of Faderal Claims Judges 1 1 0 < | District Judges | 869 | 1 | 34 | 52 | 62 | 77 | 86 | 94 | 79 | 59 | 37 | 170 | 43 | 75 | 0 | 0 |
| Barkruptoy Judges 35 0 1 1 2 3 1 3 5 3 0 9 3 4 0 Magistrate Judges 265 1 3 19 15 25 25 36 29 5 10 68 6 23 0 Vature of Allegations ⁵ Erroneous Decision 970 9 18 77 49 18 33 153 146 8 15 272 46 126 0 Pelayed Decision 195 0 6 4 11 26 29 18 45 18 0 29 5 4 0 Failure to Give Reasons for Decision 24 0 0 2 3 6 4 19 11 2 18 16 9 0 0 0 0 0 0 0 0 0 0 0 14 49 31 32 19 63 13 22 0 0 0 0 0 0 < | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bankruptcy Judges 35 0 1 1 2 3 1 3 5 3 0 9 3 4 0 Magistrate Judges 265 1 3 19 15 25 25 36 29 5 10 68 6 23 0 Vature of Allegations ⁵ Erroneous Decision 970 9 18 77 49 18 33 153 146 8 15 272 46 126 0 Pelayed Decision 195 0 6 4 11 26 29 18 45 18 0 29 5 4 0 Failure to Give Reasons for Decision 24 0 0 2 3 6 4 19 11 2 18 16 9 0 0 0 0 0 0 0 0 0 0 0 14 49 31 32 19 63 13 22 0 0 0 0 0 0 < | Court of Federal Claims Judges | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Nature of Allegations * Erroneous Decision 970 9 18 77 49 18 33 153 146 8 15 272 46 126 0 Delayed Decision 195 0 6 4 11 26 29 18 45 18 0 29 5 4 0 Failure to Give Reasons for Decision 24 0 0 2 3 7 1 2 3 0 4 1 1 0 0 Hostility Toward Litigant or Attorney 134 0 3 4 6 19 9 23 23 3 12 22 7 3 0 Personal Bias Against Lingant or Attorney 134 0 3 4 6 19 9 23 23 3 12 22 7 3 0 Personal Bias Against Lingant or Attorney 17 0 6 15 13 40 14 49 31 32 19 63 13 22 0 | | 35 | 0 | 1 | 1 | 2 | 3 | 1 | 3 | 5 | 3 | 0 | 9 | 3 | 4 | 0 | 0 |
| Erroneous Decision 970 9 18 77 49 18 33 153 146 8 15 272 46 126 0 Delayed Decision 195 0 6 4 11 26 29 18 45 18 0 29 5 4 0 Failure to Give Reasons for Decision 24 0 0 2 3 7 1 2 3 0 4 1 1 0 0 Hostility Toward Litigant or Attorney 134 0 3 4 6 19 9 23 3 12 22 7 3 0 Personal Bias Against Litigant or Attorney 317 0 6 15 13 40 14 49 31 32 19 63 13 22 0 Conflict of Interest (Including Refusal to Recuse) 129 0 2 3 17 19 7 22 18 1 5 27 7 1 0 Failure to Meet Financial Disclosure R | Magistrate Judges | 265 | 1 | 3 | 19 | 15 | 25 | 25 | 36 | 29 | 5 | 10 | 68 | 6 | 23 | 0 | 0 |
| Delayed Decision 195 0 6 4 11 26 29 18 45 18 0 29 5 4 0 Failure to Give Reasons for Decision 24 0 0 2 3 7 1 2 3 0 4 1 1 0 0 Improper Discussions With Party or Counsel 93 0 2 3 3 6 4 19 11 2 18 16 9 0 0 Hostility Toward Litigant or Attorney 134 0 3 4 6 19 9 23 23 3 12 22 7 3 0 Recial, Religious, or Ethnic Bias 93 0 1 2 15 7 7 2 4 16 2 7 7 1 0 Conflict of Interest (Including Refusal to Recuse) 129 0 2 3 17 19 7 22 <td< td=""><td>Nature of Allegations 5</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></td<> | Nature of Allegations 5 | | | | | | | | | | | | | | | | |
| Failure to Give Reasons for Decision 24 0 0 2 3 7 1 2 3 0 4 1 1 0 0 Improper Discussions With Party or Counsel 93 0 2 3 3 6 4 19 11 2 18 16 9 0 0 Hostility Toward Litigant or Attorney 134 0 3 4 6 19 9 23 23 3 12 22 7 3 0 Racial, Religious, or Ethnic Bias 93 0 1 2 15 7 23 7 7 2 4 16 2 7 0 Personal Bias Against Litigant or Attorney 317 0 6 15 13 40 14 49 31 32 19 63 13 22 0 | Erroneous Decision | 970 | 9 | 18 | 77 | 49 | 18 | 33 | 153 | 146 | 8 | 15 | 272 | 46 | 126 | 0 | 0 |
| Improper Discussions With Party or Counsel 93 0 2 3 3 6 4 19 11 2 18 16 9 0 0 Hostility Toward Litigant or Attorney 134 0 3 4 6 19 9 23 23 3 12 22 7 3 0 Racial, Religious, or Ethnic Bias 93 0 1 2 15 7 7 2 4 16 2 7 0 Personal Bias Against Litigant or Attorney 317 0 6 15 13 40 14 49 31 32 19 63 13 22 0 Conflict of Interest (Including Refusal to Recuse) 129 0 2 3 17 19 7 22 18 1 5 27 7 1 0 Failure to Meet Financial Disclosure Requirements 4 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | Delayed Decision | 195 | 0 | 6 | 4 | 11 | 26 | 29 | 18 | 45 | 18 | 0 | 29 | 5 | 4 | 0 | 0 |
| Hostility Toward Litigant or Attorney 134 0 3 4 6 19 9 23 23 3 12 22 7 3 0 Racial, Religious, or Ethnic Bias 93 0 1 2 15 7 23 7 7 2 4 16 2 7 0 Personal Bias Against Litigant or Attorney 317 0 6 15 13 40 14 49 31 32 19 63 13 22 0 Conflict of Interest (Including Refusal to Recuse) 129 0 2 3 17 19 7 22 18 1 5 27 7 1 0 Failure to Meet Financial Disclosure Requirements 4 0< | Failure to Give Reasons for Decision | 24 | 0 | 0 | 2 | 3 | 7 | 1 | 2 | 3 | 0 | 4 | 1 | 1 | 0 | 0 | 0 |
| Racial, Religious, or Ethnic Bias 93 0 1 2 15 7 23 7 7 2 4 16 2 7 0 Personal Bias Against Litigant or Attorney 317 0 6 15 13 40 14 49 31 32 19 63 13 22 0 Conflict of Interest (Including Refusal to Recuse) 129 0 2 3 17 19 7 22 18 1 5 27 7 1 0 Failure to Meet Financial Disclosure Requirements 4 0 | Improper Discussions With Party or Counsel | 93 | 0 | 2 | 3 | 3 | 6 | 4 | 19 | 11 | 2 | 18 | 16 | 9 | 0 | 0 | 0 |
| Personal Bias Against Litigant or Attorney 317 0 6 15 13 40 14 49 31 32 19 63 13 22 0 Conflict of Interest (Including Refusal to Recuse) 129 0 2 3 17 19 7 22 18 1 5 27 7 1 0 Failure to Meet Financial Disclosure Requirements 4 0 | | 134 | 0 | 3 | 4 | 6 | 19 | 9 | 23 | 23 | 3 | 12 | 22 | 7 | 3 | 0 | 0 |
| Conflict of Interest (Including Refusal to Recuse) 129 0 2 3 17 19 7 22 18 1 5 27 7 1 0 Failure to Meet Financial Disclosure Requirements 4 0 <td>Racial, Religious, or Ethnic Bias</td> <td>93</td> <td>0</td> <td>1</td> <td>2</td> <td>15</td> <td></td> <td>23</td> <td>7</td> <td>7</td> <td>2</td> <td>4</td> <td>16</td> <td></td> <td></td> <td>0</td> <td>0</td> | Racial, Religious, or Ethnic Bias | 93 | 0 | 1 | 2 | 15 | | 23 | 7 | 7 | 2 | 4 | 16 | | | 0 | 0 |
| Failure to Meet Financial Disclosure Requirements 4 0 | | | | | | | | | | 31 | 32 | | | | | 0 | 0 |
| Improper Outside Income 0 <td></td> <td></td> <td>-</td> <td></td> <td>•</td> <td>•</td> <td>0</td> | | | - | | | | | | | | | | | | • | • | 0 |
| Partisan Political Activity or Statement 6 0 0 1 1 0 0 0 0 4 0 0 Acceptance of Bribe 18 0 1 1 4 1 2 3 3 1 1 0 | | | | - | | | | - | | | - | | | | - | • | 0 |
| Acceptance of Bribe 18 0 1 1 1 4 1 2 3 3 1 1 0 0 0 Effort to Obtain Favor for Friend or Relative 13 0 1 1 2 1 5 0 0 0 2 0 0 1 0 Solicitation of Funds for Organization 0 | | | | | | | | | | | | | | | | • | 0 |
| Effort to Obtain Favor for Friend or Relative 13 0 1 1 2 1 5 0 0 0 2 0 0 1 0 Solicitation of Funds for Organization 0 | | | | - | | | • | | - | • | | - | - | | | • | 0 |
| Solicitation of Funds for Organization 0 | | | | | | • | | | | - | | • | | - | - | - | 0 |
| Violation of Other Standards 115 3 0 5 26 13 9 6 2 2 30 16 1 2 0 Other Misconduct 507 5 27 65 34 96 39 38 32 74 12 35 48 2 0 Disability 38 0 0 0 1 5 0 11 0 2 3 7 5 4 0 Actions Regarding the Complaints Concluded by Complainant or Subject Judge 9 0 0 1 3 3 0 0 0 1 0 2 3 7 5 4 0 Actions Regarding the Complaints | | | | • | | | - | | | | | | | | - | | 0 |
| Other Misconduct 507 5 27 65 34 96 39 38 32 74 12 35 48 2 0 Disability 38 0 0 0 1 5 0 11 0 2 3 7 5 4 0 Actions Regarding the Complaints Concluded by Complainant or Subject Judge 9 0 0 1 3 3 0 0 0 1 0 2 3 7 5 4 0 Actions Regarding the Complaints 5 0 1 3 3 0 0 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 | | | | - | | | | | - | | | | | | | - | 0 |
| Disability 38 0 0 1 5 0 11 0 2 3 7 5 4 0 Actions Regarding the Complaints | | | | | | | | | | | | | | | | - | 0 |
| Concluded by Complainant or Subject Judge 9 0 0 1 3 3 0 0 0 1 0 1 0 Complaint Withdrawn with Consent of Chief Judge 9 0 0 1 3 3 0 0 0 1 0 1 0 | | | | | | | | | | | | | | | | | 0 0 |
| Concluded by Complainant or Subject Judge 9 0 0 1 3 3 0 0 0 1 0 1 0 Complaint Withdrawn with Consent of Chief Judge 9 0 0 1 3 3 0 0 0 1 0 1 0 | Actions Descriptions the Completions | | | | | | | | | | | | | | | | |
| Complaint Withdrawn with Consent of Chief Judge 9 0 0 1 3 3 0 0 0 1 0 1 0 | | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | ~ | 0 | ~ | 4 | ~ | 4 | 0 | ~ |
| | | | | | | • | | | | | | - | | | • | - | 0 |
| | Withdrawal of Petition for Review | 9 | 0 | 0 | 0 | 1 | 3 | 3 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 0 |

Table S-22B. (September 30, 2009—Continued)

| | | | | | | | | | | | | | | | | tional |
|---|------------|--------|--------|--------|--------|---------|--------|--------|--------|--------|--------|---------|----------|---------|--------|------------------|
| | | | | | | | | rcuits | | | | | | | - | ourts |
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC 1 | CIT ² |
| ctions by Chief Judge | | | | | | | | | | | | | | | | |
| Matters returned from Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Dismissed in Whole or in Part ⁶ | 1.163 | 12 | 48 | 81 | 84 | 79 | 130 | 115 | 67 | 113 | 52 | 172 | 78 | 132 | 0 | 0 |
| Not Misconduct or Disability | 146 | 0 | 0 | 71 | 2 | 23 | 13 | 3 | 5 | 5 | 5 | 13 | 4 | 2 | 0 | 0 |
| Merits Related | 1,022 | 8 | 22 | 80 | 82 | 77 | 116 | 107 | 61 | 89 | 45 | 144 | 74 | 117 | 0 | 0 |
| Frivolous | 283 | 0 | 0 | 19 | 0 | 55 | 8 | 63 | 1 | 28 | 29 | 66 | 0 | 14 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 203 509 | 2 | 37 | 19 | 58 | 61 | 79 | 17 | 13 | 20 | 30 | 119 | 46 | 37 | 0 | 0 |
| 5 | 509 | 2 | 0 | | | | | 0 | 0 | 9 | 0 | 0 | | 37 1 | | 0 |
| Allegations Incapable of Being Established | • | - | - | 0 | 0 | 0 | 0 | - | - | - | - | - | 0 | | 0 | - |
| Filed in Wrong Circuit | 7 | 6 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 95 | 1 | 2 | 0 | 1 | 2 | 6 | 0 | 0 | 2 | 9 | 70 | 0 | 2 | 0 | 0 |
| Complaint Concluded in Whole or in Part | 11 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 8 | 0 | 0 | 0 |
| Informal Resolution Before Complaint Filed | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Voluntary Corrective Action Taken | 6 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 4 | 0 | 0 | 0 |
| Intervening Events | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 |
| Complaint Referred to Special Committee | 6 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 |
| ctions by Special Committees | | | | | | | | | | | | | | | | |
| Matter Returned From Judicial Council | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New Matter Referred to Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New Matter Releffed to Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| udicial Council Proceedings | | | | | | | | | | | | | | | 0 | 0 |
| Matter returned from Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Transferred to/From Another Circuit Special Committee Reports Submitted to | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received Petition for Review | 479 | 1 | 8 | 12 | 44 | 36 | 58 | 53 | 42 | 53 | 31 | 39 | 58 | 44 | 0 | 0 |
| Action on Petition for Review Petition Denied | 475 | 1 | 8 | 12 | 44 | 34 | 65 | 56 | 42 | 57 | 34 | 39 | 58 54 | 51 | 0 | 0 |
| Matter Returned to Chief Judge | 405 | 0 | 0 | 0 | 41 | 34 0 | 0 | 0 | 0 | 0 | 0 | 39 0 | 54 0 | 0 | 0 | 0 |
| 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Matter Returned to Chief Judge for | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Appointment of Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Received Special Committee Report | 0 1 | 0 0 | 0 0 | 0 0 | 0 0 | 0 1 | 0 0 | 0 0 | 0 0 | 0 0 | 0 0 | 0 0 | 0 0 | 0 0 | 0 0 | 0 0 |
| ction on Special Committee Report | | | | | | | | | | | | | | | | |
| | | 0 | 0 | ~ | ~ | 4 | ~ | 0 | ~ | 0 | ~ | ~ | ~ | 0 | ~ | ~ |
| Complaint Dismissed | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Not Misconduct or Disability | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Merits Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Corrective Action Taken or Intervening Events | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Remedial Action Taken | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table S-22B. (September 30, 2009—Continued)

| | | | | | | | Cii | rcuits | | | | | | | | itional ourts |
|--|-------|-----|----|-----|-----|-----|-----|--------|-----|-----|-----|-----|------|------|-----------------|------------------|
| Summary of Activity | Total | Fed | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT ² |
| Censure or Reprimand | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Suspension of Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action Against Magistrate Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Removal of Bankruptcy Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requesting of Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certifying Disability of Circuit or District Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Additional Investigation Warranted | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Returned to Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Retained by Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ctions by Chief Justice | | | | | | | | | | | | | | | | |
| Transferred to Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received From Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| omplaints Terminated as Final Between | | | | | | | | | | | | | | | | |
| October 1, 2008, and September 30, 2009 | 1,130 | 12 | 35 | 90 | 81 | 71 | 126 | 103 | 30 | 136 | 60 | 146 | 96 | 144 | 0 | 0 |
| mplaints Pending on September 30, 2009 | 911 | 7 | 15 | 13 | 75 | 120 | 34 | 83 | 175 | 11 | 20 | 301 | 29 | 28 | 0 | 0 |

NOTE: Excludes complaints not accepted by the circuits because they duplicated previous filings or were otherwise invalid filings.

