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May 12, 2011

Mr. Matt Kibbe  
President and CEO, FreedomWorks  
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Washington, DC 20001  
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Dear Mr. Kibbe,

I would like to contribute an issue to FreedomWorks and the Tea Party toward attaining two shared objectives: efficient, honest, and accountable government and, to that end, the deprivation of a second term to President Obama. It consists of evidence of his corruption<sup>1</sup> in nominating, for his personal benefit of ingratiating himself with Latino and feminist voters, Then-Judge Sonia Sotomayor of the U.S. Court of Appeals for the Second Circuit (CA2) to a justiceship in the Supreme Court despite his knowledge of her concealment of assets and evasion of tax thereon.

The evidence discussed below and supported by documents<sup>7</sup> shows that after nominating known tax cheats Tim Geithner, Tom Daschle, and Nancy Killefer<sup>8</sup> for cabinet posts, he also nominated Then-Judge Sotomayor although he had learned through the vetting of the documents that she first submitted to the FBI and then produced to the Senate Judiciary Committee<sup>17</sup> that she had concealed assets<sup>18</sup>. Indeed, *The New York Times*, *The Washington Post*, and Politico, published articles that suspected her of concealing assets of her own<sup>16</sup>. Similar media once sensed the importance of a story that initially only concerned "five plumbers" caught in "a garden variety burglary" at the Democratic National Committee headquarters in the Watergate compound. Their professional journalistic investigation led to the resignation of President Nixon on 9aug74.

Likewise, you, the Tea Party, and your contacts in the media can investigate this evidence, which on its face is considerably more incriminating: The President lied to the American public when he vouched for the integrity of Then-Judge Sotomayor. Through the vetting of those documents, he also learned that she<sup>20</sup> had covered up the concealment of assets<sup>21</sup> involved in a bankruptcy fraud scheme<sup>13</sup> run in part by a bankruptcy judge<sup>26</sup> reappointed by her and her CA2 peers<sup>27a</sup>. This is a nationwide scheme that harms millions of debtors and creditors<sup>27b</sup> at the mercy of in practice unreviewable<sup>29c</sup> and thus unaccountable judges<sup>39b</sup> motivated by the most insidious corruptor: *money!*, \$325.6 bl. in 2009 alone<sup>29a</sup>. This justifies expanding the investigation to determine how many other corrupt or corruption-covering persons the President has nominated for, or appointed to, high office<sup>11b</sup>. Public knowledge of his dishonesty and that of his nominees and appointees would cause such uproar as to discredit him and dissuade donors and volunteers from supporting his reelection. A President facing weekly revelations of his dishonesty injurious to good governance and the administration of justice to the detriment of *We, the People* would be weakened politically and distracted with his defense too much to run effectively for reelection.

I also submitted the evidence to support the complaint that I filed with competent authorities, who are Democrats<sup>3c,4-7</sup>. They protected their party colleagues and donors by dismissing it without even subpoenaing documents apt to verify the complaint's allegations<sup>15</sup>. This provides Tea Party challengers of national and state Democratic incumbents with facts to impugn their integrity as corrupt officers who prioritize partisan alliances and self-interest<sup>43a</sup> over duty and who with willful blindness enable the abuse of the people<sup>29b</sup>, e.g., through the bankruptcy fraud scheme<sup>13</sup>. Thus, I respectfully request that you 'show your personal commitment to changing public policy' and **1)** call on the pertinent HR committees<sup>HR:i</sup> to investigate the evidence<sup>15</sup>; **2)** launch your own investigation<sup>44</sup> with your media contacts<sup>45</sup>; and **3)** call me to discuss how we can join forces<sup>22b</sup> to attain our shared objectives.

Sincerely, *Dr. Richard Cordero, Esq.*

[http://Judicial-Discipline-Reform.org/Tea\\_P/11-5-12DrRCordero-CEOMKibbe.pdf](http://Judicial-Discipline-Reform.org/Tea_P/11-5-12DrRCordero-CEOMKibbe.pdf) & <http://bbs.short.pdf>

Dr. Richard Cordero, Esq.

**EVIDENCE**  
**Of**  
**President Barak Obama's Corruption**  
**In His Nomination To A Justiceship**  
**In The Supreme Court Of**  
**Then-Judge Sonia Sotomayor**  
**How it can deprive the President of a second term**

submitted for investigation to

**The U.S. House of Representatives**  
**Committee on Oversight and Government Reform**

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as of

**April 29, 2011**

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April 29, 2011

Rep. Darrell Issa, Chairman tel.: (202)225-3906 [Personalized and sent to: Rep. Lamar Smith, Chairman  
H.R. Committee on Oversight & Gov. Reform H.R. Committee on the Judiciary  
2347 Rayburn House Office Building 2409 Rayburn HOB tel.: (202)225-4236  
Washington, D.C. 20515 fax: (202)225-3303 Washington, D.C. 20515 fax: (202)225-8628  
& the Tea Party & Rep. members of each of these committees]

Dear Rep. Issa,

The *Washington Post* quoted you as saying that President Obama's is "one of the most corrupt administrations".<sup>1</sup> I am a nonpartisan lawyer and while taking cases from the bankruptcy to the district courts, to the Court of Appeals, 2<sup>nd</sup> Circuit (CA2), and the Supreme Court<sup>2</sup>, I discovered evidence of the President's cover-up of the corruption of one of his key nominees: Then-CA2 Judge Sonia Sotomayor. I submitted it to the Senate Judiciary Committee members holding her confirmation hearings and the Senate leaders<sup>3</sup>; none even acknowledged receipt of it. I also submitted it to A.G. Eric Holder, the DoJ, the FBI,<sup>4</sup> and U.S. trustees<sup>5</sup>; and the U.S. senators for NY, the NY County D.A., the governor<sup>3c&6</sup>, and the State A.G.<sup>7</sup>, all Democrats; to no avail. Thus, I am referring it to you, your Committee, and the Republican and the Tea Parties.

The stakes in verifying the evidence set forth below are high because it is reasonable to consider that nothing would deny the President a second term as showing that, after he nominated known tax cheats Tim Geithner, Tom Daschle, and Nancy Killefer<sup>8</sup> to 4-year cabinet posts, he covered up the facts and lied to the American public about the dishonesty of his justiceship nominee in order to curry favor with Latino and feminist voters. Thereby he showed reckless disregard for saddling the people with a dishonest justice who for the next 20 or 30 years will play a key role in shaping the law of the land and administering justice to all the nation. It should suffice for you to show that she contravenes the federal judges' own standard of conduct: that she gives "the appearance of impropriety"<sup>9</sup>. That showing can force her to resign just as under similar circumstances Justice Abe Fortas had to resign in 1969<sup>10</sup>. In the meantime, the public debate over whether she should resign or even be impeached would play in the media right through the 2012 presidential campaign. That constant reminder of the President's abuse of power and hypocritical stance against "politics as usual" can dissuade many people from donating to his reelection campaign, thus limiting his financial means to conduct it. His disregard for Judge Sotomayor's tax evasion can undermine his claim that top earners should be taxed more.

The investigation of Now-Justice Sotomayor can lead your Committee and the media<sup>11a</sup> to inquire into the extent to which she has to cover up other judges' corruption to prevent their investigation from discovering her own or causing them to trade up<sup>11b</sup>. That investigation can lead both to Justice Ginsburg, who as the 2<sup>nd</sup> Circuit's Circuit Justice has responsibility for its integrity, and to other Justices. All of them were kept informed by me of mounting evidence of corruption therein<sup>12</sup>, including a judge-run bankruptcy fraud scheme<sup>13</sup> and her concealment of assets, but dismissed it with willful blindness<sup>14</sup>. If any of them were also forced out of the Court, whoever is elected president in the 2012 election would be constrained or have the opportunity to nominate honest persons in a transparent and accountable process. To that end, you can become our generation's Sen. Howard Baker. He made a name for himself during the nationally televised Senate Watergate Committee hearings by asking of every deponent a question that now you can rephrase thus: 'What did the President know and when did he know about Judge Sotomayor's concealment of assets and condonation of the bankruptcy fraud scheme?' So I respectfully request that you **1)** acknowledge receipt of the evidence; **2)** investigate it, executing the proposed subpoena<sup>15</sup>; and **3)** call on me to testify. I welcome the opportunity to meet to discuss how we can reciprocally advance our interests.

Sincerely, Dr. Richard Cordero, Esq.

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April 25, 2011

## EVIDENCE

**Of President Barack Obama's and His Administration's  
Continuing Cover-Up of The Corruption Of  
Then-Judge Sonia Sotomayor of the Court of Appeals for the 2<sup>nd</sup> Circuit  
for his political benefit in currying favor with Latino and feminist voters  
but to the detriment of them and the rest of the public entitled  
to the honest service of, in general, its public officers and,  
in particular, those entrusted with the administration of  
Equal Justice Under Law**

submitted for investigation to

The U.S. H.R Committee on Oversight and Government Reform (COGR)

1. The evidence hereunder concerns what *The Washington Post*, *The New York Times*, and Politico suspected in articles contemporaneous with President Barak Obama's first justiceship nomination, to wit, that Then-Judge Sonia Sotomayor of the U.S. Court of Appeals for the Second Circuit (CA2) had concealed assets of her own<sup>16</sup>. The evidence is in the financial statements that she filed with the Senate Judiciary Committee<sup>17</sup>. They show that in 1988-2008 she earned and borrowed \$4,155,599 + her 1976-1987 earnings; but disclosed assets worth only \$543,903, leaving unaccounted for \$3,611,696 - taxes and the cost of her reportedly modest living<sup>18a</sup>. Thereby she failed to comply with that Committee's request that she disclose "in detail all [her] assets...and liabilities"<sup>18b</sup>. Her motive was to cover-up her previous failure to comply with the mandate of the Ethics in Government Act of 1978 to file a "full and complete" annual financial disclosure report<sup>18c</sup>. The President disregarded the evidence of her dishonesty just as he did that of his known tax cheat nominees Tim Geithner, Tom Daschle, and Nancy Killefer<sup>8</sup>.
2. In the same vein, the President disregarded a case that incriminates her in the cover-up of concealment of assets as part of a bankruptcy fraud scheme<sup>13</sup> and in protecting the schemers<sup>19</sup>, namely, *DeLano*, over which she presided<sup>20</sup>. The President's vetting of Judge Sotomayor through the FBI was bound to find that case, for it was part of CA2's public record of cases<sup>36b</sup>. The Judge so clearly realized how incriminating that case was<sup>21</sup> that she withheld it<sup>22a</sup> from the documents that she was required by the Judiciary Committee to submit in preparation for holding confirmatory hearings on her justiceship nomination. By so doing, she committed perjury, for she swore that she had complied with the Committee's initial and supplemental requests for documents<sup>3a</sup>.
3. *DeLano* concerns a 39-year veteran banker who before retiring filed his own non-business bankruptcy and remained employed by a major bank, M&T Bank, as a bankruptcy officer!<sup>23</sup> He was but one of a clique of bankruptcy system insiders: His bankruptcy trustee had 3,907 *open* cases<sup>24</sup> before the WBNY judge hearing the case; one of his lawyers had brought 525 cases<sup>25</sup> before that judge; his other lawyer also represented M&T and was a partner in the same law firm in which that judge was a partner and bankruptcy lawyer at the time of his appointment to the bench<sup>26</sup> by CA2; and the judge was reappointed in 2006, when Judge Sotomayor was a CA2 member<sup>27a</sup>. M&T was likely a client of that law firm<sup>28</sup> or even of the judge when he was a partner there. They are part of a bankruptcy fraud scheme, which in 2009 alone concerned \$325.6 bl.<sup>29a</sup>
4. As part of the scheme, the insider declared \$291,470 earned together with his wife in the three years preceding their filing<sup>30</sup>. Incongruously, they pretended that they only had \$535 "on hand

and on account<sup>31a</sup>. Yet, they incurred \$27,953 in known legal fees, billed by their bankruptcy lawyer, who knew that they had money to pay for his services<sup>31b</sup>, and approved by the trustee and the judge. They also declared one single real estate property, their home, bought 30 years earlier<sup>31c</sup> and assessed for the purpose of the bankruptcy at \$98,500, on which they declared to carry a mortgage of \$77,048 and have equity of only \$21,416<sup>31d</sup>...after making mortgage payments for 30 years! Moreover, they had engaged in a string of eight mortgages from which they received \$382,187, but the trustee and the judge refused to require them to account for it<sup>32a</sup>.

5. For six months the bankruptcy officer and his wife, their lawyers, and the trustee treated a creditor that they had listed among their unsecured creditors as such and pretended to search for the petition's supporting documents that he had requested<sup>32b</sup>. It was not until the creditor brought to the judge's attention<sup>32c</sup> that the bankruptcy officer had engaged in concealment of assets that the bankrupts' moved to disallow his claim<sup>32d</sup>. The judge called on his own for an evidentiary hearing on the motion only to deny discovery of *every single document* that the creditor requested, even the officer's bank account statements, indispensable in any bankruptcy<sup>33a</sup>. Thereby he deprived the creditor of his discovery rights, thus flouting due process. He turned the hearing<sup>33b</sup> and his grant of the motion into a sham<sup>34</sup>. He also stripped the creditor of standing in the case so that he could not keep requesting documents, for they would have allowed tracing back the concealed assets. On appeal, the judge's colleague in the same small federal building<sup>35a</sup> in Rochester, a WDNY district judge, also denied *every single document* requested by the creditor<sup>35b</sup>.
6. All these circumstances rendered this bankruptcy officer's bankruptcy petition suspicious per se. Yet, when *DeLano* reached CA2, Then-Judge Sotomayor, presiding<sup>20</sup>, condoned those unlawful denials and even denied in turn *every single document* in 12 requests<sup>36a</sup>. However, she too needed those documents to find the facts to which to apply the law<sup>36c</sup>. Thus, she disregarded a basic principle of due process, which requires that the law not be applied capriciously or arbitrarily<sup>36d</sup> in a vacuum of facts or by willfully ignoring them. Her conduct<sup>35c</sup> belied her statement before the Judiciary Committee that her guiding principle as a judge was "fidelity to the law"<sup>3f</sup>.
7. Judge Sotomayor also condoned the bankruptcy judge's refusal to disqualify himself for conflict of interests and the appearance of impropriety, just as she refused to disqualify him<sup>37a</sup>. In fact, during her membership in the Judicial Council of the Second Circuit, she too denied the petition to review the dismissal without any investigation of the misconduct complaint against that judge<sup>38</sup>. This formed part of her pattern of covering up for her peers: As a member of CA2 she condoned, and as a member of the Council she applied, the Council's unlawful policy during the 1996-2009 13-year period reported online of denying 100% of petitions to review dismissals of complaints against her peers<sup>39</sup>. She contributed to illegally abrogating in effect an act of Congress<sup>37b</sup> giving complainants the right to petition for review.<sup>40a</sup> Through such abrogation, she also condoned the successive CA2 chief judges' unlawful practice of systematically and without any investigation dismissing misconduct complaints. Hence, she gave her peers 100% exemption from accountability. It made no difference to her the gravity and pattern of their complained-about wrongdoing, such as bribery, corruption, conflict of interests, bias, prejudice, abuse of power, etc.<sup>41</sup> This shows her unquestioning partiality toward them to the detriment of complainants, other litigants, and the public. Instead of Equal Justice Under Law, she upheld Judges Can Do No Wrong<sup>40b</sup>.
8. By so doing, Judge Sotomayor rendered wrongdoing irresistible: She assured her peers of its risklessness, insulating it from any disciplinary downside and enabling access to its limitless profitability upside. So she emboldened them to engage ever more egregiously in taking advantage of litigants<sup>29c</sup>, e.g., through the bankruptcy fraud scheme<sup>13</sup>. By removing wrongdoing's stigmatizing potential and allowing its incorporation into the judges' modus operandi, she encouraged

their resort to its efficiency multiplier: coordination<sup>29b</sup>. Through it wrongdoing becomes institutionalized and wrongdoers' fate becomes interdependent, requiring their continued reciprocal cover-up<sup>11b</sup>. Then-Judge Sotomayor thus ensured that they would cover up her concealment of assets; now a Justice<sup>14b</sup>, she is not a champion of the Judiciary's integrity, but rather their accomplice<sup>44a</sup>.

9. The risk of the bankruptcy officer in *DeLano*, who during his 39-year long career in banking had learned who had turned the skeletons into such, being indicted and trading up with domino effect motivated Judge Sotomayor and her peers to allow him to walk into his golden retirement with at least \$673,657 in known concealed assets<sup>44b</sup>. To protect them, insiders, and herself, she failed to perform her statutory duty under 18 U.S.C. §3057<sup>42a</sup> to report to the U.S. attorneys, not hard evidence, but just a belief that bankruptcy fraud may have been committed<sup>42b</sup>. In how many of the thousands of cases before their appointed bankruptcy judges<sup>27b</sup> have she and her peers complicitly let the fraud scheme fester with rapaciousness and to whose benefit or detriment?
10. President Obama too had a duty: to vet Judge Sotomayor thoroughly before nominating her for a justiceship...unless the FBI did so and find the evidence<sup>16</sup> of her concealment of assets<sup>18a</sup>, tax evasion, and cover-up of the fraud scheme but he chose to hush it up. Had he acted responsibly in the public interest, rather than his own, he would have discovered that she had withheld *DeLano*<sup>3</sup>, realized that her motive was to conceal incriminating information that would scuttle her nomination, and either withdrawn her nomination or disclosed the information so that the public and the Senate could make informed decisions. By burying it under lies about her integrity, the President got a dishonest, unfit nominee confirmed fraudulently and misled "*We, the People*".
11. A.G. Eric H. Holder, Jr., also had a duty. Upon his taking the oath of office, he became bound to uphold the Constitution and enforce the laws thereunder in the interest of, not the President, but rather the people. Similarly duty-bound were all the other federal<sup>4&5</sup> and state Democratic officers<sup>3c&6</sup> who vetted Judge Sotomayor or received complaints about her, the schemers, and their condoners. But they would not even request a single evidence-corroborating document or ask those complained-against to answer the complaint. For such dereliction of duty to protect their fellow Democrats and reelection donors, they should be held accountable in the 2012 race.
12. To expose such self-interested officers<sup>43a</sup> and defend the people from them COGR must investigate this evidence. Its Republican members will not discharge that responsibility by showing willful blindness to spare the Republican senators that disregarded it during Judge Sotomayor's confirmation<sup>3</sup>. That would show that Republicans are as unprincipled '*Yes, we do anything we want*' politicians as the President. Nor can they simply forward it to the very agencies that have systematically dismissed complaints against judges. Those agencies have made it possible for only 8 federal judges in the last 222 years since the creation of the Federal Judiciary in 1789 to be removed<sup>43b</sup>. Such survivability produces, and is the product of, Judges Above the Law.
13. There is probable cause to believe that such Judges have survived by also interfering with the email, mail, and phone communications of those trying to expose their wrongdoing. This calls for COGR to conduct a *Follow the wire!* investigation<sup>44c</sup>. Likewise, the evidence calls for a *Follow the money!* investigation<sup>44d</sup>. It can use *DeLano* as a test case to expose the condonation by the President and his administration of, and the involvement by Justice Sotomayor and others in, deficit-aggravating concealment of assets and a nationwide judge-run<sup>44e</sup> bankruptcy fraud scheme<sup>13</sup>. So I respectfully request that you and COGR<sup>44f</sup> **1)** conduct these investigations, executing the proposed subpoena, which identifies documents key to tracing such assets<sup>15</sup>; **2)** hold hearings on the evidence; and **3)** call on me to testify. I trust you all will wisely use this evidence to ride out into the 2012 campaign as principled, courageous, and ambitious **Champions of Justice**<sup>45</sup>. If so, let's meet to ride together<sup>22b</sup>.

Sincerely, *Dr. Richard Cordero, Esq.*

## Endnotes(=ent.)

To easily retrieve the references below download the digital file, which has active links;  
[http://Judicial-Discipline-Reform.org/HR/11-4-7DrRCordero-HR\\_COGR.pdf](http://Judicial-Discipline-Reform.org/HR/11-4-7DrRCordero-HR_COGR.pdf)

- <sup>1</sup> Issa says Obama administration is 'one of most corrupt', Philip Rucker, *The Washington Post*, 2jan11; [http://Judicial-Discipline-Reform.org/docs/WPost\\_RepDIssa\\_2jan11.pdf](http://Judicial-Discipline-Reform.org/docs/WPost_RepDIssa_2jan11.pdf)
- <sup>2</sup> a) [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_TrGordon\\_ScT.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_TrGordon_ScT.pdf), 04-8371, SCt;  
b) [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_ScT\\_3oct8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_ScT_3oct8.pdf), 08-8382, SCt
- <sup>3</sup> Letters with supporting documents sent to the Senate leaders and the Judiciary Committee and its members by mail, email, and fax and acknowledgment of receipt requested by phone:  
a) [http://Judicial-Discipline-Reform.org/SCt\\_nominee/Senate/7DrCordero-SenJudCom\\_docs.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/Senate/7DrCordero-SenJudCom_docs.pdf); 3jul9;  
b) [http://Judicial-Discipline-Reform.org/SCt\\_nominee/Senate/18DrCordero-SenReid\\_SenMcConnell.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/Senate/18DrCordero-SenReid_SenMcConnell.pdf); 13jul9  
c) Sample of the letter sent to each Senate Judiciary Committee member: [http://Judicial-Discipline-Reform.org/SCt\\_nominee/Senate/DrRCordero-SenCSchumer.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/Senate/DrRCordero-SenCSchumer.pdf); 13jul9  
d) [http://Judicial-Discipline-Reform.org/SCt\\_nominee/Senate/18DrCordero-SenJudCom.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/Senate/18DrCordero-SenJudCom.pdf); 14jul9  
e) [http://Judicial-Discipline-Reform.org/SCt\\_nominee/Senate/20DrCordero-SenJudCom\\_14jul9.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/Senate/20DrCordero-SenJudCom_14jul9.pdf); 14jul9  
f) [http://Judicial-Discipline-Reform.org/SCt\\_nominee/Senate/1DrCordero-Senate.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/Senate/1DrCordero-Senate.pdf); 3aug9
- <sup>4</sup> [http://Judicial-Discipline-Reform.org/DoJ-FBI/DrRCordero-DoJ\\_FBI\\_08-09.pdf](http://Judicial-Discipline-Reform.org/DoJ-FBI/DrRCordero-DoJ_FBI_08-09.pdf). The latest complaint to DoJ, ent.7 >HR:1, is attached hereto for its statement of facts, id. >GC:14§III.
- <sup>5</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero-Tr\\_Schmitt\\_Martini\\_Adams.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero-Tr_Schmitt_Martini_Adams.pdf); Cf. [http://Judicial-Discipline-Reform.org/docs/DrCordero-Tr\\_Schmitt\\_Schwartz.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero-Tr_Schmitt_Schwartz.pdf)
- <sup>6</sup> a) [http://Judicial-Discipline-Reform.org/midterm\\_e/DrRCordero-SenKGillibrand\\_16oct10.pdf](http://Judicial-Discipline-Reform.org/midterm_e/DrRCordero-SenKGillibrand_16oct10.pdf);  
b) [http://Judicial-Discipline-Reform.org/DANY/9DrRCordero-NYCDACVance\\_11nov10.pdf](http://Judicial-Discipline-Reform.org/DANY/9DrRCordero-NYCDACVance_11nov10.pdf) >DA: xiv¶7; c) [http://Judicial-Discipline-Reform.org/midterm\\_e/DrRCordero-AGACuomo\\_22oct10.pdf](http://Judicial-Discipline-Reform.org/midterm_e/DrRCordero-AGACuomo_22oct10.pdf)
- <sup>7</sup> [http://Judicial-Discipline-Reform.org/HR/11-4-7DrRCordero-HR\\_COGR.pdf](http://Judicial-Discipline-Reform.org/HR/11-4-7DrRCordero-HR_COGR.pdf) >HR:7, 251
- <sup>8</sup> ent.7 >HR:255/ent.27
- <sup>9</sup> [http://Judicial-Discipline-Reform.org/docs/Code\\_Conduct\\_Judges\\_09.pdf](http://Judicial-Discipline-Reform.org/docs/Code_Conduct_Judges_09.pdf) >Canon 2
- <sup>10</sup> a) On May 14, 1969, Supreme Court Justice Abe Fortas resigned. He had committed no crime, as concealment of assets, tax evasion, and covering up wrongdoing are. Rather, it became publicly known that he “had accepted fifteen thousand dollars raised by [former co-partner] Paul Porter from the justice’s friends and former clients for teaching a summer course at American University, an arrangement that many considered improper. Republicans and conservative southern Democrats launched a filibuster, and the nomination [by President Johnson to chief justice] was withdrawn at Fortas’s request. A year later Fortas’s financial dealings came under renewed scrutiny when *Life* magazine revealed that he had accepted an honorarium for serving on a charitable foundation headed by a former client [Louis Wolfson]. Fortas resigned from the Court in disgrace...his old firm refused to take him back...Fortas’s relationship with Wolfson seemed suspect, and the American Bar Association declared it contrary to the provision of the canon of judicial ethics that a judge’s conduct must be free of the appearance of impropriety.” The Oxford Companion to the Supreme Court of the United States, 2<sup>nd</sup> edition, Kemit L. Hall, Editor in Chief; Oxford University Press (2005), pp. 356-357.  
b) For a discussion of the current application of this canon see [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_ScT\\_rehear\\_23apr9.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_ScT_rehear_23apr9.pdf) >US:2511§I.



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- 11 **a)** ent.7 >HR:215; **b)** [http://Judicial-Discipline-Reform.org/docs/Dynamics\\_of\\_corruption.pdf](http://Judicial-Discipline-Reform.org/docs/Dynamics_of_corruption.pdf)
- 12 **a)** [http://Judicial-Discipline-Reform.org/docs/DrCordero-JGinsburg\\_injunction\\_30jun8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero-JGinsburg_injunction_30jun8.pdf);  
**b)** [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_06\\_4780\\_CA2.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_06_4780_CA2.pdf) >CA:1721
- 13 [http://Judicial-Discipline-Reform.org/Follow\\_money/How\\_fraud\\_scheme\\_works.pdf](http://Judicial-Discipline-Reform.org/Follow_money/How_fraud_scheme_works.pdf); ent.7 >HR:220
- 14 **a)** ent.2; 10b; [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_to\\_Justices\\_4aug8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_to_Justices_4aug8.pdf);  
**b)** [http://Judicial-Discipline-Reform.org/docs/SCt\\_knows\\_of\\_dismissals.pdf](http://Judicial-Discipline-Reform.org/docs/SCt_knows_of_dismissals.pdf)
- 15 [http://Judicial-Discipline-Reform.org/HR/11-4-7DrRCordero-HR\\_COGR\\_subpoena.pdf](http://Judicial-Discipline-Reform.org/HR/11-4-7DrRCordero-HR_COGR_subpoena.pdf)
- 16 [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/6articles\\_JSotomayor\\_financials.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/6articles_JSotomayor_financials.pdf)
- 17 [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/2SenJudCom\\_Questionnaire\\_JSotomayor.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/2SenJudCom_Questionnaire_JSotomayor.pdf)
- 18 **a)** [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/12table\\_JSotomayor-financials.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/12table_JSotomayor-financials.pdf); ent.7 >HR:201; **b)** id. > HR:204/ent.1; **c)** id. >HR:204/ent.2

See also the financial reports filed with the Administrative Office of the U.S. Courts by Judge David Larimer, WDNY, the U.S. district judge in *DeLano*. In 2008, his judicial salary alone was \$169,300, placing him in the top 2% of income earners in our country; [http://www.census.gov/compendia/statab/cats/income\\_expenditures\\_poverty\\_wealth/income\\_for\\_persons.html](http://www.census.gov/compendia/statab/cats/income_expenditures_poverty_wealth/income_for_persons.html); and [http://Judicial-Discipline-Reform.org/docs/US\\_Census\\_Income\\_2010.pdf](http://Judicial-Discipline-Reform.org/docs/US_Census_Income_2010.pdf) >Table 689. Money Income of People--Number by Income Level and by Sex, Race, and Hispanic Origin: 2007. Yet, in his available financial disclosure reports, [http://Judicial-Discipline-Reform.org/docs/J\\_Larimer\\_fin\\_disclosure\\_rep.pdf](http://Judicial-Discipline-Reform.org/docs/J_Larimer_fin_disclosure_rep.pdf), he disclosed for the reported years up to 5 accounts with \$1,000 or less each, no transaction reported in a mutual fund or the other accounts, and a single loan of between \$15K-\$50K. Where did his money go?

To determine whether the practice of filing and accepting judges' pro forma financial disclosure reports is part of the Judiciary's modus operandi, retrieve them easily and for free from Judicial Watch at <http://www.judicialwatch.org/judicial-financial-disclosure>.