¹ CC = U.S. Court of Federal Claims.

² CIT = U.S. Court of International Trade.

³ Revised.

⁴ Number of complainants may not equal total number of filings because each complaint may have multiple complainants.

⁵ Each complaint may involve multiple allegations.

⁶ Each complaint may have multiple reasons for dismissal.

Table S-22.Report of Action Taken Under Authority of 28 U.S.C. 351-364 Duringthe 12-Month Period Ending September 30, 2010, on Complaints Filed on or After May 11, 2008

| | | | | | | | Ci | rcuits | | | | | | | | onal urts |
|---|-------|---------|----|-----|-----|-----|-----|--------|-----|-----|-----|-----|------|------|-----------------|------------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT ² |
| Complaints Pending on September 30, 2009 ³ | 854 | 7 | 5 | 13 | 64 | 117 | 36 | 65 | 175 | 10 | 20 | 291 | 18 | 33 | 0 | 0 |
| Complaints Filed | 1,448 | 5 | 93 | 30 | 96 | 123 | 153 | 231 | 137 | 110 | 48 | 199 | 62 | 161 | 0 | 0 |
| Complaint Type | | | | | | | | | | | | | | | | |
| Written by Complainant | 1,447 | 5 | 93 | 30 | 96 | 123 | 153 | 231 | 137 | 110 | 48 | 199 | 61 | 161 | 0 | 0 |
| On Order of Chief Judges | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Complainants ⁴ | | | | | | | | | | | | | | | | |
| Prison Inmates | 619 | 1 | 25 | 4 | 44 | 36 | 71 | 114 | 70 | 19 | 25 | 95 | 26 | 89 | 0 | 0 |
| Litigants | 750 | 4 | 66 | 20 | 37 | 83 | 76 | 96 | 64 | 85 | 20 | 96 | 33 | 70 | 0 | 0 |
| Attorneys | 19 | 0 | 1 | 1 | 4 | 2 | 1 | 1 | 2 | 5 | 0 | 2 | 0 | 0 | 0 | 0 |
| Public Officials | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 29 | 0 | 1 | 0 | 3 | 1 | 2 | 15 | 0 | 0 | 2 | 1 | 2 | 2 | 0 | 0 |
| Judges Complained About | | | | | | | | | | | | | | | | |
| Circuit Judges | 298 | 3 | 43 | 6 | 11 | 25 | 19 | 54 | 16 | 30 | 7 | 41 | 10 | 33 | 0 | 0 |
| District Judges | 812 | 1 | 48 | 18 | 68 | 74 | 93 | 116 | 86 | 54 | 23 | 105 | 34 | 92 | 0 | 0 |
| Court of International Trade Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Court of Federal Claims Judges | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bankruptcy Judges | 44 | 0 | 1 | 5 | 1 | 4 | 3 | 4 | 1 | 11 | 1 | 9 | 1 | 3 | 0 | 0 |
| Magistrate Judges | 293 | 0 | 1 | 1 | 16 | 20 | 38 | 57 | 34 | 15 | 17 | 44 | 17 | 33 | 0 | 0 |
| Nature of Allegations ⁵ | | | | | | | | | | | | | | | | |
| Erroneous Decision | 872 | 5 | 57 | 23 | 18 | 17 | 22 | 216 | 118 | 1 | 28 | 188 | 50 | 129 | 0 | 0 |
| Delayed Decision | 137 | 0 | 5 | 4 | 13 | 20 | 17 | 21 | 19 | 0 | 0 | 10 | 10 | 18 | 0 | 0 |
| Failure to Give Reasons for Decision | 14 | 0 | 0 | 0 | 0 | 0 | 5 | 4 | 0 | 0 | 1 | 3 | 0 | 1 | 0 | 0 |
| Improper Discussions With Party or Counsel | 116 | 0 | 11 | 2 | 8 | 9 | 12 | 22 | 6 | 2 | 7 | 20 | 6 | 11 | 0 | 0 |
| Hostility Toward Litigant or Attorney | 114 | 0 | 11 | 6 | 7 | 12 | 17 | 20 | 12 | 2 | 6 | 9 | 6 | 6 | 0 | 0 |
| Racial, Religious, or Ethnic Bias | 83 | 0 | 1 | 0 | 10 | 2 | 10 | 17 | 8 | 2 | 5 | 21 | 3 | 4 | 0 | 0 |
| Personal Bias Against Litigant or Attorney | 281 | 0 | 8 | 14 | 15 | 23 | 21 | 57 | 33 | 2 | 8 | 57 | 20 | 23 | 0 | 0 |
| Conflict of Interest (Incl. Refusal to Recuse) | 129 | 0 | 1 | 3 | 7 | 15 | 16 | 25 | 16 | 2 | 5 | 16 | 4 | 19 | 0 | 0 |
| Failure to Meet Financial Disclosure Requirements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Improper Outside Income | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |
| Partisan Political Activity or Statement | 8 | 0 | 0 | 0 | 1 | 2 | 2 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |
| Acceptance of Bribe | 21 | 0 | 2 | 0 | 0 | 5 | 2 | 2 | 7 | 1 | 0 | 1 | 0 | 1 | 0 | 0 |
| Effort to Obtain Favor for Friend or Relative | 17 | 0 | 8 | 0 | 1 | 1 | 4 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | 0 |
| Solicitation of Funds for Organization | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Violation of Other Standards | 94 | 0 | 0 | 12 | 7 | 5 | 3 | 3 | 1 | 5 | 27 | 1 | 1 | 29 | 0 | 0 |
| Other Misconduct | 465 | 0 | 43 | 15 | 72 | 101 | 63 | 18 | 14 | 105 | 1 | 10 | 23 | 0 | 0 | 0 |
| Disability | 18 | 0 | 0 | 1 | 4 | 3 | 0 | 3 | 1 | 1 | 0 | 4 | 0 | 1 | 0 | 0 |

Table S-22. (September 30, 2010—Continued)

| | | | | | | | | | | | | | | | | onal |
|--|-------|---------|----|-----|-----|-----|-----|---------|-----|-----|-----|--------|--------|------|------------------------|------------------|
| | | | | 1 | 1 | | - | ircuits | 1 | | | | | | | urts |
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT ² |
| Actions Regarding Complaints | | | | | | | | | | | | | | | | |
| Concluded by Complainant or Subject Judge | 12 | 0 | 0 | 0 | 0 | 0 | 1 | 3 | 0 | 0 | 2 | 2 | 0 | 4 | 0 | 0 |
| Complaint Withdrawn with Consent of Chief Judge | 12 | 0 | 0 | 0 | 0 | 0 | 1 | 3 | 0 | 0 | 2 | 2 | 0 | 4 | 0 | 0 |
| Withdrawal of Petition for Review | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Chief Judges | | | | | | | | | | | | | | | | |
| Matters returned from Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Dismissed in Whole or in Part ⁶ | 1,277 | 11 | 67 | 28 | 87 | 110 | 142 | 217 | 15 | 100 | 61 | 283 | 51 | 105 | 0 | 0 |
| Not Misconduct or Disability | 150 | 0 | 3 | 24 | 3 | 11 | 0 | 31 | 1 | 4 | 0 | 60 | 4 | 9 | 0 | 0 |
| Merits-Related | 1,066 | 9 | 45 | 22 | 73 | 84 | 127 | 199 | 14 | 94 | 49 | 215 | 43 | 92 | 0 | 0 |
| Frivolous | 358 | 0 | 0 | 23 | 1 | 71 | 18 | 98 | 1 | 35 | 22 | 87 | 0 | 2 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 669 | 0 | 42 | 6 | 64 | 63 | 140 | 47 | 0 | 2 | 33 | 191 | 43 | 38 | 0 | 0 |
| Allegations Incapable of Being Established | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 |
| Filed in Wrong Circuit | 24 | 2 | 0 | 0 | 0 | 22 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 259 | 0 | 2 | 0 | 0 | 23 | 0 | 0 | 0 | 0 | 30 | 203 | 0 | 1 | 0 | 0 |
| Complaint Concluded in Whole or in Part | 15 | 0 | 6 | 0 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 0 | 0 |
| Informal Resolution Before Complaint Filed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Voluntary Corrective Action Taken | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Intervening Events | 14 | 0 | 6 | 0 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 |
| Complaint Referred to Special Committee | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 |
| Actions by Special Committees | | | | | | | | | | | | | | | | |
| Matter Returned From Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New Matter Referred to Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Judicial Council Proceedings | | | | | | | | | | | | | | | | |
| Matter returned from Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Transferred to/From Another Circuit | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Committee Reports Submitted to | | | | | | | | | | | | | | | | |
| Judicial Council | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| Received Petition for Review | 513 | 10 | 17 | 6 | 43 | 49 | 74 | 107 | 7 | 54 | 23 | 81 | 13 | 29 | 0 | 0 |
| Action on Petition for Review | | - | | - | - | | | | | | | | - | - | - | - |
| Petition Denied | 555 | 10 | 18 | 9 | 46 | 49 | 70 | 100 | 35 | 58 | 25 | 81 | 19 | 35 | 0 | 0 |
| Matter Returned to Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Matter Returned to Chief Judge for | č | 5 | 2 | č | 2 | č | | ÷ | 5 | Ũ | č | č | 0 | 2 | 2 | 5 |
| Appointment of Special Committee | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 0 | 0 | Ő | 0 | Ő | 0 | 0 | 0 | 0 | 0 | 0 | 0 0 | 0 0 | Ő | 0 | 0 |

Table S-22. (September 30, 2010—Continued)

| | | | | | | | Ciı | cuits | | | | | | | Natio | |
|--|-------|---------|----|-----|-----|-----|-----|-------|-----|-----|-----|-----|------|------|-----------------|------------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT ² |
| | | | | | | | | | | | | | | | | |
| Received Special Committee Report | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| Action on Special Committee Report | | | | | | | | | | | | | | | | |
| Complaint Dismissed | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| Not Misconduct or Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Merits Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| Otherwise Not Appropriate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Corrective Action Taken or Intervening Events | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Remedial Action Taken | | | | | | | | | | | | | | | | |
| Censure or Reprimand | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Suspension of Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action Against Magistrate Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Removal of Bankruptcy Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requesting of Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certifying Disability of Circuit or District Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Additional Investigation Warranted | | | | | | | | | | | | | | | | |
| Returned to Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Retained by Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Chief Justice | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Transferred to Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received From Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaints Terminated as Final During the | | | | | | | | | | | | | | | | |
| 12-Month Period Ending September 30, 2010 | 1,159 | 12 | 75 | 33 | 79 | 68 | 71 | 200 | 43 | 105 | 65 | 250 | 50 | 108 | 0 | 0 |
| Complaints Pending on September 30, 2010 | 1,143 | 0 | 23 | 10 | 81 | 172 | 118 | 96 | 269 | 15 | 3 | 240 | 30 | 86 | 0 | 0 |

NOTE: Excludes complaints not accepted by the circuits because they duplicated previous filings or were otherwise invalid filings.

¹ CC = U.S. Court of Federal Claims.

² CIT = U.S. Court of International Trade.

³ Revised.

⁴ Number of complainants may not equal total number of filings because each complaint may have multiple complainants.

⁵ Each complaint may involve multiple allegations.

⁶ Each complaint may have multiple reasons for dismissal.