- 19 [http://Judicial-Discipline-Reform.org/Follow\\_money/motion\\_en\\_banc.pdf](http://Judicial-Discipline-Reform.org/Follow_money/motion_en_banc.pdf) >CA:1947§§I & III
- 20 *DeLano*, 06-4780-bk-CA2, dis'd per curiam, Judge Sotomayor, presiding; ent.7 >HR:213
- 21 [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_CA2\\_rehear.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_CA2_rehear.pdf)
- 22 **a)** ent.3d) >2¶2; **b)** [http://Judicial-Discipline-Reform.org/docs/DrRCordero\\_aca&biz\\_venture.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero_aca&biz_venture.pdf)
- 23 **a)** ent.7 >GC:42§1; **b)** The Salient Facts of the DeLano Case; id. >HR:216.
- 24 [http://Judicial-Discipline-Reform.org/docs/Trustee\\_Reiber\\_3909\\_cases.pdf](http://Judicial-Discipline-Reform.org/docs/Trustee_Reiber_3909_cases.pdf)
- 25 [http://Judicial-Discipline-Reform.org/docs/Werner\\_525\\_before\\_Ninfo.pdf](http://Judicial-Discipline-Reform.org/docs/Werner_525_before_Ninfo.pdf)
- 26 [http://www.nywb.uscourts.gov/judge\\_ninfo\\_202.html](http://www.nywb.uscourts.gov/judge_ninfo_202.html) >About Bkr. Judge John C. Ninfo, II
- 27 **a)** ent.7 >HR:3/ent.9; and GC:32/ent.72; **b)** In CY10, 1,593,081 bkr. cases were filed; ent.29c.
- 28 ent.7 >GC:17§§B-C, where M&T was a party and the trustee had 3,382 cases before this WBNY judge; [http://Judicial-Discipline-Reform.org/docs/TrGordon\\_3383\\_as\\_trustee.pdf](http://Judicial-Discipline-Reform.org/docs/TrGordon_3383_as_trustee.pdf)
- 29 **a)** In CY09, federal bankruptcy judges dealt with the \$325.6 bl. in liabilities self-reported by individual bankrupts with predominantly consumer debt. To this figure must be added the \$10s of billions in debt of predominantly commercial bankrupts. The judges discharged

\$310,329,885,000. Even a tiny percentage of this amount and of the non-discharged difference of \$15,270,115,000 is a colossal ‘pie’ of money for only judges -and a few insiders of the bankruptcy and legal systems- whose decisions bankrupts, most of whom are pro se, cannot afford to appeal and ignore how to. If the President were empowered to draw up a budget that was unreviewable, would he be likely to abuse his power?; **b)** *ent.7* >HR:316¶¶1-11; **c)** [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/bkr\\_as\\_percent\\_new\\_cases.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/bkr_as_percent_new_cases.pdf)

<sup>30</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/DeLano\\_docs.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DeLano_docs.pdf) >§I.B >W:2

<sup>31</sup> **a)** *id.* >§V >W:51; **b)** *id.*>§XI >W:148; **c)** *id.*>§VIII >W:93; **d)** *id.*>§V >W:50; **cf.** >§X >W:145

<sup>32</sup> **a)** *id.*>§VIII >W:89-112 and *ent.7* >HR:217; **b)** *ent.7* >GC:47:§3; **c)** *id.* >45§2; **d)** *id.* >49§4

<sup>33</sup> **a)** [http://Judicial-Discipline-Reform.org/Follow\\_money/docs\\_denied.pdf](http://Judicial-Discipline-Reform.org/Follow_money/docs_denied.pdf); **b)** *ent.7* >GC:51§5

<sup>34</sup> ‘Hear’ the judge’s bias: [http://Judicial-Discipline-Reform.org/docs/transcript\\_DeLano\\_1mar5.pdf](http://Judicial-Discipline-Reform.org/docs/transcript_DeLano_1mar5.pdf)

<sup>35</sup> **a)** *ent.7* >GC:11¶¶11; **b)** *ent.33b* >de:28; and [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_WDNY.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_WDNY.pdf) >Pst:1255§1 and 1281¶¶62; **c)** *ent.7* >GC:58§8; **cf.** 54§7

<sup>36</sup> **a)** *ent.2b* >US:2484 Table of doc. requests & denials; **b)** [http://Judicial-Discipline-Reform.org/DeLano\\_record/3DrRCordero\\_v\\_DeLano\\_D1-CA2090.pdf](http://Judicial-Discipline-Reform.org/DeLano_record/3DrRCordero_v_DeLano_D1-CA2090.pdf); **c)** *id.* >D:320§II; **d)** *ent.29c* >3§A

<sup>37</sup> **a)** *ent.9a* >CA:1725§A, 1773§c; **b)** <http://judicial-discipline-reform.org/docs/28usc351-364.pdf>

<sup>38</sup> [http://Judicial-Discipline-Reform.org/docs/DrRCordero\\_2v\\_JNinfo\\_6jun8.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero_2v_JNinfo_6jun8.pdf) >N:36 and 48

<sup>39</sup> **a)** *ent.7* >HR:214; **b)** other forms of judges self-assuring their unaccountability, *id.* >HR:3/ent.10

<sup>40</sup> **a)** CA2 Chief Judge Walker wrote that “to rely on tradition to deny rehearing in banc starts to look very much like abuse of discretion”; *Ricci v. DeStefano*, *aff’d per curiam*, including J. Sotomayor, 530 F.3d 87 (2dCir., 9 June 2008); [http://Judicial-Discipline-Reform.org/docs/Ricci\\_v\\_DeStefano\\_CA2.pdf](http://Judicial-Discipline-Reform.org/docs/Ricci_v_DeStefano_CA2.pdf) >R:26. CA2 Judge Cabranes sharply criticized the use of a meaningless summary order and an unsigned per curiam decision. *id.* >R:2, as a “perfunctory disposition” of that case; *id.* >R:6. Yet, she and her peers disposed of around 75% of appeals to CA2 by summary orders, which have no opinion, appended explanatory statement, or precedential value. They are ad hoc fiats to ensure the needed unaccountability to cover up wrongdoing; **b)** [http://Judicial-Discipline-Reform.org/Sct\\_nominee/JSotomayor\\_v\\_Equal\\_Justice.pdf](http://Judicial-Discipline-Reform.org/Sct_nominee/JSotomayor_v_Equal_Justice.pdf) >¶¶1-3

<sup>41</sup> [http://Judicial-Discipline-Reform.org/statistics&tables/judicial\\_misconduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/judicial_misconduct_complaints.pdf)>Cg:1

<sup>42</sup> **a)** [http://Judicial-Discipline-Reform.org/docs/18usc\\_bkrp\\_crimes.pdf](http://Judicial-Discipline-Reform.org/docs/18usc_bkrp_crimes.pdf); **b)** [http://Judicial-Discipline-Reform.org/docs/make\\_18usc3057\\_report.pdf](http://Judicial-Discipline-Reform.org/docs/make_18usc3057_report.pdf); and *ent.19* >CA: 1961¶¶28-31

<sup>43</sup> **a)** *ent.7* >HR:310¶¶7; **b)** Fed. Judicial Center, [http://www.fjc.gov/history/home.nsf/page/judges\\_impeachments.html](http://www.fjc.gov/history/home.nsf/page/judges_impeachments.html). To put this in perspective, 2,132 justices, judges, and magistrates were in office on 30sep9; [http://Judicial-Discipline-Reform.org/statistics&tables/num\\_jud\\_officers.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/num_jud_officers.pdf) >njo:6; and “1 in every 31 adults were under correctional supervision at yearend 2008”; *ent.7* >HR:9/ent.3

<sup>44</sup> **a)** *ent.7* >GC:61§1; **b)** *id.*>HR:217; **c)** >HR:241; **d)** >GC:63§2; 218; **e)** >HR:219, 220; **f)** >GC:66§4

<sup>45</sup> From leads, *ent.7* >HR:215-218 & 233§E, to investigations from which a national advocate of ethical conduct of public officers arises, [http://Judicial-Discipline-Reform.org/Follow\\_money/Champion\\_of\\_Justice.pdf](http://Judicial-Discipline-Reform.org/Follow_money/Champion_of_Justice.pdf), to hearings or a historic presentation, [http://Judicial-Discipline-Reform.org/DeLano\\_course/17Law/DrRCordero\\_Course\\_trigger\\_history.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/17Law/DrRCordero_Course_trigger_history.pdf), where findings about official wrongdoing are made known that cause such public uproar as to cause a cleansing of the Judiciary and the establishment of a Citizens Board for Judicial Discipline

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# EVIDENCE

submitted to

## The U.S. House of Representatives Committee on Oversight and Government Reform

of President Barack Obama's and His Administration's  
Continuing Cover-Up of The Corruption of  
Then Judge Sonia Sotomayor of the Court of Appeals for the 2nd Circuit  
**relating to her concealment of her assets and tax evasion** [[HR:201](#)]  
and **involvement in a bankruptcy fraud scheme** [[GC:14§III](#)]  
**run by judges and covered up as part of the institutionalized coordination of  
wrongdoing among judges self-exempting from accountability and discipline and  
between them and bankruptcy and legal systems insiders,  
involving corruption, concealment of assets, tax evasion, and  
the willful blindness of the federal and state authorities  
that without any investigation dismissed incriminating cases and complaints;** [[HR:1](#)]

**accompanied by**

**a proposed subpoena**

**that identifies key information and evidence so as to enable  
the conduct of a focused and cost-effective**

**Follow the money! investigation**

**of defrauders of debtors in bankruptcy, creditors, and  
the public, who is entitled to the honest service of fiduciaries of the public trust,  
the recovery of unpaid taxes and of fees paid through fraudulent claims,  
and the compensation of scheme victims;** [[HR:221](#)] and

**a request**

**for a Follow the wire! investigation**

**into the probable cause to suspect**

**interference with third party email, mail, and phone communications to  
prevent the exposure of the bankruptcy fraud scheme and its cover-up;** [[HR:241](#)]

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as of April 25, 2011

[http://Judicial-Discipline-Reform.org/HR/11-4-7DrRCordero-HR\\_COGR.pdf](http://Judicial-Discipline-Reform.org/HR/11-4-7DrRCordero-HR_COGR.pdf)



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March 10, 2011

Lorraine S. Gerson, Esq.  
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tel. (973)645-2789

Dear Mrs. Gerson,

I would like to congratulate you on your 80<sup>th</sup> birthday. Your long tenure as AUSA and expertise in financial and fraud cases can help you deal with the evidence<sup>1</sup> that I have discovered of involvement by judges and other legal and bankruptcy systems insiders in a bankruptcy fraud scheme<sup>2</sup> and its cover-up. I contacted the competent AUSAs, to no avail, for they are the next door neighbors and colleagues of the people involved. This matter needs to be treated by an outsider with the necessary savvy and standing, and the courage to go where the evidence takes her.

The Federal Judiciary is entrusted with a system of self-policing.<sup>3</sup> Its judges abuse it by self-exempting from any discipline: In the reported 1sep96-31oct08 12 fiscal years, they dismissed without any investigation 99.82% of the 9,466 complaints filed against them.<sup>4</sup> Indeed, in the 222 years since that Judiciary's creation in 1789, only 8 judges have been impeached and removed<sup>5</sup>. With risklessness assured, they handle wrongfully the greatest corruptor: money!, at least \$325.6 bl. at stake in just the non-commercial bankruptcies<sup>6</sup> out of the 1,473,675 bankruptcies filed in CY09<sup>7</sup>. The majority of non-commercial bankrupts have neither money to either hire lawyers or appeal nor knowledge of the law; they are pro se preys. Although bankruptcy cases represent on average 79% of all new cases, only 0.23% are reviewed by district courts and fewer than 0.08% by the CAs.<sup>8</sup> The latter appoint bankruptcy judges<sup>9</sup> and are biased toward their appointees, lest they indict their own appointment judgment. So judges exercise unaccountable power and immunize other insiders to take advantage of millions of bankrupts and creditors as they take their money.<sup>10</sup>

A test case, *DeLano*, shows how judges protect the insiders.<sup>11</sup> One of its bankrupts was a 39-year veteran of the banking industry who at filing time was and remained working for a major bank as a bankruptcy officer. This alone made his petition inherently suspicious. One of his lawyers<sup>12</sup> had appeared before the judge in 525 cases<sup>13</sup>; the trustee in 3,907<sup>14</sup>. The judge on his own called for an evidentiary hearing of the bankrupt's motion to disallow a claim only to deny the creditor discovery of *every single document* that he requested<sup>15</sup>, making the hearing and the grant of the motion a sham and even more suspicious.<sup>16</sup> He denied even the bankrupts' bank account statements, indispensable in any bankruptcy. They would have led to the concealed assets of the officer, who declared \$291,470 earned jointly with his wife in the three years preceding their filing, never mind the rest of at least \$673,657 unaccounted for, and incongruously pretended that they only had \$535 "in hand and on account"<sup>17</sup>. Then the judges of the district court and CA2<sup>18</sup> -including Then-Judge Sotomayor<sup>19</sup>, presiding the 3-judge panel before whom I argued *DeLano*-, and Circuit Justice Ginsburg<sup>20</sup>, Chief Justice Roberts<sup>21</sup>, and the whole Court<sup>1b</sup> denied *every single document* requested by the creditor and needed also by them to ascertain the facts to which to apply the law. To protect an insider whose 'singing' in plea bargaining could have a domino effect,<sup>22</sup> they disregarded due process. How often does this happen in 2 ml. cases?<sup>23</sup>

The bankruptcy fraud scheme is national in scope. *DeLano* is but a case that reveals how it has been covered up by coordinated wrongdoing in the Judiciary. You can wash your hands of it by forwarding this file to those who dismissed it in self-interest<sup>24</sup> or you can use your unique position and IOUs to investigate<sup>25</sup> quietly for the sake of its victims and your commitment to Equal Justice.<sup>26</sup> So I look forward to hearing from you.

Sincerely, *Dr. Richard Cordero, Esq.*

<http://Judicial-Discipline-Reform.org/DoJ-FBI/11-3-10DrRCordero-AUSALGerson.pdf>

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## Endnotes(=ent.; if ...>let:#, then also infra)

To easily retrieve the references below download the digital file, which has active links;  
<http://Judicial-Discipline-Reform.org/DoJ-FBI/11-3-10DrRCordero-AUSALGerson.pdf>

- <sup>1</sup> a) [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_TrGordon\\_SCt.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_TrGordon_SCt.pdf), 04-8371, SCt;  
b) [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_SCt\\_3oct8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_SCt_3oct8.pdf), 08-8382, SCt
- <sup>2</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/How\\_fraud\\_scheme\\_works.pdf](http://Judicial-Discipline-Reform.org/Follow_money/How_fraud_scheme_works.pdf)
- <sup>3</sup> 28 U.S. Code §§351-364; <http://Judicial-Discipline-Reform.org/docs/28usc351-364.pdf>
- <sup>4</sup> [http://Judicial-Discipline-Reform.org/statistics&tables/judicial\\_misconduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/judicial_misconduct_complaints.pdf) >Cg:1-6. After CA2 chief judges systematically dismissed complaints against judges in the 2<sup>nd</sup> Circuit, its all-judges policy-making and disciplinary Judicial Council, headed by those chiefs, implemented its abusive policy of denying 100% of petitions to review dismissals during 96-08. Then-Judge Sotomayor supported that policy as member of CA2 and the Council. Id. >Cg:7.
- <sup>5</sup> Federal Judicial Center, [http://www.fjc.gov/history/home.nsf/page/judges\\_impeachments.html](http://www.fjc.gov/history/home.nsf/page/judges_impeachments.html). To put this in perspective, 2,132 justices, judges, and magistrates were in office on 30sep09; [http://Judicial-Discipline-Reform.org/statistics&tables/num\\_jud\\_officers.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/num_jud_officers.pdf) >njo:6; and “1 in every 31 adults were under correctional supervision at yearend 2008”; ent.11 >AG:iii/ent.3.
- <sup>6</sup> In CY09, federal bankruptcy judges dealt with the staggering \$325.6 billion in liabilities self-reported by individual debtors in cases with predominantly consumer debt; to this figure must be added the \$10s of billions in debt of predominantly commercial debtors. The judges discharged \$310,329,885,000. Even a tiny percentage of this amount and of the non-discharged difference of \$15,270,115,000 is a colossal amount of money, particularly because it is concentrated in the hands of only judges and a few insiders of the bankruptcy and legal systems with whom they coordinate their wrongdoing to extend the reach and profitability of the bankruptcy fraud scheme; [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/bkr\\_dollar\\_value.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/bkr_dollar_value.pdf) >dv:1.
- <sup>7</sup> [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/latest\\_bkr\\_filings.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/latest_bkr_filings.pdf) >lb:11
- <sup>8</sup> Of the 57,740 cases filed in the federal courts of appeals in the year to 30sep09, only 793 or 1.4% came from the bankruptcy courts; [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/bkr\\_appeals&pro-se.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/bkr_appeals&pro-se.pdf) >jc:82. These 793 represent only 0.06% of the 1,402,816 bankruptcy cases filed in that FY09; ent.7 >lb:39. Detailed statistical analysis showing the factual unreviewability of bankruptcy cases and thus of bankruptcy judges’ decisions is at [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/bkr\\_as\\_percent\\_new\\_cases.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/bkr_as_percent_new_cases.pdf).
- <sup>9</sup> [http://Judicial-Discipline-Reform.org/docs/28usc151-159\\_bkr\\_judges.pdf](http://Judicial-Discipline-Reform.org/docs/28usc151-159_bkr_judges.pdf) >§152(a)(1)
- <sup>10</sup> Federal judges’ self-assured risklessness and unaccountability are mutually reinforcing. Both provide them an insidious incentive to engage in coordinated wrongdoing. So they:
  - a) systematically dismiss complaints filed against them, which are not public record, preventing complaint analysis to detect patterns of wrongdoing and habitual wrongdoing judges;
  - b) systematically deny motions to recuse themselves due to, for instance, conflict of interests, despite violating thereby the requirement to “avoid even the appearance of impropriety”; [http://Judicial-Discipline-Reform.org/docs/Code\\_Conduct\\_Judges\\_09.pdf](http://Judicial-Discipline-Reform.org/docs/Code_Conduct_Judges_09.pdf) >Canon 2;
  - c) get rid of 9 out of 10 cases through either non-precedential summary orders, carrying no explanation, or decisions so perfunctory that the judges themselves mark them “not for publication”, both of which are all but unreviewable ad hoc fiats suitable for arbitrariness and for implementing a policy of docket clearing through expediency without an effort to administer justice on the facts of each case and the law applicable to them; [http://Judicial-Discipline-Reform.org/docs/CA2\\_summary\\_orders\\_19dec6.pdf](http://Judicial-Discipline-Reform.org/docs/CA2_summary_orders_19dec6.pdf);

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- d) in pursuit of that expediency policy, overwhelmingly affirm the decisions of their lower court colleagues, for rubberstamping an affirmance is decidedly easier than explaining a reversal and the way to avoid the same prejudicial error on remand; id. [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_v\\_Equal\\_Justice.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_v_Equal_Justice.pdf) >¶¶1-3;
  - e) systematically deny petitions for en banc review by the whole court of each other's decisions, thus assuring reciprocal deference and the continued force of their decisions regardless of how wrong or abusive they are; cf. CA2 Judge Jose Cabranes sharply criticizing the use a summary order and an unsigned per curiam decision as well as the denial of en banc review in *Ricci v. DeStefano*, aff'd per curiam, 530 F.3d 87 (2dCir., 9 June 2008); [http://Judicial-Discipline-Reform.org/docs/Ricci\\_v\\_DeStefano.pdf](http://Judicial-Discipline-Reform.org/docs/Ricci_v_DeStefano.pdf);
  - f) hold their policy-making, administrative, adjudicative, and disciplinary meetings behind closed doors, thus protecting their unaccountability and providing themselves with the opportunity to use secrecy as a means to engage in coordinated wrongdoing; [http://Judicial-Discipline-Reform.org/Follow\\_money/unaccount\\_jud\\_nonjud\\_acts.pdf](http://Judicial-Discipline-Reform.org/Follow_money/unaccount_jud_nonjud_acts.pdf);
  - g) do not publish comments on court rules proposed by courts, thus cloaking in secrecy judges' comments, which fosters and conceals wrongful motives and coordination, and turning the request for public comments a pro forma exercise that allows even overwhelming opposition to be kept undisclosed and disregarded without public protest;
  - h) never hold press conferences, thus escaping the scrutiny of journalists, not to mention that of the public, since federal judges do not have to run in judicial elections; and
  - i) file pro forma financial disclosure reports with the Judicial Conference of the U.S. Committee on Financial Disclosure, a committee of judges, their peers and filers of similar reports, assisted by members of the Administrative Office of the U.S. Courts, who are their appointees and serve at their pleasure; [ent.19](#).

Judges wield enormous power over the property, liberty, and even the life of everybody, directly if they are litigants and indirectly through the effect of their interpretation of the law and precedential value of their decisions. Yet, all of the above contributes to making them the most secretive, unaccountable, and undemocratic branch of government. It enables them to disregard due process, show bias, and pursue self-interest with impunity. After all, neither can their salary be diminished, [U.S. Cons., Art. III, Sec. 1](#), nor can they be promoted or demoted based on their performance. They have arrogated to themselves a unique status: Judges Above the Law.

<sup>11</sup> [http://Judicial-Discipline-Reform.org/AG/1DrRCordero-AGESchneiderman\\_4feb11.pdf](http://Judicial-Discipline-Reform.org/AG/1DrRCordero-AGESchneiderman_4feb11.pdf) >GC:41§D

<sup>12</sup> The other lawyer for the officer was a partner in the same law firm in which the bankruptcy judge was a partner at the time of his appointment to the bench by CA2. [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_WDNY.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_WDNY.pdf) >Pst:1289§f. The judge disregarded this disqualifying conflict of interests as well as its appearance of impropriety. At the time of his reappointment in 2006 by CA2, one of the members of that Court was Then-Circuit Judge Sonia Sotomayor.

<sup>13</sup> [http://Judicial-Discipline-Reform.org/docs/Werner\\_525\\_before\\_Ninfo.pdf](http://Judicial-Discipline-Reform.org/docs/Werner_525_before_Ninfo.pdf)

<sup>14</sup> [http://Judicial-Discipline-Reform.org/docs/Trustee\\_Reiber\\_3909\\_cases.pdf](http://Judicial-Discipline-Reform.org/docs/Trustee_Reiber_3909_cases.pdf)

<sup>15</sup> [Ent.11](#) >Ci:157§V

<sup>16</sup> [http://Judicial-Discipline-Reform.org/docs/transcript\\_DeLano\\_1mar5.pdf](http://Judicial-Discipline-Reform.org/docs/transcript_DeLano_1mar5.pdf); [ent.11](#) >GC:51§5

<sup>17</sup> [Ent.11](#) >GC:42§1

<sup>18</sup> [Ent.1b](#) >US:2451§E and 2484/ Table: Twelve requests for documents denied by CA2

<sup>19</sup> Then-Judge Sotomayor was suspected by *The New York Times*, *The Washington Post*, and

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Politico after her nomination for a justiceship of concealing her assets; [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_integrity/6articles\\_JSotomayor\\_financials.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_integrity/6articles_JSotomayor_financials.pdf).

You can determine yourself whether the financial declarations made by Then-Judge Sotomayor in documents that she filed with the U.S. Senate Judiciary Committee that held hearings on her nomination and with the Administrative Office of the U.S. Courts when filing the mandatory annual financial disclosure reports make any sense or rather give rise to probable cause to believe that she concealed assets; [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_integrity/12table\\_JSotomayor-financials.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_integrity/12table_JSotomayor-financials.pdf).

For the same purpose, you may review the financial reports filed with the Administrative Office by Judge David Larimer, WDNY, the U.S. district judge in *DeLano*. In 2008, his judicial salary alone was \$169,300, placing him in the top 2% of income earners; [http://www.census.gov/compendia/statab/cats/income\\_expenditures\\_poverty\\_wealth/income\\_for\\_persons.html](http://www.census.gov/compendia/statab/cats/income_expenditures_poverty_wealth/income_for_persons.html); and [http://Judicial-Discipline-Reform.org/docs/US\\_Census\\_Income\\_2010.pdf](http://Judicial-Discipline-Reform.org/docs/US_Census_Income_2010.pdf) >Table 689. Money Income of People--Number by Income Level and by Sex, Race, and Hispanic Origin: 2007. Yet, in his available financial disclosure reports, [http://Judicial-Discipline-Reform.org/docs/J\\_Larimer\\_fin\\_disclosure\\_rep.pdf](http://Judicial-Discipline-Reform.org/docs/J_Larimer_fin_disclosure_rep.pdf), he disclosed for the reported years up to 5 accounts with \$1,000 or less each, no transaction reported in a mutual fund or the other accounts, and a single loan of between \$15K-\$50K. Where did his money go?

To determine whether the practice of filing and accepting judges' pro forma financial disclosure reports is part of the Judiciary's modus operandi, retrieve them easily and for free from Judicial Watch at <http://www.judicialwatch.org/judicial-financial-disclosure>.

<sup>20</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero-JGinsburg\\_injunction\\_30jun8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero-JGinsburg_injunction_30jun8.pdf)

<sup>21</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_to\\_Justices\\_4aug8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_to_Justices_4aug8.pdf)

<sup>22</sup> These judges' concealment of their own assets explains their disregard of the *DeLano* bankruptcy officer's incongruous and suspicious bankruptcy petition disclosures, [ent.11 >GC:42§1](#), and their denial of discovery of *every single document* concerning his bankruptcy. During his 39-year long career in banking, this co-scheming insider had learned not only where the skeletons were, but also who were the active schemers that had turned them into such and who became passive accomplices by assuring them explicitly or implicitly that they would see nothing, hear nothing, and say nothing, thus enabling their wrongdoing.

<sup>23</sup> In the 1,944,284 federal civil cases in FY10, money could have been at stake and unaccountable federal judges could take advantage of the opportunity that they offered to abusively wield power in self-interest when deciding who kept it or had to pay it out to somebody; [http://Judicial-Discipline-Reform.org/statistics&tables/caseload/1judicial\\_caseload.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/caseload/1judicial_caseload.pdf) and [http://Judicial-Discipline-Reform.org/statistics&tables/SCT/SCT\\_yearend\\_reports.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/SCT/SCT_yearend_reports.pdf)

<sup>24</sup> Justices and judges were not the only ones who would not listen to the evidence out of fear of being incriminated as bankruptcy fraud schemers or their protectors or shunned as traitors.

**a)** [http://Judicial-Discipline-Reform.org/DoJ-FBI/DrRCordero-DoJ\\_FBI\\_08-09.pdf](http://Judicial-Discipline-Reform.org/DoJ-FBI/DrRCordero-DoJ_FBI_08-09.pdf)

**b)** The evidence was mailed, emailed, and faxed to the leaders of the Senate and the members of its Judiciary Committee that reviewed Then-CA2 J. Sotomayor's nomination; none acted on it; [http://Judicial-Discipline-Reform.org/SCT\\_nominee/Senate/20DrCordero-SenJudCom.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/Senate/20DrCordero-SenJudCom.pdf) and [http://Judicial-Discipline-Reform.org/SCT\\_nominee/Senate/1DrCordero-Senate.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/Senate/1DrCordero-Senate.pdf).

**c)** The co-scheming attorneys were complained against to the Attorney Grievance Committee in Rochester, NY; [http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/1DrRCordero-Att\\_Grievance\\_Com.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/1DrRCordero-Att_Grievance_Com.pdf). Instead of the Committee discharging its duty to ask the attorneys to reply or produce documents, *id.* >GCd:69, *cf.* >lg:221, it dismissed the complaint, for its

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members had a conflict of interests: Court records show that they worked for or with the attorneys and judge running the fraud scheme or were involved in the type of bankruptcies concerned by it; id. >ri:132§IV (and >rr:121). When the members became the subject of another complaint, id. ri:116¶9, in self-interest they dismissed it too; id. > ri:169.

**d)** A similar complaint was filed with the Attorney Disciplinary Committee in NY City, [http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/1DrRCordero-Disciplinary\\_Com.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/1DrRCordero-Disciplinary_Com.pdf) >GC:i; and its members, id. >GC:viii; rr:91, Ci:170; 128 and 168, against NYC attorneys, >GC:1 and Ci: 163§VII. They dismissed it; id. >rr:88 and Ci:173. To protect their colleagues, they evaded their duty to investigate by avoiding through willful blindness the means to ascertain the facts.

**e)** The complaint was then submitted to NY County D.A. Cyrus Vance, Jr.; [http://Judicial-Discipline-Reform.org/DANY/9DrRCordero-NYCDACVance\\_11nov10.pdf](http://Judicial-Discipline-Reform.org/DANY/9DrRCordero-NYCDACVance_11nov10.pdf). Before and after his election, he indicated that “It is a top priority of the Office to investigate and prosecute those who violate the public’s trust” because “[p]ublic employees must be held to the highest standards of honesty and integrity”;id. >DA:viii/ent.32; cf. >DA:vii/ent.27. He has yet to even acknowledge receipt.

**f)** The complaint was sent to the Public Integrity Unit that D.A. Vance formed; id. >DA:iii/ent.1. Its chief, A.D.A. Daniel G. Cort, dismissed it without any investigation alleging that “we do not believe that we can establish a criminal charge beyond a reasonable doubt”; [http://Judicial-Discipline-Reform.org/DANY/2DA/9DrRCordero-ADADGCort\\_21jan11.pdf](http://Judicial-Discipline-Reform.org/DANY/2DA/9DrRCordero-ADADGCort_21jan11.pdf). No district attorney leaps without any investigation from a Suspicious Activity Report (SAR) sent in by a financial institution employee to the judgment that no jury would convict and thereby dismisses the Report, leaving the suspicious activity to fester on his watch into a full-fledged scheme.

**g)** D.A. Vance has a conflict of interests in even asking for documents from judges or lawyers:

- i. Judges who find out that they are under investigation can retaliate by systematically dismissing his cases, denying his motions, and issuing rulings and jury instructions that all but doom his cases. After losing a substantial number of cases, neither a D.A. perceived to be ineffectual has any chance of reelection nor assistant D.A.s can expect to be promoted.
- ii. There can be no hope of receiving the indispensable campaign donations from lawyers if even top ones appointed by judges to serve on powerful committees, such as the 1st Department’s Attorney Disciplinary Committee in NY City, were investigated.
- iii. Now-Justice Sotomayor is a Former A.D.A. (1979-84) and colleague of Former A.D.A. Vance, both of whom worked in the Office of NY County D.A. Robert M. Morgenthau.
- iv. DA Vance himself was a member of the Judicial Screening Panel, which makes recommendations for judicial appointments as part of the 1<sup>st</sup> Department Appellate Division, which appointed the members of the Attorney Disciplinary Committee for their ‘integrity’. It would not sit well with the Appellate judges if their appointees were shown to have turned a blind eye to the bankruptcy fraud scheme, thus covering it up.
- v. Fellow Democrat Lt. Gov. Robert Duffy was the mayor of Rochester, where the cases revealing the scheme arose. He could retaliate against, or withhold his cooperation from, D.A. Vance if his investigation led to close associates of or the former mayor himself.

**h)** It was sent to NY State A.G. Eric Schneiderman and delivered on 8feb11; ent.11. He has not yet acknowledged receipt. Other recipients are listed and discussed at id. >AG:261§II.

<sup>25</sup> Leads for the investigation are at ent.11 >AG:215-240; the bankruptcy petition in *DeLano* is at [http://Judicial-Discipline-Reform.org/Follow\\_money/DeLano\\_docs.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DeLano_docs.pdf) >§V.

<sup>26</sup> The *Follow the money!* investigation into coordinated judicial wrongdoing can lead to a historic presentation, official investigations, and laws restructuring the Judiciary to ensure accountability; [http://Judicial-Discipline-Reform.org/DeLano\\_course/17Law/DrRCordero\\_Course\\_trigger\\_history.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/17Law/DrRCordero_Course_trigger_history.pdf).

Dr. Richard Cordero, Esq.

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February 4, 2011

Mr. Eric T. Schneiderman  
New York State Attorney General  
The Capitol  
Albany, NY 12224-0341

Re: 1<sup>st</sup> investigation: *Follow the money!*

Dear Mr. Schneiderman,

You just stated that you have “established restoring the public’s faith in its public and private sector institutions as key priorities for [your] office, by focusing on areas including public integrity, economic [and] social justice...”<sup>1</sup> In reliance on this and your commitment to be “a champion for people concerned about government corruption”<sup>2</sup>, I hereby file a complaint about a bankruptcy fraud scheme entailing corruption, concealment of assets, tax evasion and self-interested dismissal of complaints.

The scheme originates in the Federal Judiciary.<sup>3</sup> Its judges abuse their self-policing power by self-exempting from any discipline<sup>4</sup>. With risklessness assured, they handle wrongfully the greatest corruptor at all levels: money!, at least \$325.6 bl. in FY09<sup>5</sup> at stake just in personal bankruptcies<sup>6</sup>. So they harm millions<sup>7</sup>, but protect their own, such as a bankruptcy officer at a major bank<sup>8</sup>. After he went ‘bankrupt’, *every single document*<sup>9</sup> requested by a creditor to expose his concealment of assets was denied by the CA2-appointed<sup>10</sup> bankruptcy judge<sup>11</sup> and the district and CA2 judges, including Then-J. Sotomayor, presiding<sup>12</sup>. By violating discovery rights and dismissing the case<sup>13</sup>, she too covered up the scheme. Judges cover up their assets by filing pro forma financial disclosure reports<sup>14</sup>, which Judge Sotomayor did<sup>15</sup>, as hinted at by major newspapers<sup>16</sup>.