Table S-22Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. § 351-364During the 12-Month Period Ending September 30, 2011

| | | <u> </u> | | | | Circ | uits | | | | | | | | | onal urts |
|---|-------|----------|----|-----|-----|------|------|-----|-----|-----|-----|-----|------|------|-----------------|--------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT |
| Complaints Pending on September 30, 2010 ³ | 962 | 0 | 21 | 9 | 54 | 156 | 25 | 97 | 268 | 10 | 4 | 214 | 26 | 78 | 0 | 0 |
| Complaints Filed | 1,404 | 1 | 56 | 45 | 96 | 172 | 110 | 183 | 101 | 71 | 115 | 200 | 64 | 190 | 0 | 0 |
| Sources of Complaints | | | | | | | | | | | | | | | | |
| Filed by Complainants | 1,404 | 1 | 56 | 45 | 96 | 172 | 110 | 183 | 101 | 71 | 115 | 200 | 64 | 190 | 0 | 0 |
| Identified by Circuit Chief Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complainants ⁴ | | | | | | | | | | | | | | | | |
| Prison Inmates | 593 | 0 | 4 | 16 | 27 | 65 | 58 | 93 | 55 | 20 | 72 | 39 | 37 | 107 | 0 | 0 |
| Litigants | 821 | 1 | 51 | 26 | 74 | 144 | 60 | 78 | 47 | 50 | 40 | 151 | 19 | 80 | 0 | 0 |
| Attorneys | 22 | 0 | 1 | 3 | 6 | 1 | 0 | 0 | 0 | 1 | 2 | 4 | 1 | 3 | 0 | 0 |
| Public Officials | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 54 | 0 | 0 | 10 | 1 | 0 | 3 | 17 | 2 | 0 | 2 | 10 | 7 | 2 | 0 | 0 |
| Judges Complained About | | | | | | | | | | | | | | | | |
| Circuit Judges | 330 | 1 | 22 | 10 | 11 | 74 | 19 | 27 | 15 | 15 | 23 | 72 | 12 | 29 | 0 | 0 |
| District Judges | 754 | 0 | 32 | 19 | 56 | 72 | 66 | 101 | 68 | 45 | 68 | 86 | 35 | 106 | 0 | 0 |
| Court of International Trade Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Court of Federal Claims Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bankruptcy Judges | 57 | 0 | 1 | 7 | 8 | 4 | 8 | 3 | 2 | 6 | 2 | 9 | 2 | 5 | 0 | 0 |
| Magistrate Judges | 263 | 0 | 1 | 9 | 21 | 22 | 17 | 52 | 16 | 5 | 22 | 33 | 15 | 50 | 0 | 0 |
| Nature of Allegations ⁵ | | | | | | | | | | | | | | | | |
| Erroneous Decision | 773 | 1 | 24 | 36 | 10 | 3 | 35 | 171 | 81 | 1 | 43 | 173 | 57 | 138 | 0 | 0 |
| Delayed Decision | 138 | 0 | 0 | 5 | 10 | 20 | 16 | 22 | 24 | 2 | 5 | 17 | 1 | 16 | 0 | 0 |
| Failure to Give Reasons for Decision | 18 | 0 | 0 | 1 | 2 | 1 | 3 | 1 | 0 | 0 | 8 | 1 | 0 | 1 | 0 | 0 |
| Improper Discussions with Party or Counsel | 86 | 0 | 1 | 5 | 9 | 3 | 5 | 7 | 7 | 0 | 18 | 14 | 6 | 11 | 0 | 0 |
| Hostility Toward Litigant or Attorney | 123 | 0 | 4 | 7 | 12 | 6 | 17 | 7 | 12 | 4 | 17 | 13 | 7 | 17 | 0 | 0 |
| Racial, Religious, or Ethnic Bias | 121 | 0 | 2 | 0 | 17 | 5 | 9 | 16 | 16 | 0 | 14 | 18 | 9 | 15 | 0 | 0 |
| Personal Bias Against Litigant or Attorney | 255 | 0 | 4 | 15 | 17 | 26 | 9 | 49 | 20 | 7 | 16 | 32 | 21 | 39 | 0 | 0 |
| Conflict of Interest (Including Refusal to Recuse) | 136 | 0 | 1 | 4 | 2 | 19 | 11 | 14 | 19 | 2 | 15 | 26 | 5 | 18 | 0 | 0 |
| Failure to Meet Financial Disclosure Requirements | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 |

Table S-22. (September 30, 2011—Continued)

| | | | | | Circ | uite | | | | | | | | | | ional urts |
|---|-------|---------|----|-----|------|------|-----|-----|-----|-----|-----|-------------------------|------|------|---|---------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | | |
| Nature of Allegations (Continued) | | | | | | | | | | | | | | | | |
| Improper Outside Income | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Partisan Political Activity or Statement | 36 | 0 | 0 | 1 | 0 | 32 | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Acceptance of Bribe | 11 | 0 | 0 | 0 | 0 | 0 | 3 | 1 | 3 | 0 | 1 | 0 | 0 | 3 | 0 | 0 |
| Effort to Obtain Favor for Friend or Relative | 22 | 0 | 1 | 1 | 0 | 1 | 15 | 1 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 0 |
| Solicitation of Funds for Organization | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Violation of Other Standards | 251 | 0 | 0 | 7 | 14 | 1 | 12 | 1 | 1 | 1 | 74 | 3 | 2 | 135 | 0 | 0 |
| Other Misconduct | 473 | 0 | 36 | 13 | 60 | 153 | 37 | 27 | 19 | 66 | 3 | 31 | 28 | 0 | 0 | 0 |
| Disability | 40 | 0 | 0 | 2 | 8 | 1 | 1 | 2 | 1 | 0 | 1 | 11 | 7 | 6 | 0 | 0 |
| Actions Regarding Complaints | | | | | | | | | | | | | | | | |
| Terminated by Complainant or Subject Judge | 6 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 3 | 0 | 0 | 0 |
| Complaint Withdrawn with Consent | | | | | | | | | | | | | | | | |
| of Circuit Chief Judge | 6 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 3 | 0 | 0 | 0 |
| Withdrawal of Petition for Review | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Circuit Chief Judge | | | | | | | | | | | | | | | | |
| Matters Returned from Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Dismissed in Whole or in Part 6 | 1,540 | 1 | 75 | 28 | 88 | 217 | 123 | 213 | 9 | 73 | 101 | 307 ⁷ | 75 | 230 | 0 | 0 |
| Not Misconduct or Disability | 95 | 0 | 0 | 13 | 12 | 3 | 6 | 25 | 0 | 3 | 0 | 21 | 3 | 9 | 0 | 0 |
| Merits Related | 1,325 | 1 | 46 | 26 | 67 | 208 | 113 | 199 | 9 | 68 | 91 | 232 | 68 | 197 | 0 | 0 |
| Frivolous | 415 | 0 | 1 | 22 | 5 | 206 | 9 | 60 | 0 | 2 | 80 | 29 | 0 | 1 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 938 | 0 | 47 | 9 | 52 | 207 | 79 | 37 | 5 | 1 | 36 | 214 | 61 | 190 | 0 | 0 |
| Allegations Incapable of Being Established | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Filed in Wrong Circuit | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 167 | 0 | 2 | 0 | 0 | 15 | 0 | 0 | 0 | 4 | 3 | 143 | 0 | 0 | 0 | 0 |
| Complaint Concluded in Whole or in Part | 24 | 0 | 0 | 0 | 8 | 5 | 1 | 0 | 0 | 0 | 0 | 0 | 2 | 8 | 0 | 0 |
| Informal Resolution Before Complaint Filed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Voluntary Corrective Action Taken | 3 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 |
| Intervening Events | 21 | 0 | 0 | 0 | 7 | 5 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 7 | 0 | 0 |
| Complaint Referred to Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table S-22. (September 30, 2011—Continued)

| | | | | | Circ | uits | | | | | | | | | | ional urts |
|--|-------|---------|----|-----|------|------|-----|-----|-----|-----|-----|-----|------|------|-----------------|---------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | |
| Actions by Special Committees | | | | | | | | | | | | | | | | |
| Matter Returned from Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New Matter Referred to Circuit Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Judicial Council Proceedings | | | | | | | | | | | | | | | | |
| Matter returned from Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Transferred to/from Another Circuit | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Committee Reports Submitted to | | | | | | | | | | | | | | | | |
| Judicial Council | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Received Petition for Review | 590 | 0 | 36 | 15 | 35 | 52 | 43 | 111 | 5 | 45 | 34 | 105 | 43 | 66 | 0 | 0 |
| Action on Petition for Review | | | | | | | | | | | | | | | | |
| Petition Denied | 644 | 0 | 37 | 16 | 37 | 62 | 40 | 117 | 12 | 39 | 37 | 136 | 45 | 66 | 0 | 0 |
| Matter Returned to Circuit Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Matter Returned to Circuit Chief Judge for | | | | | | | | | | | | | | | | |
| Appointment of Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received Special Committee Report | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Action on Special Committee Report | | | | | | | | | | | | | | | | |
| Complaint Dismissed | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Not Misconduct or Disability | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Merits Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Corrective Action Taken or Intervening Events | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Remedial Action Taken | | | | | | | | | | | | | | | | |
| Censure or Reprimand | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Suspension of Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action Against Magistrate Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Removal of Bankruptcy Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requesting of Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certifying Disability of Circuit or District Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table S-22. (September 30, 2011—Continued)

| | | | | | Circ | uits | | | | | | | | | | onal urts |
|--|-------|---------|----|-----|------|------|-----|-----|-----|-----|-----|-----|------|------|-----------------|------------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC ¹ | CIT ² |
| Additional Investigation Warranted | | | | | | | | | | | | | | | | |
| Returned to Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Retained by Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Chief Justice | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Transferred to Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received From Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaints Terminated by Final Action During 12-Month Period Ending | | | | | | | | | | | | | | | | |
| September 30, 2011 | 1,454 | 0 | 73 | 33 | 101 | 82 | 82 | 234 | 16 | 77 | 105 | 333 | 83 | 235 | 0 | 0 |
| Complaints Pending on September 30, 2011 | 912 | 1 | 4 | 21 | 49 | 246 | 53 | 46 | 353 | 4 | 14 | 81 | 7 | 33 | 0 | 0 |

NOTE: Excludes complaints not accepted by the circuits because they duplicated previous filings or were otherwise invalid filings.

¹ CC = U.S. Court of Federal Claims.

² CIT = U.S. Court of International Trade.

³ Revised.

⁴ Number of complainants may not equal total number of filings because each complaint may have multiple complainants.

⁵ Each complaint may involve multiple allegations.

⁶ Each complaint may have multiple reasons for dismissal.

⁷ One complaint in the 9th Circuit was initially dismissed by a judicial council order.

Table S-22Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. § 351-364During the 12-Month Period Ending September 30, 2012

| | | | | | | Circ | uits | | | | | | | | Natio Cou | |
|---|-------|---------|----|-----|-----|------|------|-----|-----|-----|-----|-----|------|------|--------------|-------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIT |
| Complaints Pending on September 30, 2011 ² | 724 | 0 | 7 | 27 | 52 | 85 | 21 | 45 | 354 | 9 | 14 | 77 | 8 | 25 | 0 | 0 |
| Complaints Filed | 1,364 | 2 | 43 | 38 | 76 | 95 | 181 | 185 | 130 | 93 | 102 | 150 | 33 | 236 | 0 | 0 |
| Sources of Complaints | | | | | | | | | | | | | | | | |
| Filed by Complainant | 1,362 | 2 | 43 | 38 | 75 | 95 | 181 | 185 | 130 | 93 | 102 | 149 | 33 | 236 | 0 | 0 |
| Identified by Circuit Chief Judges | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Complainants ³ | | | | | | | | | | | | | | | | |
| Prison Inmates | 648 | 1 | 1 | 7 | 20 | 29 | 85 | 103 | 70 | 31 | 78 | 51 | 13 | 159 | 0 | 0 |
| Litigants | 644 | 1 | 42 | 28 | 53 | 58 | 73 | 66 | 56 | 55 | 37 | 80 | 25 | 70 | 0 | 0 |
| Attorneys | 29 | 0 | 0 | 1 | 0 | 10 | 0 | 2 | 6 | 4 | 1 | 5 | 0 | 0 | 0 | 0 |
| Public Officials | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 76 | 0 | 0 | 2 | 3 | 0 | 28 | 17 | 1 | 3 | 1 | 14 | 0 | 7 | 0 | 0 |
| Judges Complained About | | | | | | | | | | | | | | | | |
| Circuit Judges | 285 | 2 | 10 | 6 | 13 | 20 | 24 | 35 | 33 | 30 | 33 | 32 | 3 | 44 | 0 | 0 |
| District Judges | 803 | 0 | 29 | 22 | 54 | 56 | 124 | 99 | 71 | 53 | 55 | 94 | 22 | 124 | 0 | 0 |
| Court of International Trade Judges | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Court of Federal Claims Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bankruptcy Judges | 40 | 0 | 0 | 0 | 2 | 3 | 2 | 5 | 7 | 2 | 2 | 4 | 2 | 11 | 0 | 0 |
| Magistrate Judges | 235 | 0 | 4 | 10 | 7 | 16 | 31 | 45 | 19 | 8 | 12 | 20 | 6 | 57 | 0 | 0 |
| Nature of Allegations ³ | | | | | | | | | | | | | | | | |
| Erroneous Decision | 833 | 2 | 15 | 31 | 27 | 0 | 85 | 163 | 113 | 7 | 53 | 125 | 30 | 182 | 0 | 0 |
| Delayed Decision | 117 | 0 | 4 | 5 | 6 | 7 | 15 | 19 | 24 | 5 | 5 | 10 | 4 | 13 | 0 | 0 |
| Failure to Give Reasons for Decision | 17 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 1 | 0 | 7 | 1 | 0 | 5 | 0 | 0 |
| Improper Discussions with Party or Counsel | 84 | 0 | 1 | 2 | 5 | 7 | 6 | 13 | 9 | 2 | 10 | 15 | 6 | 8 | 0 | 0 |
| Hostility Toward Litigant or Attorney | 105 | 0 | 2 | 6 | 8 | 7 | 15 | 17 | 7 | 3 | 10 | 14 | 3 | 13 | 0 | 0 |
| Racial, Religious, or Ethnic Bias | 144 | 1 | 1 | 1 | 2 | 6 | 47 | 21 | 7 | 1 | 14 | 33 | 0 | 10 | 0 | 0 |
| Personal Bias Against Litigant or Attorney | 258 | 0 | 3 | 8 | 11 | 17 | 16 | 80 | 23 | 7 | 15 | 37 | 7 | 34 | 0 | 0 |
| Conflict of Interest (Including Refusal | | | | | | | | | | | | | | | | |
| to Recuse) | 127 | 0 | 0 | 4 | 4 | 11 | 7 | 33 | 15 | 0 | 10 | 12 | 1 | 30 | 0 | 0 |
| Failure to Meet Financial Disclosure | | | | | | | | | | | | | | | | |
| Requirements | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 0 Page | 0 1 of 4 |

Table S-22. (September 30, 2012—Continued)

| | | | | | Circ | uits | | | | | | | | | | ional Irts 1 |
|--|-------|---------|----|-----|------|------|-----|-----|-----|-----|-----|-----|------|------|----|-----------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | |
| Nature of Allegations (Continued) | | | | | | | | | | | | | | | | |
| Improper Outside Income | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 0 | 0 |
| Partisan Political Activity or Statement | 14 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 11 | 0 | 0 | 0 | 0 |
| Acceptance of Bribe | 15 | 0 | 2 | 0 | 0 | 0 | 4 | 5 | 0 | 0 | 0 | 2 | 0 | 2 | 0 | 0 |
| Effort to Obtain Favor for Friend or Relative | 9 | 0 | 0 | 0 | 1 | 4 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Solicitation of Funds for Organization | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Violation of Other Standards | 287 | 0 | 0 | 7 | 3 | 0 | 14 | 1 | 1 | 4 | 31 | 14 | 1 | 211 | 0 | 0 |
| Other Misconduct | 382 | 0 | 24 | 11 | 21 | 91 | 67 | 18 | 27 | 72 | 4 | 33 | 14 | 0 | 0 | 0 |
| Disability | 55 | 0 | 1 | 0 | 1 | 0 | 18 | 4 | 12 | 1 | 1 | 5 | 0 | 12 | 0 | 0 |
| Actions Regarding Complaints | | | | | | | | | | | | | | | | |
| Terminated by Complainant or Subject Judge | 7 | 0 | 0 | 0 | 1 | 0 | 1 | 4 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| Complaint Withdrawn with Consent | | | | | | | | | | | | | | | | |
| of Circuit Chief Judge | 7 | 0 | 0 | 0 | 1 | 0 | 1 | 4 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| Withdrawal of Petition for Review | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Circuit Chief Judge | | | | | | | | | | | | | | | | |
| Matters Returned from Judicial Council | | | | | | | | | | | | | | | | |
| or Judicial Conference Committee | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Complaint Dismissed in Whole or in Part ³ | 1,265 | 2 | 40 | 45 | 77 | 120 | 179 | 218 | 17 | 87 | 91 | 131 | 33 | 225 | 0 | 0 |
| Not Misconduct or Disability | 77 | 0 | 0 | 16 | 6 | 3 | 7 | 15 | 1 | 9 | 0 | 11 | 5 | 4 | 0 | 0 |
| Merits Related | 1,075 | 2 | 25 | 40 | 48 | 113 | 168 | 195 | 13 | 66 | 89 | 89 | 30 | 197 | 0 | 0 |
| Frivolous | 316 | 0 | 0 | 37 | 1 | 111 | 7 | 59 | 4 | 1 | 67 | 28 | 0 | 1 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 812 | 0 | 30 | 6 | 41 | 119 | 149 | 91 | 9 | 10 | 75 | 73 | 18 | 191 | 0 | 0 |
| Allegations Incapable of Being Established | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 2 | 0 | 0 | 0 |
| Filed in Wrong Circuit | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 56 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 3 | 11 | 41 | 0 | 0 | 0 | 0 |
| Complaint Concluded in Whole or in Part | 8 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 6 | 0 | 0 |
| Informal Resolution Before Complaint Filed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Voluntary Corrective Action Taken | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Intervening Events | 7 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 0 | 0 |
| Complaint Referred to Special Committee | 4 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |

Table S-22. (September 30, 2012—Continued)

| | | | | | Circ | uits | | | | | | | | | | ional urts 1 |
|--|-------|---------|----|-----|------|------|-----|-----|-----|-----|-----|-----|------|------|----|-----------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIJ |
| Actions hu Special Committees | | | | | | | | | | | | | | | | |
| Actions by Special Committees Matter Returned From Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | - |
| New Matter Referred to Circuit Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Judicial Council Proceedings | | | | | | | | | | | | | | | | |
| Matter returned from Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Transferred to/from Another Circuit | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Committee Reports Submitted to | | | | | | | | | | | | | | | | |
| Judicial Council | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| Received Petition for Review | 556 | 0 | 18 | 29 | 35 | 86 | 113 | 90 | 8 | 42 | 24 | 24 | 18 | 69 | 0 | 0 |
| Action on Petition for Review | | | | | | | | | | | | | | | | |
| Petition Denied | 518 | 0 | 17 | 35 | 35 | 84 | 103 | 84 | 5 | 43 | 23 | 23 | 17 | 49 | 0 | 0 |
| Matter Returned to Circuit Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Matter Returned to Circuit Chief Judge for | | | | | | | | | | | | | | | | |
| Appointment of Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received Special Committee Report | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| Action on Special Committee Report | | | | | | | | | | | | | | | | |
| Complaint Dismissed | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| Not Misconduct or Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Merits Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| Otherwise Not Appropriate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Corrective Action Taken or Intervening Events | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Remedial Action Taken | | | | | | | | | | | | | | | | |
| Censure or Reprimand | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Suspension of Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action Against Magistrate Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Removal of Bankruptcy Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requesting of Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certifying Disability of Circuit or District Judge | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table S-22. (September 30, 2012—Continued)

| | | | | | Circ | uits | | | | | | | | | Nati Cou | ional Irts ¹ |
|--|-------|---------|----|-----|------|------|-----|-----|-----|-----|-----|-----|------|------|-------------|----------------------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIT |
| Additional Investigation Warranted | | | | | | | | | | | | | | | | |
| Returned to Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Retained by Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Chief Justice | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Transferred to Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received From Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaints Terminated by Final Action | | | | | | | | | | | | | | | | |
| During 12-Month Period Ending | | | | | | | | | | | | | | | | |
| September 30, 2011 | 1,352 | 2 | 48 | 59 | 78 | 155 | 200 | 221 | 16 | 102 | 88 | 138 | 33 | 212 | 0 | 0 |
| Complaints Pending on September 30, 2012 | 736 | 0 | 2 | 6 | 50 | 25 | 2 | 9 | 468 | 0 | 28 | 89 | 8 | 49 | 0 | 0 |

¹ CC = U.S. Court of Federal Claims. CIT = U.S. Court of International Trade.

² Revised.

³ Number of complainants may not equal total number of filings because each complaint may have multiple complainants. Each complaint may involve multiple allegations. Each complaint may have multiple reasons for dismissal.

Table S-22Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. § 351-364During the 12-Month Period Ending September 30, 2013

| | | | | | | Circ | uits | | | | | | | | Natio Cou | |
|--|-------|---------|----|-----|-----|------|------|-----|-----|-----|-----|-----|------|------|--------------|----|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CI |
| Complaints Pending on September 30, 2012 ² | 827 | 0 | 4 | 7 | 47 | 44 | 28 | 21 | 473 | 14 | 30 | 87 | 9 | 63 | 0 | 0 |
| Complaints Filed | 1,219 | 0 | 42 | 19 | 83 | 77 | 142 | 145 | 148 | 103 | 41 | 207 | 59 | 153 | 0 | 0 |
| Sources of Complaints | | | | | | | | | | | | | | | | |
| Filed by Complainant | 1,219 | 0 | 42 | 19 | 83 | 77 | 142 | 145 | 148 | 103 | 41 | 207 | 59 | 153 | 0 | 0 |
| Identified by Circuit Chief Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complainants ³ | | | | | | | | | | | | | | | | |
| Prison Inmates | 528 | 0 | 0 | 7 | 26 | 17 | 66 | 76 | 76 | 32 | 27 | 104 | 27 | 70 | 0 | 0 |
| Litigants | 665 | 0 | 35 | 11 | 53 | 58 | 72 | 73 | 70 | 67 | 15 | 113 | 25 | 73 | 0 | 0 |
| Attorneys | 33 | 0 | 2 | 1 | 2 | 2 | 1 | 2 | 2 | 2 | 1 | 5 | 7 | 6 | 0 | 0 |
| Public Officials | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 70 | 0 | 17 | 0 | 1 | 0 | 4 | 29 | 4 | 5 | 1 | 4 | 0 | 5 | 0 | 0 |
| Judges Complained About | | | | | | | | | | | | | | | | |
| Circuit Judges | 313 | 0 | 6 | 4 | 16 | 13 | 38 | 48 | 35 | 16 | 1 | 66 | 28 | 42 | 0 | 0 |
| District Judges | 662 | 0 | 33 | 9 | 52 | 47 | 85 | 68 | 80 | 72 | 29 | 100 | 16 | 71 | 0 | 0 |
| Court of International Trade Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Court of Federal Claims Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bankruptcy Judges | 53 | 0 | 0 | 2 | 8 | 11 | 4 | 6 | 6 | 3 | 0 | 6 | 1 | 6 | 0 | 0 |
| Magistrate Judges | 191 | 0 | 3 | 4 | 7 | 6 | 15 | 23 | 27 | 12 | 11 | 35 | 14 | 34 | 0 | 0 |
| Nature of Allegations ³ | | | | | | | | | | | | | | | | |
| Erroneous Decision | 879 | 0 | 21 | 15 | 21 | 12 | 89 | 133 | 130 | 81 | 19 | 191 | 53 | 114 | 0 | 0 |
| Delayed Decision | 106 | 0 | 6 | 4 | 4 | 3 | 9 | 8 | 23 | 9 | 2 | 9 | 7 | 22 | 0 | 0 |
| Failure to Give Reasons for Decision | 29 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 0 | 0 | 2 | 15 | 0 | 6 | 0 | 0 |
| Improper Discussions With Party or Counsel | 118 | 0 | 1 | 1 | 1 | 0 | 9 | 15 | 7 | 1 | 4 | 56 | 8 | 15 | 0 | 0 |
| Hostility Toward Litigant or Attorney | 95 | 0 | 4 | 3 | 10 | 3 | 8 | 11 | 13 | 5 | 3 | 11 | 6 | 18 | 0 | 0 |
| Racial, Religious, or Ethnic Bias | 85 | 0 | 1 | 2 | 2 | 5 | 25 | 19 | 8 | 1 | 3 | 7 | 1 | 11 | 0 | 0 |
| Personal Bias Against Litigant or Attorney Conflict of Interest (Including Refusal to | 259 | 0 | 8 | 5 | 15 | 5 | 18 | 50 | 23 | 9 | 10 | 44 | 14 | 58 | 0 | 0 |
| Recuse) Failure to Meet Financial Disclosure | 160 | 0 | 1 | 1 | 0 | 3 | 11 | 26 | 20 | 0 | 5 | 43 | 4 | 46 | 0 | 0 |
| Requirements | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| | 1 | 0 | U | U | U | 0 | U | U | U | 0 | U | 0 | U | I. | Daga | 0 |

Table S-22. (September 30, 2013—Continued)

| | | | | | Circ | uits | | | | | | | | | Natio Cou | |
|--|-------|---------|----|-----|------|------|-----|-----|-----|-----|-----|-----|------|------|--------------|-----|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIT |
| Nature Of Allegations (Continued) | | | | | | | | | | | | | | | | |
| Improper Outside Income | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| Partisan Political Activity or Statement | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Acceptance of Bribe | 8 | 0 | 0 | 0 | 0 | 0 | 1 | 5 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 |
| Effort to Obtain Favor for Friend or Relative | 17 | 0 | 2 | 0 | 0 | 0 | 12 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Solicitation of Funds for Organization | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Violation of Other Standards | 46 | 0 | 0 | 1 | 0 | 1 | 14 | 2 | 1 | 0 | 13 | 8 | 0 | 6 | 0 | 0 |
| Other Misconduct | 297 | 0 | 17 | 10 | 30 | 69 | 39 | 11 | 31 | 7 | 0 | 39 | 23 | 21 | 0 | 0 |
| Disability | 27 | 0 | 0 | 0 | 0 | 0 | 6 | 4 | 8 | 1 | 1 | 3 | 0 | 4 | 0 | 0 |
| Actions Regarding Complaints | | | | | | | | | | | | | | | | |
| Withdrawn | 6 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 3 | 1 | 0 | 1 | 0 | 0 | 0 | 0 |
| Complaint Withdrawn with Consent of Circuit | | | | | | | | | | | | | | | | |
| Chief Judge | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Withdrawal of Petition for Review | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Circuit Chief Judge | | | | | | | | | | | | | | | | |
| Matters returned from Judicial Council or | | | | | | | | | | | | | | | | |
| Judicial Conference Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Dismissed in Whole or in Part ³ | 1,147 | 0 | 39 | 17 | 95 | 81 | 144 | 128 | 198 | 86 | 58 | 100 | 57 | 144 | 0 | 0 |
| Not Misconduct or Disability | 41 | 0 | 1 | 5 | 4 | 0 | 5 | 13 | 4 | 1 | 0 | 6 | 0 | 2 | 0 | 0 |
| Merits Related | 981 | 0 | 25 | 16 | 59 | 74 | 135 | 114 | 181 | 80 | 53 | 77 | 49 | 118 | 0 | 0 |
| Frivolous | 220 | 0 | 0 | 16 | 3 | 77 | 8 | 36 | 3 | 9 | 40 | 28 | 0 | 0 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 741 | 0 | 35 | 0 | 68 | 77 | 126 | 48 | 96 | 6 | 42 | 82 | 32 | 129 | 0 | 0 |
| Allegations Incapable of Being Established | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Filed in Wrong Circuit | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 26 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 23 | 0 | 0 | 0 | 0 |
| Complaint Concluded in Whole or in Part | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 5 | 0 | 0 |
| Informal Resolution Before Complaint Filed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Voluntary Corrective Action Taken | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Intervening Events | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 5 | 0 | 0 |
| Complaint Referred to Special Committee | 2 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table S-22. (September 30, 2013—Continued)

| | | | | | Circ | uits | | | | | | | | | | ional urts 1 |
|---|-------|---------|----|-----|------|------|-----|-----|-----|-----|-----|-----|------|------|----|-----------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | |
| Actions by Special Committees | | | | | | | | | | | | | | | | |
| Matter Returned From Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New Matter Referred to Circuit Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Judicial Council Proceedings | | | | | | | | | | | | | | | | |
| Matter returned from Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Transferred to/from Another Circuit Special Committee Reports Submitted to | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Judicial Council | 2 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received Petition for Review Action on Petition for Review | 544 | 0 | 15 | 10 | 46 | 39 | 90 | 73 | 41 | 39 | 38 | 39 | 37 | 77 | 0 | 0 |
| Petition Denied | 559 | 0 | 16 | 10 | 39 | 52 | 96 | 55 | 38 | 43 | 36 | 46 | 37 | 91 | 0 | 0 |
| Matter Returned to Circuit Chief Judge Matter Returned to Circuit Chief Judge for | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Appointment of Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received Special Committee Report | 2 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action on Special Committee Report ³ | | | | | | | | | | | | | | | | |
| Complaint Dismissed | 2 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Not Misconduct or Disability | 2 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Merits Related | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Corrective Action Taken or Intervening Events | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial Conference Remedial Action Taken | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Censure or Reprimand | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Suspension of Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action Against Magistrate Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Removal of Bankruptcy Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requesting of Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certifying Disability of Circuit or District Judge | - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table S-22. (September 30, 2013—Continued)

| | | | | | Circ | uits | | | | | | | | | Nati Cou | |
|---|-------|---------|----|-----|------|------|-----|-----|-----|-----|-----|-----|------|------|-------------|-----|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIT |
| Additional Investigation Warranted | | | | | | | | | | | | | | | | |
| Returned to Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Retained by Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Chief Justice ⁴ | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Transferred to Judicial Council | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received From Judicial Council | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaints Terminated by Final Action | | | | | | | | | | | | | | | | |
| During 12-Month Period Ending September 30, 2013 | 1,167 | 0 | 36 | 19 | 83 | 87 | 153 | 105 | 199 | 92 | 58 | 107 | 57 | 171 | 0 | 0 |
| Complaints Pending on September 30, 2013 | 879 | 0 | 10 | 7 | 47 | 34 | 17 | 61 | 422 | 25 | 13 | 187 | 11 | 45 | 0 | 0 |

¹ CC = U.S. Court of Federal Claims; CIT = U.S. Court of International Trade.