The co-scheming attorneys became the subject of a complaint to the Attorney Grievance Committee in Rochester.<sup>17</sup> That Committee dismissed it without even asking them to reply or produce documents, for its members had a conflict of interests: Court records show that they worked for or with the attorneys and judge running the fraud scheme or were involved in the type of bankruptcies concerned by it.<sup>18</sup> When the members became the subject of another complaint<sup>19</sup>, in self-interest they dismissed it too!<sup>20</sup> A similar complaint<sup>21</sup> was filed with each 1<sup>st</sup> Department Disciplinary Committee member<sup>22</sup> against NYC attorneys<sup>23</sup>. They too dismissed it out of hand.<sup>24</sup> To protect their colleagues, they all evaded their duty by avoiding through willful blindness the means to ascertain the facts. Did the NYS appellate judges commit a glaring error of judgment in appointing them ‘for their integrity’ or did they appoint them because the attorneys would not disrupt special interests?: attorneys’ donations to judicial elections and relations to federal judges.<sup>25</sup>

This complaint allows you to expose attorney grievance committee members’ and judges’ systematic dismissal of misconduct complaints<sup>26</sup> as self-dealing and pay to play to ensure reciprocal dismissals<sup>27</sup> and donations. If you truly meant to “go against anyone thinking of ripping off NY taxpayers”<sup>28</sup>, you can open a *Follow the money!* investigation<sup>29</sup> of fraud on the public, tax evasion<sup>30</sup> and money laundering by J. Sotomayor<sup>31</sup> and other federal<sup>32</sup> and state judges. It is warranted by reasonable suspicion based on official documents.<sup>33</sup> Not to investigate this complaint would mean that they are above suspicion and belie your FERA advocacy and promise “to be the harshest critic[] when it comes to corruption in the public sector...”<sup>34</sup>. So it should be investigated, e.g., by issuing the proposed subpoena that identifies key information and evidence<sup>35</sup>. This can lead to a national TV audience before whom you can ask ‘WHAT AND WHEN DID THE JUSTICES<sup>36</sup> AND JUDGES KNOW ABOUT THE BANKRUPTCY FRAUD SCHEME<sup>37</sup> AND WHY DID THEY NOT PROTECT “WE THE PEOPLE”?’ ...and be rewarded with higher office<sup>38</sup>, even a movie deal<sup>39</sup>. So I respectfully request that you **1)** investigate the complaint; **2)** keep me informed of progress openly or as Deep Throat; and **3)** ask me in for an interview.

Sincerely, *Dr. Richard Cordero, Esq.*

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## Endnotes

To easily retrieve the references below see the digital file, which is complete and has active links; [http://Judicial-Discipline-Reform.org/AG/1DrRCordero-AGESchneiderman\\_4feb11.pdf](http://Judicial-Discipline-Reform.org/AG/1DrRCordero-AGESchneiderman_4feb11.pdf).

- <sup>1</sup> About the Attorney General; <http://www.ag.ny.gov/about.html>
- <sup>2</sup> A.G. Schneiderman Visits Rochester, Vowing To Be A Champion For Upstate; [and] Details Ambitious Agenda for the Region, Including Cracking Down on Fraud & Corruption Against Taxpayers; [http://www.ag.ny.gov/media\\_center/2011/jan/jan24a\\_11.html](http://www.ag.ny.gov/media_center/2011/jan/jan24a_11.html). Cf. [http://Judicial-Discipline-Reform.org/Follow\\_money/Champion\\_of\\_Justice.pdf](http://Judicial-Discipline-Reform.org/Follow_money/Champion_of_Justice.pdf)
- <sup>3</sup> In the 222 years since the establishment of the Federal Judiciary in 1789, only 8 federal judges have been impeached and removed; Federal Judicial Center, [http://www.fjc.gov/history/home.nsf/page/judges\\_impeachments.html](http://www.fjc.gov/history/home.nsf/page/judges_impeachments.html). Put this in perspective against the 2,132 justices, judges, and magistrates in office on 30sep09; <http://www.uscourts.gov/Statistics/JudicialBusiness/JudicialBusiness.aspx?doc=/uscourts/Statistics/JudicialBusiness/2009/JudicialBusinesspdfversion.pdf> >34-37; and [http://Judicial-Discipline-Reform.org/statistics&tables/num\\_jud\\_officers.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/num_jud_officers.pdf).

“About 3.2% of adults in the U.S. resident population, or 1 in every 31 adults, were under correctional supervision at yearend 2008. This rate has remained fairly stable during the past eight years.” Probation and Parole in the U.S., 2008, Lauren E. Glaze and Thomas P. Bonczar, Bureau of Justice Statistics, DoJ, BJS Bulletin, dec9, NCJ 228230, at 3; <http://bjs.ojp.usdoj.gov/index.cfm?ty=dcdetail&iid=271>; and [http://Judicial-Discipline-Reform.org/docs/statistics&tables/correctioneers/correctional\\_population\\_1in31.pdf](http://Judicial-Discipline-Reform.org/docs/statistics&tables/correctioneers/correctional_population_1in31.pdf).

If the “1 in every 31” statistic is applied arguendo to the 2,132 judicial officers at the end of FY09, then 69 of them should have been, not on the bench, but rather “incarcerated or on probation or parole”. No doubt, this application can be subjected to reasonable statistical refinements. However, the result would still not support the pretense of the judges on the Judicial Council of the Second Circuit, including Then-Judge Sotomayor during her stint there, that in the FY96-09 13-year period not a single one of their 2<sup>nd</sup> Circuit complained-against judge or magistrate peers engaged in conduct suspect enough to warrant that the dismissal by the CA2 chief judge of the corresponding complaint be reviewed by the Council, let alone by an investigative committee(endnote 4) appointed by it under 28 U.S.C. §354(a)(1)(C) (<http://Judicial-Discipline-Reform.org/docs/28usc351-364.pdf>).

The Council’s pretense implies that a CA2 chief judge cannot err and a 2<sup>nd</sup> Circuit judge can do no wrong. That is outright implausible as contrary to human and judicial experience. The pretense is further exposed as such by the indisputable fact that the number of persons investigated for administrative, civil, or criminal misconduct is always substantially higher than the number of those that end up being criminally charged, tried, convicted, and incarcerated or placed on probation.

What is more, the “1 in every 31” statistic does not even include the number of adults in the population suffering from a mental or physical disability that incapacitates them from working. By contrast, that kind of disability can be the predicate of a complaint against a judge, which opens the way to an investigation, suspension from case assignment, removal of a bankruptcy or magistrate judge, and

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theoretically even impeachment of a district and circuit judge and a Supreme Court justice. Hence, the inclusion of disable judges in 1 in 31 the statistical calculation would result in that more than 69 out of the 2,132 of them in office on 30sep9 should have been not on the bench, but rather “incarcerated or on probation or parole”.

<sup>4</sup> Federal judges have systematically dismissed 99.82% of the 9,466 complaints filed against them under 28 U.S.C. §351 et seq. during the 1oct96-30sep8 12-year period covered by the posted statistics. See the statistical tables of misconduct complaint against judges, prepared by the Administrative Office of the U.S. Courts, Judicial Business of the U.S. Courts; <http://www.uscourts.gov/Statistics/JudicialBusiness.aspx> > Table S-22 Report of Action Taken on Complaints (in earlier years Table S-23 or 24); collected and relevant values tabulated at [http://Judicial-Discipline-Reform.org/statistics&tables/judicial\\_misconduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/judicial_misconduct_complaints.pdf) >Cg:6

Judge Sonia Sotomayor supported the Judicial Council’s policy, revealed by those official statistics, of denying 100% of petitions to review systematically dismissed complaints against judges in the 2<sup>nd</sup> Circuit. Id. >Cg:7 and infra at AG:214.

<sup>5</sup> In FY09 -1oct8-30sep9-, federal bankruptcy judges dealt with the staggering \$325.6 billion in liabilities self-reported by individual debtors in cases with predominantly consumer debt; to this figure must be added the \$10s of billions in debt of predominantly business debtors. They discharged \$310,329,885,000. Even a tiny percentage of this amount and of the non-discharged difference of \$15,270,115,000 is a colossal amount of money, particularly because it is concentrated in the hands of only judges and a few insiders of the bankruptcy and legal systems with whom they coordinate their wrongdoing to extend its reach and profitability. As an enabling and aggravating factor, judges abuse their power to exempt from investigation and discipline themselves and those insiders, in whose favor they rule if ever sued. So they have the most irresistible incentive to do wrong by grabbing the money: risklessness. [http://www.uscourts.gov/News/TheThirdBranch/10-07-01/BAPCPA\\_Report\\_Looks\\_at\\_Filers\\_in\\_Non-business\\_Bankruptcies.aspx](http://www.uscourts.gov/News/TheThirdBranch/10-07-01/BAPCPA_Report_Looks_at_Filers_in_Non-business_Bankruptcies.aspx); tables collected at [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/bkr\\_dollar\\_value.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/bkr_dollar_value.pdf) >dv:1

<sup>6</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/How\\_fraud\\_scheme\\_works.pdf](http://Judicial-Discipline-Reform.org/Follow_money/How_fraud_scheme_works.pdf)

<sup>7</sup> In the year to 30jun10, 1,572,597 cases were filed in the U.S. Bankruptcy Courts, a 20.4% increase over the 1,306,315 filed in the year to 30jun9, which continued the upward trend since 2006; <http://www.uscourts.gov/Statistics/BankruptcyStatistics.aspx> >12-month period ending June >2009-2010 Calendar Year comparison; and [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/latest\\_bkr\\_filings.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/latest_bkr_filings.pdf).

These figures do not include those for cases filed in CY09 elsewhere:

- a) 43,776 civil cases (=57,138 -13,362) filed in the 12 regional U.S. Courts of Appeals (Table B-1.—Appeals Commenced);
- b) 1,337 filed in the Court of Appeals for the Fed. Cir. (Table B-8. Appeals Filed); nor
- c) 278,884 civil cases filed in the U.S. District Courts.

Administrative Office of the United States Courts, *Statistical Tables for the Federal Judiciary*: December 31, 2009; Washington, D.C., 2010; <http://www.uscourts.gov/>

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[Statistics/StatisticalTablesForTheFederalJudiciary/December2009.aspx](http://Statistics/StatisticalTablesForTheFederalJudiciary/December2009.aspx); and [http://Judicial-Discipline-Reform.org/statistics&tables/caseload/1judicial\\_caseload.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/caseload/1judicial_caseload.pdf)

In all these 1,896,594 (=1,572,597 + 323,997 [=43,776 + 1,337 + 278,884]) civil cases, money could have been at stake and unaccountable federal judges could abusively wield power in self-interest to decide who kept it or had to pay it out to somebody.

<sup>8</sup> The Salient Facts of The *DeLano* Case: a bankruptcy fraud scheme; infra [AG:216](#).

The detailed statement of facts is infra [GC:41§D](#). The *DeLano* Case: bankruptcy fraud through concealment of assets covered up to make a retirement gift to an insider.

<sup>9</sup> Infra [GC:49§4](#); and [Ci:157§V](#)

<sup>10</sup> Appointment of bankruptcy judges [by their respective court of appeals]; [http://Judicial-Discipline-Reform.org/docs/28usc151-159\\_bkr\\_judges.pdf](http://Judicial-Discipline-Reform.org/docs/28usc151-159_bkr_judges.pdf)

<sup>11</sup> Judicial Misconduct Complaint under 28 U.S.C. §351 against U.S. Bankruptcy Judge John C. Ninfo, II, WBNY, Rochester, NY, for bias, prejudice, and abuse of judicial power in support of a bankruptcy fraud scheme and its cover up; [http://Judicial-Discipline-Reform.org/docs/DrRCordero\\_2v\\_JNinfo\\_6jun8.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero_2v_JNinfo_6jun8.pdf)

<sup>12</sup> Infra [AG:213](#). The *DeLano* case is so incriminating that Judge Sotomayor withheld it from the Senate Judiciary Committee that held hearings on her nomination for a justiceship. She violated her duty to comply with the Committee's request for her to produce all cases in which she had participated, let alone presided over, as she had in *DeLano*. In addition, she perjured herself, for she swore that she had complied with the production request; [http://Judicial-Discipline-Reform.org/SCt\\_nominee/Senate/1DrCordero-Senate.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/Senate/1DrCordero-Senate.pdf). Thus, she also violated her duty to the public to disclose all matters relevant to assess her integrity and competence to become a justice.

<sup>13</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_CA2\\_rehear.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_CA2_rehear.pdf)

<sup>14</sup> Federal judges earn judicial salaries, [http://Judicial-Discipline-Reform.org/docs/Schedule7\\_Judicial\\_Salaries.pdf](http://Judicial-Discipline-Reform.org/docs/Schedule7_Judicial_Salaries.pdf), that put them in the top 2% of income earners in our country; [http://www.census.gov/compendia/statab/cats/income\\_expenditures\\_poverty\\_wealth/income\\_for\\_persons.html](http://www.census.gov/compendia/statab/cats/income_expenditures_poverty_wealth/income_for_persons.html); and [http://Judicial-Discipline-Reform.org/docs/US\\_Census\\_Income\\_2010.pdf](http://Judicial-Discipline-Reform.org/docs/US_Census_Income_2010.pdf) >Table 689. Money Income of People--Number by Income Level and by Sex, Race, and Hispanic Origin: 2007: 4,777,000 people earned \$150,000 and above out of 238,148,000 representing 2.01% of the total. Those judges cannot end up with the few assets that they disclose year after year unless they squander their money or conceal it; [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/12table\\_JSotomayor-financials.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/12table_JSotomayor-financials.pdf)

<sup>15</sup> At the time of Then-Judge Sotomayor' 2009 nomination to a justiceship, her annual earnings were over \$210,000. Where did they go, since her reports, [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/JSotomayor\\_03-07\\_reports.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/JSotomayor_03-07_reports.pdf), do not account for them?; infra [AG:201](#).

Cf. Judge David Larimer, WDNY, the U.S. district judge in the bankruptcy officer's bankruptcy; infra [GC:30§5](#) and [52§6](#). In 2008, his judicial salary alone was

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\$169,300, placing him in the top 2% of income earners; supra [ent14](#). Yet, in his available financial disclosure reports, [http://Judicial-Discipline-Reform.org/docs/J\\_Larimer\\_fin\\_disclosure\\_rep.pdf](http://Judicial-Discipline-Reform.org/docs/J_Larimer_fin_disclosure_rep.pdf), he disclosed for the reported years up to 5 accounts with \$1,000 or less each, no transaction reported in a mutual fund or the other accounts, and a single loan of between \$15K-\$50K. Where did his money go?

Their concealment of assets explains their disregard of the bankruptcy officer's incongruous, implausible, and suspicious bankruptcy petition disclosures, infra [GC:42§1](#), and their denial of discovery of *every single document* concerning his bankruptcy, lest the documents lead to his indictment for bankruptcy fraud and it causes him to plea bargain by incriminating these judges and others in the bankruptcy fraud scheme.

<sup>16</sup> Articles in the *NYT*, *The Washington Post*, and Politico; [http://Judicial-Discipline-Reform.org/Sct\\_nominee/JSotomayor\\_integrity/6articles\\_JSotomayor\\_financials.pdf](http://Judicial-Discipline-Reform.org/Sct_nominee/JSotomayor_integrity/6articles_JSotomayor_financials.pdf)

<sup>17</sup> Complaint to the Attorney Grievance Committee for the NYS 7<sup>th</sup> Judicial District against attorneys engaged in misconduct contrary to law and/or the NYS Unified Court System, Part 1200 - Rules of Professional Conduct; 25feb10; [http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/16App\\_Div/DrRCordero-AppDiv4dpt.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/16App_Div/DrRCordero-AppDiv4dpt.pdf)

<sup>18</sup> Id. ri:132§IV; cf. infra [rr:121](#), and [Ci:161§VI](#)

<sup>19</sup> Id. ri:111

<sup>20</sup> Id. ri:169

<sup>21</sup> Infra [GC:i](#). Cover letter; [GC:3§II](#). Complaint Overview; [GC:14§III](#). Statement of Facts

<sup>22</sup> Infra [GC:i](#) and [viii](#); [rr:91](#) and [Ci:170](#); and [Ci:128](#) and [168](#)

<sup>23</sup> Infra [GC:1](#) and [Ci:163§VII](#)

<sup>24</sup> Infra [rr:88](#) and [Ci:173](#)

<sup>25</sup> “Without public confidence, the judicial branch could not function.” Commission To Promote Public Confidence In Judicial Elections; [www.nycourts.gov/reports/JudicialElectionsReport.pdf](http://www.nycourts.gov/reports/JudicialElectionsReport.pdf) and [http://Judicial-Discipline-Reform.org/docs/NYS\\_courts\\_without\\_confidence.pdf](http://Judicial-Discipline-Reform.org/docs/NYS_courts_without_confidence.pdf)

<sup>26</sup> Infra [Ci:154§B](#)

<sup>27</sup> Infra [Ci:151§A](#)

<sup>28</sup> A.G. Schneiderman Launches New Initiative To Bolster Recovery of Taxpayer Dollars & Fight Gov. Fraud; [http://www.ag.ny.gov/media\\_center/2011/jan/jan27a\\_11.html](http://www.ag.ny.gov/media_center/2011/jan/jan27a_11.html).

<sup>29</sup> Infra [GC:63§2](#); specifics of a targeted, cost-effective investigation, infra [AG:215-218](#).

<sup>30</sup> “The launch of Schneiderman's new initiative comes as New York State must close a looming \$10 billion gap in next year's \$136 billion budget, and projected deficits of \$14 billion and \$17 billion in the following years”; supra [ent28](#).

<sup>31</sup> That Then-Judge Sotomayor could have concealed assets, as did the scheme insider bankruptcy officer in *DeLano*, is possible, for she was nominated by President Obama, who also nominated known tax cheats Tim Geithner, Tom Daschle, and Nancy Killefer; [http://Judicial-Discipline-Reform.org/docs/Geithner\\_tax\\_evasion\\_jan9.pdf](http://Judicial-Discipline-Reform.org/docs/Geithner_tax_evasion_jan9.pdf);

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[http://Judicial-Discipline-Reform.org/docs/Tom\\_Daschle\\_tax\\_evasion\\_feb9.pdf](http://Judicial-Discipline-Reform.org/docs/Tom_Daschle_tax_evasion_feb9.pdf); and [http://Judicial-Discipline-Reform.org/docs/Nancy\\_Killefer\\_3feb9.pdf](http://Judicial-Discipline-Reform.org/docs/Nancy_Killefer_3feb9.pdf).

“In recent years, New Yorkers have experienced unacceptable incidents of dishonesty at all levels of government, from lower-level public employees to the highest ranks of our state government,” said DA Vance. “This legislation provides the tools to enable local prosecutors to regain their traditional role and responsibility in rooting out and prosecuting public corruption. New Yorkers deserve greater integrity in public service.” DA Vance, Senator Schneiderman, Assembly Member Kellner Announce Major Reforms To Combat Public Corruption; 4may10; <http://www.manhattanda.org/whatsnew/press/2010-05-04.shtml>; and [http://Judicial-Discipline-Reform.org/docs/DANY\\_Pub\\_Corrupt\\_Unit\\_20oct10.pdf](http://Judicial-Discipline-Reform.org/docs/DANY_Pub_Corrupt_Unit_20oct10.pdf)

A.G. Schneiderman and his staff must expose those with “the highest ranks” who cover up their peers’ wrongdoing, even if only to shame them. President Obama, who vetted Then-Judge Sotomayor, and the Senators, who reviewed the financial documents that she submitted to the Senate Judiciary Committee and who posted them, [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/2SenJudCom\\_Questionnaire\\_JSotomayor.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/2SenJudCom_Questionnaire_JSotomayor.pdf), had evidence that she had failed to account for \$3,611,696 in earnings minus taxes and her ‘modest living’ cost; infra AG:201. For their short term political benefit from putting the first Latina and third woman on the Court, they saddled the public with a life-tenured dishonest justice; [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/15JSotomayor\\_wrongdoing.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/15JSotomayor_wrongdoing.pdf).

<sup>32</sup> Unaccountability in the Federal Judiciary: Coordinated wrongdoing institutionalized as modus operandi; [http://Judicial-Discipline-Reform.org/docs/Dynamics\\_of\\_corruption.pdf](http://Judicial-Discipline-Reform.org/docs/Dynamics_of_corruption.pdf)

<sup>33</sup> Infra Ci:144§III

<sup>34</sup> “Recouping taxpayer dollars illegally siphoned from state coffers is critically important,” Gov. Andrew M. Cuomo said. „In the A.G.’s Office we aggressively pursued these recoveries and I commend A.G. Schneiderman for bringing fresh ideas and new approaches to build on this record of success”; supra ent28.

<sup>35</sup> Infra AG:221

<sup>36</sup> [http://Judicial-Discipline-Reform.org/docs/SCt\\_knows\\_of\\_dismissals.pdf](http://Judicial-Discipline-Reform.org/docs/SCt_knows_of_dismissals.pdf)

<sup>37</sup> U.S. Sen. Howard Baker would ask that question of each witness at the nationally televised Senate Watergate Committee hearings. He thus became a national public figure and introduced that piercing question of accountability into our political language; [http://Judicial-Discipline-Reform.org/docs/CNN\\_Watergate.pdf](http://Judicial-Discipline-Reform.org/docs/CNN_Watergate.pdf) >wg:4. A.G. Schneiderman and his principled staffers will take this opportunity to prove his and their “dedication to serving the people of NY [by] “build[ing] the greatest public interest law firm in America”, rather than one in the interest of powerful Supreme Court justices, NYS judges, and well-connected, generous donor-lawyers. If they do so, they will have kept their word and earn the respect, trust, and attention of those who matter most at the next election: the people. A.G. Eric T. Schneiderman Announces Additional Staff Appointments; [http://www.ag.ny.gov/media\\_center/2011/jan/jan03a\\_11.html](http://www.ag.ny.gov/media_center/2011/jan/jan03a_11.html).

<sup>38</sup> Infra GC:66§4

<sup>39</sup> Infra GC:63fn94

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## Table of Attached Documents

**NOTE:** All pages are numbered consecutively; the letters preceding the numbers identify the nature or stage of the proceedings to which they belong: lg:i >lg:1 >AG:i >GC:i >GC:1 GCd:69 >rr:88 >Ci:126 >AG:201 >lg:221 >AG:251 to end.

Summarizing title pages of the request addressed to the U.S. Attorney General's Office for a **Follow the money!** investigation ..... lg:i  
and a **Follow the wire!** investigation.....lg:241  
based on the statement of facts contained in the 4feb11 request to NY State Attorney General Eric Schneiderman, who has not acknowledged receipt of it

### I. Complaint About A Bankruptcy Fraud Scheme and Its Cover-up and request for a *Follow the money!* investigation

1. Dr. Richard Cordero, Esq., to the New York State Attorney General's Office to **a)** file a complaint against public employees, judges, attorneys, and other individuals in Rochester, NY City, and elsewhere for public corruption through their participation in, and cover-up of, a bankruptcy fraud scheme involving concealment of assets, tax evasion, and the dismissal in self-interest of attorney misconduct complaints; **b)** provide information to make a focused and cost-effective **Follow the money!** investigation possible; and **c)** afford A.G. Eric M. Schneiderman an opportunity to prove his commitment to protect the people of New York, in general, and the taxpayers, in particular, rather than his supporters and party colleagues; 4feb11..... AG:i
2. Dr. Cordero's cover letters to **Thomas N. Trevett, Esq., Chair** of the NY State Fourth Judicial Department, Seventh District, Attorney Grievance Committee in Rochester, 25feb10; and **Roy L. Reardon, Esq., Chair** of the First Department Attorney Disciplinary Committee in NY City, 1mar10; as samples of the individualized cover letter sent to each of the policy committee members of each of those Committees together with an original of the complaint, after which an individualized letter and an original of the complaint were sent to each of the other members of those Committees and to the chairs of the corresponding Committees in Buffalo and Syracuse ..... GC:i  
[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/1DrRCordero-Att\\_Grievance\\_Com.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/1DrRCordero-Att_Grievance_Com.pdf)  
[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/1DrRCordero-Disciplinary\\_Com.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/1DrRCordero-Disciplinary_Com.pdf)
  - a. Dr. Cordero's complaint to the departmental attorney grievance and disciplinary Committees of the NYS Supreme Court, Appellate Division, for the Fourth and the First Judicial Departments, respectively, against attorneys engaged in misconduct contrary to law and/or the NYS Unified Court System, Part 1200 - Rules of Professional Conduct, which reveal the participation also of judges, trustees, and other insiders of the bank-

ruptcy and legal systems in a bankruptcy fraud scheme and its cover-up.....	GC:1
b. Demand for Information and Evidence, proposed for issuance by the Departmental Disciplinary Committee.....	GCd:69
See it also as retitled and adapted for issuance by the U.S. Attorney General's Office .....	lg:221
c. Alan W. Friedberg, Esq., Chief Counsel of the First Department Disciplinary Committee, to Dr. Cordero notifying him of the dismissal of his complaint because "the Committee member concluded that no further investigation or action was warranted"; 6apr10.....	rr:88
d. Dr Cordero to Chief Counsel Friedberg requesting reconsideration of the dismissal based on a conclusory boilerplate with no statement of reasons; 5may10 .....	rr:91
e. Sample of Dr Cordero's individualized cover letter to each member of the Departmental Disciplinary Committee requesting each to intervene in the review of the complaint and reconsideration of its rejection by the Office of the Chief Counsel, which neither investigated it nor even asked the complained-against attorneys to respond to it; 21may10.....	Ci:128
f. Chief Counsel Friedberg to Dr Cordero to reaffirm the 6apr dismissal of his complaint; 8jul10 .....	Ci:173

**II. Exhibits and Proposed Subpoena**

3. Judge Sotomayor of the Court of Appeals for the 2 <sup>nd</sup> Circuit (CA2) earned \$3,773,824 since 1988 + received \$381,775 in loans = \$4,155,599 + her 1976-1987 earnings, yet disclosed assets worth only \$543,903, thus leaving unaccounted for in her answers to the Senate Judiciary Committee \$3,611,696 minus taxes and the cost of her reportedly 'modest living': The similarity to the <i>DeLano</i> case, 06-4780-bk, CA2, that she presided over but withheld from the Committee holding hearings on her nomination to a justiceship of the U.S. Supreme Court; as of 14dec9 .....	AG:201
4. Summary order dismissing the <i>DeLano</i> case, 06-4780-bk, CA2, which Judge Sonia Sotomayor, presiding, withheld from the Senate Judiciary Committee; 7feb8 .....	AG:213
5. Second Circuit Judicial Council's and Judge Sotomayor's Denial of 100% of Petitions for Review of Systematically Dismissed Misconduct Complaints Against Their Peers and 0 Judge Disciplined in the 12-Year Period from 1oct96-30sep8 for which Reports Have Been Posted on the Internet.....	AG:214
(This policy was continued in 1oct8-30sep9 FY09; <a href="http://www.uscourts.gov/Statistics/JudicialBusiness/JudicialBusiness2009.aspx">http://www.uscourts.gov/Statistics/JudicialBusiness/JudicialBusiness2009.aspx</a> >Tables S-22A and B; and <a href="http://Judicial-Discipline-Reform.org/statistics&amp;tables/judicial_misconduct_complaints.pdf">http://Judicial-Discipline-Reform.org/statistics&amp;tables/judicial_misconduct_complaints.pdf</a> >Cg:5a and 44-47.)	



6. Synopsis of an Investigative Journalism Proposal Where the leads in evidence already gathered in a cluster of federal cases would be pursued in a Watergate-like *Follow the money!* investigation to answer the question: **HAS A FEDERAL JUDGESHIP BECOME A SAFE HAVEN FOR COORDINATED WRONGDOING?**..... AG:215
  - a. The Salient Facts of The *DeLano* Case, revealing the involvement of bankruptcy and legal system insiders in a judge-run bankruptcy fraud scheme ..... AG:216
  - b. Summary of the DeLanos' income of \$291,470 + mortgage receipts of \$382,187 = \$673,657 + borrowings of \$98,092, but incongruously only \$535 disclosed in their bankruptcy petition to the U.S. Bankruptcy Court..... AG:217
  - c. Leads for the *Follow the Money!* Investigation: from the financial statements of the Weak Link, the DeLanos, to the top of the bankruptcy fraud scheme..... AG:218
7. Dr. Cordero's proposed subpoena to be issued by the New York State Attorney General's Office, identifying key pieces of information and evidence apt to pinpoint the investigation of the complaint and render it cost-effective as retitled and adapted for issuance by the U.S. Attorney General's Office.... AG:221
8. See also: Transcript of the sham evidentiary hearing on the 'bankrupt' DeLanos' artifice of a motion to disallow a creditor's claim in order to strip him of standing in their bankruptcy case and thus deprive him of the right to keep requesting production of the documents that would prove their concealment of assets as part of the involvement of Bankruptcy Officer DeLano, an insider, in a judge-run and covered up bankruptcy fraud scheme; before Bankruptcy Judge John C. Ninfo, II, WBNY; 1mar5 (downloadable through [http://Judicial-Discipline-Reform.org/docs/transcript\\_DeLano\\_1mar5.pdf](http://Judicial-Discipline-Reform.org/docs/transcript_DeLano_1mar5.pdf))..... Tri-190  
(See the scheme's factual and statistical basis at [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/bkr\\_as\\_percent\\_new\\_cases.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/bkr_as_percent_new_cases.pdf))

**III. Complaint About Interference With Communications and request for a *Follow the wire!* investigation**

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# Dr. Richard Cordero, Esq.

Ph.D., University of Cambridge, England  
M.B.A., University of Michigan Business School  
D.E.A., La Sorbonne, Paris

59 Crescent St., Brooklyn, NY 11208  
[Dr.Richard.Cordero.Esq@gmail.com](mailto:Dr.Richard.Cordero.Esq@gmail.com)  
tel. (718)827-9521

## [Sample of the letter sent to the chair & each of the four Committee staffers.]

February 25, 2010

Thomas N. Trevett, Esq.  
Chair, Attorney Grievance Committee  
for the Seventh Judicial District  
50 East Avenue, Suite 404  
Rochester, NY 14604-2206

tel. (585)530-3180; fax (585)530-3191

Dear Mr. Trevett,

This is a misconduct complaint against the named attorneys. (GC:1 infra). It summarizes the evidence of misconduct found in close to 5,000 pages of court records (CD attached to back cover) accumulated in three related federal bankruptcy cases, two of which went all the way to the Supreme Court on petition for a writ of certiorari to the Court of Appeals for the 2<sup>nd</sup> Circuit<sup>1</sup>.

The Complaint Overview (3) describes the attorneys' key violations of the law and the Rules of Professional Conduct and their interrelationship. The Statement of Facts (14) begins with two attorneys repeatedly attempting to suborn perjury at an evidentiary hearing and a judge tolerating their doing so. The transcript (Tr:1) officially recorded their egregious misconduct and is attached at the back hereto because the whole of it consists of a series of acts of misconduct in open court that defies imagination and shocks the conscience<sup>2</sup>. Each of these three file components helps understand what creates the opportunity for the attorneys to engage in misconduct: When a judge leads the way into misconduct, the attorneys and court staff that can benefit from following him will do so. They are allowed as insiders into biased proceedings. The Statement tracks their misconduct steps through the three cases: *Premier* (17), *Pfuntner* (21), and *DeLano* (41). In them they appear acting in coordination under the two most insidious and corruptive motive and means: the enormous amount of money at stake in the thousands of bankruptcy cases that they have concentrated in their hands and the strongest power to break the law, i.e., that which also ensures immunity. They have coordinated their misconduct into a bankruptcy fraud scheme.