² Revised.

³ Number of complainants may not equal total number of filings because each complaint may have multiple complainants. Each complaint may involve multiple allegations. Each complaint may have multiple reasons for dismissal.

⁴ The chief justice transferred one complaint from the 5th Circuit to the DC Circuit.

Table S-22Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. §§ 351-64During the 12-Month Period Ending September 30, 2014

| | | | | | | | Circ | uits | | | | | | | Natio Cou | |
|---|-------|---------|----|-----|-----|-----|------|------|-----|-----|-----|-----|------|------|--------------|-----|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIT |
| Complaints Pending on September 30, 2013 ² | 840 | 0 | 6 | 6 | 43 | 31 | 16 | 55 | 422 | 12 | 9 | 187 | 11 | 42 | 0 | 0 |
| Complaints Filed | 1,233 | 6 | 35 | 18 | 93 | 111 | 146 | 130 | 137 | 114 | 33 | 204 | 54 | 134 | 18 | 0 |
| Sources of Complaints | | | | | | | | | | | | | | | | |
| Filed by Complainant | 1,231 | 6 | 35 | 18 | 93 | 111 | 145 | 130 | 137 | 114 | 33 | 204 | 54 | 133 | 18 | 0 |
| Identified by Circuit Chief Judges | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| Complainants ³ | | | | | | | | | | | | | | | | |
| Prison Inmates | 481 | 0 | 0 | 4 | 16 | 53 | 61 | 57 | 60 | 63 | 15 | 63 | 26 | 63 | 0 | 0 |
| Litigants | 689 | 8 | 32 | 14 | 73 | 55 | 69 | 58 | 71 | 44 | 16 | 142 | 25 | 64 | 18 | 0 |
| Attorneys | 38 | 0 | 1 | 2 | 3 | 6 | 1 | 7 | 6 | 0 | 3 | 4 | 1 | 4 | 0 | 0 |
| Public Officials | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 53 | 0 | 2 | 0 | 1 | 0 | 16 | 15 | 1 | 8 | 0 | 0 | 2 | 8 | 0 | 0 |
| Judges Complained About | | | | | | | | | | | | | | | | |
| Circuit Judges | 241 | 5 | 5 | 0 | 24 | 35 | 29 | 16 | 25 | 31 | 1 | 48 | 2 | 20 | 0 | 0 |
| District Judges | 675 | 1 | 27 | 13 | 53 | 58 | 86 | 78 | 70 | 63 | 23 | 97 | 25 | 79 | 2 | 0 |
| Court of International Trade Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Court of Federal Claims Judges | 14 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 14 | 0 |
| Bankruptcy Judges | 69 | 0 | 2 | 1 | 7 | 5 | 7 | 6 | 13 | 6 | 0 | 14 | 4 | 4 | 0 | 0 |
| Magistrate Judges | 234 | 0 | 1 | 4 | 9 | 13 | 24 | 30 | 29 | 14 | 9 | 45 | 23 | 31 | 2 | 0 |
| Nature of Allegations ³ | | | | | | | | | | | | | | | | |
| Erroneous Decision | 973 | 5 | 11 | 16 | 42 | 108 | 116 | 111 | 129 | 86 | 16 | 170 | 43 | 112 | 8 | 0 |
| Delayed Decision | 118 | 1 | 0 | 0 | 2 | 4 | 19 | 12 | 12 | 17 | 4 | 33 | 3 | 11 | 0 | 0 |
| Failure to Give Reasons for Decision | 17 | 0 | 0 | 1 | 0 | 1 | 0 | 2 | 0 | 0 | 3 | 4 | 0 | 5 | 1 | 0 |
| Improper Discussions With Party or Counsel | 78 | 1 | 2 | 0 | 1 | 0 | 9 | 6 | 11 | 4 | 7 | 22 | 6 | 7 | 2 | 0 |
| Hostility Toward Litigant or Attorney | 92 | 0 | 2 | 5 | 6 | 2 | 6 | 10 | 12 | 0 | 14 | 13 | 4 | 7 | 11 | 0 |
| Racial, Religious, or Ethnic Bias | 83 | 1 | 0 | 1 | 4 | 10 | 20 | 14 | 4 | 0 | 3 | 24 | 0 | 1 | 1 | 0 |
| Personal Bias Against Litigant or Attorney Conflict of Interest (Including Refusal | 321 | 1 | 2 | 10 | 18 | 8 | 36 | 54 | 19 | 4 | 18 | 53 | 19 | 79 | 0 | 0 |
| to Recuse) Failure to Meet Financial Disclosure | 119 | 1 | 5 | 1 | 1 | 0 | 22 | 22 | 4 | 1 | 10 | 18 | 4 | 26 | 4 | 0 |
| Requirements | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ogonomono | | | Ŭ | Ŭ | Ŭ | Ŭ | Ŭ | Ŭ | Ŭ | Ŭ | Ŭ | Ŭ | Ŭ | Ŭ | Dara | |

Table S-22. (September 30, 2014—Continued)

| | | | | | | | Circ | uits | | | | | | | | ional Irts 1 |
|--|-------|---------|----|-----|-----|-----|------|------|-----|-----|-----|-----|------|------|----|-----------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | |
| Nature of Allegations (Continued) | | | | | | | | | | | | | | | | |
| Improper Outside Income | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Partisan Political Activity or Statement | 7 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 1 | 3 | 0 | 1 | 0 |
| Acceptance of Bribe | 17 | 0 | 2 | 0 | 0 | 0 | 4 | 2 | 0 | 2 | 1 | 1 | 2 | 3 | 0 | 0 |
| Effort to Obtain Favor for Friend or Relative | 13 | 0 | 1 | 0 | 0 | 0 | 8 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 |
| Solicitation of Funds for Organization | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 |
| Violation of Other Standards | 59 | 0 | 0 | 7 | 0 | 1 | 14 | 3 | 1 | 0 | 10 | 1 | 1 | 21 | 0 | 0 |
| Other Misconduct | 287 | 5 | 22 | 11 | 20 | 51 | 50 | 22 | 12 | 5 | 0 | 48 | 19 | 22 | 0 | 0 |
| Disability | 50 | 0 | 1 | 0 | 0 | 0 | 5 | 5 | 5 | 0 | 0 | 22 | 0 | 12 | 0 | 0 |
| Actions Regarding Complaints | | | | | | | | | | | | | | | | |
| Withdrawn | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Withdrawn With Consent of Circuit | | | | | | | | | | | | | | | | |
| Chief Judge | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Withdrawal of Petition for Review | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Circuit Chief Judge | | | | | | | | | | | | | | | | |
| Matters Returned From Judicial Council | | | | | | | | | | | | | | | | |
| or Judicial Conference Committee | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 |
| Complaint Dismissed in Whole or in Part ³ | 1,480 | 0 | 34 | 21 | 103 | 109 | 136 | 145 | 478 | 98 | 26 | 128 | 57 | 130 | 15 | 0 |
| Not Misconduct or Disability | 80 | 0 | 1 | 9 | 5 | 0 | 3 | 29 | 6 | 10 | 2 | 11 | 3 | 1 | 0 | 0 |
| Merits Related | 1,200 | 0 | 25 | 20 | 51 | 97 | 125 | 125 | 402 | 63 | 21 | 96 | 44 | 125 | 6 | 0 |
| Frivolous | 245 | 0 | 0 | 12 | 1 | 104 | 0 | 56 | 7 | 9 | 17 | 37 | 2 | 0 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 1,008 | 0 | 28 | 13 | 71 | 107 | 120 | 80 | 295 | 15 | 19 | 85 | 46 | 124 | 5 | 0 |
| Allegations Incapable of Being Established | 6 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Filed in Wrong Circuit | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 0 |
| Otherwise Not Appropriate | 52 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 5 | 1 | 43 | 0 | 1 | 0 | 0 |
| Complaint Concluded in Whole or in Part | 16 | 0 | 0 | 0 | 0 | 0 | 7 | 3 | 1 | 0 | 0 | 0 | 0 | 5 | 0 | 0 |
| Informal Resolution Before Complaint Filed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Voluntary Corrective Action Taken | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Intervening Events | 13 | 0 | 0 | 0 | 0 | 0 | 6 | 2 | 0 | 0 | 0 | 0 | 0 | 5 | 0 | 0 |
| Complaint Referred to Special Committee | 4 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 |

Table S-22. (September 30, 2014—Continued)

| | | | | | | | Circ | cuits | | | | | | | | onal Irts 1 |
|---|-------|---------|----|-----|-----|-----|------|-------|-----|-----|-----|-----|------|------|----|----------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIT |
| Actions by Special Committees | | | | | | | | | | | | | | | | |
| Matter Returned From Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New Matter Referred to Circuit Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Judicial Council Proceedings | | | | | | | | | | | | | | | | |
| Matter returned from Judicial Conference | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| Complaint Transferred to/From Another Circuit Special Committee Reports Submitted to | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Judicial Council | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Received Petition for Review | 566 | 0 | 18 | 4 | 47 | 65 | 72 | 66 | 125 | 60 | 14 | 11 | 23 | 57 | 4 | 0 |
| Action on Petition for Review | | | | | | | | | | | | | | | | |
| Petition Denied | 532 | 0 | 13 | 4 | 49 | 65 | 72 | 91 | 75 | 60 | 16 | 13 | 18 | 53 | 3 | 0 |
| Matter Returned to Circuit Chief Judge | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 |
| Matter Returned to Circuit Chief Judge for | | | | | | | | | | | | | | | | |
| Appointment of Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received Special Committee Report | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Action on Special Committee Report | | | | | | | | | | | | | | | | |
| Complaint Dismissed | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| Not Misconduct or Disability | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| Merits Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Corrective Action Taken or Intervening Events | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Remedial Action Taken | | | | | | | | | | | | | | | | |
| Censure or Reprimand | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Suspension of Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action Against Magistrate Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Removal of Bankruptcy Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requesting of Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certifying Disability of Circuit or District Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table S-22. (September 30, 2014—Continued)

| | | | | | | | Circ | uits | | | | | | | Nati Cou | |
|--|-------|---------|----|-----|-----|-----|------|------|-----|-----|-----|-----|------|------|-------------|-----|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIT |
| Additional Investigation Warranted | | | | | | | | | | | | | | | | |
| Returned to Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Retained by Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Chief Justice | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaints Terminated by Final Action During 12-Month Period Ending | | | | | | | | | | | | | | | | |
| September 30, 2014 | 1,417 | 0 | 24 | 21 | 92 | 102 | 144 | 168 | 428 | 105 | 27 | 118 | 47 | 127 | 14 | 0 |
| Complaints Pending on September 30, 2014 | 656 | 6 | 17 | 3 | 44 | 40 | 18 | 17 | 131 | 21 | 15 | 273 | 18 | 49 | 4 | 0 |

¹ CC = U.S. Court of Federal Claims; CIT = U.S. Court of International Trade.

² Revised.

³ Number of complainants may not equal total number of filings because each complaint may have multiple complainants. Each complaint may involve multiple allegations. Each complaint may have multiple reasons for dismissal.

Table S-22Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. §§ 351-64During the 12-Month Period Ending September 30, 2015

| | | | | | | | Circ | uits | | | | | | | Natio Cou | |
|--|-------|---------|----|-----|-----|-----|------|------|-----|-----|-----|-----|------|------|--------------|-----|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIT |
| Complaints Pending on September 30, 2014 ² | 609 | 6 | 15 | 3 | 37 | 32 | 14 | 15 | 131 | 15 | 15 | 262 | 17 | 47 | 0 | 0 |
| Complaints Filed | 1,214 | 9 | 46 | 30 | 71 | 111 | 163 | 127 | 135 | 81 | 47 | 183 | 37 | 161 | 13 | 0 |
| Sources of Complaints | | | | | | | | | | | | | | | | |
| Filed by Complainant | 1,214 | 9 | 46 | 30 | 71 | 111 | 163 | 127 | 135 | 81 | 47 | 183 | 37 | 161 | 13 | 0 |
| Identified by Circuit Chief Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complainants ³ | | | | | | | | | | | | | | | | |
| Prison Inmates | 572 | 2 | 0 | 8 | 18 | 58 | 91 | 64 | 66 | 38 | 33 | 94 | 22 | 78 | 0 | 0 |
| Litigants | 632 | 7 | 47 | 21 | 52 | 57 | 73 | 62 | 69 | 42 | 13 | 85 | 11 | 80 | 13 | 0 |
| Attorneys | 17 | 0 | 0 | 1 | 1 | 0 | 1 | 3 | 0 | 0 | 1 | 4 | 4 | 2 | 0 | 0 |
| Public Officials | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 11 | 0 | 0 | 1 | 0 | 0 | 0 | 3 | 0 | 1 | 1 | 0 | 0 | 5 | 0 | 0 |
| Judges Complained About | | | | | | | | | | | | | | | | |
| Circuit Judges | 204 | 8 | 12 | 9 | 8 | 12 | 47 | 20 | 13 | 14 | 2 | 37 | 4 | 18 | 0 | 0 |
| District Judges | 754 | 1 | 34 | 16 | 52 | 79 | 93 | 76 | 89 | 53 | 36 | 98 | 29 | 91 | 7 | 0 |
| Court of International Trade Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Court of Federal Claims Judges | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 0 |
| Bankruptcy Judges | 42 | 0 | 0 | 1 | 4 | 3 | 4 | 1 | 2 | 2 | 2 | 7 | 0 | 16 | 0 | 0 |
| Magistrate Judges | 208 | 0 | 0 | 4 | 7 | 17 | 19 | 30 | 31 | 12 | 7 | 41 | 4 | 36 | 0 | 0 |
| Nature of Allegations ³ | | | | | | | | | | | | | | | | |
| Erroneous Decision | 962 | 8 | 19 | 23 | 28 | 108 | 125 | 101 | 121 | 71 | 27 | 144 | 28 | 148 | 11 | 0 |
| Delayed Decision | 106 | 0 | 10 | 4 | 3 | 2 | 5 | 26 | 6 | 8 | 4 | 16 | 6 | 16 | 0 | 0 |
| Failure to Give Reasons for Decision | 9 | 1 | 0 | 0 | 3 | 0 | 0 | 3 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| Improper Discussions With Party or Counsel | 77 | 0 | 5 | 2 | 1 | 1 | 21 | 12 | 1 | 1 | 5 | 15 | 2 | 11 | 0 | 0 |
| Hostility Toward Litigant or Attorney | 82 | 0 | 3 | 6 | 8 | 1 | 7 | 3 | 3 | 1 | 8 | 13 | 4 | 24 | 1 | 0 |
| Racial, Religious, or Ethnic Bias | 109 | 0 | 12 | 3 | 3 | 1 | 26 | 14 | 0 | 0 | 7 | 18 | 4 | 20 | 1 | 0 |
| Personal Bias Against Litigant or Attorney | 297 | 4 | 4 | 12 | 20 | 4 | 39 | 34 | 9 | 1 | 22 | 53 | 5 | 89 | 1 | 0 |
| Conflict of Interest (Including Refusal to Recuse) Failure to Meet Financial Disclosure | 165 | 2 | 3 | 8 | 0 | 6 | 35 | 31 | 6 | 0 | 10 | 25 | 2 | 35 | 2 | 0 |
| Requirements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table S-22. (September 30, 2015—Continued)

| | | | | | | | Circ | uits | | | | | | | | ional ırts 1 |
|--|-------|---------|----|-----|-----|-----|------|------|-----|-----|-----|-----|------|------|----|-----------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | |
| Nature of Allegations (Continued) | | | | | | | | | | | | | | | | |
| Improper Outside Income | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| Partisan Political Activity or Statement | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 1 | 0 | 0 | 0 |
| Acceptance of Bribe | 20 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 1 | 1 | 0 | 16 | 0 | 0 |
| Effort to Obtain Favor for Friend or Relative | 52 | 0 | 2 | 0 | 0 | 0 | 37 | 0 | 1 | 0 | 2 | 2 | 1 | 7 | 0 | 0 |
| Solicitation of Funds for Organization | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Violation of Other Standards | 78 | 3 | 1 | 0 | 0 | 1 | 20 | 0 | 1 | 0 | 25 | 1 | 0 | 26 | 0 | 0 |
| Other Misconduct | 300 | 4 | 19 | 14 | 6 | 47 | 58 | 33 | 1 | 8 | 0 | 49 | 25 | 36 | 0 | 0 |
| Disability | 28 | 0 | 1 | 0 | 0 | 0 | 7 | 3 | 0 | 0 | 0 | 3 | 2 | 12 | 0 | 0 |
| Actions Regarding Complaints | | | | | | | | | | | | | | | | |
| Withdrawn | 9 | 5 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 |
| Complaint Withdrawn With Consent | | | | | | | | | | | | | | | | |
| of Circuit Chief Judge | 8 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 |
| Withdrawal of Petition for Review | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Circuit Chief Judge | | | | | | | | | | | | | | | | |
| Matters Returned From Judicial Council | | | | | | | | | | | | | | | | |
| or Judicial Conference Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Dismissed in Whole or in Part ³ | 1,384 | 7 | 24 | 18 | 86 | 119 | 147 | 128 | 146 | 72 | 51 | 402 | 42 | 125 | 17 | 0 |
| Not Misconduct or Disability | 82 | 0 | 4 | 5 | 4 | 1 | 8 | 9 | 15 | 4 | 14 | 13 | 4 | 1 | 0 | 0 |
| Merits Related | 1,131 | 3 | 15 | 17 | 39 | 102 | 128 | 108 | 126 | 45 | 47 | 343 | 35 | 115 | 8 | 0 |
| Frivolous | 352 | 0 | 0 | 14 | 0 | 116 | 14 | 59 | 36 | 16 | 28 | 69 | 0 | 0 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 921 | 1 | 16 | 4 | 60 | 115 | 128 | 48 | 39 | 10 | 39 | 308 | 32 | 112 | 9 | 0 |
| Allegations Incapable of Being Established | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| Filed in Wrong Circuit | 13 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 8 | 0 |
| Otherwise Not Appropriate | 92 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 4 | 0 | 2 | 83 | 0 | 2 | 0 | 0 |
| Complaint Concluded in Whole or in Part | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 5 | 0 | 0 |
| Informal Resolution Before Complaint Filed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Voluntary Corrective Action Taken | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Intervening Events | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 5 | 0 | 0 |
| Complaint Referred to Special Committee | 4 | 0 | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |

Table S-22. (September 30, 2015—Continued)

| | | | | | | | Circ | cuits | | | | | | | | ional Irts 1 |
|--|-------|---------|----|-----|-----|-----|------|-------|-----|-----|-----|-----|------|-----------------------|----|-----------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIT |
| Actions by Special Committees | | | | | | | | | | | | | | | | |
| Matter Returned From Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New Matter Referred to Circuit Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Judicial Council Proceedings | | | | | | | | | | | | | | | | |
| Matter Returned from Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Transferred to/From Another Circuit Special Committee Reports Submitted | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| to Judicial Council | 6 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 4 ⁴ | 0 | 0 |
| Received Petition for Review | 495 | 5 | 18 | 3 | 44 | 34 | 53 | 62 | 62 | 27 | 25 | 109 | 13 | 36 | 4 | 0 |
| Action on Petition for Review | | | | | | | | | | | | | | | | |
| Petition Denied | 477 | 5 | 24 | 2 | 43 | 33 | 53 | 52 | 58 | 34 | 26 | 82 | 16 | 4 4 | 5 | 0 |
| Matter Returned to Circuit Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Matter Returned to Circuit Chief Judge for | | | | | | | | | | | | | | | | |
| Appointment of Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received Special Committee Report | 6 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 4 4 | 0 | 0 |
| Action on Special Committee Report ³ | 5 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 4 4 | 0 | 0 |
| Complaint Dismissed | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Not Misconduct or Disability | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Merits Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Corrective Action Taken or Intervening Events | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial Conference | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 ⁴ | 0 | 0 |
| Remedial Action Taken | | | | | | | | | | | | | | | | |
| Censure or Reprimand | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Suspension of Assignments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action Against Magistrate Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Removal of Bankruptcy Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requesting of Voluntary Retirement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certifying Disability of Circuit or District Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table S-22. (September 30, 2015—Continued)

| | | | | | | | Circ | uits | | | | | | | Nati Cou | |
|--|-------|---------|----|-----|-----|-----|------|------|-----|-----|-----|-----|------|------|-------------|-----|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIT |
| Additional Investigation Warranted | | | | | | | | | | | | | | | | |
| Returned to Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Retained by Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Chief Justice | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Transferred to Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received From Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaints Terminated by Final Action During 12-Month Period Ending | | | | | | | | | | | | | | | | |
| September 30, 2015 | 1,302 | 12 | 34 | 18 | 82 | 114 | 132 | 121 | 141 | 83 | 42 | 333 | 40 | 140 | 10 | 0 |
| Complaints Pending on September 30, 2015 | 521 | 3 | 27 | 15 | 26 | 29 | 45 | 21 | 125 | 13 | 20 | 112 | 14 | 68 | 3 | 0 |

¹ CC = U.S. Court of Federal Claims; CIT= U.S. Court of International Trade.

² Revised.

³ Number of complainants may not equal total number of filings because each complaint may have multiple complainants. Each complaint may involve multiple allegations. Each complaint may have multiple reasons for dismissal.

⁴ All four complaints were against the same judge.

Table S-22Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. §§ 351-64During the 12-Month Period Ending September 30, 2016

| | | | | | | | Circ | uits | | | | | | | | onal urts 1 |
|---|-------|---------|----|-----|-----|-----|------|------|-----|-----|-----|-----|------|------|----|----------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIT |
| Complaints Pending on September 30, 2015 | ² 444 | 3 | 27 | 15 | 20 | 29 | 26 | 19 | 124 | 9 | 13 | 82 | 12 | 63 | 2 | 0 |
| Complaints Filed | 1,303 | 20 | 61 | 49 | 107 | 75 | 168 | 149 | 104 | 101 | 91 | 163 | 51 | 155 | 9 | 0 |
| Sources of Complaints | | | | | | | | | | | | | | | | |
| Filed by Complainant | 1,302 | 20 | 61 | 49 | 107 | 75 | 168 | 149 | 104 | 100 | 91 | 163 | 51 | 155 | 9 | 0 |
| Identified by Circuit Chief Judges | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complainants ³ | | | | | | | | | | | | | | | | |
| Prison Inmates | 550 | 12 | 1 | 9 | 20 | 28 | 82 | 84 | 56 | 39 | 47 | 76 | 13 | 81 | 2 | 0 |
| Litigants | 678 | 8 | 41 | 37 | 76 | 46 | 68 | 61 | 47 | 60 | 41 | 81 | 30 | 75 | 7 | 0 |
| Attorneys | 42 | 0 | 18 | 2 | 6 | 2 | 0 | 3 | 2 | 1 | 2 | 4 | 2 | 0 | 0 | 0 |
| Public Officials | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Other | 43 | 0 | 0 | 1 | 4 | 0 | 18 | 5 | 1 | 1 | 5 | 4 | 4 | 0 | 0 | 0 |
| Judges Complained About | | | | | | | | | | | | | | | | |
| Circuit Judges | 311 | 9 | 38 | 18 | 37 | 12 | 32 | 19 | 17 | 26 | 23 | 33 | 14 | 29 | 4 | 0 |
| District Judges | 723 | 7 | 23 | 23 | 55 | 50 | 111 | 92 | 64 | 69 | 47 | 81 | 22 | 75 | 4 | 0 |
| Court of International Trade Judges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Court of Federal Claims Judges | 5 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| Bankruptcy Judges | 39 | 0 | 0 | 1 | 6 | 4 | 8 | 2 | 2 | 2 | 2 | 3 | 3 | 6 | 0 | 0 |
| Magistrate Judges | 225 | 0 | 0 | 7 | 9 | 9 | 17 | 36 | 21 | 4 | 19 | 46 | 12 | 45 | 0 | 0 |
| Nature of Allegations ³ | | | | | | | | | | | | | | | | |
| Erroneous Decision | 1,070 | 9 | 36 | 46 | 65 | 75 | 123 | 130 | 83 | 90 | 73 | 149 | 46 | 141 | 4 | 0 |
| Delayed Decision | 71 | 4 | 2 | 1 | 4 | 0 | 9 | 19 | 6 | 1 | 2 | 10 | 0 | 12 | 1 | 0 |
| Failure to Give Reasons for Decision | 12 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 4 | 0 | 1 | 0 | 0 |
| Improper Discussions With Party or Counsel | 82 | 0 | 4 | 1 | 0 | 0 | 22 | 10 | 0 | 0 | 13 | 19 | 0 | 13 | 0 | 0 |
| Hostility Toward Litigant or Attorney | 99 | 1 | 4 | 5 | 14 | 1 | 16 | 13 | 2 | 0 | 16 | 14 | 1 | 10 | 2 | 0 |
| Racial, Religious, or Ethnic Bias | 128 | 0 | 3 | 2 | 2 | 0 | 43 | 7 | 0 | 2 | 29 | 14 | 3 | 21 | 2 | 0 |
| Personal Bias Against Litigant or Attorney | 320 | 5 | 5 | 16 | 14 | 0 | 44 | 60 | 8 | 1 | 29 | 56 | 10 | 72 | 0 | 0 |
| Conflict of Interest (Including Refusal to Recu | | 1 | 1 | 4 | 0 | 1 | 49 | 22 | 0 | 2 | 11 | 29 | 3 | 21 | 0 | 0 |
| Failure to Meet Financial Disclosure | , | | | | | | | | | | | | | | | |
| Requirements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table S-22. (September 30, 2016—Continued)

| | | | | | | | Circ | uite | | | | | | | | ional urts 1 |
|--|---------|---------|----|-----|-----|-----|------|------|-----|-----|-----|--------|------|--------|-----|-----------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | COL | |
| Nature of Allegations (Continued) | | | | | | | | | | | | | | | | |
| Improper Outside Income | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 |
| | 3 4 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Partisan Political Activity or Statement | 4 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 2 | 0 | 3 | 0 | 0 |
| Acceptance of Bribe Effort to Obtain Favor for Friend or Relative | 9 17 | 0 | 0 | 0 | 0 | 0 | 11 | 0 | 0 | 0 | 4 | 2 4 | 0 | 3 0 | 0 | 0 |
| | 17 | 0 | - | - | 0 | | | - | 0 | | _ | - | | | | - |
| Solicitation of Funds for Organization | | - | 0 | 0 | - | 0 | 0 | 0 | - | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| Violation of Other Standards | 130 | 5 | 0 | 11 | 0 | 2 | 34 | 2 | 12 | 1 | 39 | 1 | 0 | 21 | 2 | 0 |
| Other Misconduct | 354 | 10 | 44 | 19 | 10 | 45 | 61 | 46 | 2 | 7 | 0 | 40 | 28 | 42 | 0 | 0 |
| Disability | 47 | 0 | 0 | 2 | 0 | 0 | 8 | 12 | 4 | 1 | 0 | 10 | 3 | 7 | 0 | 0 |
| Actions Regarding Complaints | | | | | | | | | | | | | | | | |
| Withdrawn | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Complaint Withdrawn with Consent | | | | | | | | | | | | | | | | |
| of Circuit Chief Judge | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Withdrawal of Petition for Review | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Circuit Chief Judge | | | | | | | | | | | | | | | | |
| Matters Returned from Judicial Council | | | | | | | | | | | | | | | | |
| or Judicial Conference Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Dismissed in Whole or in Part ³ | 1,192 | 18 | 82 | 31 | 94 | 79 | 154 | 112 | 71 | 92 | 96 | 183 | 37 | 134 | 9 | 0 |
| Not Misconduct or Disability | 99 | 0 | 0 | 5 | 0 | 0 | 9 | 14 | 5 | 6 | 16 | 40 | 2 | 2 | 0 | 0 |
| Merits Related | 974 | 7 | 39 | 29 | 70 | 72 | 141 | 100 | 58 | 53 | 89 | 160 | 33 | 122 | 1 | 0 |
| Frivolous | 262 | 0 | 0 | 13 | 8 | 78 | 1 | 35 | 13 | 22 | 57 | 34 | 0 | 1 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 875 | 2 | 68 | 19 | 63 | 78 | 144 | 69 | 16 | 14 | 79 | 161 | 36 | 125 | 1 | 0 |
| Allegations Incapable of Being Established | 7 | 0 | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 0 |
| Filed in Wrong Circuit | , 17 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 0 |
| Otherwise Not Appropriate | 18 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 14 | 0 | 0 | 2 | 0 | 0 |
| | 10 | 1 | 0 | U | 0 | 0 | 0 | 0 | 0 | I | 14 | 0 | 0 | 2 | 0 | 0 |
| Complaint Concluded in Whole or in Part | 19 | 0 | 5 | 0 | 0 | 0 | 3 | 1 | 1 | 1 | 0 | 0 | 2 | 6 | 0 | 0 |
| Informal Resolution Before Complaint Filed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Voluntary Corrective Action Taken | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| Intervening Events | 16 | 0 | 5 | 0 | 0 | 0 | 3 | 1 | 0 | 0 | 0 | 0 | 1 | 6 | 0 | 0 |
| Complaint Referred to Special Committee | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 2 | 0 | 0 |