The attorneys and their scheme pose a systemic present danger to the public, not just to one complainant, for they deprive of property people with whom they do not even deal directly and deny them economic and due process rights. This calls for your investigation of this complaint to be resolute and in-depth. It can be pinpointed and expedited by the Demand for Information and Evidence.(GCf:8;) In turn, great pressure will be brought to bear upon you to stop the investigation or conduct it merely pro-forma. Here is where your enlightened self-interest comes into play.

Your courageous investigative and expository actions can put you at the center of attention far beyond the local scene because the complained-against attorneys and other court officers have engaged in misconduct in the federal bankruptcy system and judiciary, whose scopes are national. No amount of money can trump doing the right thing or buy as much publicity as defending millions of debtors, creditors, and the collaterally affected during their worst financial predicament –in FY09 1,402,816 cases were filed in the U.S. Bankruptcy Courts<sup>3</sup>– almost all of whom stand no chance against entrenched insiders wielding corrupt power<sup>4</sup>. For them, the Committee as a whole or its most principled and ambitious members can become Champions of Justice<sup>5</sup>. In a Congressional elections year, their gratitude can lay the foundation for a bid for national office to tackle a national problem, which those who knew did nothing about<sup>6</sup>. In the process, you can become our generation's Senator Sam Ervin of Watergate fame. (61§A) Hence, I respectfully request (68§B) that you investigate this complaint and keep me informed thereof. Thus, I look forward to hearing from you.

Sincerely, *Dr. Richard Cordero, Esq.*

# Dr. Richard Cordero, Esq.

Ph.D., University of Cambridge, England  
M.B.A., University of Michigan Business School  
D.E.A., La Sorbonne, Paris

59 Crescent St., Brooklyn, NY 11208  
[Dr.Richard.Cordero.Esq@gmail.com](mailto:Dr.Richard.Cordero.Esq@gmail.com)  
tel. (718)827-9521

[Sample of individualized letter sent to each member of the Policy Committee; [GC:viii](#)]

March 1, 2010

Roy L. Reardon, Esq.  
Chair, Departmental Disciplinary Committee  
NYS Supreme Court Appellate Div., 1<sup>st</sup> Judicial Dept.  
61 Broadway, 2<sup>nd</sup> Floor, New York, NY 10006

tel. (212)401-0800; fax (212)287-1045

Dear Mr. Reardon,

This is a misconduct complaint against the named attorneys. ([GC:1](#) infra). It summarizes the evidence of misconduct found in close to 5,000 pages of court records (CD attached to back cover) accumulated in three related federal bankruptcy cases, two of which went all the way to the Supreme Court on petition for a writ of certiorari to the Court of Appeals for the 2<sup>nd</sup> Circuit<sup>1</sup>.

The Complaint Overview ([3](#)) describes the attorneys' key violations of the law and the Rules of Professional Conduct and their interrelationship. The Statement of Facts ([14](#)) begins with two attorneys repeatedly attempting to suborn perjury at an evidentiary hearing and a judge tolerating their doing so. The transcript ([Tr:1](#)) officially recorded their egregious misconduct and is attached at the back hereto because the whole of it consists of a series of acts of misconduct in open court that defies imagination and shocks the conscience<sup>2</sup>. Each of these three file components helps understand what creates the opportunity for the attorneys to engage in misconduct: When a judge leads the way into misconduct, the attorneys and court staff that can benefit from following him will do so. They are allowed as insiders into biased proceedings. The Statement tracks their misconduct steps through the three cases: *Premier* ([17](#)), *Pfuntner* ([21](#)), and *DeLano* ([41](#)). In them they appear acting in coordination under the two most insidious and corruptive motive and means: the enormous amount of money at stake in the thousands of bankruptcy cases that they have concentrated in their hands and the strongest power to break the law, i.e., that which also ensures immunity. They have coordinated their misconduct into a bankruptcy fraud scheme.

The attorneys and their scheme pose a systemic present danger to the public, not just to one complainant, for they deprive of property people with whom they do not even deal directly and deny them economic and due process rights. This calls for your investigation of this complaint to be resolute and in-depth. It can be pinpointed and expedited by the Demand for Information and Evidence. ([GCd:8](#);) In turn, great pressure will be brought to bear upon you to stop the investigation or conduct it merely pro-forma. Here is where your enlightened self-interest comes into play.

Your courageous investigative and expository actions can put you at the center of attention far beyond the local scene because the complained-against attorneys and other court officers have engaged in misconduct in the federal bankruptcy system and judiciary, whose scopes are national. No amount of money can trump doing the right thing or buy as much publicity as defending millions of debtors, creditors, and the collaterally affected during their worst financial predicament –in FY09 1,402,816 cases were filed in the U.S. Bankruptcy Courts<sup>3</sup>– almost all of whom stand no chance against entrenched insiders wielding corrupt power<sup>4</sup>. For them, the Committee as a whole or its most principled and ambitious members can become Champions of Justice<sup>5</sup>. In a Congressional elections year, their gratitude can lay the foundation for a bid for national office to tackle a national problem, which those who knew did nothing about<sup>6</sup>. In the process, you can become our generation's Senator Sam Ervin of Watergate fame. ([61§A](#)) Hence, I respectfully request ([68§B](#)) that you investigate this complaint and keep me informed thereof. Thus, I look forward to hearing from you.

Sincerely, *Dr. Richard Cordero, Esq.*

March 1, 2010

**COMPLAINT**  
**to the Departmental Disciplinary Committee**  
**of the NYS Supreme Court, Appellate Division, 1st Judicial Department**  
**against attorneys engaged in misconduct contrary to law and/or**  
**the New York State Unified Court System, Part 1200 -**  
**Rules of Professional Conduct<sup>7</sup>**

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Adobe Reader 7 or higher is needed to open the pdfs; download it for free from <http://get.adobe.com/reader/>

<sup>1</sup> [http://Judicial-Discipline-Reform.org/US\\_writ/1DrCordero-SCt\\_petition\\_3oct8.pdf](http://Judicial-Discipline-Reform.org/US_writ/1DrCordero-SCt_petition_3oct8.pdf)  
[http://Judicial-Discipline-Reform.org/docs/DrCordero\\_petition\\_to\\_SCt\\_20jan5.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_petition_to_SCt_20jan5.pdf)

<sup>2</sup> See the analysis of the transcript (fn. 19 infra; Pst:1255§E)

<sup>3</sup> <http://www.uscourts.gov/Statistics/BankruptcyStatistics.aspx> and fn. 10 infra

<sup>4</sup> [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_v\\_Equal\\_Justice.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_v_Equal_Justice.pdf) >¶4

<sup>5</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/Champion\\_of\\_Justice.pdf](http://Judicial-Discipline-Reform.org/Follow_money/Champion_of_Justice.pdf)

<sup>6</sup> [http://Judicial-Discipline-Reform.org/SCt\\_nominee/Senate/DrRCordero-SenCSchumer.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/Senate/DrRCordero-SenCSchumer.pdf)

<sup>7</sup> 22 NYCRR Part 1200; <http://www.courts.state.ny.us/rules/jointappellate/index.shtml>; with enhanced bookmarks to facilitate navigation also at [http://Judicial-Discipline-Reform.org/docs/NYS\\_Rules\\_Prof\\_Conduct.pdf](http://Judicial-Discipline-Reform.org/docs/NYS_Rules_Prof_Conduct.pdf). Hereinafter referred to as the Rules or Rule #.

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**IX. Links to download the files, as updated, submitted on a CD-ROM to each member of the Policy Committee**

- i. To request the CD send an email to [Dr.Richard.Cordero.Esq@gmail.com](mailto:Dr.Richard.Cordero.Esq@gmail.com)
- ii. To download Adobe Reader 9.3 for free, go to <http://get.adobe.com/reader/>

a-c were printed on paper and burned on a CD-ROM and both the hard- and the digital copies were submitted to each of the nine members of the Policy Committee (GC:vii).

- a. Cover letter and complaint of March 1, 2010 (now including the petition for reconsideration of May 4, 2010)

[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/1DrRCordero-Disciplinary\\_Com.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/1DrRCordero-Disciplinary_Com.pdf)

- b. Proposed Demand for Information and Evidence

[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/5CD\\_Disom/2DrRCordero-DisCom\\_infoDemand.doc](http://Judicial-Discipline-Reform.org/NYS_att_complaints/5CD_Disom/2DrRCordero-DisCom_infoDemand.doc)

[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/5CD\\_DisCom/2DrRCordero-GC\\_infoDemand.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/5CD_DisCom/2DrRCordero-GC_infoDemand.pdf)

- c. Transcript of the evidentiary hearing in re David and Mary Ann DeLano

[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/5CD\\_DisCom/3transcript\\_DeLano\\_1mar5.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/5CD_DisCom/3transcript_DeLano_1mar5.pdf)

- d. Table of Exhibits in James Pfunter v. Trustee Kenneth Gordon et al.

[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/5CD\\_DisCom/4Pfunter\\_Table\\_Exhibits.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/5CD_DisCom/4Pfunter_Table_Exhibits.pdf)

- e. Exhibits in Pfunter

[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/5CD\\_DisCom/5Pfunter\\_record\\_A1-2229.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/5CD_DisCom/5Pfunter_record_A1-2229.pdf)

- f. Exhibits in DeLano volume 1 = D:1-CA:2090

[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/5CD\\_DisCom/6DeLano\\_D1-CA2090.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/5CD_DisCom/6DeLano_D1-CA2090.pdf)

- g. Exhibits in DeLano volume 2 = CA:2091-US:2547

[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/5CD\\_DisCom/7DeLano\\_CA2091-US2547.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/5CD_DisCom/7DeLano_CA2091-US2547.pdf)

**X. Service List ..... GC:viii**

## **X. Service List**

The complaint of March 1, 2010, to the Departmental Disciplinary Committee was sent under individualized cover letter to each of the members of its Policy Committee to the address below:

1. Roy L. Reardon, Esq., Chair
2. Alan W. Friedberg, Esq., Chief Counsel
3. Haliburton Fales, 2d, Esq., Special Counsel
4. Charlotte Moses Fischman, Esq., Special Counsel
5. Martin R. Gold, Esq., Special Counsel
6. Robert L. Haig, Esq., Special Counsel
7. Myron Kirschbaum, Esq., Special Counsel
8. William Francis Kuntz, II, Esq., Special Counsel
9. Stephen L. Weiner, Esq., Special Counsel

Departmental Disciplinary Committee  
Appellate Division, 1st Judicial Department  
NYS Supreme Court  
61 Broadway, 2nd Floor  
New York, NY 10006  
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tel. (718)827-9521

## I. List of Attorneys Complained-Against And Judges Who Are The Subject Of A Demand For Information<sup>8</sup>

1. Ms. Diana G. [Adams](#)  
U.S. Trustee for Region 2
2. Ms. Deirdre A. [Martini](#) and
3. Ms. Carolyn S. [Schwartz](#)  
Former U.S. Trustees for Region 2  
Office of the United States Trustee  
33 Whitehall Street, 21st Floor  
New York, NY 10004  
tel. (212)510-0500; fax (212)668-2255  
<http://www.justice.gov/ust/r02/>
4. Kenneth W. [Gordon](#), Esq.  
Chapter 7 Trustee (in *In re Premier*,  
Gordon & Schaal, LLP 01-20692, WBNY  
1039 Monroe Avenue and *Pfuntner v. Gordon et*  
Rochester, NY 14620 al., 02-2230, WBNY)  
tel. (585)244-1070; fax (585)244-1085  
[kengor@rochester.rr.com](mailto:kengor@rochester.rr.com)  
<http://www.gordonandschaal.com/aboutus.html>
5. David D. [MacKnight](#), Esq. (for James Pfuntner  
Lacy, Katzen, Ryen & Mittleman, LLP in  
The Granite Building, 2nd Floor *Pfuntner v.*  
130 East Main Street *Gordon et al.*, 02-2230,  
Rochester, NY 14604-1686 WBNY)  
tel. (585)324-5724; fax (585)269-3047  
[dmacknight@lacykatzen.com](mailto:dmacknight@lacykatzen.com)  
<http://lacykatzen.com/bio-dmacknight.aspx>
6. George Max [Reiber](#)  
Chapter 13 Trustee (in *In re DeLano*,
7. James W. Weidman, Esq. 04-20280, WBNY)  
Attorney for Trustee George Reiber  
Winton Court, 3136 Winton Road S., Ste. 206  
Rochester, NY 14623-2928  
tel. (585)427-7225; fax (585)427-7804  
[trustee13@roch13.com](mailto:trustee13@roch13.com)
8. Christopher K. [Werner](#), Esq., and (for Debtors
9. Devin Lawton [Palmer](#), Esq. David Gene  
Boylan, Brown, Code, and Mary Ann DeLano  
Vigdor & Wilson, LLP in *In re DeLano*,  
2400 Chase Square 04-20280, WBNY)  
Rochester, NY 14604  
tel. (585)232-5300; fax (585)232-3528  
<http://www.boylanbrown.com/attorneys.aspxn>
10. Michael J. [Beyma](#), Esq. (for M&T Bank and Officer  
Underberg & Kessler, LLP David Gene DeLano  
300 Bausch & Lomb Place in *Pfuntner v. Gordon et*  
Rochester, NY 14604 al., 02-2230, WBNY)  
tel. (585)258-2890; fax (585)258-2821;  
[mbeyma@underbergkessler.com](mailto:mbeyma@underbergkessler.com), &  
assistant [breed@underbergkessler.com](mailto:breed@underbergkessler.com)  
<http://www.underbergkessler.com/Attorneys/Detail/?ID=30>
11. Kathleen Dunivin [Schmitt](#), Esq.  
Assistant United States Trustee  
Office of the United States Trustee  
100 State Street, Room 609  
Rochester, NY 14614  
tel. (585)263-5812, fax (585)263-5862  
<http://www.justice.gov/ust/r02/rochester.htm>
12. Paul R. [Warren](#), Esq.  
Clerk of Court  
U.S. Bankruptcy Court  
1220 U.S. Courthouse  
100 State Street  
Rochester, NY 14614  
tel. (585)613-4200;  
<http://www.nywb.uscourts.gov/>
13. Bankruptcy Judge John C. [Ninfo](#), II<sup>8</sup>  
U. S. Bankruptcy Court  
1400 U.S. Courthouse, 100 State Street  
Rochester, NY 14614  
tel. (585)613-4200;  
<http://www.nywb.uscourts.gov/>;  
[http://www.nywb.uscourts.gov/about\\_judge\\_ninfo\\_46.php](http://www.nywb.uscourts.gov/about_judge_ninfo_46.php);  
[http://www.nywb.uscourts.gov/rochester\\_court\\_directory\\_11004.php](http://www.nywb.uscourts.gov/rochester_court_directory_11004.php).
14. District Judge David [Larimer](#) (ret.)<sup>8</sup>  
U.S. District Court, Western District of New  
York, WDNY  
Federal Building  
100 State Street, Rochester, NY 14614  
tel. (585)613-4000  
<http://www.nywd.uscourts.gov/mambo/>

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## II. Complaint Overview

### Key Elements of the Attorneys' Misconduct and Their Opportunity and Motive For Engaging In Coordinated Misconduct

1. The attorneys engaged in misconduct in this complaint have developed among themselves and with the judges the same harmful and corrupt relation that Congress found and tried to eliminate by adopting FRBP 2013.<sup>9</sup> The Advisory Committee on Rules of Practice and Procedure of the Judicial Conference of the U.S. summarized the Congressional findings in its note in 1979 to that rule (at that time titled Rule 2005) thus:

A basic purpose of the rule is to prevent what Congress has defined as "cronism." Appointment or employment, whether in a chapter 7 or 11 case, should not center among a small select group of individuals unless the circumstances are such that it would be warranted. The public record of appointments to be kept by the clerk will provide a means for monitoring the appointment process.

Subdivision (b) provides a convenient source for public review of fees paid from debtors' estates in the bankruptcy courts. Thus, public recognition of appointments, fairly distributed and based on professional qualifications and expertise, will be promoted and notions of improper favor dispelled. This rule is in keeping with the findings of the Congressional subcommittees as set forth in the House Report of the Committee on the Judiciary, No. 95-595, 95th Cong., 1st Sess. 89-99 (1977). These findings included the observations that there were **frequent appointments of the same person**, contacts developed between the bankruptcy bar and the courts, and **an unusually close relationship between the bar and the judges** developed over the years. A major purpose of the new statute is **to dilute these practices and instill greater public confidence in the system**. Rule 2005 implements that laudatory purpose. (emphasis added)

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<sup>8</sup> Under Rule 8.3(b), the Committee is authorized to demand information from "A lawyer who possesses knowledge or evidence concerning...a judge [and] the lawyer...shall not fail to respond", regardless of whatever authority that the Committee may have to impose disciplinary measures on, or take any other action regarding, such judge.

<sup>9</sup> Federal Rules of Bankruptcy Procedure, FRBP, with the Notes of the Advisory Committee, <http://www.law.cornell.edu/rules/frbp/rules.htm>; and also with added navigational bookmarks at [http://Judicial-Discipline-Reform.org/docs/FRBkrP\\_1dec9.pdf](http://Judicial-Discipline-Reform.org/docs/FRBkrP_1dec9.pdf). The official version but without the Notes is at <http://www.uscourts.gov/rules/index.html> >Rules and Forms in Effect, Federal Rules of Bankruptcy Procedure.

Keep in mind, however, that those files contain the current Rules as amended. To determine whether a rule has been amended since those in force at the time of the facts stated here, go to <http://uscode.house.gov/download/downloadPDF.shtml> >choose and click on a year >click on the equivalent of [2008usc11a.pdf](http://uscode.house.gov/download/downloadPDF.shtml) for the chosen year; or consult even *Bankruptcy Code, Rules and Forms, 2010 ed.*, published by West Thomson, which provides information on amendment and applicability dates;

<http://west.thomson.com/productdetail/160035/22035157/productdetail.aspx?promcode=600582C43556&promtype=internal>. See also fn. 16 infra.

[www.uscourts.gov/rules/Reports/ST09-1979.pdf](http://www.uscourts.gov/rules/Reports/ST09-1979.pdf) >Rule 2005  
<http://www.law.cornell.edu/rules/frbp/nrule2013.htm>  
[http://Judicial-Discipline-Reform.org/docs/FRBP\\_Rules\\_Com\\_79.pdf](http://Judicial-Discipline-Reform.org/docs/FRBP_Rules_Com_79.pdf)  
>Rule 2005

2. The complained-against attorneys together with judges and court staffers are insiders of the bankruptcy and legal systems. As such they have the opportunity, when handling petitions for bankruptcy, to engage in misconduct as well as the most enticing motive to do so: riskless enormous benefits. The benefits may be material, for federal bankruptcy judges rule on \$10s of billions every year<sup>10</sup>, or they may be moral, that is, avoidance of being shunned as treacherous pariahs for abiding by their duty of filing complaints against blameworthy colleagues<sup>11</sup>, and gain of the valuable interpersonal relations of camaraderie, complicit confidentiality, and reciprocal support from grateful colleagues whose misconduct they have willfully ignored, tolerated, or covered up. Judicial power provides the means for engaging in misconduct risklessly. In the first instance, it is exercised by U.S. Bankruptcy Judge John C. Ninfo, II, WBNY.<sup>12</sup> In his court, the misconducting attorneys are fixtures, who benefit from the extension to them of the de facto impunity that he enjoys as a federal judge and the appointee under 28 U.S.C. §152(a)<sup>13</sup> of the Court of Appeals for the Second Circuit (CA2). Indeed, the number of cases that, according to PACER<sup>14</sup>, they have brought before Judge Ninfo allows for the development of “an unusually close relationship between the[m]”. (¶1 quoted text, supra)
3. **Att. Kenneth W. Gordon**<sup>15</sup> is the standing 11 U.S.C. Chapter 7<sup>16</sup> Trustee<sup>17</sup>, who out of his

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<sup>10</sup> Cf. “November 25, 2009 — Bankruptcy cases filed in federal courts for fiscal year 2009 totaled 1,402,816, up 34.5 percent over the 1,042,993 filings reported for the 12-month period ending September 30, 2008, according to statistics released today by the Administrative Office of the U.S. Courts.” These statistics are collected, with links to their source, at: [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/Bkr\\_filings\\_25nov9.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/Bkr_filings_25nov9.pdf).

<sup>11</sup> E.g., Rule 8.3(a) on Reporting Professional Misconduct; and 18 U.S.C. §3057(a) on Requesting Bankruptcy Investigations, <http://Judicial-Discipline-Reform.org/docs/18usc3057.pdf>

<sup>12</sup> Bankruptcy Judge John C. Ninfo, II, U. S. Bankruptcy Court, 1400 U.S. Courthouse, 100 State Street, Rochester, NY 14614; tel. (585)613-4200; <http://www.nywb.uscourts.gov/>; [http://www.nywb.uscourts.gov/about\\_judge\\_ninfo\\_46.php](http://www.nywb.uscourts.gov/about_judge_ninfo_46.php); [http://www.nywb.uscourts.gov/rochester\\_court\\_directory\\_11004.php](http://www.nywb.uscourts.gov/rochester_court_directory_11004.php).

<sup>13</sup> [http://Judicial-Discipline-Reform.org/docs/28usc151-159\\_bkr\\_judges.pdf](http://Judicial-Discipline-Reform.org/docs/28usc151-159_bkr_judges.pdf)

<sup>14</sup> <http://www.pacer.uscourts.gov/index.html>

<sup>15</sup> Kenneth W. Gordon, Esq., Chapter 7 Trustee, Gordon & Schaal, LLP, 1039 Monroe Avenue, Rochester, NY 14620-1730; tel. (585)244-1070; fax (585)244-1085; formerly at 1099 Monroe Avenue, Suite 2, Rochester, NY 14620-1730; and before that at 100 Meridian Centre Blvd., Suite 120, Rochester, NY 14618; [kengor@rochester.rr.com](mailto:kengor@rochester.rr.com);

3,383 cases had 3,382 before Judge Ninfo, as of June 26, 2004<sup>18</sup>, <sup>19</sup>. By comparison, a judicial emergency is defined as “any vacancy in a district court where weighted filings are in excess of 600 per judgeship”<sup>20</sup>. Trustee Gordon was appointed to liquidate the moving and storage company Premier Van Lines, Inc.,<sup>21</sup> owned by David J. Palmer,<sup>22</sup> who had filed for voluntary bankruptcy in *In re Premier Van Lines, Inc.*, 01-20692, WBNY, (docket at A:565-578a; *Premier*)<sup>23</sup>, which came before Judge Ninfo. The Trustee first declared *Premier* to be a case with

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<http://www.gordonandschaal.com/aboutus.html>.

<sup>16</sup> Taking into account the caveat at fn. 9 supra, the most current digital version on the Internet of title 11 of the U.S. Code, that is, the Bankruptcy Code, is downloadable from <http://uscode.house.gov/pdf/2008/2008usc11.pdf> and is also found at [http://Judicial-Discipline-Reform.org/docs/11usc\\_Bkr-Code\\_08.pdf](http://Judicial-Discipline-Reform.org/docs/11usc_Bkr-Code_08.pdf), where useful navigational bookmarks have been added.

For earlier editions of the Code go to <http://uscode.house.gov/download/downloadPDF.shtml> >choose and click on a year >click on the equivalent of [2008usc11.pdf](http://uscode.house.gov/download/downloadPDF.shtml) for the chosen year.

For the 2010 edition of the Code printed by West Thomson, see fn. 9 supra.

<sup>17</sup> <http://www.justice.gov/ust/r02/rochester/ch7-trustees.htm>

<sup>18</sup> [http://Judicial-Discipline-Reform.org/docs/TrGordon\\_3383\\_as\\_trustee.pdf](http://Judicial-Discipline-Reform.org/docs/TrGordon_3383_as_trustee.pdf); (Add:891§III)...

<sup>19</sup> ...the references bearing the format D:#, Add:#, Pst:#, SApp:#, CA:#, and US:# lead to pages # of the *DeLano* file; from D:1 to US:2547 all numbers are consecutive. The A:# references point pages # in the *Pfuntner* file, from A:1 to A:2229. If followed by §§#,# = sections #, #, or ¶¶#,# = paragraphs #, #, page # is the page where the first section or paragraph appears.

<sup>20</sup> “Beginning in December 2001, the definition of a judicial emergency [is] any vacancy in a district court where weighted filings are in excess of 600 per judgeship, or any vacancy in existence more than 18 months where weighted filings are between 430 and 600 per judgeship, or any court with more than one authorized judgeship and only one active judge.” Federal Judicial Caseload, Recent Developments, 2001, prepared by the Office of Human Resources and Statistics of the Administrative Office of the U.S. Courts (AO), p. 13, fn. 15; <http://www.uscourts.gov/Statistics/FederalJudicialCaseloadStatistics.aspx> > Federal Judicial Caseload: Recent Trends 2001; also at [http://Judicial-Discipline-Reform.org/docs/FedJud\\_Caseload\\_2001.pdf](http://Judicial-Discipline-Reform.org/docs/FedJud_Caseload_2001.pdf) >p. 13, fn.15.

Cf. 2008 Annual Report of the AO Director, p. 38; <http://www.uscourts.gov/library/annualreports.htm> >Director’s Annual Report, 2008; also at [http://Judicial-Discipline-Reform.org/docs/AO\\_Dir\\_Report\\_08.pdf](http://Judicial-Discipline-Reform.org/docs/AO_Dir_Report_08.pdf).

<sup>21</sup> Premier Van Lines, Inc., Tax ID: 16-1542181, c/o 1829 Middle Road, Rush, NY 14543 (A:431); it was doing business from space rented in the warehouse at 900 Jefferson Road, Rochester, NY, 14623, which is owned and/or operated by Jefferson Henrietta Associates, 415 Park Avenue, Rochester, NY 14607. Before that, Premier was doing business from 10 Thruway Park Drive, West Henrietta, NY 14586. (A:51)

<sup>22</sup> David J. Palmer (A:432/3), 1829 Middle Road, Rush, NY 14543; tel. (585)292-9530; owner of Premier Van Lines, Inc.

<sup>23</sup> The docket of *Premier* indicates that Trudy Nowak, U.S. Trustee, was in office at least

assets to distribute to the creditors. Dr. Richard Cordero, Esq., a Premier creditor, charged him with going about that liquidation in a negligent and reckless manner. Thereupon the Trustee filed a report that there were no assets to distribute, even though he had just applied to hire Auctioneer Roy Teitsworth<sup>24</sup> to auction Premier's assets and Judge Ninfo had approved his application. Then no more entries were made on the *Premier* docket concerning either those assets or anything else until the entries stating that the case had been closed and that Trustee Gordon had been paid a fee.<sup>25</sup> In this matter, he was abetted by the following insider and court staffer.

4. **Paul R. Warren, Esq.**, Clerk of Court, WBNY,<sup>26</sup> is charged with the duty under FRBP 5003(a) to keep the docket of cases and under FRBP 2013(a) with maintaining a public record of fees paid. Clerk Warren failed to provide the information on fees paid to Trustee Gordon and Auctioneer Teitsworth requested by Dr. Cordero. Revealingly enough, after Dr. Cordero charged with fraud Mr. Palmer and the latter failed to appear or defend, Dr. Cordero applied under FRBP 7055, which makes FRCP 55 applicable<sup>27</sup>, for default judgment against him. However, Clerk

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during the early part of *Premier* bankruptcy proceedings. (A:565/15, 28/ 29, 52, 77, 83.

<sup>24</sup> Auctioneer Roy Teitsworth; (A:431, 576/97; 834, 835¶B.5); 6502 Barber Hill Road, Geneseo, NY 14454; tel. (585)243-1563, fax (585)243-3311; <http://www.auctionzip.com/NY-Auctioneers/13102.html>; [www.teitsworth.com](http://www.teitsworth.com).

<sup>25</sup> Sources of information about Trustee Gordon's handling of *Premier* are:

- a) his attorney, William E. Brueckner, Esq.; (A:431, 573/72; 834, 835¶B.4);
  - i) at the time at Ernstrom & Drete, LLP, 2000 Winton Road South, Building One, Suite 300, Rochester, NY 14618-3922; tel. (585)473-3100, toll-free (800)650-9009, fax (585)473-3113; <http://www.ernstromdrete.com/>;
  - ii) now at Underberg & Kessler, 300 Bausch & Lomb Place, Rochester, NY 14604; tel. (585)258-2892, fax (585)258-2821; [wbrueckner@underbergkessler.com](mailto:wbrueckner@underbergkessler.com); <http://www.underberg-kessler.com/Attorneys/Detail/?ID=78>. (cf. ¶10 infra)
- b) the accounting firm Bonadio & Co. LLP; (A:431, 567/16, 22, 26, 27, 29, 30, 39, 40, 44, 49; 834, 835¶B.6);  
Corporate Crossings, 171 Sully's Trail, Suite 201, Pittsford, NY 14534-4557; tel. (585)381-1000; fax (585)381-3131; <http://www.bonadio.com/Profile/Locations/>.

<sup>26</sup> Paul R. Warren, Esq., Clerk of Court, U.S. Bankruptcy Court, WBNY, 1220 U.S. Courthouse, 100 State Street, Rochester, NY 14614 (585)613-4200; [http://www.nywb.uscourts.gov/rochester\\_court\\_directory\\_11004.php](http://www.nywb.uscourts.gov/rochester_court_directory_11004.php).

<sup>27</sup> FRCP: <http://www.law.cornell.edu/rules/frcp/> (with access to the Advisory Committee Notes, as of December 1, 2009); the official edition without the Notes is at <http://www.uscourts.gov/rules/index.html> >Rules and Forms in Effect, Federal Rules of Civil Procedure.

Cf. *fn. 9* supra. For earlier editions of the FRCP, go to <http://uscode.house.gov/download/downloadPDF.shtml> >choose and click on a year >click on the equivalent of 2008usc28a.pdf <http://uscode.house.gov/pdf/2008/2008usc11a.pdf> for the chosen year.



Warren failed to enter his default, that is, to certify the fact of Mr. Palmer's non-appearance and failure to file any paper. When the Clerk finally entered it at Dr. Cordero's instigation, Judge Ninfo would not summon Mr. Palmer to court and recommended to the District Court, WDNY,<sup>28</sup> that Dr. Cordero's application not be granted. The totality of these circumstances show that none of them could risk giving cause to Mr. Palmer to disclose what had happened with Premier's assets or the proceeds from the auction. In how many of Trustee Gordon's 3,382 cases before Judge Ninfo have assets disappeared and undisclosed fees paid? How many thousands of creditors have been harmed by assets not being distributed to cover at least partially their debts and, as a result, how many others have been injured collaterally? (See also ¶15 infra.)