Table S-22. (September 30, 2016—Continued)

| | | | | | | | Circ | cuits | | | | | | | | ional ırts 1 |
|--|-------|---------|----|-----|-----|-----|------|-------|-----|-----|-----|-----|------|------|----|-----------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | СС | CIT |
| Actions by Special Committees | | | | | | | | | | | | | | | | |
| Matter Returned From Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| New Matter Referred to Circuit Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Judicial Council Proceedings | | | | | | | | | | | | | | | | |
| Matter Returned from Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Transferred to/From Another Circuit | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Committee Reports Submitted | | | | | | | | | | | | | | | | |
| to Judicial Council | 3 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received Petition for Review | 553 | 10 | 28 | 14 | 56 | 34 | 110 | 47 | 17 | 49 | 45 | 78 | 26 | 36 | 3 | 0 |
| Action on Petition for Review | | | | | | | | | | | | | | | | |
| Petition Denied | 621 | 10 | 28 | 9 | 53 | 38 | 111 | 52 | 76 | 49 | 45 | 95 | 15 | 37 | 3 | 0 |
| Matter Returned to Circuit Chief Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Matter Returned to Circuit Chief Judge for | | | | | | | | | | | | | | | | |
| Appointment of Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Received Special Committee Report | 3 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action on Special Committee Report ³ | 4 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaint Dismissed | 2 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Not Misconduct or Disability | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Merits Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Allegations Lack Sufficient Evidence | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Otherwise Not Appropriate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Corrective Action Taken or Intervening Events | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| Referred Complaint to Judicial Conference | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Remedial Action Taken | | | | | | | | | | | | | | | | |
| Censure or Reprimand | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Suspension of Assignments | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Action Against Magistrate Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Removal of Bankruptcy Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Requesting of Voluntary Retirement | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Certifying Disability of Circuit or District Judge | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table S-22. (September 30, 2016—Continued)

| | | | | | | | Circ | uits | | | | | | | Nati Cou | onal Irts ¹ |
|--|-------|---------|----|-----|-----|-----|------|------|-----|-----|-----|-----|------|------|-------------|---------------------------|
| Summary of Activity | Total | Federal | DC | 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th | 11th | CC | CIT |
| Additional Investigation Warranted | | | | | | | | | | | | | | | | |
| Returned to Special Committee | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Retained by Judicial Council | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Actions by Chief Justice | | | | | | | | | | | | | | | | |
| Transferred to Judicial Council | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| Received From Judicial Council | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Complaints Terminated by Final Action | | | | | | | | | | | | | | | | |
| During 12-Month Period Ending | | | | | | | | | | | | | | | | |
| September 30, 2016 | 1,276 | 18 | 77 | 27 | 90 | 76 | 158 | 111 | 133 | 98 | 98 | 198 | 36 | 145 | 11 | 0 |
| Complaints Pending on September 30, 2016 | 471 | 5 | 11 | 37 | 37 | 28 | 36 | 57 | 95 | 12 | 6 | 47 | 27 | 73 | 0 | 0 |

¹ CC = U.S. Court of Federal Claims; CIT= U.S. Court of International Trade.

² Revised.

³ Number of complainants may not equal total number of filings because each complaint may have multiple complainants. Each complaint may involve multiple allegations. Each complaint may have multiple reasons for dismissal.

Dr. Richard Cordero, Esq. Judicial Discipline Reform

Ph.D., University of Cambridge, England M.B.A., University of Michigan Business School D.E.A., La Sorbonne, Paris

www.Judicial-Discipline-Reform.org

2165 Bruckner Blvd., Bronx, NY 10472-6506 DrRCordero@Judicial-Discipline-Reform.org tel. (718)827-9521; follow @DrCorderoEsq

March 24, 2017

Template for Readers

to collect from the official Tables¹ of Complaints² Against Judges the statistics of complaints filed in any federal circuit, and show how judges systematically dismiss ____% of them to exempt themselves from any discipline, thus protecting their unaccountable independence and becoming Judges Above the Law[‡]

| Line | Data of the Judicial Council ³ , <u>Cir.</u> , filed with AO^1 | '06 <mark>4</mark> ' | '07 ⁵ | '08A 6 | '08B 7 | '09A 8 | '09B | '10 ⁹ | '11 ¹⁰ | '12 ¹¹ | '13¹² | '14 ¹³ | '15 ¹⁴ | '16¹⁵ | totals |
|------|---|----------------------|------------------|-----------|-----------|-----------|------|------------------|-------------------|-------------------|-------|-------------------|-------------------|-------|--------|
| 1. | Complaints Pending on Sep. 30 of preceding year * | | | | | | | | * ‡ | | | | | | |
| 2. | Complaints Concluded | | | | | | | | | | | | | | |
| 3. | Complaints Filed ¹⁶ | | | | | 17 | | | | | | | | | |
| 4. | Complaint Type/Source | | | | | | | | | | | | | | |
| 5. | Written/Filed by Complainants | | | | | | | | | | | | | | |
| 6. | On Order of/Identified by Circuit Chief Judges | | | | | | | | | | | | | | |
| 7. | Complainants** | | | | | | | | | | | | | | |
| 8. | Prison inmates | | | | | | | | | | | | | | |
| 9. | Litigants | | | | | | | | | | | | | | |
| 10. | Attorneys | | | | | | | | | | | | | | |
| 11. | Public Officials | | | | | | | | | | | | | | |
| 12. | Other | | | | | | | | | | | | | | |
| 13. | Judges Complained About ** | | | | | | | | | | | | | | |
| 14. | Circuit Judges | | | | | | | | | | | | | | |
| 15. | District Judges | | | | | | | | | | | | | | |
| 16. | Bankruptcy Judges | | | | | | | | | | | | | | |
| 17. | Magistrate Judges | | | | | | | | | | | | | | |
| 18. | Nature of Allegations | | | | | | | | | | | | | | |
| 19. | Erroneous Decision | | | | | | | | | | | | | | |
| 20. | Delayed Decision | | | | | | | | | | | | | | |
| 21. | Failure to Give Reasons for Decision | | | | | | | | | | | | | | |
| 22. | Improper Discussions With Party or Counsel | | | | | | | | | | | | | | |
| 23. | Hostility Toward Litigant or Attorney | | | | | | | | | | | | | | |
| 24. | Racial, Religious, or Ethnic Bias | | | | | | | | | | | | | | |
| 25. | Personal Bias Against Litigant or Attorney | | | | | | | | | | | | | | |
| 26. | Conflict of Interest (Including Refusal to Recuse) | | | | | | | | | | | | | | |
| 27. | Failure to Meet Financial Disclosure Requirements | | | | | | | | | | | | | | |
| 28. | Improper Outside Income | | | | | | | | | | | | | | |
| 29. | Partisan Political Activity or Statement | | | | | | | | | | | | | | |
| 30. | Acceptance of a Bribe | | | | | | | | | | | | | | |
| 31. | Effort to Obtain Favor for Friend or Relative | | | | | | | | | | | | | | |
| 32. | Solicitation of Funds for Organization | | | | | | | | | | | | | | |
| 33. | Violation of Other Standards | | | | | | | | | | | | | | |
| 34. | Other Misconduct | | | | | | | | | | | | | | |
| 35. | Disability | | | | | | | | | | | | | | |
| 36. | ACTIONS REGARDING THE COMPLAINTS | | | | | | | | | | | | | | |
| 37. | Concluded/Terminated by Complainant or Subject Judge/Withdrawn | | | | | | | | | | | | | | |

* http://Judicial-Discipline-Reform.org/OL/DrRCordero-Honest_Jud_Advocates.pdf >all prefixes:page# up to ol:393 ol2:555

| 38. | Data of the Judicial Council, Cir., filed with AO | '06 | '07 | '08 A | ʻ08 B | '09 A | '09 B | '10 | '11 | '12 | '13 | '14 | '15 | '16 | totals |
|-----|---|-----|-----|----------|----------|----------|----------|-----|-----|-----|-----|-----|-----|-----|--------|
| 39. | Complaint Withdrawn with Consent of Chief Circuit Judge | | | | | | | | | | | | | | |
| 40. | Withdrawal of Petition for Review | | | | | | | | | | | | | | |
| 41. | Actions by Chief Circuit Judge | | | | | | | | | | | | | | |
| 42. | Matters Returned from Judicial Council/or Judicial Conference Committee | | | | | | | | | | | | | | |
| 43. | Complaint Dismissed * in Whole or in Part | | | | | | | | | | | | | | |
| 44. | Not in Conformity WIth Statute/Not Misconduct or Disability | | | | | | | | | | | | | | |
| 45. | Directly Related to Decision or Procedural Ruling/ Merits Related | | | | | | | | | | | | | | |
| 46. | Frivolous | | | | | | | | | | | | | | |
| 47. | Lacked Factual Foundation/Allegations Lack Sufficient Evidence | | | | | | | | | | | | | | |
| 48. | Allegations Incapable of Being Established | | | | | | | | | | | | | | |
| 49. | Filed in Wrong Circuit | | | | | | | | | | | | | | |
| 50. | Otherwise Not Appropriate | | | | | | | | | | | | | | |
| 51. | Complaints Concluded in Whole or in Part | | | | | | | | | | | | | | |
| 52. | Informal Resolution Before Complaint Filed | | | | | | | | | | | | | | |
| 53. | Voluntary Corrective Action Taken | | | | | | | | | | | | | | |
| 54. | Action No Longer Necessary Because of Intervening Event | | | | | | | | | | | | | | |
| 55. | Appropriate Action Already Taken | | | | | | | | | | | | | | |
| 56. | Complaint Withdrawn | | | | | | | | | | | | | | |
| 57. | Subtotal | | | | | | | | | | | | | | |
| 58. | Special Investigative Committee Appointed/Complaint Referred to Special Committee | | | | | | | | | | | | | | |
| 59. | Actions by Special Committees | | | | | | | | | | | | | | |
| 60. | Matter Returned from Judicial Council | | | | | | | | | | | | | | |
| 61. | New Matter Referred to Chief Judge | | | | | | | | | | | | | | |
| 62. | Judicial Council Proceedings | | | | | | | | | | | | | | |
| 63. | Matter Returned from Judicial Conference | | | | | | | | | | | | | | |
| 64. | Complaint Transferred to/from Another Circuit | | | | | | | | | | | | | | |
| 65. | Received Petition for Review ¹⁸ | - | | | | | | | | | | | | | |
| 66. | Withdrawn | | | | | | | | | | | | | | |
| 67. | Action on Petition for Review | | | | | | | | | | | | | | |
| 68. | Dismissed Complaint ¹⁹ /Petition Denied | | | | | | | | | | | | | | |
| 69. | Matter Returned to Chief Circuit Judge | | | | | | | | | | | | | | |
| 70. | Matter Returned to Chief Judge for Appointment of Special Committee | | | | | | | | | | | | | | |
| 71. | Ordered Other Appropriate Action /Other | | | | | | | | | | | | | | |
| 72. | Received Special Committee Report/Special Committee Reports Submittted to Judicial Council | | | | | | | | | | | | | | |
| 73. | Remedial Action Taken/Action on Special Committee Report | | | | | | | | | | | | | | |
| 74. | Complaint Dismissed | | 1 | | | | | | | | | | | | |
| 75. | Not Misconduct or Disability | | 1 | | | | | | | | | | | | |

| 76. | Data of the Judicial Council, 10th Cir., filed with AO | '06 | '07 | '08 A | ʻ08 B | '09 A | ʻ09 B | '10 | '11 | '12 | '13 | '14 | '15 | '16 | totals |
|-----|---|-----|-----|----------|----------|----------|----------|-----|-----|-----|-----|-----|-----|-----|--------|
| 77. | Merits Related | | | | | | | | | | | | | | |
| 78. | Allegations Lack Sufficient Evidence | | | | | | | | | | | | | | |
| 79. | Otherwise Not Appropriate | | | | | | | | | | | | | | |
| 80. | Corrective Action Taken or Intervening Events | | | | | | | | | | | | | | |
| 81. | Referred Complaint to Judicial Conference | | | | | | | | | | | | | | |
| 82. | Remedial Action Taken | | | | | | | | | | | | | | |
| 83. | Privately Censured | | | | | | | | | | | | | | |
| 84. | Publicly Censured | | | | | | | | | | | | | | |
| 85. | Censure or Reprimand | | | | | | | | | | | | | | |
| 86. | Suspension of Assignments | | | | | | | | | | | | | | |
| 87. | Directed Chief District J. to Take Action (Magistrates only)/Action Against Magistrate Judge | | | | | | | | | | | | | | |
| 88. | Removal of Bankruptcy Judge | | | | | | | | | | | | | | |
| 89. | Request of Voluntary Retirement | | | | | | | | | | | | | | |
| 90. | Certification of Disability of Circuit or District Judge | | | | | | | | | | | | | | |
| 91. | Additional Investigation Warranted | | | | | | | | | | | | | | |
| 92. | Returned to Special Committee | | | | | | | | | | | | | | |
| 93. | Retained by Judicial Council | | | | | | | | | | | | | | |
| 94. | Actions by Chief Justice | | | | | | | | | | | | | | |
| 95. | Transferred to Judicial Council | | | | | | | | | | | | | | |
| 96. | Received from Judicial Council | | | | | | | | | | | | | | |
| 97. | Complaints Concluded/Terminated by Final Action | | | | | | | | | | | | | | |
| 98. | During 12-month Period Ending Sep. 30 of reported year | | | | | | | | | | | | | | |
| 99. | Complaints Pending on Sep. 30 [end of reported year] | | | | | | | | | | | | | | |
| | Data of the Judicial Council, Cir., filed with AO | '06 | ʻ07 | '08 Α | ʻ08 B | ʻ09 A | '09 B | '10 | '11 | '12 | '13 | '14 | '15 | '16 | totals |

[These notes are in the original.]

• Each complaint may involve multiple reasons for dismissal.

** Number of complainants may not equal total number of filings because each complaint may have multiple complainants.

*[‡]Revised

Note: Excludes complaints not accepted by the circuits because they duplicated previous fillings or were otherwise invalid filings.