5. **Att. David D. MacKnight**<sup>29</sup> had appeared before Judge Ninfo in 442 out of 559 cases, as of June 6, 2005<sup>30</sup>. He commenced *James Pfuntner v. Trustee Kenneth Gordon et al.*, 02-2230, WBNY, (docket at A:548-564i; *Pfuntner*) for his client, James Pfuntner,<sup>31</sup> as an adversary proceeding spun by *Premier*. On his behalf, he sought from Judge Ninfo two orders only to disobey them with impunity, while Dr. Cordero had to comply with them to his detriment. What is more, Mr. MacKnight engaged in ex-parte conversations with Judge Ninfo to get one of the orders modified for Mr. Pfuntner's benefit. By so doing, he disregarded what the Advisory Committee on Bankruptcy Rules considered self-evident:

1979 note on FRBP 9003. Prohibition of Ex Parte Contacts

This rule [then titled Rule 5001] should be unnecessary because there should not be ex parte communications with a bankruptcy judge by any party in interest including a trustee or his attorney or the debtor or his attorney, in a chapter 7, 9, 11, or 13 case....[It] is included to make clear that no party in interest, person representing a party in interest, or employee of a party in interest should have ex parte communications with a bankruptcy judge about the case.

Contacts and relationships exist between the bankruptcy courts and the bar which are problems that the new law seeks to solve. **The system should not only operate fairly but it must appear to operate fairly.** H.

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<sup>28</sup> U.S. District Court for the Western District of NY, WDNY, Federal Building, 100 State Street, Rochester, NY 14614; tel. (585)613-4000; <http://www.nywd.uscourts.gov/mambo/>

<sup>29</sup> David D. MacKnight, Esq., Lacy, Katzen, Ryen & Mittleman, LLP, The Granite Building, 130 East Main Street, Rochester, NY 14604-1686; tel. (585)324-5724; fax (585)269-3047; [dmacknight@lacykatzen.com](mailto:dmacknight@lacykatzen.com); <http://lacykatzen.com/bio-dmacknight.aspx>.

<sup>30</sup> [http://Judicial-Discipline-Reform.org/docs/MacKnight\\_442\\_before\\_JNinfo.pdf](http://Judicial-Discipline-Reform.org/docs/MacKnight_442_before_JNinfo.pdf)

<sup>31</sup> James Pfuntner, tel. (585)738-3105, fax (585)538-9858, owner of the warehouse at 2140, Sackett Road, Avon, NY 14414; also officer of Western Empire Truck Sale, 2926 West Main Street, Caledonia, NY 14423, tel. (585)538-2200.

Rep. No. 95-595, 95<sup>th</sup> Cong., 1st Sess. 95 et seq. (1977). [emphasis added] [www.uscourts.gov/rules/Reports/ST09-1979.pdf](http://www.uscourts.gov/rules/Reports/ST09-1979.pdf); also at [http://Judicial-Discipline-Reform.org/docs/FRBP\\_Rules\\_Com\\_79.pdf](http://Judicial-Discipline-Reform.org/docs/FRBP_Rules_Com_79.pdf)

6. Dr. Cordero raised motions for sanctions against Att. MacKnight and Mr. Pfunter, but Judge Ninfo disregarded them. Thus, Att. MacKnight was the beneficiary of the bias of the Judge, who takes care of his own at the expense of outsiders, such as Dr. Cordero. What do attorneys have to do in their cases before Judge Ninfo to contribute to corrupting “Equal Justice Under Law” so as to become such beneficiaries and what is in it for Judge Ninfo? Their conduct, described in greater detail in the Statement of Facts (GC:14§III infra), begs that question recurrently.
7. **Att. George Max Reiber**<sup>32</sup>, the standing 11 U.S.C. Chapter 13 Trustee<sup>33</sup>, had before Judge Ninfo 3,907 cases out of the Trustee’s 3,909 *open* cases, as of April 2, 2004<sup>34</sup>. He was the trustee for another insider and party to *Pfunter*, Mr. David Gene DeLano.<sup>35</sup> The latter had already spent 39 years in the financing and banking industries when he, together with his wife, Xerox Technician Mary Ann DeLano, filed for bankruptcy relief under Chapter 13 in *In re DeLano*, 04-20280, WBNY, (docket at D:496-508j; *DeLano*). In fact, he was precisely a bankruptcy officer of a major financial institution, M&T Bank.<sup>36</sup> He kept working in that capacity since, after all, he was „only“ exploiting his insider knowledge and connections to prepare his exit from work light of debt into a golden retirement. One of those connections was Trustee Reiber, whose duty as trustee is to inform himself about the assets of the estate so as to collect and distribute them to the creditors. Yet, Trustee Reiber had not requested, let alone reviewed, a single document to corroborate the DeLanos’ self-serving declarations, made in the schedules and statements that are required of all debtors in bankruptcy, by the day the Trustee was going to submit to Judge Ninfo for confirmation the proposed plan, drawn up by the DeLanos themselves, for repaying

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<sup>32</sup> George Max Reiber, Esq., Chapter 13 Trustee, Winton Court, 3136 Winton Road South, Suite 206, Rochester, NY 14623-2928; tel. (585)427-7225; fax (585)427-7804; [trustee13@roch13.com](mailto:trustee13@roch13.com).

<sup>33</sup> <http://www.justice.gov/ust/r02/rochester/ch13-trustees.htm>

<sup>34</sup> [http://Judicial-Discipline-Reform.org/docs/Trustee\\_Reiber\\_3909\\_cases.pdf](http://Judicial-Discipline-Reform.org/docs/Trustee_Reiber_3909_cases.pdf) A Chapter 13 trustee with 3,909 *open* cases cannot possibly have the time or the inclination to check the factual accuracy or internal consistency of the content of each bankruptcy petition to ascertain its good faith through time-consuming, statutorily required investigation of their financial affairs. (A:1083¶IX)

<sup>35</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/DeLano\\_docs.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DeLano_docs.pdf) >§II

<sup>36</sup> Manufacturers & Traders Trust Bank, 255 East Avenue, Rochester, NY 14604, tel. (585)258-8207, fax (585)325-5105; Customer Service tel. (800)724-2440; locations <http://mandtbank.spatialpoint.com/PrxInput.aspx>. See also fn. 41 infra.

their creditors only 22¢ on the dollar. Dr. Cordero, also a party to *Pfuntner* and to *DeLano*, where he had been named by the DeLanos among their creditors, objected and Judge Ninfo had no choice but to suspend the confirmation of their plan. Dr. Cordero requested that Trustee Reiber discharge with respect to the DeLanos his duty to investigate the financial affairs of debtors, but the Trustee would not request them to produce their bank account statements. Yet, such statements are obviously critically important to establish the state of the debtors' financial affairs and tracking their assets. Then Judge Ninfo denied Dr. Cordero's motion to remove the Trustee or order him to obtain and produce those documents. As a result, Trustee Reiber and Judge Ninfo allowed the DeLanos to sprightly walk away into a very comfortable retirement after being discharged of their debt burden but while carrying with them at least \$673,657. That was the value of unaccounted-for assets that Dr. Cordero had been able to show, to the indifference of the Trustee, the Judge, and other similarly situated insiders, that the DeLanos had earned, according to their own schedules and statements, or otherwise received. In how many of his 3,907 cases before Judge Ninfo has Trustee Reiber conveniently relied on the say-so of debtors to allow them not to account for even considerable amounts of money? Who are the beneficiaries of the Trustee's misconduct, whether insiders or others willing to share their unaccounted-for assets with insiders so as to avoid paying them to outsiders, and how many creditors and collaterals has the Trustee injured by his dereliction of duty?

8. **Att. Christopher K. Werner**<sup>37</sup> had brought 525 cases before Judge Ninfo, as of February 28, 2005<sup>38</sup>. He was the bankruptcy attorney for Insider DeLano and his wife. Since they had named Dr. Cordero among their creditors, Att. Werner treated him as such for six months while pretending to be looking for the documents that Dr. Cordero requested to corroborate the declarations that the DeLanos had made and the Attorney had signed off on in their bankruptcy petition's schedules and statements. Dr. Cordero analyzed the trickle of documents produced and showed that they disproved those declarations and pointed to bankruptcy fraud through concealment of assets. Only then did Att. Werner come up with the artifice of a motion to disallow Dr. Cordero's claim as a creditor. Judge Ninfo took the initiative to call an evidentiary

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<sup>37</sup> Christopher K. Werner, Esq., Boylan, Brown, Code, Vigdor & Wilson, LLP, 2400 Chase Square, Rochester, NY 14604; tel. (585)232-5300, ext. 254; fax (585)238-9054; [cwerner@boylanbrown.com](mailto:cwerner@boylanbrown.com);  
<http://www.boylanbrown.com/attorneys/Christopher%20K.%20Werner.aspx>.

<sup>38</sup> [http://Judicial-Discipline-Reform.org/docs/Werner\\_525\\_before\\_Ninfo.pdf](http://Judicial-Discipline-Reform.org/docs/Werner_525_before_Ninfo.pdf)

hearing on the motion and ordered discovery therefor. Att. Werner denied Dr. Cordero *every single document* that he requested from the DeLanos, thus showing contempt for his duty to comply with the order or abide by his duty to provide discovery. Dr. Cordero moved for an order of compel production, but Judge Ninfo denied him *every single document* that he requested, thus showing that his initial order of discovery had been a sham. So was the evidentiary hearing, at the end of which the Judge explicitly disregarded as “confused” Mr. DeLano’s testimony that confirmed Dr. Cordero’s claim, disallowed that claim, and deprived Dr. Cordero of standing in the case. Thereby he achieved Mr. Werner’s objective: To strip Dr. Cordero of the right to request documents that would incriminate both his clients in bankruptcy fraud and him in aiding and abetting it. So Att. Werner, in coordination with Judge Ninfo, with whom he had ex-parte contact, engaged successfully in abuse of process in furtherance of a cover-up. Such connivance is shown by his egregious and undeniable misconduct at the evidentiary hearing, captured in its transcript attached hereto (Tr:1 infra) and described in the next section. (GC:14§A infra)

9. **Att. Devin Lawton Palmer**<sup>39</sup> took over, as appellate attorney, from Mr. Werner in *DeLano*, and participated in the cover-up of the DeLanos’ bankruptcy fraud. (CA:1804, 1895)
10. **Michael J. Beyma, Esq.**,<sup>40</sup> is the attorney for M&T Bank and its Bankruptcy Officer DeLano in *Pfuntner*. M&T “had over \$65 billion in assets as of December 31, 2007, and is one of the 20 largest commercial bank holding companies headquartered in the U.S.”<sup>41</sup>. Att. Beyma is also a partner in Underberg & Kessler<sup>42</sup>, the same law firm in which Judge Ninfo was a partner at the time of his appointment by CA2 to his first 14-year term as bankruptcy judge in 1992.<sup>43</sup> Att. Beyma “was a founding partner of Boylan, Brown LLP in 1974”<sup>44</sup>, the law firm<sup>45</sup> in which Att.

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<sup>39</sup> Devin Lawton Palmer, Esq., Boylan, Brown, Code, Vigdor & Wilson, LLP, 2400 Chase Square, Rochester, NY 14604; tel. (585)232-5300, ext. 212; fax (585)238-9012; [dpalmer@boylanbrown.com](mailto:dpalmer@boylanbrown.com); <http://www.boylanbrown.com/attorneys/Devin%20L.%20Palmer.aspx>.

<sup>40</sup> Michael J. Beyma, Esq., Underberg & Kessler, LLP, 300 Bausch & Lomb Place, Rochester, NY 14604; tel. (585)258-2890; fax (585)258-2821; [mbeyma@underbergkessler.com](mailto:mbeyma@underbergkessler.com) and (assistant’s) [breed@underbergkessler.com](mailto:breed@underbergkessler.com); <http://www.underbergkessler.com/Attorneys/Detail/?ID=30>.

<sup>41</sup> [http://Judicial-Discipline-Reform.org/docs/M&TBank\\_2007.pdf](http://Judicial-Discipline-Reform.org/docs/M&TBank_2007.pdf); for a current description of M&T, see <https://www.mtb.com/aboutus/Pages/WhoIsMT.aspx>. See also fn. 36 supra.

<sup>42</sup> <http://www.underberg-kessler.com/>

<sup>43</sup> [http://www.nywb.uscourts.gov/about\\_judge\\_ninfo\\_46.php](http://www.nywb.uscourts.gov/about_judge_ninfo_46.php)

<sup>44</sup> <http://www.underberg-kessler.com/Attorneys/Detail/?ID=30>

<sup>45</sup> Boylan, Brown, Code, Vigdor & Wilson, LLP; <http://www.boylanbrown.com/>

Werner was a partner and is currently of counsel<sup>46</sup>. Att. Beyma engaged, just as Att. Werner did, in egregious, undeniable misconduct, at the evidentiary hearing, reported in the attached transcript (Tr:1 infra) and described below. (GC:14§A infra) Judge Ninfo allowed them to do so, for after all, they were all defending their own interests and those of M&T Bank, without doubt one of their largest clients. None was deterred by their duty to avoid conflict of interests and the appearance of impropriety. On the contrary, they benefit from impunity through the abuse of judicial power and the self-preservation interest of those complicitly in the know by ensuring that everybody involved in misconduct is „in the family“ of insiders.

11. **Kathleen Dunivin Schmitt, Esq., Assistant U.S. Trustee**<sup>47</sup> is in the family too. Her office is just above Judge Ninfo’s chambers and courtroom in the same small federal building in Rochester, NY. It is a little, cozy place where they can meet day in and day out in the parking lot, the lobby, the corridors, the elevators, the food areas, and, of course, their more private office and chambers. What a propitious setting for professional and personal relationships to intertwine tightly enough until they become a web of confidences about misconduct and its benefits that nurture the coordination of riskless misconduct. Tangible, daily, immediate contacts among physical insiders of such a place suffocate abstract, legal relationships to outsiders, particularly those in faraway places, such as Dr. Cordero, who resides in NY City, who initially are nothing but a blot of ink on a piece of paper and subsequently become nothing more than a one-time pro se party, deemed too blurred a figment of law to have the necessary consistency to be a credible match for the concreteness of face-to-face contacts. Repeated improper contacts give rise to the exchange of information about past and on-going misconduct so that they produce actual knowledge and impute knowledge in those whose duty it is to find out but who indulge in willful ignorance. The heat of self-interest that they generate burns away any sense of duty, objectivity, and impartiality. It energizes the process of coordination that fuses officers of the court into a family of misconducting insiders with a warm operational home in a little, cozy federal building.
12. Trustee Schmitt plays a key role in keeping a small, closely-knit family. She participates in selecting standing trustees and is the direct supervisor of Trustees Reiber and Gordon. She has allowed them to amass their unmanageable number of thousands and thousands of cases despite

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<sup>46</sup> <http://www.boylanbrown.com/attorneys/Christopher%20K.%20Werner.aspx>

<sup>47</sup> Kathleen Dunivin Schmitt, Esq., Assistant U.S. Trustee, U.S. Department of Justice, 100 State Street, Suite 609, Rochester, NY 14614; tel. (585)263-5812, fax (585)263-5862; <http://www.justice.gov/ust/r02/rochester.htm>.

the evidence that such heavy burden impairs their performance and is not justified by budgetary considerations since standing trustees are not government employees on a fixed salary, but rather private agents that work for a per case fee and on commission. Hence, the more cases, the more money. (§131 infra) By the First Rule of Misconduct Coordination, it is easier, safer, and more beneficial to engage in misconduct with the smallest number of people, except to grow the „business“, so that when it comes to dividing the pie each should get a bigger slice, such as when...

13. **James W. Weidman, Esq.**,<sup>48</sup> the attorney for Trustee Reiber, was allowed by Trustee Schmitt to conduct the meetings of creditors, including that of the DeLanos, in her own office even though a trustee is required to conduct such meetings personally. This requirement is so important that failure to comply with it is one of the causes under 28 C.F.R. §58.6(a)(1) and (11)<sup>49</sup> for removing a trustee from office. In perfect coordination, at that same time Trustee Reiber was downstairs using Judge Ninfo's courtroom to conduct his own business with other debtors. (GC:45§2) So well coordinated was Att. Weidman with the other insiders of the family that he only allowed Dr. Cordero to ask of the DeLanos two questions before terminating the meeting abruptly because Dr. Cordero would not answer Att. Weidman's unjustifiable question to state how much he knew about the DeLanos having committed bankruptcy fraud. That meeting was officially tape-recorded by Trustee Reiber and Att. Weidman, and the tapes were kept by Trustee Schmitt, as they are supposed to. However, when Dr. Cordero exercised his right to request a copy of them, Trustee Schmitt manipulated the tape to remove the part recording the DeLanos' meeting. So she engaged in tampering with the evidence of Att. Weidman's triggering of the cover-up of the DeLanos' bankruptcy fraud.
14. **Former U.S. Trustees for Region 2, Carolyn S. Schwartz and Deirdre A. Martini, and current incumbent Diana G. Adams**<sup>50</sup> were or are responsible for supervising Trustee Schmitt and, through her, Trustees Reiber and Gordon. They have been informed of these trustees' misconduct and being served by Dr. Cordero with his papers in both *Pfuntner* and *DeLano*. (e.g. A:101; D:77; SApp:1512, respectively) Nevertheless, instead of investigating the evidence of misconduct, they have either tried to prevent any investigation or deliberately ignored the facts

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<sup>48</sup> James W. Weidman, Esq., Winton Court, 3136 Winton Road South, Suite 206, Rochester, NY 14623-2928; tel. (585)427-7225.

<sup>49</sup> [http://Judicial-Discipline-Reform.org/docs/28\\_cfr\\_58.pdf](http://Judicial-Discipline-Reform.org/docs/28_cfr_58.pdf)

<sup>50</sup> <http://www.justice.gov/ust/r02/>

by never once responding to his communications.<sup>51</sup> Their attitude is in line with the findings on which Congress justified the need for adopting the Bankruptcy Abuse Prevention and Consumer Protection Act of April 20, 2005, Pub. L. 109-8, 119 Stat. 23, “Representing the most comprehensive set of reforms in more than 25 years”. Congress stated that:

The purpose of the bill is to improve bankruptcy law and practice by restoring personal responsibility and integrity in the bankruptcy system...[to] respond to...**the absence of effective oversight to eliminate abuse in the system** [and] deter serial and abusive bankruptcy filings. (emphasis added) HR Report 109-31

[http://Judicial-Discipline-Reform.org/docs/BAPCPA\\_HR\\_109-31.pdf](http://Judicial-Discipline-Reform.org/docs/BAPCPA_HR_109-31.pdf)

15. **Paul R. Warren, Esq.**, (fn. 26 supra) owes his clerkship to the bankruptcy judges, WBNY, who appoint the clerk under 28 U.S.C. §156(b)<sup>52</sup>. Among them is Judge Ninfo, who was the chief judge from January 1, 2000 to December 31, 2006 (fn. 43 supra), and thus at the time of the commencement of *Premier*, *Pfuntner*, and *DeLano*, and for years thereafter. Presumably the bankruptcy judges can also remove Clerk Warren, just as under 28 U.S.C. §751(a), “[e]ach district court may appoint a clerk who shall be subject to removal by the court”. He repeatedly disregarded objectively unambiguous provisions of law, whether to avoid providing Dr. Cordero public information on the fee paid a trustee and an auctioneer (¶4 supra); to deprive him of the right to have default judgment entered against Premier Owner Palmer (id.); or to prevent him from obtaining transcripts incriminating insiders in blatant disregard for the law and the facts and other forms of misconduct. Clerk Warren’s disregard for his duties worked consistently in line with Judge Ninfo's unlawful support of the insiders and only to the detriment of Outsider Dr. Cordero. (in *Pfuntner*, A:334, 337, 290, 303, 343, 872, 1011, 1012; in *DeLano*: D:106, 232§I, 397§1, 416§F; Add:692, cf. 695; 831, cf. 836; 839, 922§III, 1084§II; Pst:1264¶22; CA:2072¶¶142-150; see also ¶4 supra)

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<sup>51</sup> Cf. Table of officers that have disregarded their statutory duty to investigate the DeLano Debtors (SApp:1609)

<sup>52</sup> [http://Judicial-Discipline-Reform.org/docs/28usc151-159\\_bkr\\_judges.pdf](http://Judicial-Discipline-Reform.org/docs/28usc151-159_bkr_judges.pdf)

### III. Statement of Facts In Support of the Complaint

#### A. The officially recorded subornation of perjury by Attorneys Werner and Beyma and its egregious disregard by Judge Ninfo illustrate how judicial power is the means that enables overconfident insiders to coordinate their misconduct to run a bankruptcy fraud scheme

16. The irrefutable evidence of attorney misconduct bears an official imprimatur, for it consists of the transcript by the Bankruptcy Court Reporter, Mary Dianetti<sup>53</sup>, of the evidentiary hearing called by Judge Ninfo at his own initiative and held before him in *In re DeLano*, 04-20280, WBNY, (docket at D:496-508j) on March 1, 2005. It is attached hereto at the back (Tr:#) and included in the accompanying CD.
17. At that evidentiary hearing, Mr. DeLano<sup>54</sup> was on the witness stand, to Judge Ninfo's left, while being examined by Dr. Cordero, who remained seated at his table. At the other table, five feet to Dr. Cordero's right, was Insider Werner, appearing as bankruptcy attorney for Mr. DeLano, who was in front of Mr. Werner. In the first bench behind the bar and to Mr. Werner's right, was Att. Beyma, appearing as Mr. DeLano's attorney in *Pfuntner*. So they were positioned thus:

	<b>Judge Ninfo</b>	<b>Mr. DeLano</b>
<b>Law Clerk</b>	<b>Court Attendant</b>	<b>Court Reporter</b>
Megan E. Dorr	Lorraine Parkhurst	Mary Dianetti <sup>55</sup>
	<b>Dr Cordero</b>	<b>Att. Werner</b>
		<b>Att. Beyma</b>

18. On several occasions, Dr. Cordero saw Mr. DeLano suddenly look away from him and toward his attorneys and as Dr. Cordero looked at them he caught one or the other waving their arms to signal answers to Mr. DeLano! (in the *DeLano* file: Transcript, page 28, line 13 to page 29 line 4 = Tr.28/13-29/4: Beyma; 75/8-76/3: Beyma; 141/20-143/16: Werner)<sup>56</sup>

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<sup>53</sup> Bankruptcy Court Reporter Mary Dianetti, 612 South Lincoln Road, East Rochester, NY 14445, tel. (585)586-6392. See the description of her own pattern of misconduct in [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_to\\_JConf\\_CtReporter\\_28jul5.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_to_JConf_CtReporter_28jul5.pdf).

<sup>54</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/DeLano\\_docs.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DeLano_docs.pdf) >§II

<sup>55</sup> Judicial Assistant Andrea Siderakis was there also and Dr. Cordero spoke with her when he asked Reporter Dianetti to tell him the number of folds and packs of stenographic paper that she had used to record the evidentiary hearing. (Add:846) While the Reporter counted them, Dr. Cordero asked and Mrs. Siderakis told him that her last name was Greek, from her Greek husband.

<sup>56</sup> Court Reporter Dianetti wrote a substandard transcript in which all people appear to be speaking Pidgin English. She did likewise when she prepared the transcript of the



19. Dr. Cordero protested to Judge Ninfo such utterly censurable conduct: a blatant attempt to suborn perjury. Yet, the Judge found nothing more implausible to say than that he had his eyes fixed on Dr. Cordero and had not seen anything. Nevertheless, from his higher seat on the bench only a few feet from the tables, he had an unobstructed view of the two insiders and Dr. Cordero, all of whom were in the Judge's central field of vision. So it was impossible for him not to catch the distraction of either of them flailing their arms at Mr. DeLano. On the contrary, from the vantage point of his bench, the normal reaction of an impartial person would have been an incredulous exclamation of '*What are you talking about?! They are in front of my eyes and I saw them do no such thing!*' Now imagine the outburst of such an impartial judge the third time Dr. Cordero had protested opposing counsel signaling that the judge had seen not to have taken place at all. Judge Ninfo had no such outburst because he could not flatly deny the occurrence of what the other people in the courtroom had so undeniably seen occur. So he covered up for the other insiders by pretending that he had not seen them flail their arms at Mr. DeLano.
20. Equally telling is the counter-expected reaction of Atts. Beyma and Werner: Neither of them had the normal, reflexive reaction of people accused of doing something liable to cause their being held in contempt, that is, to blurt an indignant denial, and all the louder if they were innocent. Instead, both remained silent. These insiders felt no need to defend themselves given that they had the best possible defender: Fellow Insider Judge Ninfo. Hence, they were not even asked the question that an impartial person in authority would have asked who had received a complaint about the contemptuous conduct of other persons in his presence: Did you do it? The Judge did not want to find out either. So Insiders Werner and Beyma were not warned not to signal answers with their arms to Mr. DeLano, which implicitly encouraged them to repeat their perjury-suborning conduct despite Dr. Cordero's outraged protest. Thereby Judge Ninfo showed that he would allow anything to go, however violative of due process, so long as it went in favor of the insiders. In what court where the judge and all the parties were unrelated would the attorneys for one party ever dare do something so dishonest and risky even once, let alone several times? Since Atts. Beyma and Werner were allowed to do so in open court and for the record, what

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evidentiary hearing in *DeLano*, at which the participating parties, including Dr. Cordero were present in the courtroom. On the significance of the acceptance of such perfunctory work, see Dr. Cordero's appeal to the Judicial Conference of the U.S. for the investigation and replacement of the Reporter.

[http://Judicial-Discipline-Reform.org/docs/DrCordero\\_to\\_JConf\\_CtReporter\\_28jul5.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_to_JConf_CtReporter_28jul5.pdf)

would they not feel confident to do with Judge Ninfo's approval in private elsewhere in that little, cozy federal building (§11 supra) and beyond?

21. The fact is that the Insiders did not incur any risk at all. So much so that the official transcript shows that Judge Ninfo abandoned his duty to impartially take in evidence and behaved as Chief Advocate for Mr. DeLano, while his lawyers adopted the subservient attitude of second chairs. Who ever heard that neither of two lawyers for a party went through an evidentiary hearing that lasted more than five hours without ever raising a single objection? They did not have to because Judge Ninfo went so far to protect their common clients -namely, Mr. DeLano, on the bench, and his employer, the wealthy M&T Bank, represented by Att. Beyma- as to coach Mr. DeLano how to answer Dr. Cordero's questions. So the Judge's disingenuous denials that he had not seen the reprehensible signaling that occurred several times right before his eyes cast an insidious meaning on his emphatic admonition to Mr. DeLano that „you are not listening to Dr. Cordero's questions and you have to “think about the answer”. (Tr.97/17-98/12, 114/9-115/2). What is more likely: to have to think a truthful answer or to “think” how to fabricate a lie for an answer?
22. The hearing was a sham. The insiders had to win it at all cost, which they did. Why? Why did they behave with such blatant disregard for their duties as officers of the court? It is time now to state the facts from the beginning of *Premier*, *Pfuntner*, and *DeLano*, for they evince a series of acts so blatantly contemptuous of the law and the facts and so consistently in favor of the insiders and injurious to the outsider, that is, Dr. Cordero, the one-case, pro se party who lives in the far off City of New York, as to form a pattern of non-coincidental, intentional, and coordinated misconduct from which a reasonable person informed of the facts can realize what their driving force was: the running of a bankruptcy fraud scheme.<sup>57</sup> (D:392§I)

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<sup>57</sup> On how a bankruptcy fraud scheme works and generates lots money, see A:1146§V, 1666§1; and [http://Judicial-Discipline-Reform.org/Follow\\_money/How\\_fraud\\_scheme\\_works.pdf](http://Judicial-Discipline-Reform.org/Follow_money/How_fraud_scheme_works.pdf).

## **B. The *Premier* Case: reckless liquidation leads to the disappearance of assets and non-disclosure of fees paid**

- 1) In search for his property in storage, Dr. Cordero is repeatedly referred to Trustee Gordon, who provides no information and avoids a review of his performance and fitness to serve by filing false and defamatory statements about Dr. Cordero with Judge Ninfo and his supervisor, Trustee Schmitt**

23. Dr. Richard Cordero, Esq., who resides in NY City, entrusted his household and professional property, valuable in itself and cherished to him, to a moving and storage company in Rochester, NY, in August 1993, and from then on paid it storage and insurance fees. Early in January 2002, he contacted Mr. David Palmer (fn. 22 supra), the owner of the company storing his property, Premier Van Lines, Inc. (fn. 21 supra), to inquire about it. Mr. Palmer and his attorney, Raymond Stillwell, Esq.<sup>58</sup>, assured him that his property was safe and in his warehouse at Jefferson Henrietta Associates in Rochester<sup>59</sup>, which was owned and managed by Mr. David Dworkin<sup>60</sup>. (A:18) Only months later, after Mr. Palmer had disappeared, did his assurances reveal themselves as lies: As far back as March 5, 2001, he had filed for bankruptcy *-In re Premier Van Lines, Inc.*, 01-20692, WBNY (dkt. at A:565-578a; *Premier*)-, a fact that he kept from Dr. Cordero just as he hid the fact that in December 2001 Kenneth W. Gordon, Esq., the standing Chapter 7 Trustee (¶3 supra), had been appointed to liquidate Premier. What is more, Mr. Palmer had negligently handled Dr. Cordero's property, for it was eventually determined not to be in Mr.

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<sup>58</sup> Raymond C. Stilwell, Esq., Adair, Kaul, Murphy, Axelrod & Santoro, LLP, 300 Linden Oaks, Suite 220, Rochester, NY 14625-2883, (A:18); now known as Adair Law Firm, LLP; tel. (585)419-9000, fax (585)248-4961; <http://www.adairlaw.com>; [rcstilwell@adairlaw.com](mailto:rcstilwell@adairlaw.com).

<sup>59</sup> Jefferson Henrietta Associates, 415 Park Avenue, Rochester, NY 14607; tel. (585)442-8820; fax (585)473-3555.

<sup>60</sup> David Dworkin, manager of the warehouse of Jefferson Henrietta Associates in Rochester, NY, and of Simply Storage, tel. (585)442-8820; officer also of LLD Enterprises, tel. (585) 244-3575; fax (716)647-3555. From the Jefferson Henrietta warehouse Mr. Palmer operated Premier at some point in time. Mr. Dworkin lied to Dr. Cordero about his property being safe and in his warehouse, even billed him for storage fees (A:91-93), and concealed from him the fact that Premier was not only in bankruptcy, but also in liquidation. However, it turned out that Dr. Cordero's property was never at Mr. Dworkin's warehouse because it had been abandoned by Mr. Palmer at Mr. Pfuntner's warehouse. (A:79, 81, 88, 90-92)

The attorney for both Mr. Dworkin and Jefferson Henrietta at the pre-trial conference and still today is Karl S. Essler, Esq., Principal at Fix Spindelman Brovitz & Goldman, P.C., 295 Woodcliff Drive, Suite 200, Fairport, NY 14450; tel. (585)641-8000, ext. 242; fax (585)641-2702; [kessler@fixspin.com](mailto:kessler@fixspin.com); <http://fixspin.com/attorneys/karl-s-essler/>; <http://fixspin.com/>.

Dworkin's Jefferson Henrietta warehouse. Nobody knew the property's whereabouts.

24. As he kept searching for his property, Dr. Cordero was referred to Trustee Gordon. (A:2) He did not know the Trustee because the latter had failed, just as Mr. Palmer had, to give him notice of the liquidation. Yet, Dr. Cordero was a creditor of Premier as a client of that company, which had custody of his property and the contractual responsibility for its safekeeping. Worse still, the Trustee did not provide Dr. Cordero any information about his property and merely bounced him back to the same parties that had referred Dr. Cordero to him. (A:16, 17)
25. Eventually Dr. Cordero found out from third parties (A:48, 49; 109, fn. 5-8; 352) that Mr. Palmer had abandoned Dr. Cordero's property at a warehouse in Avon, NY, owned by Mr. James Pfunter (fn. 31 supra). However, the latter refused to release his property lest Trustee Gordon sue him; he too referred Dr. Cordero to the Trustee. When Dr. Cordero contacted him again, not only did the Trustee fail to provide any information or assistance in retrieving his property, but also enjoined Dr. Cordero not to contact him or his office anymore. (A:1, 2)
26. As Chapter 7 trustee, Trustee Gordon is charged with collecting the assets of the debtor's estate in order to distribute them to its creditors and thereby liquidate the estate. (11 U.S.C. §704(a)(1)) (fn. 16 supra) To share in the distribution, the creditors need to be notified thereof by the debtor so that they may file a claim or the debtor may file it for them under 11 U.S.C. §501(c). Since Mr. Palmer failed to do either, it fell to Trustee Gordon to file under that section Dr. Cordero's claim as creditor of Premier; otherwise, he would be discriminating against Dr. Cordero by ignoring his claim and either giving away his share in the distribution to other creditors, leaving it with the debtor, or disposing of it to benefit somebody else.
27. Trustee Gordon failed to make a filing on behalf of Dr. Cordero. Actually, his performance in liquidating Premier was so negligent and reckless that he failed to realize from the docket of *Premier*, the very case to which he had been appointed as trustee, that Premier Owner Palmer had stored his clients' property, such as Dr. Cordero's, in a warehouse owned by Mr. Pfunter (A:433 entry 17 =A:433/17; 434/19, 21, and 23; 437/52) Nor did he examine Premier's business records, to which he had the right to access under 11 U.S.C. §521(a)(4) (¶103 quoted text infra) as well as actual access (A:45,46 [earlier A:48,49]; 109, fn. 5-8; 352). As a result, he failed to discover the income-producing storage contracts that belonged to the estate. (A:442/94 and 95) But if Trustee Gordon did become aware of the existence of such contracts by asking pertinent questions of Debtor Palmer or reviewing Premier's bank accounts and records, which would have

shown that Dr. Cordero was still paying Premier its monthly storage and insurance fees, then the Trustee intentionally failed to notify Dr. Cordero of Premier's bankruptcy and his liquidation of it and to act timely upon such information by filing a claim on his behalf.

28. Dr. Cordero found out who was the judge in charge of the Premier bankruptcy case, namely, U.S. Bankruptcy Judge John C. Ninfo, II, WBNY. (fn. 12 supra) He applied for the Judge to review Trustee Gordon's performance and fitness to serve. (A:7, 8) Since a judge can remove a trustee for cause under 11 U.S.C. §324(a), it is obvious that the judge can review a statement of such cause regardless of what the U.S. trustee may have to say on the subject. Nevertheless, Judge Ninfo pretended that he could not do so at the time and merely passed the complaint on to his supervisor, Assistant U.S. Trustee Kathleen Dunivin Schmitt (§11 supra), whose office is upstairs in the same small federal building as the Judge's courtroom and chambers. (id.; A:29)
29. Dr. Cordero had copied Trustee Gordon on his application to Judge Ninfo to review his performance as Premier's trustee. (A:11) The Trustee submitted to the Judge false statements and statements defamatory of Dr. Cordero to persuade the Judge that "Accordingly, I do not believe that it is necessary for the Court to take any action on Mr. Cordero's application". (A:19; 38) Dr. Cordero sent his rejoinder to Trustee Schmitt. (A:30, 37) All she did in response was a substandard investigation based on a "quick contact" (§78 infra) with Trustee Gordon followed by a letter to Dr. Cordero that was as superficial as it was severely flawed. (A:53) That „quick contact“ was all Trustee Schmitt needed to do to dispose of the matter, for she had been the one who had moved to have *Premier* converted to a liquidation case under Chapter 7 (A:572/53, 60) more than 9 months after Owner Palmer had voluntarily filed it as a reorganization case under Chapter 11. Yet, Mr. Palmer repeatedly failed to comply with his legal obligations during all those months. His manifest disrespect for such obligations should have alerted Trustee Schmitt and led her to make sure that Mr. Palmer had listed all his creditors or to file those claims herself to protect their interests. Moreover, Trustee Gordon had by now worked on the case for 10 months under her supervision. Had Trustee Schmitt found that Trustee Gordon had failed to notify all creditors or file claims on their behalf and negligently and recklessly gone about liquidating Premier, Trustee Schmitt would also have indicted her own performance as trustee and supervisor. Did she in self-interest pervert her judgment in deciding Dr. Cordero's complaint against Trustee Gordon in order to exonerate herself from any blame? (Cf. §35 infra et seq.)
30. Dr. Cordero showed how Trustee Schmitt's decision was plagued with mistakes of fact and

inadequate coverage of the issues raised by analyzing it in detail in his appeal to her supervisor, U.S. Trustee for Region 2 Carolyn S. Schwartz. (¶14 supra; A:101, 102; 551/19) It was all to no avail because Trustee Schwartz would not investigate the performance of Trustee Gordon, let alone remove him as Premier's trustee. (Cf. GC:36§7). By remaining on the case, Trustee Gordon was able to participate in the disappearance of Premier assets and benefit from the failure of the Clerk of Court, Paul R. Warren (¶4 supra), to state fees paid, as the discussion of the *Pfuntner* case will show. (GC:21§C infra)

**C. The *Pfuntner* Case: Coordinated misconduct to protect Trustee Gordon and Premier Owner Palmer from being implicated in the disappearance of assets in *Premier* and exposing the bankruptcy fraud scheme**

- 1) **The commencement of *Pfuntner* led to cross-claims for negligence and reckless trusteeship of Premier against Trustee Gordon, who again made false representations in his motion for dismissal and Judge Ninfo again disregarded them as he did the absence of discovery and the applicable standard of genuine issues of material facts when granting the motion**

31. Mr. Pfuntner too found Trustee Gordon's performance objectionable. Through his attorney, David D. MacKnight, Esq., (¶5 supra), he filed adversary proceeding *James Pfuntner v. Trustee Kenneth Gordon et al.*, 02-2230, WBNY (dkt. at A:548-564i; *Pfuntner*). (A:21, 22, 56) That case too landed before Judge Ninfo. Moreover, Mr. Pfuntner had been unable to collect fees from his client, Mr. Palmer, who had sought the benefit of the stay on his creditors' collections concomitant with his filing for bankruptcy relief. So Mr. MacKnight tried to recoup those fees from Mr. Palmer's clients. Hence, he also sued them, including Dr. Cordero, never mind that there was no privity of contract between them and Mr. Pfuntner whatsoever and that at least Dr. Cordero had paid his fees to Mr. Palmer. In fact, Att. MacKnight was so negligent in his filing that before conducting "an inquiry reasonable under the circumstances", as required under FRBP 9011(b), he named Rochester Americans Hockey Club, Inc., among the defendants even though that Club had never stored anything in Mr. Pfuntner's warehouse, whether directly or through Mr. Palmer. (A:364; 401§IV; A:514¶19)

32. In answering the claims in *Pfuntner* against Dr. Cordero, the latter cross-claimed against Trustee Gordon. (A:70, 83, 88) So the Trustee moved to dismiss the cross-claims summarily. (A:133, 135) Dr. Cordero applied for Judge Ninfo to defer his decision until trial (A:142, 143) on the strength of a sound reason: Although *Pfuntner* had been commenced two and a half months earlier, neither the required meeting of the parties nor disclosure –except by Dr. Cordero, who disclosed numerous documents (A:11, 13, 15, 34, 45, 63, 68, 90)- let alone any discovery, had taken place. Consequently, the record had not been developed factually. This prevented the summary disposition of the cross-claims given the genuine issues of material facts raised by Dr. Cordero concerning the Trustee's negligence and recklessness in liquidating Premier. (A:148) Moreover, the Trustee's claim of immunity was wrong as matter of law given that "The trustee in a case under this title has capacity to sue and be sued". (11 U.S.C. §323(b)) Since "[t]he

trustee in a case under this title is the representative of the estate”, (§323(a)), which is collected for the purpose of distributing it to the creditors in order to satisfy their claims on the debtor, the trustee represents the interests of the creditors and parties with an interest in such estate distribution. Hence, the trustee is liable for the wrongful representation of the estate, the creditors, and parties in interest.

33. At the hearing, Judge Ninfo blatantly disregarded the legal standard applicable to a dismissal motion and the need for fact-finding before determining whether the Trustee had performed his trusteeship negligently and recklessly. He did likewise as to the issue whether the Trustee had made false and defamatory representations to the court in violation of FRBP 9011(b)(3). Far from showing any concern for the integrity and fairness of judicial process, he even excused the Trustee’s statements as merely “part of the Trustee just trying to resolve these issues”. (A:275) Thereby he condoned the Trustee’s use of falsehood, astonishingly acknowledging in open court his acceptance of unethical behavior, and showing gross indifference to its injurious effect on Outsider Dr. Cordero. The transcript shows that Judge Ninfo reached the predetermined decision to dismiss the cross-claims summarily, the law and the facts notwithstanding. His subsequent conduct and the efforts by other insiders to suppress that transcript confirm it.

**2) Trustee Gordon declared *Premier* to be a case with assets for the creditors, hired an auctioneer with Judge Ninfo’s approval, and then declared the case with no assets; the docket has no explanation for the disappearance of assets; and Clerk Warren failed to disclose the amount of the Trustee’s or the auctioneer’s fees**

34. Right there at the hearing, Dr. Cordero, appearing by phone, gave notice that he would appeal Judge Ninfo’s decision in *Pfundner* to dismiss his cross-claims against Trustee Gordon. (A:281/13-16) That very day “Trustee’s report of no assets (KST)<sup>61</sup> ([was] Entered: 12/18/2002)” on the *Premier* docket. (A:577/107)
35. It was Trustee Schmitt who a year earlier had moved to convert, rather than dismiss, *Premier* from a Chapter 11 Reorganization to a Chapter 7 Liquidation of assets case. (A:572/55, 60) Presumably, by her choice of motion she had indicated that there were assets. In fact, by that time, the record of the bankruptcy of *Premier* had been built for more than nine months. Trustee

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<sup>61</sup> KST are the initials of WBNY Case Administrator Karen S. Tacy;  
[http://www.nywb.uscourts.gov/rochester\\_court\\_directory\\_11004.php](http://www.nywb.uscourts.gov/rochester_court_directory_11004.php)



Schmitt knew that there were, not just assets, but also a business to sell and to buy. (A:571/50, 55) Then Trustee Gordon was appointed. (A:572/63) To be sure, the docket shows that before and after his appointment there were assets for Premier to sell and for a buyer to buy. (A:571/50, 52, 55, 58; 572/70; 573/71, 575/89 [note the reference to “titled vehicles”]; 575/90, 94, 95) After holding a meeting of creditors (11 U.S.C. §§341, 343; FRBP 2004; A:572/63), the Trustee stated officially “This is an asset case”. (A:572/70) In the same vein, the following allegation in Plaintiff Pfuntner’s complaint pointed to the existence of assets:

“17. In August 2002, the Trustee, upon information and belief, caused his auctioneer to remove one of the trailers without notice to Plaintiff and during the nighttime for the purpose of selling the trailer at an auction to be held by the Trustee on September 26, 2002.” (A:24)

36. To begin with, this allegation clearly raised a genuine issue of material fact relating to the negligent and reckless performance of Trustee Gordon, as charged in Dr. Cordero’s cross-claims against him, and established the need to resolve it only after discovery. Consequently, it highlights how arbitrary and unlawful it was for Judge Ninfo to disregard discovery together with the standard for deciding Trustee Gordon’s motion to dismiss those cross-claims summarily.
37. The allegation also contains a reference to the fact that seven months after the meeting of creditors there were enough assets for an “Order [97-1], To employ Auctioneer Roy Teitsworth” to be entered after Trustee Gordon’s application for his employment was approved by Judge Ninfo. (A:576/97) But then no entry was ever made concerning Auctioneer Teitsworth’s auction of Premier assets, any proceeds, and their disposition, or how much he was paid for his auctioning or for his readiness to perform under any auction contract or agreement with the hiring Trustee. More telling yet, after the entry of the Trustee’s no-assets report, no other entry reports on any activity conducted by either Trustee Gordon or Trustee Schmitt even though the case was not closed in the following 10 months. (A:577/below 107) Nor is there an entry concerning how Trustee Gordon disposed of the assets previously identified or what event triggered the closing of the case and the payment of his fee. (A:578/above 108) Nevertheless, it is reasonable to assume that the Trustee did not simply sit back to watch how the *Premier* case wound itself up.
38. Therefore, when Trustee Gordon’s no-assets report was filed (A:577/107), Trustee Schmitt had to inquire what Trustee Gordon had been doing for a whole year. Her cause for investigating him

was all the stronger because his own asset case declaration and consonant acts during that year contradicted his unexpected no-assets report. These circumstances only rendered even more compelling the reason to inquire whether he had discharged this specific duty:

U.S. Trustee Manual §2-2.1.

[T]he trustee should consider whether sufficient funds will be generated to make a meaningful distribution to creditors, **prior to administering the case as an asset case**". (emphasis added).

[http://www.usdoj.gov/ust/r05/pdfs/Ch\\_7\\_Case\\_Admin\\_Manual.pdf](http://www.usdoj.gov/ust/r05/pdfs/Ch_7_Case_Admin_Manual.pdf)

39. Similarly, his hiring of Auctioneer Teitsworth purportedly to auction assets that then disappeared opened another line of inquiry in connection with this other duty:

Chapter 7 Case Administrative Manual

2-2.1. A chapter 7 case should be administered to maximize and expedite dividends to creditors and facilitate a fresh start for the debtors entitled to a discharge. A trustee should not administer an estate or an asset in an estate where the proceeds of liquidation will primarily benefit the trustee or the professionals, or unduly delay the resolution of the case. Id.

40. Trustee Schmitt had an independent obligation as his supervisor to investigate why Trustee Gordon had hired Auctioneer Teitsworth and whether either or both had unduly benefited therefrom and, if so, to what extent. She also owed it to the creditors to investigate whether the Trustee had wasted the estate by hiring the Auctioneer or by not distributing to them the proceeds of the auction.

Chapter 7 Case Administration Manual §2.2.1.

Pursuant to 28 U.S.C. §586(a), the United States Trustee must supervise the actions of trustees in the performance of their responsibilities. The principal duty of the trustee is to collect and liquidate the property of the estate and to distribute the proceeds to creditors. The trustee is a fiduciary charged with protecting the interests of the various parties in the estate. Id.

41. The missing information about Premier asset disposition and absence of docket entries were suspicious enough as to provide further causes for Trustee Schmitt to investigate Trustee Gordon. The suspicion arose from a solid source, namely, regulatory provisions,<sup>62</sup> of which she

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<sup>62</sup> Since circa October 17, 2005, a statutory provision furthers the public interest in information and thereby in eliminating bankruptcy misconduct by insiders thus:

28 U.S.C. 589b. Bankruptcy data

(b) Reports. –Each report referred to in subsection (a) [trustee’s final and periodic reports] shall be designed (and the requirements as to place and manner of filing shall be established) so as to facilitate compilation

had to be aware well before Dr. Cordero ever found out.

42. Indeed, Dr. Cordero requested specific information about these matters from the Bankruptcy Clerk, Paul R. Warren, Esq., (¶¶4, 15 supra), and Deputy Todd Stickle. They alleged that such information could not be produced because Dr. Cordero had not provided the docket entry number. (A:834, 836, 872, 1011-1022) However, the docket itself (A:548-564i) patently shows that not all entries have numbers, yet some entries make reference to documents. The latter should have been entered on the docket or a public record pursuant to either FRBP 5003 Records Kept By the Clerk, (a) Bankruptcy dockets, or:

FRBP 2013. Public Record of Compensation Awarded to Trustees, Examiners, and Professionals

(a) Record to be kept.

The clerk shall maintain a public record listing fees awarded by the court (1) to trustees and attorneys, accountants, appraisers, auctioneers and other professionals employed by trustees, and (2) to examiners. The record shall include the name and docket number of the case, the name of the firm individual or firm receiving the fee and the amount of the fee awarded. The record shall be maintained chronologically and shall be kept current and open to examination by the public without charge. "Trustees," as used in this rule, does not include debtors in possession.

FRBP 6005. Appraisers and Auctioneers

The order of the court approving the employment of an appraiser or auctioneer shall fix the amount or rate of compensation. No officer or employment of the Judicial Branch of the United States or the United States Department of Justice shall be eligible to act as appraiser or auctioneer....

43. The Advisory Committee Notes on this rule is illustrative of the type of conduct that judges engaged in before the rule, namely, favoritism, and in which they may still engage even if now the beneficiaries of favoritism are “a small select group of [non-Judiciary and non-DoJ] individuals” (¶1 quoted text, supra):

FRBP 6005 Advisory Committee Notes:

...The second sentence of the former rule is retained to continue to safeguard against imputations of favoritism which detract from public confidence in bankruptcy administration.

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of data and **maximum possible access of the public**, both by physical inspection at one or more central filing locations, and by electronic access through the Internet or other appropriate media. (emphasis added)

44. It follows that Trustee Schmitt's failure to investigate Trustee Gordon upon not just Dr. Cordero's initial request (A:37, 38), but also the Trustee's questionable conduct, warranted in turn her being investigated either for favoritism or worse yet, for participating in the cover-up of his and her own misconduct. This is particularly so because the misconduct of other insiders warranted their being investigated too. Was there a common motive and activity? Let's see.

**3) The efforts of Trustee Gordon, Clerk Warren, Judge Ninfo, and other court officers to prevent at all cost an administrative investigation and appellate review of *Premier* and their role in the liquidation of the assets**

45. Clerk Warren issued on December 30, 2002, Judge Ninfo's order dismissing at the December 18 hearing Dr. Cordero's cross-claims against Trustee Gordon. (A:151) When it arrived in New York City after the New Year's holiday, Dr. Cordero mailed to the Bankruptcy Court, as required, the notice of appeal to the District Court the next Thursday, January 9, timely under FRBP 8002(a). (A:153) It was filed in the Bankruptcy Court the following Monday, January 13. (A:1381) Trustee Gordon moved to dismiss it as untimely filed (A:156), even though under FRBP 9006(e) "notice by mail is complete on mailing". (A:164§II; 247§A).

46. Nevertheless, Dr. Cordero moved under FRBP 8002(c)(2) to extend time to file the notice. The Trustee himself acknowledged in his brief in opposition that the motion to extend had been filed timely on January 29. (A:235) No doubt, he had checked the docket to see whether he could resort to the same technicality of untimeliness to escape again liability for his negligent and reckless performance as Premier's trustee, just as when he had claimed that the notice of appeal had been filed untimely on January 13. (A:1245¶c) He would not have volunteered, much less simply assumed, that the motion to extend had been filed timely unless he had convinced himself that it was so. Nevertheless, Judge Ninfo disregarded the law and the facts once more and arbitrarily stated that it had been filed untimely on January 30 (A:241). Thereby the Judge conjured up an excuse for sustaining his dismissal of Dr. Cordero's cross-claims against Trustee Gordon. The latter kept silent and thus joined in, and benefited from, a travesty of justice by Judge Ninfo and the manipulation of the docket by Clerk Warren and his deputies<sup>63</sup>.

47. In so doing, Trustee Gordon and Clerk Warren violated their duty under the NYS Unified Court

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<sup>63</sup> On the manipulation of the docket by Clerk Warren and his deputies, see below and also A:684§1, 702§F, 1244§II, and 1372¶¶141-150. Cf. A:261-262, 283, 288.

System, Part 1200, Rules of Professional Conduct, which provides thus:

RULE 8.4: Misconduct

A lawyer or law firm shall not: (emphasis added)

- (a) violate or attempt to violate the Rules of Professional Conduct, **knowingly assist** or induce another to do so, or do so **through the acts of another**;
- (b) engage in illegal conduct that adversely reflects on the lawyer's honesty, trustworthiness or fitness as a lawyer;
- (c) engage in conduct involving dishonesty, fraud, deceit or misrepresentation;
- (d) engage in conduct that is prejudicial to the administration of justice;
- (f) **knowingly assist a judge** or judicial officer in conduct that is a violation of applicable rules of judicial conduct or other law;
- (g) [discrimination]
- (h) engage in any other conduct that adversely reflects on the lawyer's fitness as a lawyer.

48. Trustee Gordon unwittingly revealed his motive for having handled Premier's liquidation negligently and recklessly when in his "Memorandum of Law in Opposition to Cordero's Motion to Extend Time to Appeal" he stated: "As the Court is aware, the sum total of compensation to be paid to the Trustee in this case is \$60.00."<sup>64</sup> (A:238-239) What the Trustee was implicitly saying was that he had no financial incentive to do his job and did not recognize that his "fiduciary's obligation is to render loyal and disinterested service which his position of trust has imposed upon him".<sup>65</sup>
49. But why did Trustee Gordon ever think that arguing how little he would earn from liquidating Premier would in Judge Ninfo's eyes excuse his having done a hack job? After having brought thousands of cases before the Judge (fn. 12 supra), the Trustee knew that the Judge condoned his attitude of working as trustee only for the money and lacking any sense of fiduciary

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<sup>64</sup> This statement, based on 11 U.S.C. §330(b), does not exclude the application under subsection (a)(1)(A) and (B) for "reasonable compensation for actual, necessary services rendered by the trustee...and reimbursement for actual, necessary expenses". Consequently, the question of how much Trustee Gordon was paid under any concept for his work as trustee for Premier is valid and still remains unanswered. If such application was made, it had to comply with Appendix A to Part 58 [28 C.F.R.]-Guidelines for Reviewing Applications for Compensation and Reimbursement of Expenses Filed Under 11 U.S.C. 330. These Guidelines provide that "(a)(2) The United Trustees shall use these Guidelines in all cases commenced on or after October 22, 1994". 61 FR 24890, May 17, 1996. The text of the Appendix is found in the Bankruptcy Code by West, fn. 16 supra.

<sup>65</sup> Revision note to 11 U.S.C. §330. Compensation of officers, in the 1978 Senate Report 95-989. <http://uscode.house.gov/pdf/2008/2008usc11.pdf>

responsibility toward those for whose benefit he was supposed to act, namely, the creditors and parties in interest. Bound by a complicit relationship, neither deemed that they owed a duty of trust to all parties, including One-time, Pro se Outsider Dr. Cordero. They only understood their common motive: money. What they owed to each other was what all insiders still do among themselves, to wit, to protect each other, for if one falls, he or she can bring down the others. That is why Premier Owner Palmer, who knew how and for whose benefit his company's assets had been disposed of, had to be protected from any liability and any risk thereof. Consequently, all the insiders had to do their part in keeping him away from the court. (Cf. [fn. 88](#) infra)

#### **4) Clerk Warren and his Case Administrator disregarded their duties in handling Dr. Cordero's application for default judgment against Premier Owner Palmer**

50. Mr. Palmer lied to Dr. Cordero about the safety and whereabouts of his property, which he had abandoned at Mr. Pfuntner's warehouse, even though he continued to take in his storage and insurance fees. So Dr. Cordero impleaded him in *Pfuntner* as third party defendant. (A70, 78§A, 87§§A-B) As debtor in *Premier* (A:433/13, 12), Mr. Palmer was already under the bankruptcy court's jurisdiction. What is more, he had filed a voluntary bankruptcy petition, which means that he had been the one to subject himself to the court's jurisdiction in order to receive its protection from creditors. Yet, he never answered the summons or a single paper served on either him or his attorney, Mr. Raymond Stilwell<sup>66</sup>, by Dr. Cordero, and never appeared in court, whether in person or through an attorney. As a result, Dr. Cordero timely applied on December 26, 2002, under FRCP 55, applicable under FRBP 7055, for default judgment for a sum certain. (A:290-296) (A:294, 1392)

51. Under Rule 55, Bankruptcy Clerk Paul Warren, an attorney,<sup>67</sup> had an unconditional obligation upon receiving such an application: "the clerk **shall** enter the party's default". (emphasis added; ¶4 supra) Yet, he failed to do so. Nor was any communication sent from either his office or any other to Dr. Cordero concerning his application. So he called both the District and the Bankruptcy Courts. In the latter, Case Administrator Karen Tacy<sup>68</sup> told him that his application

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<sup>67</sup> [http://www.nywb.uscourts.gov/rochester\\_court\\_directory\\_11004.php](http://www.nywb.uscourts.gov/rochester_court_directory_11004.php)

<sup>68</sup> Id.

was just in the chambers of Judge Ninfo, who had not taken action on it because he considered the issue of damages premature. It should be noted that the issue of damages does not alter in any way whatsoever the clerk's unconditional duty to enter default, for the duty flows from the failure of the summoned party to appear and answer the summons. Entry of default simply certifies that fact. So, why did Clerk Warren and Case Administration Tacy even show Judge Ninfo Dr. Cordero's application for defaulting Mr. Palmer?

52. Dr. Cordero wrote to Judge Ninfo stating the grounds why the application should be granted and requesting that to effectuate such grant he make the corresponding recommendation and transmit it to the District Court, which was the one with the authority to enter default judgment. (A:302) Indeed, it was not for Judge Ninfo to become the advocate of Mr. Palmer, who had shown contempt for judicial process –that is, when it did not protect him from his creditors- by ignoring the summons, the complaint, and every other paper served on him or his attorney. Rather, if after being defaulted Mr. Palmer wanted to contest damages on any grounds, then he had to do what any other person dealing at arm's lengths with all the other parties before an unbiased court would have to do: appear and defend himself. But that was precisely what Judge Ninfo, Clerk Warren, and the other insiders could not allow to happen; so they protected Mr. Palmer.
53. It was only on February 4, that Clerk Warren entered default against Mr. Palmer. (A:303) That was 41 days after Dr. Cordero had applied for it. (A:290) The Clerk lacked any legal justification for his delay (A:335, 337)...but not a motive, for it was not by chance that he entered default on that date. It was on February 4, that Judge Ninfo made his recommendation to District Judge Larimer, his Colleague upstairs in the same little, cozy federal building (§11 supra), not to enter default judgment against Mr. Palmer. (A:304) This showed that Clerk Warren was taking orders from Judge Ninfo in disregard of his duty under law.
54. Likewise, Clerk Warren's deputy, Case Administrator Karen Tacy (kt), failed to enter on the docket (EOD) Dr. Cordero's application upon receiving it. Where did she keep it until entering it out of sequence on "EOD 02/04/03" (A:553/51; 554/46, 49, 50, 52, 53). Until then, the docket gave no legal notice to the world that Dr. Cordero had applied for default judgment against Mr. Palmer. The arbitrary placement, numbering, and untimeliness of docket entries are evidence that these officers of the court engaged in docket manipulation that served the same purpose as Judge Ninfo's recommendation to Judge Larimer, that is, to deny Dr. Cordero's default judgment application and thereby protect Mr. Palmer and themselves. The insiders were acting

intentionally; they had coordinated their misconduct. (A:640§§K, L; 1370§D) <sup>69</sup>

**5) District Judge Larimer joined the insiders' coordinated misconduct to protect themselves by denying the application for default judgment against a party that could involve them in the disappearance of assets and the non-publication of questionable fees**

55. Judge Larimer accepted Judge Ninfo's recommendation not to enter default judgment against Premier Owner Palmer based on Judge Ninfo's astonishing prejudgment that upon inspection of Dr. Cordero's property that had been stored by Premier "it may be determined that Cordero has incurred no loss or damages, because all of the Cordero Property is accounted for and in the same condition as when delivered for storage in 1993". (A:306) To make this statement Judge Ninfo disregarded the only available evidence concerning the condition of the property and which led to the reasonable conclusion that it had sustained damage or loss. (A:324¶¶46-50)
56. Dr. Cordero raised a motion (A:314) to request that Judge Larimer disregard Judge Ninfo's imposition of the arbitrary requirement to establish damage or loss sustained by his property and his prejudgment of the property's condition, and abide by the law by entering default judgment. In his letter accompanying his motion, he reproduced the warning in bold letters written across the face of the summons (A:311):

**IF YOU FAIL TO RESPOND TO THIS SUMMONS, YOUR FAILURE WILL BE DEEMED TO BE YOUR CONSENT TO ENTRY OF A JUDGMENT BY THE BANKRUPTCY COURT AND JUDGMENT BY DEFAULT MAY BE TAKEN AGAINST YOU FOR THE RELIEF DEMANDED IN THE THIRD-PARTY COMPLAINT.**

**PAUL R. WARREN**

*Clerk of the Bankruptcy Court*

57. Judge Larimer did not even acknowledge either the motion or the letter. Instead, he stated that Dr. Cordero "must still establish his entitlement to damages since the matter does not involve a sum certain [so that] it may be necessary for [sic] an inquest concerning damages before judgment is appropriate...the Bankruptcy Court is the proper forum for conducting [that] inquest". (A:339-340) The District Judge did not cite any authority at all for anything, let alone for disregarding the plain language of FRCP 55 and imposing a requirement not only not contained therein, but also contrary to the rationale for default judgment, namely, that the defendant

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<sup>69</sup> See also Clerk Warren's pattern of disregard for his duties and unlawful attempt to deprive Dr. Cordero of transcripts, [GC:48§6](#) infra.



received notice of judicial process against him, ignored it by neither timely appearing and defending it, and thereby consented by his inaction to satisfy the claim against him.