* Each complaint may involve multiple allegations against numerous judicial officers. Nature of allegations is counted when a complaint is concluded.

Each complaint may involve multiple allegations. Each complaint may have multiple reasons for dismissal.

ENDNOTES

[‡] See how the above template was used, its endnotes, and the official statistical tables on complaints against judges filed from 1oct96 to date at:

http://Judicial-Discipline-Reform.org/ol2/DrRCordero_hearings_JGorsuch_complainants&parties.pdf

The template is supported by Dr. Cordero's study of judges and their judiciaries, titled:

Exposing Judges' Unaccountability andConsequent Riskless Wrongdoing: Pioneering the news and publishing field of judicial unaccountability reporting* †

> Visit the website at, and subscribe to its series of articles thus: www.Judicial-Discipline-Reform.org> + New or Users >Add New

This table is based on Table S-22 presenting the statistics on complaints filed against judges and action taken under 28 U.S.C. §604(h)(2). That Table is included in the Annual Report that must be submitted to Congress as a public document, §604(a)(3), by the Director of the Administrative Office of the U.S. Courts (AO), §§601-613. On AO, see also http://Judicial-Discipline-Reform.org/*OL*/DrRCordero-Honest_Jud_Advocates.pdf >jur:21fn10.

Each of the 12 regional federal judicial circuits and two national courts must file its statistics on complaints against its judges with AO for inclusion in the statistical tables in its Annual Report. The tables for the fiscal years 1oct96-30sep97 and since have been collected in the file at http://Judicial-Discipline-Reform.org/statistics&tables/statistical_tables_complaints_v_judges .pdf. Hence, readers can conveniently download that file and prepare similar tables for each of the other circuits and any period of years. To that end, that file contains a table template that readers can fill out.

The above table for the 10th Circuit is representative of the other circuits' systematic dismissal of complaints against their respective judges and their judicial councils' systematic denial of petitions for review of those dismissals. That constitutes the foundation for the assertion that the judges have proceeded to abuse the self-discipline power granted to them under the Judicial Conduct and Disability Act² to exempt themselves from discipline, placing themselves beyond investigation(L:58-61) and above any liability. They hold themselves unaccountable by arrogating to themselves the power to abrogate in practice that Act of Congress. By so doing, they harm the complainants, who are left with no relief from the harmful conduct of the complained-about judge and exposed to his or her retaliation. Likewise, they harm the rest of the public, who is left with judges who know that as a matter of fact they can rely on the protection of their peers to abuse their power and disregard due process and the equal protection of the law, for their are in effect Judges Above the Law.

Any person, whether a party to a case or a non-party, even a judge, can file a complaint against the conduct or disability of a federal judge under the provisions of the Judicial Conduct and Disability Act of 1980, 28 U.S.C. §§351-364; http://Judicial-Discipline-Reform.org/docs/28usc_ Judicial_Code.pdf. The complaint is not a means for a party to avoid an appeal on the merits from a judge's decision. In fact, the complaint need not be related to any lawsuit at all; e.g., it may concern the attendance of a judge at a seminar where she became drunk and disorderly or at a fund raising meeting in favor of a political candidate or against a given issue where the judge appeared to breach her impartiality or place the prestige of judicial office in favor or against thereof. But it is obvious that the most frequent occasion where a person comes in contact with a judge and for complaints against her to arise is a lawsuit, whether at the trial or appeallate level.

In any event, the complaint must be filed with the chief circuit judge of the circuit where the complained-about judge sits. The chief and the complained-about judge may have been colleagues, peers, and friends for 1, 5, 10, 15, 20, 25 years or more. If they hold life-appointments, as circuit and district judges do, they are stuck with each other for the rest of their professional lives. If she is a bankruptcy judge, she was appointed for a renewable term of 14 years by the respective circuit judges under 28 U.S.C. §152. If she is a magistrate judge, the respective district judges appointed her for a renewable term of 8 years under 28 U.S.C. §631(a) and (e).

The very last thing that they want is a peer holding professional and personal grudges against them for their rest of their lives or even for a term of years for failure to dismiss the complaint and insulate her from any discipline. Actually, appointing-judges who hold an appointee of theirs liable for misconduct or incompentence indict their own good judgment and the quality and impartiality of their vetting procedure. Think of all the criticism that has been heaped on President Trump for having appointed General Michael Flynn his National Security Advisor allegedly without having found out during the vetting of him that he had had meetings with the Russian ambassador; and for demonstrating a dishonest character when he lied thereabout to the Vice President. The President fired him less than a month after appointing him.

Worse yet, finding that a judge behaved dishoneslty or incompetently casts doubt on her character and professional capacity. This provides grounds for every party that has appeared before her to file a motion in his own case for recusal or disqualification, to quash her decision, to reverse and remand for a new trial, for leave to appeal...

Why bother!', shout the judges handling the complaint. 'It suffices for me as chief circuit judge to dismiss the complaint by signing a decision with boilerplate text alleging that it relates to the merits of the case or lacks any evidence; or by us in the judicial council having an unsigned 5ϕ form issued that disposed of the petition for review of such dismissal with one single operative word: Denied. That's how we avoid all the hassle and the bad blood that comes with it.'

And then there is the self-serving consideration of reciprocally ensured survival: 'Today I dismiss this complaint against you, and tomorrow, when I am or one of my friends is the target of one of these pesky complaints, you in turn dismiss it'. By so doing, the judges assure each other that no matter the wrongdoing they engage in, their "brothers and sisters of the robe" will exempt them from any discipline and let them go on to do ever graver wrongs.(* >jur:68§§a-c)

The result is the same: Complainants are left to bear the dire consequences of the misconduct and wrongdoing of judges, and the rest of the public is left at the mercy of a judicial class with ever less integrity and regard for the strictures of due process and equal protection of the law, for the class is composed of Judges Above the Law.

³ Judge Neil M. Gorsuch received his commission to a seat on the U.S. Court of Appeals for the 10th Circuit on August 8, 2006; https://www.ca10.uscourts.gov/judges/judge-neil-m-gorsuch. Thereafter he may have served on that Circuit's judicial council; on the administrative, policy-making, and disciplinary functions of judicial councils see [‡] http://Judicial-Discipline-Reform.org/docs/28usc_Judicial_Code.pdf >28usc§332(g).

However, the website of the 10th Circuit does not provide information on its judicial council, let alone on its current membership, much less on its members in previous years. The members of the judicial council are the ones who systematically denied petitions from complainants to review the dismissal by the chief circuit judge of their complaints against judges in the circuit.

⁴ On judicial councils see http://Judicial-Discipline-Reform.org/docs/28usc_Judicial_Code.pdf >28usc§332(g).

⁵ http://www.uscourts.gov/statistics-reports/judicial-business-2006

⁶ http://www.uscourts.gov/statistics-reports/judicial-business-2007

⁷ http://www.uscourts.gov/statistics-reports/judicial-business-2008

⁸ The adoption on March 11, 2008, of new rules for filing and processing complaints against judges caused the complaints filed from 1oct07 through 10may08 under the old rules to be reported in Table S-22A in the 2008 Judicial Business Report; and those filed under the new

rules from 11may-30sep08 to be reported in that year's Table S-22B. The same applies to the corresponding 2009 tables.

⁹ http://www.uscourts.gov/statistics-reports/judicial-business-2009. While the 2009 Judicial Business Report covers only the fiscal year that started on October 1, 2008, its table on complaints against judges includes the complaints filed under the new rules during May 11 through September 30, 2008. This period alone is reported in Table S-22B of 2008.

¹⁰ http://www.uscourts.gov/statistics-reports/judicial-business-2010

¹¹ http://www.uscourts.gov/statistics-reports/judicial-business-2011

¹²http://www.uscourts.gov/statistics-reports/judicial-business-2012 >Complaints against judges,

Table 10 Judicial Complaints Commenced, Terminated, and Pending Fiscal Years 2010-2012 >Table S-22, http://www.uscourts.gov/statistics/table/s-22/judicial-business/2012/09/30

¹³http://www.uscourts.gov/statistics-reports/judicial-business-2013 >Complaints against judges,

http://www.uscourts.gov/statistics-reports/complaints-against-judges-judicial-business-2013

>Table 10 Judicial Complaints Commenced, Terminated, and Pending Fiscal Years 2011-2013 >Table S-22, http://www.uscourts.gov/statistics/table/s-22/judicial-business/2013/09/30

¹⁴http://www.uscourts.gov/statistics-reports/judicial-business-2014 >Complaints against judges,

http://www.uscourts.gov/statistics-reports/complaints-against-judges-judicial-business-2014 >Table 10 Judicial Complaints Commenced, Terminated, and Pending Fiscal Years 2012–2014

>Table S-22, http://www.uscourts.gov/statistics/table/s-22/judicial-business/2014/09/30

¹⁵ http://www.uscourts.gov/statistics-reports/judicial-business-2015 >Complaints against judges,

http://www.uscourts.gov/statistics-reports/complaints-against-judges-judicial-business-2015

>Table 10 Judicial Complaints Commenced, Terminated, and Pending Fiscal Years 2013-2015 >Table S-22, http://www.uscourts.gov/statistics/table/s-22/judicial-business/2015/09/30

¹⁶ http://www.uscourts.gov/statistics-reports/judicial-business-2016 >Complaints against judges,

http://www.uscourts.gov/statistics-reports/complaints-against-judges-judicial-business-2016

>Table 10 Judicial Complaints Commenced, Terminated, and Pending Fiscal Years 2015-2016 >Table S-22, http://www.uscourts.gov/statistics/table/s-22/judicial-business/2016/09/30

¹⁷ Over the years, the judges have added some headings and removed others to and from the table for reporting the statistics on complaints against judges. This explains why some cells have no values, which is indicated by an unobstrusive hypejn - so that it may not be misinterpred as a failure to include the corresponding value. In the same vein, this is a composite table that aggregates all headings and entries and place them in the most logical position in the series of headings and entries. The most significant addition and removal came when the new rules for processing these complaints were adopted in 2008. The use of the new rules became mandatory on May 11, 2008. Since then a new reporting table with more numerous and detailed headings and entries has been used to report the statistics on complaints filed under the new rules.

Although the new rules for filing complaints against federal judges provided more numerous and detailed causes for complaint, the systematic dismissal of them and denial of petitions for review of such dismissals by judges protecting their own as well as themselves –'I protect you today, and if tomorrow I'm or any of my friends is the one complained against, you protect me or them-continued unabated.

* http://Judicial-Discipline-Reform.org/*OL*/DrRCordero-Honest_Jud_Advocates.pdf >all prefixes:page# up to ol:393 ol2:553

The new rules was a ruse by the judges to dissuade Congress from taking action to correct the fact that the judges had applied for over 20 years the Judicial Conduct and Disability Act of 1980 in such a way as to render it useless so that judicial discipline was as inexistence as it had been since the creation of the Federal Judiciary in 1789, a period during which there was no formal mechanism for complaining against judges; see the history of, and a comment on, the new rules http://Judicial-Discipline-Reform.org/judicial complaints/8-4at

3DrRCordero new rules no change.pdf.

- ¹⁸Table S-22A(stat:28) for the fiscal year 1oct08-30sep09 deals only with the action taken on the complaints filed under the old rules up to and including May 10, 2008. By definition, none of those complaints could have been filed during that fiscal year. Consequently, that table does not report any complaint filed.
- ¹⁹The table(cf. stat:24) used to report complaints about judges filed under the old rules did not report the number of complainants' petitions to the judicial circuit to review the unfavorable disposition of their complaints, which consisted in their systematic dismissal without any investigation. Accordingly, it did not report on the disposition by judicial councils of such petitions.

The table(cf. stat:26) used for reporting under the new rules began reporting both the number of petitons for review and their disposition. This explains why the number of "Received Petitions for Review" is 176(L65), yet the number of "Petitions Denied" is 242(L68). This illustrates that the circuit and district judges on the judicial council of the respective circuit overwhelmingly disposed of those petitions through their systematic denial. Thereby they attained the same objective: their self-exemption from discipline to ensure their unaccountability as Judges Above the Law.

²⁰ Cf. stat:28. The entry "Action on Petition for Review: Petition Denied" under the heading Judicial Council Proceedings" first appear in Table S-22B of 2009(stat:30).

²¹To the 551 «Complaints Concluded/Terminated by Final Action»(L98) there have been added the 1 «Complaint Dismissed»(L74) and the 14 «Complaints Concluded in Whole or in Part»(L51) to arrive at the total of 566 complaints terminated before and through final action.

Dr. Richard Cordero, Esq.

Dr.Richard.Cordero_Esq@verizon.net DrRCordero@Judicial-Discipline-Reform.org

Judicial Discipline Reform New York City

www.Judicial-Discipline-Reform.org

Volume I

Exposing

Judges' Unaccountability and

Consequent Riskless Wrongdoing

Pioneering

the news and publishing field

of

judicial unaccountability reporting

A study of coordinated wrongdoing as judges' institutionalized modus operandi and its out-of-court exposure through a multidisciplinary academic and business venture based on strategic thinking centered on dynamic analysis of harmonious and conflicting interests

Volume I:

http://Judicial-Discipline-Reform.org/**OL**/DrRCordero-Honest_Jud_Advocates.pdf

or http://1drv.ms/11kvhB8

http://Judicial-Discipline-Reform.org/jur/DrRCordero_jud_unaccountability_reporting.pdf

or

https://independent.academia.edu/DrRichardCorderoEsq

Volume II:

 $http://Judicial-Discipline-Reform.org/{\mbox{OL2}/DrRCordero-Honest_Jud_Advocates.pdf}$

Dr. Richard Cordero, Esq.

Dr.Richard.Cordero_Esq@verizon.net DrRCordero@Judicial-Discipline-Reform.org

Judicial Discipline Reform New York City www.Judicial-Discipline-Reform.org

Volume II

Exposing

Judges' Unaccountability and Consequent Riskless Wrongdoing Pioneering the news and publishing field

of judicial unaccountability reporting

A study of coordinated wrongdoing as judges' institutionalized modus operandi and its out-of-court exposure through a multidisciplinary academic and business venture based on strategic thinking centered on dynamic analysis of harmonious and conflicting interests

Volume II:

http://Judicial-Discipline-Reform.org/**OL2**/DrRCordero-Honest_Jud_Advocates.pdf

Volume I:

http://Judicial-Discipline-Reform.org/**OL**/DrRCordero-Honest_Jud_Advocates.pdf or http://1drv.ms/11kvhB8 or http://Judicial-Discipline-Reform.org/jur/DrRCordero_jud_unaccountability_reporting.pdf or https://independent.academia.edu/DrRichardCorderoEsq Blank

Blank

Blank