58. Worse yet, Judge Larimer compounded his rubberstamping of Judge Ninfo's recommendation by basing his own March 11 order denying entry of default judgment on a gross mistake of fact, to wit, that the application for default judgment did not involve a sum certain. (A:339) To make that mistake, he disregarded five papers stating that the application did involve a sum certain:
  - a. the Affidavit of Amount Due (A:294);
  - b. the Order to Transmit Record and Recommendation (A:304);
  - c. the Attachment to the Recommendation (A:306);
  - d. Dr. Cordero's March 2 motion to enter default judgment (A:314, 327¶¶57-58), and
  - e. his March 19 motion for rehearing re implied denial of his earlier motion (A:342, 344§6).
59. Dr. Cordero moved the District Court for a rehearing (A:342) of his unanswered motion, denied by implication, so that Judge Larimer could correct his outcome-determinative mistake of fact and acknowledge that when Mr. Palmer failed to appear and Dr. Cordero applied for default judgment for a sum certain his entitlement was perfected pursuant to the plain language of FRCP 55. In addition, he pointed out that Judge Ninfo could not provide the "proper forum" to conduct any such "inquest" precisely because he had prejudged its outcome in disregard of the only evidence available pointing to the loss and damage of his property.
60. All reasoning was to naught, for Judge Larimer dashed off a no-reason, "in all respects" denial of the motion. (A:350) His role was to support the insiders by protecting Mr. Palmer. Why?
61. The insiders had and still have to prevent Mr. Palmer from being investigated. If he were deposed or examined under oath, he would be asked about what he told Trustee Gordon about his clients or what the Trustee revealed that he knew about them. Those clients had contractually entrusted their property for storage and paid storage and insurance fees to him. They could legally file claims against Mr. Palmer as creditors in his own bankruptcy of Premier, either because he had failed to maintain such property under the safety conditions provided for in the contract or because he had abandoned it, as in the case of Dr. Cordero's. Mr. Palmer's testimony could support the charge that the Trustee had performed negligently and recklessly to the detriment of Mr. Palmer's clients, to whom the Trustee owed a fiduciary duty as creditors or parties in interest.
62. Even more risky from the insiders' point of view, Mr. Palmer could tell what happened between

the Trustee's surprising "no-assets report" entered on December 18 (A:577/107), and the next entry over 10 months later concerning the Trustee's "Report of No Distribution", the closure of the case, and even the "fee" or other compensation that the Trustee was paid (A:577/below 107). In particular, Mr. Palmer could testify to what happened with the assets of Premier, their auction by Auctioneer Roy Teitsworth, and the proceeds thereof. He could take the 5<sup>th</sup> Amendment in order not to incriminate himself in the crime under 18 U.S.C. §152(5-7) of "knowingly and fraudulently receiving, transferring, or concealing any of the property involved in a case under title 11 or obtaining a benefit for acting or forbearing to act in such case"; or he could confess to having split the proceeds of the auction or being allowed to keep the assets in exchange for payment to insiders. In either case, he would trigger a criminal investigation. It would start with him, but would not stop there, for he could engage in plea bargaining in order to secure a measure of immunity (11 U.S.C. §344 and 18 U.S.C. §6001 et seq.) or leniency in exchange for testifying against "bigger fish" coordinating their misconduct as insiders of the legal and bankruptcy systems in thousands of cases involving a huge aggregate dollar value to further a common motive: to benefit unlawfully from a bankruptcy fraud scheme. (fn. 57 supra)

63. The investigation of such scheme, not to mention incrimination in it, could have devastating rippling consequences. It could lead to the reopening of *Premier* due to fraud (11 U.S.C. §350(b)) and the removal of Trustee Gordon not just from that case, but automatically also from all his thousands of cases. (11 U.S.C. §324; 28 C.F.R. §58.6(a)(1) and (11)<sup>70</sup>) Any insider could deem it in his or her interest to cut a deal with the authorities to implicate yet "bigger fish" in the scheme. "Bigger fish" could include, not just Judge Ninfo<sup>71</sup> and Judge Larimer (A:1332§7; Add:1007§V), but also the circuit judges who have protected them from any investigation and disciplinary action and who reappointed Judge Ninfo in 2005 despite compelling evidence of his bias, arbitrariness, and abuse of power in *Premier*, *Pfuntner*, and *DeLano* to participate in the scheme or his toleration of it<sup>72</sup>. The much "bigger fish" could be, as discussed below, Former Circuit Judge Sonia Sotomayor, who was the presiding judge in *DeLano* (CA:2180) and is now

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<sup>70</sup> [http://Judicial-Discipline-Reform.org/docs/28\\_cfr\\_58.pdf](http://Judicial-Discipline-Reform.org/docs/28_cfr_58.pdf)

<sup>71</sup> a. [http://Judicial-Discipline-Reform.org/JNinfo/25Committee/2DrCordero-petition\\_25feb9.pdf](http://Judicial-Discipline-Reform.org/JNinfo/25Committee/2DrCordero-petition_25feb9.pdf);  
b. [http://Judicial-Discipline-Reform.org/docs/DrRCordero\\_v\\_JJNinfo\\_WBNY\\_11aug3.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero_v_JJNinfo_WBNY_11aug3.pdf)

<sup>72</sup> [http://Judicial-Discipline-Reform.org/docs/1DrCordero\\_v\\_reappoint\\_JNinfo.pdf](http://Judicial-Discipline-Reform.org/docs/1DrCordero_v_reappoint_JNinfo.pdf) of 17mar5  
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Justice Sotomayor; and Justice Ginsburg, who as the Circuit Justice (28 U.S.C. §42, 45(b)) for the Second Circuit has supervisory responsibility for it, is kept current of developments affecting the administration of justice in the Circuit, was informed repeatedly of the evidence of the scheme in *Pfuntner* and *DeLano*, and had the duty to report it to the U.S. attorneys for the sake of the integrity of judicial process.<sup>73</sup> (18 U.S.C. §3057(a); A:1265<sup>74</sup>)

64. The scandal would shake the Federal Judiciary to its foundation. The biggest fish would wield their ultimate judicial power, pull the strings of their most influential connections in the Department of Justice and Congress, and shift all the blame on the small fish in the recesses of the pond. The small fish could soon find themselves kicked out of the water and fluttering convulsively on the shore. That explains why in order to avoid such risk, Judge Ninfo had to protect the misconduct of every insider and be biased against Dr. Cordero at every turn.

**6) Att. MacKnight and Client Pfuntner disobeyed two orders of Judge Ninfo that they had sought, approached him ex-parte, and made disingenuous submissions to him, but benefited from their insider status when the Judge disregarded the law and the sanctions requested by Dr. Cordero while imposing on him strict discovery orders**

65. At the only meeting ever held in the adversary proceeding, the pre-trial conference<sup>75</sup>, Judge Ninfo orally issued only one onerous discovery order: Dr. Cordero must travel from New York City to Rochester and to Avon to inspect at Plaintiff Pfuntner's warehouse the storage containers that bear labels with his name. Dr. Cordero had to submit three dates therefor. The Judge stated that within two days of receiving them, he would inform him of the most convenient date for the other parties. Dr. Cordero submitted not three, but rather six by letter of January 29 to Judge Ninfo and the parties (A:365, 368). Nonetheless, the Judge never answered that letter or informed Dr. Cordero of the most convenient date.

66. Dr. Cordero asked why at a hearing on February 12, 2003. The Judge said that he was waiting to hear from Mr. Pfuntner's attorney, David MacKnight, Esq., who had attended the pre-trial conference and agreed to the inspection. The Judge took no action and the six dates lapsed.

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<sup>73</sup> [http://Judicial-Discipline-Reform.org/docs/SCt\\_knows\\_of\\_dismissals.pdf](http://Judicial-Discipline-Reform.org/docs/SCt_knows_of_dismissals.pdf)

<sup>74</sup> [http://Judicial-Discipline-Reform.org/docs/make\\_18usc3057\\_report.pdf](http://Judicial-Discipline-Reform.org/docs/make_18usc3057_report.pdf)

<sup>75</sup> At the pre-trial conference, Att. Karl Essler (fn. 60 supra) represented Mr. David Dworkin and Jefferson Henrietta Associates, the warehouse that he owned and managed; both had been brought in as third party defendants by Dr. Cordero.

67. However, when Mr. Pfuntner wanted to get the inspection over with to clear and sell his warehouse and be in Florida worry-free, Att. MacKnight contacted Judge Ninfo on March 25 or 26 ex parte –in violation of FRBP 9003(a). (A:372). Reportedly, the Judge stated that he would not be available for the inspection and that setting it up was a matter for Dr. Cordero and Mr. Pfuntner to agree mutually.
68. Dr. Cordero raised a motion on April 3 to ascertain this reversal of Judge Ninfo’s position and ensure that the necessary transportation and inspection measures were taken. (A:378) On April 7, the same day of receiving the motion (A:557/75, 76) and thus, without even waiting for a responsive brief from Att. MacKnight, the Judge wrote to Dr. Cordero denying his request to appear by telephone at the hearing –as he had been allowed to do on four previous occasions– and requiring that Dr. Cordero travel to Rochester to attend a hearing in person to discuss measures to travel to Rochester. (A:386)
69. Then Att. MacKnight raised a motion. (A:389) It was so disingenuous that, for example, it was titled “Motion to Discharge Plaintiff from Any Liability...” and asked for relief under FRCP 56 without ever stating that it wanted summary judgment while pretending that “as an accommodation to the parties” Plaintiff had not brought that motion before. Yet, it was his client, Plaintiff Pfuntner, who had sued parties even without knowing whether they had any property in his warehouse, just because their names appeared on labels. (A:364) Dr. Cordero analyzed in detail the motion’s mendacity and lack of candor. (A:396, 410) Despite its obligations under Rule 56(g) to sanction a party proceeding in bad faith, Judge Ninfo disregarded Att. MacKnight’s disingenuousness, just as he had shown no concern for the false statements that Trustee Gordon had submitted to him to avoid the review requested by Dr. Cordero of his performance as trustee for Premier. How much commitment to fairness and impartiality would you expect from a judge that exhibits an „anything goes” standard that includes the admission of dishonest statements? If that is what Judge Ninfo allows attorneys to get away with, what will he not allow or ask in-house court officers to engage in?
70. Nor did Judge Ninfo impose on Plaintiff Pfuntner and Att. MacKnight any sanctions, as requested by Dr. Cordero, for having disobeyed the Judge’s first order to choose among the dates proposed by Dr. Cordero for the inspection of his property at Mr. Pfuntner’s warehouse. By contrast, when it suited Mr. Pfuntner, Judge Ninfo ordered Dr. Cordero to carry out the inspection within four weeks or the Judge would order the containers bearing labels with his

name removed at his expense to any other warehouse anywhere in Ontario, that is, whether in another county or another country.

71. Mr. Pfunter and Att. MacKnight agreed with Dr. Cordero that the inspection of his property at Mr. Pfunter's warehouse in Avon, NY, would take place on May 19, 2003. (A:426, 427, 491, 492) Dr. Cordero informed Judge Ninfo and all the parties of that agreement and the date. (A:490, 493, 494) On May 19, Dr. Cordero flew to Rochester and inspected the property in Avon. He did so in spite of the fact that neither Att. MacKnight nor Client Pfunter showed up for the very inspection that they had urged Judge Ninfo to order. Worse yet, they had taken none of the measures necessary for the inspection. (A:365)
72. At the hearing two days later, on May 21, Dr. Cordero reported on the damage and loss that his property had sustained. His report was uncontroverted and approved by Judge Ninfo. He also moved for sanctions and compensation due to Att. MacKnight's and Mr. Pfunter's failure to comply with the discovery orders. Judge Ninfo asked that Dr. Cordero submit a motion therefor separate from his earlier motion (A:396) and even took the initiative to ask that he resubmit his application for defaulting Premier Owner David Palmer, who had abandoned his property at Mr. Pfunter's warehouse.
73. Dr. Cordero complied with the instruction, moving for sanctions against Att. MacKnight and Mr. Pfunter for disobeying the discovery orders (A:508, 510) and reapplying for default judgment against Mr. Palmer (A:474). Moreover, because of false representations that Att. MacKnight made to Judge Ninfo after the inspection (A:495), Dr. Cordero moved for sanctions against him (A:500, 503). Once again Judge Ninfo protected these insiders from any harm and granted neither the motions nor the application. Far from it, he required Dr. Cordero to travel to Rochester to argue the false representations motion. (A:505) Then he objected to the absence of Dr. Cordero's travel tickets in the discovery sanctions motion. But even though Dr. Cordero provided it (A:730-733), Judge Ninfo still did not grant it.
74. The Judge also raised objections to the proper service of Mr. Palmer. However, the Judge himself had found that "on November 22, 2002, an affidavit of service was filed on the same date attesting to service of the Summons and a copy of the Complaint". (A:305, 689§2) After Dr. Cordero's first application to default Mr. Palmer, it was enough for the Judge simply to recommend to his Co-Insider District Judge Larimer that the application not be granted and that Dr. Cordero be forced to inspect his property to determine damage and loss to it (A:306;

GC:30§0). But after he requested that Dr. Cordero resubmit it, he had to devise another pretext to deny it again; so he came up with that one about defective service on Mr. Palmer without caring to check his own earlier negative recommendation, whereby he missed his statement therein attesting to proper service; but if he did check his recommendation and see his own statement, then he disregarded it with wanton indifference to the truth.

75. By showing such blatant bias, arbitrariness, and abuse of judicial power, Judge Ninfo has encouraged the misconduct of insiders, whether attorneys or court staff. Through that showing, he has also given them proof that he will not hesitate to abuse his power either to their detriment if they cross him or to their benefit if they tolerate or even join his misconduct in coordination with other insiders to run a bankruptcy fraud scheme. The degrading effect of the standard of conduct that he has set by example manifests itself in the facts of blatant misconduct by attorneys and others in the *DeLano* case. (GC:41§D infra)

**7) Trustee Schwartz relied on the self-serving statements of Complained-against Trustees Gordon and Schmitt, whereby she intended the reasonable consequences of her misreliance: she joined their cover-up of the bankruptcy fraud scheme and illustrated the Congressional finding of “absence of effective oversight”**

76. After Dr. Cordero made an application to Judge Ninfo to review Trustee Gordon’s performance as trustee of Premier (A:7, 8), and the Judge passed it on (A:29) to Trustee Schmitt, her investigation (A:53) was so flawed that Dr. Cordero appealed (A:101, 102) to her supervisor, U.S. Trustee for Region 2 Carolyn S. Schwartz (§14 supra). She pretended to rely on the rulings of Judge Ninfo to support her decision. Yet, she only misstated what he did, for it was objectively wrong to affirm that “We understand that the Bankruptcy Court...ruled that Mr. Gordon was not negligent in his administration of this bankruptcy estate” (A:364a) and “I understand that the Bankruptcy Court ruled that Mr. Gordon did not defame you” (A:364b). Far from it, what the Judge did was precisely the opposite, namely, to grant the Trustee’s motion for summary dismissal under FRBP 7012 (A:133-135) and thus, without deciding substantively the cross-claims that Dr. Cordero had brought against him (A:83§F). That is why Dr. Cordero had applied for the deferment of the dismissal motion until trial given that his cross-claims raised genuine issues of material fact that could only be decided after discovery and on the merits. (A:142, 143) But discovery had not even started. Nevertheless, the Judge arbitrarily disregarded

the applicable standard of decision so as to grant the motion for the benefit of Trustee Gordon, himself, and the other insiders.

77. In fact, Judge Ninfo's dismissal order of December 30 (A:151) did not even contain the terms "negligence" or "recklessness" or "defamation", nor did it state any reasons for dismissal. It was merely a fiat. Therefore, when in her letter of the following January 9 (A:364a) Trustee Schwartz wrote what „we or I understood“ the Judge to have ruled, her understanding could not possibly have resulted from reading the Judge's order. Nor was it from reading the transcript of the hearing (A:263), which was not requested orally by Dr. Cordero until the second week of January, not requested in writing until the fourth (A:261); and not sent to him until the end of March (A:283). This only leaves the possibility of Trustee Schwartz having „understood“ what Judge Ninfo had ruled by learning about it in a conversation with the Judge himself during an improper ex-parte contact with him or by relying on hearsay, that is, whatever somebody else told her the Judge had said at the hearing. If the persons on whom she relied to find out about the hearing were Trustee Gordon or Trustee Schmitt, then the information that she received was not only wrong, but also self-servingly so. Her reliance on their information was her fault, though.
78. Indeed, in her letter Trustee Schwartz did not affirm that she conducted an independent investigation, but simply that she reviewed the materials submitted by Dr. Cordero and „Trustee Schmitt's letter of October 22 (A:53) and the material on which she relied“. However, Trustee Schwartz failed even to notice that the gravamen of Dr. Cordero's appeal from Trustee Schmitt's letter was precisely that her investigation was substandard because it consisted of a "Quick contact conducted instead of "thorough inquiry"" (A:107§C) This "thorough inquiry" is what Judge Ninfo had written "I am confident that Ms. Schmitt will make" in his letter (A:29) informing Dr. Cordero that he had referred to her his application for the Judge to review Trustee Gordon's performance as trustee for Premier. But Trustee Schwartz showed, just as Trustee Schmitt had, a "Failure to realize the inadequacy of a mere chatty supervisory „contact“". (A:121§22) That could only have been the extent of Trustee Schmitt's „contact“ with Trustee Gordon given that Trustee Schmitt only gave herself one, and at the most two, days before dashing off her October 22 letter (A:53) in response to Dr. Cordero's detailed analysis and request to her (A:37, 38) to review Trustee Gordon's performance. Moreover, her own previous letter (A:30) to Dr. Cordero in reaction to the initial review application referred to her by Judge Ninfo indicated the meager

extent of her “investigation into this matter”: “we have contacted Mr. Gordon for response”. Any other “part” of that investigation appears from her October 22 letter to have been limited to “speaking with David MacKnight” and learning that Client Pfunter’s was “a Complaint to determine, inter alia, what property stored at the Avon location belongs to whom.” (A:54) Since that „chatty contact“ was what underlay Trustee Schmitt’s letter on which Trustee Schwartz relied for her January 9 letter (A:364a) to Dr. Cordero, her letter was equally superficial and flawed. To realize how it was a classic example of „garbage in, garbage out“, compare their reliance on such „chatty contact“ and its product with what conducting the “thorough inquiry” that Judge Ninfo had expressed confidence Trustee Schmitt would make would have entailed in order to meet minimum standards of competence and necessity, let alone sufficiency, as described in the appeal to Trustee Schwartz (A:103¶5):

5. A “thorough inquiry” is an investigative exercise that entails, at a minimum:

- reading closely the terms of the problem to the point of mastering its key issues, names, and relations;
- choosing evaluating standards and formulating the specific questions on which to focus the exercise;
- requesting documentary evidence and interviewing third-parties for independent corroboration of what is alleged to have been done as well as for unearthing what was embarrassing or incriminating enough not to have been even mentioned;
- asking all along tough whys, hows, and whens about the relevant acts and omissions; and finally
- reaching concrete findings and conclusive value judgments in which the specific questions of the inquiry are determined.

Alas!, there is no evidence that this is the kind of exercise that Assistant Schmitt undertook.

79. Moreover, Trustee Schwartz inexcusably misstated a key issue of Dr. Cordero’s review application and appeal: Quite clearly he did not claim that Trustee Gordon had failed to take possession of his stored property as part of Premier’s estate to subject it to distribution. Rather, he faulted Trustee Gordon for failing to protect his claim against Premier as client-creditor of it by either filing a claim on his behalf or notifying him of the Trustee’s liquidation of Premier so that Dr. Cordero could file his claim and share in the distribution. (cf. A:104§§5-6)

80. Likewise, Trustee Schwartz failed to realize that if she considered satisfactory the “several actions” taken by Trustee Gordon (A:364a 3rd¶), then she indicted him on another key issue of Dr. Cordero’s review application and appeal, i.e., Trustee Gordon’s failure to “furnish such



information concerning the estate and the estate's administration as is requested by a party in interest" (11 U.S.C. §704(a)(7); A:1, 2), to the point of enjoining Dr. Cordero not to contact his office anymore. (A:104§§11, 13, 14, 15; cf. 2, 9¶¶8-9) If it is assumed arguendo that Trustee Gordon obtained adequate information through satisfactory "several actions", then his refusal to provide any information to Dr. Cordero was intentional and blameworthy. The inconsistency of Trustee Schwartz makes the charges of inconsistency leveled against Trustee Schmitt applicable to her too. (A:104§§17, 18)

81. In the same vein, the application/appeal pointed out specifically the numerous instances of Trustee Gordon's failure to take action and to finally take it only in reaction to Dr. Cordero's prodding. (A:104§§6, 10, 19, 20) These belated and reluctant actions by Trustee Gordon were what Trustee Schwartz pretended constituted the satisfactory "several actions" taken by him, for what else was there to constitute such? Let's see.
82. Trustee Gordon declared *Premier* a case with assets for distribution, spent nine months on the case, hired Auctioneer Roy Teitsworth with Judge Ninfo's approval, and according to Trustee Schwartz' own statement, then "the trustee moved to sell the trailers only to learn that they also had liens on them". (A:364b) Thereby Trustee Schwartz only confirmed the pertinence of Dr. Cordero's question: "Failure to wonder „what has Trustee Gordon been doing [during all that time]?!“" (A:118§20). Since the objective answer is that he was only getting ready to file a no-assets report (A:577/107) and a Report of No-Distribution (A:577 last entry), which was incompatible with his duty (¶¶38, 40 supra), it follows that Trustee Gordon's trusteeship of *Premier* was negligent and reckless.
83. Therefore, the evidence before Trustee Schwartz raised questions that she conveniently failed to investigate: Was hiring Auctioneer Teitsworth a way to create fictitious work for an insider? Was he paid? Was the estate wastefully diminished thereby? Was Clerk Warren's failure to disclose the fees paid part of the cover up? Was Judge Ninfo's denial of Dr. Cordero's application to enter default judgment against Mr. Palmer or even summon him to court part of the cover-up of an unlawful distribution of assets or of the proceeds of their auction? Was the failure to make any entries on the docket concerning the assets to be auctioned part of the cover up of the disappearance of those assets?
84. These and many other incisive questions warranted an investigation by both Trustee Schwartz and Trustee Schmitt had they wanted to get to the bottom of the opaque, questionable, and

suspicious conduct of Trustee Gordon, Judge Ninfo, Clerk Warren, and other insiders of the bankruptcy and legal systems. But they preferred willful ignorance limited to „chatty contacts“ among themselves that did not upset their relation to those insiders.

85. It follows that Trustee Schwartz committed the crass managerial offense of limiting what she “reviewed” (A:364a) to the very same people that had the most pressing vested interest in distorting the facts and concealing them under fabricated explanations: Complained-against Trustees and Attorneys Gordon and Schmitt. Her offense was compounded by her own vested interest in preventing her own supervision of her supervisees and appointees from being found so inadequate as to constitute misconduct. Had Trustee Schwartz conducted the type of “thorough inquiry” that Judge Ninfo had been confident Trustee Schmitt would make (§78 supra), she could have found Trustee Gordon involved in the disappearance of Premier assets and in taking undue fees or arranging for “professional persons” (11 U.S.C. §327) or even others to receive them too. Such findings would open the way for more of his 3,383 cases (§3 supra) to be investigated. This would in turn lead straight to Trustee Schmitt being investigated for her deficient or complicit supervision that injudiciously allowed single trustees to concentrate in their hands such an unmanageable number of cases, such as Trustee Gordon’s 3,383 (fn. 18 supra) and Trustee Reiber’s 3,909 (fn. 34 supra). That overwhelming caseload made it impossible for the Trustees to “collect and reduce to money the property of the estate...investigate the financial affairs of the debtor...furnish such information concerning the estate and the administration of the estate as is requested by a party in interest”, let alone do everything else that a trustee is charged with doing personally. (11 U.S.C. §704(a)(1, 4, 7) et seq.; 28 C.F.R. §58) One after the other, Trustees Schwartz, Schmitt, Gordon, and Reiber would be found to have intended the reasonable consequences of their acts: the setting up of a rubberstamping bankruptcy petition mill that by its own nature would inevitably further degenerate into a bankruptcy fraud scheme.

86. By Trustee Schwartz failing to pursue those questions in a “thorough inquiry”, she confirmed a key finding by Congress that led to its passage of the Bankruptcy Fraud Prevention and Consumer Protection Act: “absence of effective oversight”. (§14 quoted text, supra) It falls now to the Departmental Disciplinary Committee to investigate her and the other misconducting attorneys.

**D. The DeLano Case: bankruptcy fraud through concealment of assets covered up to make a retirement gift to an insider**

87. M&T Bank (fn. 36 and 41 supra) extended a loan to Mr. David Palmer (fn. 22 supra) and his moving and storage company, Premier Van Lines, Inc., (fn.21 supra). It took a security interest in, among other things, the storage crates that he had bought with the loan proceeds. Mr. Palmer stored some of those crates containing the property of his clients, including Dr. Richard Cordero, Esq., in the warehouse of Mr. James Pfuntner in Avon, NY, (fn. 31 supra), and others in that of the Jefferson Henrietta Associates in Rochester, NY, owned and managed by Mr. David Dworkin (fn. 60 supra). At some point after Mr. Palmer filed for bankruptcy relief from his creditors (*In re Premier Van Lines*, 01-20692; (docket at A:565-578a), Mr. Dworkin told M&T Bankruptcy Officer David Gene DeLano (fn. 35 supra) that either M&T moved the Palmer crates out of his warehouse or paid storage fees. Mr. DeLano was working in M&T bankruptcy department collecting money from delinquent commercial borrowers and even liquidating their companies. (Transcript page 17, lines 14-19 = Tr:17/14-19) Actually, he was in charge of the defaulted loan to Premier. Mr. DeLano moved the crates out as soon as possible to cut M&T's losses and did so without regard for the owners of the property. This follows from his own testimony at the evidentiary hearing held at the initiative of and before Judge John C. Ninfo, II, WBNY, (fn. 12 supra) on March 1, 2005. (Pst:1285¶70 and GC:14§A supra)
88. At that evidentiary hearing, Mr. DeLano admitted that he told Dr. Cordero that he had seen the crates with his property in Mr. Dworkin's warehouse and that they were safe, but that in fact he never saw those crates at all. At the time, Dr. Cordero relied on Mr. DeLano's statement only to be filled with anxiety when his property turned out never to have been in Mr. Dworkin's warehouse. Mr. DeLano did not know its whereabouts; neither did Mr. Dworkin; Mr. Palmer had disappeared; and the trustee liquidating Premier, Kenneth Gordon, Esq., (¶3 supra) even enjoined Dr. Cordero not to contact his office to ask about the matter. (A:1, 2, 7) As a result, Dr. Cordero was forced to spend considerable effort, time, and money to figure out and find where his property was. He eventually found it in the warehouse of Mr. James Pfuntner. (fn. 31 supra) So after the latter commenced *Pfuntner v. Trustee Kenneth Gordon et al.*, 02-2230, WBNY, (docket at A:548-564i; GC:21§C supra), Dr. Cordero brought Mr. DeLano into it as a third-party defendant. (A:70, 82§D, 87§A) When Mr. DeLano and Wife Mary Ann, filed for bankruptcy under Chapter 13 (D:23-60), they named Dr. Cordero among their creditors (D:40).

**1) Who the DeLanos are and their incongruous, implausible, and suspicious declarations in their bankruptcy petition**

89. Mr. DeLano is not an average debtor in bankruptcy, but rather the most unlikely one. At filing time, he had worked in financing for 7 years and at two banks as an officer for 32 years: 39 years managing money!...and counting, for he continued working as an officer in precisely M&T bankruptcy department. (Tr:15/17-16/15) As such, he qualified as an expert in how to assess creditworthiness and remain solvent to be able to repay his creditors. Thus, Mr. DeLano is a member of a class of people who should know better than to go bankrupt. For her part, Mrs. Mary Ann DeLano was a specialist in business Xerox machines, and as such a person trained to think methodically so as to ask pointed questions of customers and guide them through a series of systematic steps to solve their technical problems with Xerox machines. Hence, the DeLanos are<sup>76</sup> professionals with expertise in borrowing, dealing with bankruptcies, and learning and applying technical instructions. They must be held to a high standard of responsibility.
90. Mr. DeLano is certainly among the longest insiders of the local bankruptcy and legal systems. He wanted to end the rainbow of his and his wife's careers in the golden pot of assets that they had been stashing away as they prepared their retirement. The elimination of their debts through a fraudulent bankruptcy petition was the last step in that preparation. So exactly three years before Mr. DeLano, age 62 (Add:939), planned to retire, they filed for bankruptcy under "Chapter 13-Adjustment of Debts of An Individual With Regular Income", thereby avoiding liquidation under Chapter 7 after retirement. Likewise, he used his experience with borrowers that use or abuse the bankruptcy system, his connection with key insiders of both the bankruptcy and legal systems, and his knowledge of how to petition them even wrongfully but successfully for bankruptcy relief.
91. Consequently, their bankruptcy petition warrants close scrutiny. This is particularly so because their declarations in the Schedules A-J (D:29-46) and Statement of Financial Affairs (D:47-53) attached to their petition (D:27-28) are so incongruous, implausible, and suspicious as to raise red flags even for lay persons, such as those that make up juries and examine with a fair mind, general knowledge, and common sense the evidence presented to them. So the DeLanos declared, among other things:
- a. that they had in cash and on account only \$535 (D:31); yet, they also declared that, after

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<sup>76</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/DeLano\\_docs.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DeLano_docs.pdf) >§II

their own liberal deductions of living expenses from their monthly income, their monthly excess income was \$1,940 (D:45), and stated, in their Financial Affairs Statement (D:47) and their 1040 IRS forms for 2001-2003 (D:186-188), that they had earned \$291,470 in just the three years prior to their filing;

- b. that their only real property was their home (D:30), bought in 1975 (D:342) and appraised in November 2003 at \$98,500, as to which their mortgage was still \$77,084 and their equity only \$21,416 (D:30)...after making mortgage payments for 30 years! and receiving during that period through a string of eight mortgages (D:342-354<sup>77</sup>; SApp:1654<sup>78</sup>) at least \$382,187, which they did not account for (Add:1057¶53) *Mind-boggling!*
- c. that they owed \$98,092 on credit cards –spread thinly over 18 of them (D:38) to ensure that their issuers would find a write-off more cost-effective than litigation to challenge the discharge in bankruptcy of such debt– while they valued their household goods at only \$2,810 (D:31), although they earned over 100 times -\$291,470- that amount in only the previous three years and had more than that in disposable income in less than two months. Even couples in urban ghettos end up with goods in their homes of greater value after having accumulated them over their working lives of more than 30 year;
- d. that their total assets were worth \$263,456 while their total liabilities only \$185,462 (D:29), yet they proposed to repay only 22¢ on the dollar (D:23, 59¶4.d(2)); but they managed to end up paying less than 13¢ on the dollar<sup>79</sup> (Pst:1174).

92. So what did they do with all their disposable income if allegedly it was not in cash or on account, in home equity, or household goods? Or was it? In answering that question it is very revealing that the DeLanos’ bankruptcy attorneys, Christopher K. Werner, Esq., and Devin Lawton Palmer, Esq. (¶¶8, 9, and 17 supra), knew that the DeLanos had money to pay for their legal

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<sup>77</sup> For each of those mortgages they had to pay closing costs. For example, just for the last known mortgage they had to pay \$3,444. (D:351, 354 lines 1400 and 1602) None of the trustees or any of the judges that had the duty to review the facts could have either competently or honestly believed that Career Banker DeLano would waste on closing costs for eight mortgages more money than the equity he ended up with in his home. They had to ask: “What did you do with all that money received from eight mortgages for which you paid so dearly in closing costs?”

<sup>78</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/DeLano\\_docs.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DeLano_docs.pdf) >§§VIII and X

<sup>79</sup> While the DeLanos’ plan provided for paying only 22¢ on the dollar (D:59; 23), what they actually paid was far less than that, as shown by Trustee Reiber’s motion of December 7, 2005 (Pst:1175) to forgive 87.39% of the claims. (Cf. D:508h/169; 508o) This means that they paid less than 13¢ on the dollar, that is, when they paid anything at all.

services far beyond the initial \$1,350 for assisting them in filing their petition. (D:54) They ran up a bill for an additional \$16,654 to protect the DeLanos from having to produce to Dr. Cordero documents, such as their bank account statements, to corroborate such incongruous, implausible, and suspicious declarations. (Add:871-875) Those documents were obviously necessary for Att. Werner to inform himself of the DeLanos' financial affairs so as to decide whether to sign off "under penalty of perjury" (D:28, 252¶12) on their bankruptcy petition. Similarly, the Standing Chapter 13 Trustee, Att. George Max Reiber (¶7 supra), needed those documents to decide whether to recommend to Judge Ninfo the approval of the DeLanos' plan of debt repayment (D:59). The Judge himself needed them to determine whether their "plan has been proposed in good faith and not by any means forbidden by law". (11 U.S.C. §1325(a)(3); 18 U.S.C. §§152-157)

93. Nonetheless, far from ordering them produced, Trustee Reiber recommended the payment by the DeLanos to their attorneys of fees incurred in preventing their production and Judge Ninfo approved the payment of \$18,005. (Add: 938, 942) Neither of them wondered where the DeLanos would come up with that kind of money, much less why the DeLanos, if they were really bankrupt, would prefer to pay their attorneys thousands of dollars rather than just produce the documents to Dr. Cordero. Actually, they preferred to pay even more, for Atts. Werner and Palmer provided further services for the same purpose, which Att. Werner billed at \$9,948 and Trustee Reiber allowed. (Pst:1175) What is more, the sum of \$27,953 for such services was only a partial total, for the DeLanos, according to Att. Palmer (SApp:1628¶4; 1645§1), would „continue to incur legal fees“ to prevent the production of such documents to Dr. Cordero...and the attorneys would continue to provide them their services for a fee. The attorneys knew that the DeLanos were good for the money and that their declaration that they only had \$535 in hand and on account (D:31) was false and made only in furtherance of their bankruptcy fraud through concealment of assets.<sup>80</sup> From those assets the DeLanos paid Complicitly Misconducting Atts.

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<sup>80</sup> While the DeLanos never produced their bank account statements, Att. Werner blurted at the meeting of creditors eventually held on February 1, 2005, at Trustee Reiber's office, that he had obtained such documents from the DeLanos while preparing their bankruptcy petition and had reviewed them. It is reasonable to assume that Att. Werner did review those incriminating documents and learned through them that the DeLanos had enough assets to pay for the tens of thousands of dollars in legal fees that they incurred avoiding their production to Dr. Cordero and the consequent exposure of their bankruptcy fraud.

That meeting was officially recorded by Reporter Ms. Bonsignor of Alliance Shorthand, 183 East Main Street, Suite 1500, Rochester, NY 14604, tel. (585)546-4920. Although

Werner and Palmer. The \$27,953 and counting was the disclosed cost of doing the business of bankruptcy fraud with impunity. Even if undisclosed costs were incurred in services rendered by others, they paid off, for Trustee Reiber, the U.S. trustees (§103 infra), and Judge Ninfo allowed the DeLanos to dive into their golden pot without having to account for at least \$673,657. (SApp:1654)

94. That was the farewell gift that the bankruptcy and legal system insiders made to the DeLanos with the money, not of their own, but rather of the creditors. If the insiders enable similar fraud in other cases among the 3,907 *open* cases that Trustee Reiber brought before Judge Ninfo (§7 supra) –and Trustee Kenneth Gordon’s 3,382 (§§3 and 23 supra)– the amount of money that ends up in the wrong hands to the creditors’ detriment can be in the tens of millions of dollars. No wonder the insiders had a strong motive to cover up the following event, which undoubtedly showed that they knew that the DeLanos had engaged in bankruptcy fraud through concealment of assets.

**2) The events at and after the meeting of creditors confirm that Att. Weidman and Reiber as well as Judge Ninfo knew that the DeLanos had committed bankruptcy fraud**

95. Since Trustee Schmitt allowed Trustee Reiber to amass the unmanageable number of 3,909 *open* cases, according to PACER (§7 supra), he could not be at the same time in all places where he needed to be to take care of them. So she let him conduct the meeting of creditors (11 U.S.C. §341: D:23) of the DeLanos on March 8, 2004, not only in a room connected to her office, but also unlawfully by his attorney, James Weidman, Esq. (§13 supra). For a trustee not to conduct a meeting of creditors personally is such a serious violation of his duty that it is included among the causes for removal under 28 C.F.R. §58.6(a)(10). (fn. 49 supra; SApp:1689) On that occasion, Trustee Reiber was taking care of business, of all places, downstairs in Judge Ninfo’s courtroom. In a well-coordinated scheme everybody has to pitch in. Trustee Schmitt’s friendly next-door neighbor is the local office of the U.S. Department of Justice in the little, cozy federal building in Rochester. (§11 supra)

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Trustee Reiber had stated to Dr. Cordero that he would give him a copy of the transcript (D:333), after the meeting he refused to do so (Pst:1263§19). That transcript can be obtained from either Trustee Reiber or Reporter Bonsignor. Moreover, the Trustee also tape-recorded the meeting.

96. Accompanying the DeLanos to the meeting were their one of a kind attorneys (D:79¶3): Att. Werner, who had brought 525 cases before Judge Ninfo (¶5 supra) and Att. Michael J. Beyma, who is also a partner in Underberg & Kessler, the same law firm in which Judge Ninfo was a partner at the time of his appointment (¶7 supra).
97. At that meeting of creditors, Att. Weidman examined the DeLanos under oath while being officially recorded on an audiotape. After examining them, he asked whether any of their creditors were in the audience. Dr. Cordero was the only of their creditors present. He identified himself and stated his desire to examine them. Att. Weidman asked him to fill out an appearance form (D:68) and to state what he objected to. Dr. Cordero submitted to him and Att. Werner copies of his Objection (under 11 U.S.C. §1324(a)) to Confirmation of the DeLanos' Plan of Debt Repayment (under §§1321-1322; D:63) No sooner had he asked Mr. DeLano to state his occupation –he answered „a bank loan officer“– and then how long he had worked in that capacity –he said 15 years, but see [Tr:15/17-16/15](#)– than Att. Weidman unjustifiably asked Dr. Cordero whether and, if so, how much he knew about the DeLanos' having committed fraud. When Dr. Cordero would not reveal what he knew, Att. Weidman put an end to the meeting even though Dr. Cordero had asked only two questions! (D:79§§I-III)
98. Later that afternoon at the hearing for the confirmation of debt repayment plans before Judge Ninfo and in the presence of Trustee Reiber and Att. Weidman, Dr. Cordero brought to the Judge's attention in open court and for the record being made by the court reporter how that Att. Weidman had prevented him from examining the Debtors. Nobody contradicted his account of the incident. Yet, rather than uphold the law and the right of Dr. Cordero thereunder, Judge Ninfo faulted him for applying the Bankruptcy Code too strictly and thereby missing "the local practice". He stated that Dr. Cordero should have phoned in to find out what that practice was and, if he had done so, he would have learned that the trustee would not allow a creditor to go on asking questions. (D:99§C; Add:889§II) The Judge intentionally disregarded the statement that he had just heard from Dr. Cordero, to wit, that Att. Weidman had cut him off and terminated the meeting after Dr. Cordero had asked only two questions. Thereby Atts. Reiber and Weidman benefited from the unlawful protection given them as co-scheming "locals" by Judge Ninfo in breach of the national law of Congress. That law provides for not one, but rather a series of meetings where creditors can engage in an examination of the debtors of very wide scope. (11 U.S.C. §341(c); FRBP 2004(b); D:283¶¶a-b, 98§II, 362§2)



99. Trustee Reiber had been ready to recommend at that hearing the confirmation of the DeLanos' debt repayment plan even though he had not checked the petition underlying it against any supporting documents. Only Dr. Cordero's Objection (D:63) stopped him and Judge Ninfo from rubberstamping it. In how many of the thousands of cases of the Trustee do he and the Judge merely rubberstamp plans so as to enable debtors to repay their creditors far less than what they should if their financial affairs had been truly ascertained and the law applied? What is in it for them?
100. Subsequently, Dr. Cordero moved for Judge Ninfo to state what "the local practice" consisted of, but the Judge never provided a statement on the subject. (Add:891§III) Although Dr. Cordero gave notice of this event to Trustees Schmitt and Martini and requested the removal of Trustee Reiber for his misconduct in undeniable violation of the law and the evidence of coordinated misconduct, they did nothing about it. (D:79§§I-II, 94¶80) On the contrary, they tried to avoid holding an adjourned meeting of creditors (D:111, 112, 141) and then to limit it unlawfully to one hour (D:86§VI; Pst:1262¶¶13-20).

**3) Dr. Cordero requested documents and Att. Werner pretended to be searching for them while comforted by Trustees Reiber, Schmitt, and Adams evading their duty to demand their production for the sake of the integrity of the bankruptcy system**

101. For months after the meeting of creditors, Trustee Reiber and Att. Werner treated Dr. Cordero as a creditor of the DeLanos, pretending to be obtaining the documents that he had requested through Trustee Reiber. (D:63, 151, 73, 74, 103, 111, 116, 117, 120, 122, 123, 128, 138, 149, 153, 159, 160, 162, 165, 189, 203) They also pretended to be available for an adjourned meeting of creditors where Dr. Cordero would use those documents to examine them under oath. But the documents only trickled in. Worse yet, the documents that they produced during the dragged-on period were incomplete, even missing pages! (D:194§II) Would Mr. DeLano have lasted 39 years in banking if his performance in producing his own documents had been a reflection of his competency to obtain the documents necessary for his employer, M&T Bank, to evaluate its clients' loan applications and current ability to repay loans and avoid defaulting on them? Of course not! Likewise, one can reasonably take for granted that Mr. Werner had learned during his 28 years in practice at the time and all those as a bankruptcy practitioner how to obtain documents that he wanted financial institutions to produce.

102. Similarly, Trustee Reiber failed to use the means at his disposal to obtain those documents. He is supposed to act as fiduciary to collect the assets of the estate and distribute them to the creditors (§40 quoted text supra) after discharging his duty to “investigate the financial affairs of the debtor [and] furnish such information concerning the estate and the estate’s administration as is requested by a party in interest” (11 U.S.C. §§1302(b)(1), 704(a)(1, 4, 7)), such as Dr. Cordero. Far from that, Trustee Reiber spared the DeLanos the production of documents that he too needed to determine whether to recommend the approval of their plan of debt repayment (D:59) and that Dr. Cordero requested repeatedly. (D:66§IV, 94¶80d, 113¶6, 126¶9, 148¶7, 321¶16; 161, 467, 494, 684)
103. Along the same line, Assistant U.S. Trustee Kathleen Dunivin Schmitt, Esq., (¶¶11-12 supra), disregarded both the evidence of fraud and the requests for the DeLanos and Trustee Reiber to be investigated. (D:84§IV, 94¶80a-f, 160, 309, 470, 471, 474, 476, 495, 685, plus all other documents filed with the District Court, WDNY; CA2; and the Supreme Court; cf. fn. 1 supra) Her supervisor, U.S. Trustee for Region 2, Deirdre Martini, Esq. (¶14 supra), also disregarded both her duty to investigate and the requests for documents. (D:90§VII, 94¶80g; 104, 137, 139, 141, 154, 158, 307, 330, 682, plus all other documents filed with the courts) The current U.S. Trustee for Region 2, Diana G. Adams (¶14 supra), has also been served by Dr. Cordero with every paper that he has filed since she took office, but she has never communicated with him or filed anything concerning *DeLano* with any court, let alone investigated the DeLanos.<sup>81</sup>
104. Yet, all these trustees had the duty to obtain those documents, not just in general because they were necessary to find and collect the assets of the estate in the creditors’ behalf, but also in particular because Mr. DeLano’s superior knowledge of money management had rendered his petition for bankruptcy relief suspect, never mind his incongruous and implausible declarations therein. (¶91 supra) What is more, they had the right to obtain those documents concomitant with the DeLanos’ duty to produce them, regardless of how damaging such production might be:

11 U.S.C. §521. Debtor’s duties

(a) The debtor shall-

- (4) ...surrender to the trustee all property of the estate and any recorded information, including books, documents, records, and papers, relating to property of the estate, whether or not immunity is granted under section 344 of this title;

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<sup>81</sup> Cf. Table of officers that have disregarded their statutory duty to investigate the DeLano Debtors (SApp:1609)

105. The DeLanos' production of documents was so objectionable that Trustee Reiber himself moved to dismiss the petition "for unreasonable delay which is prejudicial to creditors, or to convert to a Chapter 7 proceeding", that is, liquidation. (D:164) This was for either show or leverage for another purpose given that the Trustee never even requested the DeLanos, despite Dr. Cordero's requests, to produce key documents, such as their bank account statements. Those statements are most threatening to all of them because they would enable creditors and investigators to track the DeLanos' bank deposits and transfers, which would show that they committed perjury when they declared under oath that they only had \$535 in cash and on account (D:31) and Att. Werner signed off on that declaration (D:28).

**4) Att. Werner used the artifice of a motion to disallow the claim of Dr. Cordero as creditor of the DeLanos in order to stop him from proving their bankruptcy fraud scheme**

106. Dr. Cordero continued analyzing the petition intrinsically and extrinsically for its consistency with the few documents produced. (D:23-60, 63, 165-188) In a written statement submitted to Judge Ninfo (D:193), he showed that the DeLanos had concealed assets, a violation of 18 U.S.C. §152(1), and thereby committed bankruptcy fraud. That crime is punishable by up to 20 years in prison and a fine of up to \$500,000 under 18 U.S.C. §§152-157, 1519, and 3571. (cf. D:46, 53) By that time, Att. Werner and the DeLanos, who had included Dr. Cordero among their creditors in Schedule F of their petition (D:40), had treated him as a creditor for six months.

107. Only after that statement did Att. Werner come up with the artifice of a motion (D:218) to disallow Dr. Cordero's claim. (D:142) He did not cite any authority at all for challenging the presumption of validity of a creditor's claim. (D:256§VII) Moreover, his challenge had become barred by waiver and laches. (D:255§VI) Indeed, the DeLanos named Dr. Cordero among their creditors precisely because Mr. DeLano had been aware for more than a year and a half that he had been brought into *Pfuntner* as a third party defendant by Dr. Cordero. (¶¶87-88 supra; Add:786¶5) In addition, months before the disallowance motion, Mr. DeLano had been reminded thereof by Dr. Cordero filing his proof of claim (D:142), which included a copy of the part of his third party complaint in *Pfuntner* that concerned Mr. DeLano (D:250§I). What is more, three months earlier the DeLanos had raised the objection, already untimely after treating Dr. Cordero as their creditor for months, that he "is not a proper creditor in this matter". (D:118) Within 10

days, Dr. Cordero countered their objection. (D:128) Then they dropped the issue...for months. Their conduct shows that their motion to disallow was a desperate attempt to get rid of Dr. Cordero and his overt charge that they had committed bankruptcy fraud as participants in the bankruptcy fraud scheme. (D:253§V)

108. Judge Ninfo came through to assist Insider Att. Werner with his disallowance motion artifice. Sua sponte, he issued an order for an evidentiary hearing to determine the motion. (D:272) He required that thereat Dr. Cordero introduce evidence to establish his claim against Mr. DeLano in *Pfuntner*, that is, in isolation from all the other parties, their claims and defenses, and issues. Dr. Cordero realized that he was being set up to try piecemeal in *DeLano* one claim severed from *Pfuntner*. So he moved in CA2 to quash the order of Judge Ninfo, who was that Court's appointee to a bankruptcy judgeship term. (D:441) CA2 merely "Denied" with no explanation Dr. Cordero's motion to quash. (D:312) Thereby it covered up its appointee's approval and use of Att. Werner's process-abusive motion and encouraged both the Judge and the Attorney to engage in even more abuse.
109. Judge Ninfo received the encouragement and engaged in even more egregious misconduct, knowing that he would soon be rewarded with his reappointment to a second 14-year term bankruptcy judgeship, as he was in 2005 (fn. 72), and that for Dr. Cordero to complain about his bias, arbitrariness, and abuse of power to CA2 would prove useless, as it already had (D:425; SApp:1655, 1657; CA:1721, 1859 fn.5; cf. fn. 71 supra). So the Judge required that discovery for the evidentiary hearing be completed within three and a half months, at the end of which he would set the date for the evidentiary hearing. (D:278¶3)
110. On the strength of that order, Dr. Cordero requested documents from the DeLanos, including those to which he was entitled not only as a creditor, but also as a party in interest and as a party to *Pfuntner*. (D:287) Nevertheless, Att. Werner denied him *every single document*, self-servingly characterizing all as irrelevant. (D:313, 314) Dr. Cordero moved for an order by Judge Ninfo to compel the DeLanos to comply with the discovery provisions of his order and respect his right to discovery under FRBP 7026-7037 and FRCP 26-37. (D:320§II) Disregarding his own order and showing contempt for the rules, Judge Ninfo aided and abetted Att. Werner's blatant violation of the right to discovery (D:325) and likewise denied him *every single document!* (D:327) Having thus ensured the non-production of incriminating evidence, the Judge scheduled the evidentiary hearing. (D:332)

**5) Att. Werner and Att. Beyma were willing participants in, and beneficiaries of, the sham evidentiary hearing of the motion to disallow Dr. Cordero's claim against Mr. DeLano in *Pfuntner***

111. With no documents to introduce, Dr. Cordero examined Mr. DeLano at the evidentiary hearing held on March 1, 2005. Mr. DeLano was represented by both (Tr:2 in the transcript attached hereto), Att. Werner as his bankruptcy attorney, and Att. Michael Beyma, (¶10 supra), the attorney in *Pfuntner* for both Mr. DeLano and his employer, the very important client M&T Bank (fn. 36 supra). Nevertheless, as the transcript shows, during the whole examination it was Judge Ninfo who acted as Mr. DeLano's Chief Advocate, and as if he still were a partner in Mr. Beyma's law firm, Underberg & Kessler, in which he was actually a partner at the time of his appointment to the bench in 1992. (fn. 10 supra) The Judge objected on behalf of Mr. DeLano to Dr. Cordero's questions, warned him about how to answer them, and engaged Dr. Cordero in an adversarial discussion. (Pst:1255§E) For their part, Atts. Werner and Beyma never once during the more than five hours of the hearing raised an objection to their First Chair On The Bench. There is, of course, a pecking order in their bankruptcy fraud scheme.
112. Although Judge Ninfo reduced Atts. Beyma and Werner to deferential second chairs, they were not inactive at all. Far from it. So confident did they feel in the presence of Att. Beyma's old buddy John and Att. Werner's frequent trier of 525 of his cases (¶8 supra) that they signaled answers to Mr. DeLano while he was on the stand being examined under oath by Dr. Cordero. (GC:14§A supra; Pst:1289§f) No doubt, these attorneys' experience with the Judge had assured them that they could suborn perjury right in front of his eyes with no adverse consequences for themselves or M&T Officer DeLano.
113. Att. Werner felt so confident that the Judge would grant his motion to disallow Dr. Cordero's claim against Mr. DeLano that neither of them had read Dr. Cordero's original complaint imploding Mr. DeLano in *Pfuntner* (Add:797§D, 802§A) or Dr. Cordero's proof of claim (D:142) or even brought a copy of either to the hearing. So in the middle of it, Att. Werner asked Dr. Cordero to lend them his copy of the complaint! (Tr.49/13-50/25; Pst:1288§e)
114. The cause for Atts. Werner's and Beyma's effort to suborn perjury and ask for that copy was that the testimony that Mr. DeLano was giving confirmed Dr. Cordero's claim against him in *Pfuntner*. (Pst:1285¶70) Far from Judge Ninfo finding that Att. Werner's ignorance of the claim that he had moved to disallow impugned his good faith and his motion's merit, the Judge arbitrarily disregarded Mr. DeLano's testimony against self-interest as "confused", although it

concerned his own conduct as the 39-year veteran M&T officer in charge of the Premier bankruptcy at stake in *Pfuntner*. The Judge found that Dr. Cordero had not introduced any documents to prove his claim, even though both he and Att. Werner had denied him *every single document* that he had requested during discovery. (Pst:1281§c) Then he entered the predetermined disallowance of Dr. Cordero's claim and his ruling that Dr. Cordero no longer had standing to participate in *DeLano*. Thereby Judge Ninfo managed to attain the benefit of self-protection that he and the other insiders had sought-for: to prevent Dr. Cordero from requesting and obtaining documents from the DeLanos that would incriminate all of them in tolerating or participating in a bankruptcy fraud scheme and its cover-up. (Pst:1281.d) Judge Ninfo can be "heard" as the partisan, leading voice of the schemers in the attached transcript. (Pst:1266§E) Dr. Cordero had in fact been set up.

**6) Bankruptcy Clerk Warren disregarded the law in coordination with District Judge Larimer in order to keep Dr. Cordero from obtaining the incriminating transcript of the sham evidentiary hearing to disallow his claim**

115. To appeal from Judge Ninfo's disallowance of his claim in *DeLano*, Dr. Cordero sent a notice of designation of items in the record and the statement of issues on appeal. (Add:690) Upon their receipt, Bankruptcy Clerk Paul R. Warren, Esq., (¶¶4, 15 supra) transmitted them that very same day to District Judge Larimer (Add:686) upstairs in the same little, cozy federal building (¶11 supra). However, he did not file the accompanying copy of Dr. Cordero's letter requesting Bankruptcy Court Reporter Mary Dianetti a transcript of the March 1 evidentiary hearing.<sup>82</sup> (Add:681) That letter gave Att. Warren notice that the Reporter had barely had time to receive the request, let alone prepare and submit the transcript. Consequently, Clerk Warren was indisputably violating FRBP 8007:

FRBP 8007. Completion and Transmission of the Record; Docketing of the Appeal

...

(b)...When the record is complete for purposes of appeal [(a)...On completion of the transcript by the reporter] the clerk shall transmit a copy thereof forthwith to the clerk of the district court.

116. Likewise, Clerk Warren disregarded FRBP 8006, which provides thus:

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<sup>82</sup> On Reporter Dianetti's refusal to certify to Dr. Cordero that her own transcript would be complete, accurate, and free of tampering influence, see Add:912 and fn. 53, 56 supra.

FRBP 8006...Within 10 days after the service of the appellant's statement the appellee may file and serve on the appellant a designation of additional items to be included in the record on appeal and, if the appellee has filed a cross appeal, the appellee as cross appellant shall file and serve a statement of the issues to be presented on the cross appeal and a designation of the additional items to be included in the record...The record on appeal shall include the items so designated by **the parties**,... [emphasis added]

117. So Clerk Warren knowingly (Add:679) deprived another insider, Att. Werner, of time to file his designation and any statement in order to transmit immediately to Judge Larimer a record that could not possibly be complete but that would afford the Judge the opportunity to play his role in the scheme. He did: Judge Larimer dropped everything that he was doing and the following day he was already hard at work writing an order scheduling the submission of Dr. Cordero's appeal brief for 20 days hence. (Add:692) By contrast, he consistently took weeks to answer Dr. Cordero's motions, although such answers consisted in practice of nothing more than an arbitrary, no-reasons fiat "denied in all respects as lacking in merits". (Add:911Dia>991; 851, 881, 951>1021; 993>1019; 1081>1092; 1097>1155) Through this coordination between Pitcher Warren and Catcher Larimer, these court officers unlawfully maneuvered to deprive Dr. Cordero of an incriminating transcript that demonstrated how Judge Ninfo, acting as First Chair On The Bench of Atts. Werner and Beyma and Chief Advocate of Mr. DeLano, had conducted a sham evidentiary hearing. (Pst:1255§E; US:2448§D)
118. Dr. Cordero objected to such unlawful scheduling of his brief before the Reporter had even had time to respond to his letter requesting the transcript (Add:695, 831, 836, 839). It cost Dr. Cordero seven month's worth of effort and money (Add:834, 870, 911 and 912:Table of Letters Exchanged Between Dr. Cordero and Rep. Dianetti, 991, 993, 1019; 1027, 1031, 1072) to thwart their maneuver and have that transcript produced so that he could use it to write and support his appellate briefs to the District Court (Pst:1264¶22-26) and eventually to CA2 (CA1735§1) and the Supreme Court (US:2451§E).
119. Clerk Warren's attempt to deprive Dr. Cordero of his right to a transcript in *DeLano* is similar to his attempt to deprive him of the transcript of the hearing in which Judge Ninfo dismissed his cross-claims against Insider Trustee Kenneth Gordon in *Pfuntner*. (Add:1007§V; in the *Pfuntner* file, A:153, 155a, 157a-f, 183, 261-289; 1327§4) Those two attempts suffice to constitute a pattern of misconduct in furtherance of the bankruptcy fraud scheme. That pattern is confirmed by Clerk Warren's disregard of his duty in handling Dr. Cordero's application for default

judgment against Premier Owner David Palmer. (GC28§4) supra)<sup>83</sup>

120. Despite the transcript, Judge Larimer affirmed the disallowance of Dr. Cordero's claim against the DeLanos in a conclusory order (SApp:1501) that did not once make reference to it or to his brief on appeal (Pst:1231, summarizing headings at 1255§E). What is more, the Judge did not even use the term „fraud“ although it and „a bankruptcy fraud scheme“ were the express key notions of the four questions presented on appeal (Pst:1257§C; CA:1749§2) and permeated the brief. Actually, Judge Larimer did not address even one of those questions. On the contrary, he committed the gross mistake of stating that the „preserved, appellate issues“ had been “set forth” by the DeLanos“ attorneys“. (SApp:1502 2nd para.) However, those attorneys never filed a cross appeal and thereby could not present any issues on appeal at all. (CA:1746§1) The issues that Judge Larimer went on to name were those “set forth” by those attorneys in their response to Dr. Cordero's brief. (Pst:1365) Yet, he did not engage in any legal analysis of even those issues. (CA:1756§4) In fact, to write his order Judge Larimer need not have even read Dr. Cordero's brief; he only needed to skim over the DeLanos“ answer. (Pst:1361, 1398§§II-III, 1409§V) Judge Larimer and Clerk Warren did whatever they had to do, the law and the rules notwithstanding, to prevent their exposure as misconducting insiders participating in the bankruptcy fraud scheme. (CA:1743§VIII)

**7) Trustee Reiber's shockingly perfunctory and unprofessional report on the DeLanos shows the degree of connivance between him and Judge Ninfo, who accepted it to approve their plan of debt repayment and eventually discharge their debts**

121. Dr. Cordero requested Judge Ninfo to remove Trustee Reiber from *DeLano* due to his failure to discharge his duty to “investigate the financial affairs of the [DeLano] debtor[s]”. (11 U.S.C. §704(a)(4); D:201¶32) So sure was the Trustee that the Judge would instead protect him that he did not bother to oppose the motion. (Add:971¶¶56-60, 974§4; CA:1738§2) His silence was significant given that had the Judge granted it, if only by default, the Trustee would have been

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<sup>83</sup> Under RICO, 18 U.S.C. §1961(5), a “pattern of racketeering activity” requires at least two acts of racketeering activity...within ten years” of each other. However, the District Court has taken preemptive measures to protect the schemers from RICO by adopting Local Rule 5.1(h). (Add:633) It requires a party to plead over 40 discrete pieces of factual information before discovery has even commenced so as to make it practically impossible to file a claim under RICO. (US:2461§XI)



automatically removed from every other case and lost his livelihood. (11 U.S.C. §324)

122. Trustee Reiber went about his business and in July 2005 submitted to Judge Ninfo shockingly unprofessional and perfunctory undated scraps of papers titled “Trustee’s Findings of Fact and Summary of 341 Hearing”, and an untitled form in Pidgin English that began “I/We filed Chapter 13 for one or more of the following reasons”, which was undated too and unsigned to boot. (D:937-939) Dr. Cordero analyzed in detail such self-belittling bungle of a legal document. (Add:953§I) For instance, there is no such proceeding as a „341 Hearing“, either in the Bankruptcy Code, i.e., 11 U.S.C., or the FRBP. This fact would have sunk into the mind and made a groove into the repeatedly used terminology of even an attorney that had not handled 3,909 bankruptcy cases, as Trustee Reiber had at the time. (fn. 34 supra) That groove would be all the deeper because substantive in nature:

11 U.S.C. §341. Meetings of creditors and equity security holders

(c) The court may not preside at, and may not attend, any meeting under this section including any final meeting of creditors”.

123. However, Trustee Reiber is the attorney who with Judge Ninfo’s knowledge and consent held meetings of creditors in his courtroom contiguous with his chambers while the Trustee had his lawyer, Att. James Weidman (§13 supra) hold unlawfully in his stead other meetings of creditors in a room contiguous with Assistant U.S. Trustee Schmitt’s office. (GC:45§2) What makes a substantive groove in his mind is the awareness of who is for every practical purpose very much in attendance and most certainly as presiding the meetings as if he were presiding a hearing and calling the shots, to wit, the judge who, whatever his benefit may be, is running the bankruptcy fraud scheme. (Cf. §129 infra)

124. Another substantive defect of Trustee Reiber’s “Report” was that its numbers did not even tally with those of the DeLanos’ Schedules (D:29-46) accompanying their bankruptcy petition:

- a. The Notice of Meeting of Creditors stated “unsecured creditors to be paid 22 cents on the dollar” (D:23), that means 22% of the debt, and the Summary of Schedules stated “F – Creditors Holding Unsecured Non-priority Claims 98,092.91” (D:29). However, the “Report” states “Repayment to unsecured creditors \$4646”, (D:937) which is only the pittance of 4.7%.
- b. The Summary of Schedules stated “E - Creditors Holding Unsecured Priority Claims 0.00” (D:29); but the “Report” states “Repayment to priority creditors \$16,655” (D:937).
- c. Schedule J. Current Expenditures of Individual Debtor(s) stated “D. Total amount to be

paid into plan each Monthly \$1,940.00” (D:45). The “Report” states “2. Plan: A. Summary: \$1940 [scribbling] MDI [presumably Monthly Disposable Income]” But see below “\$14145\*...Other: \* Payments decrease to \$635/month in July, 2004; then increase to \$940/month in August, 2006. Plus proceeds of accounts receivable”. There is no explanation why barely 5 months after the filing of the petition on January 27, 2004 (D:23), the payments decrease from \$1,940 by 67.3% to \$635 and remain so for the next 25 months out of 36 (3 years) and then increase to \$940 for the last five months or so. But then see further down: “B. Feasibility:...Excess for Wage Plan \$1940 Duration of Plan 3 years”. So which one is it!: \$1,940, mostly \$635, or \$960.

2. Attorney CHRISTOPHER K WERNER, ESQ **FUDI** Filing Fees: \$ 185 Paid

Plan:

A. Summary: \$ 1940 per month by wage order  
 \$ 14145\* annually **R**

Repayment to secured creditors \$ 6920  
 Repayment to priority creditors \$ 16,655  
 Repayment to unsecured creditors \$ 4646 ~5% **specific estimated**

Classification of unsecured creditors None

Class	%	\$
Class	%	\$

Rejection of executory contracts None

Other: \* Payments decrease to \$635/month in July, 2004; then increase to \$940/month in August, 2006. Plus proceeds of accounts receivable

B. Feasibility: **why the remaining loan paid**

Total Indebtedness	\$ <u>185462</u>	including mortgages
Monthly Income (net)	\$ <u>4886.50</u> <del>2946.50</del>	(gross) \$ <u>7501.</u>
Less Estimated Expenses	\$ <u>2946.50</u>	
Excess for Wage Plan	\$ <u>1940.</u>	
Duration of Plan	<u>3</u>	years

**92,920 TOTAL**

**why End of Sev & Unemployment**

Payments are not adequate to execute plan.

d. The “Report” states “Payments are not adequate to execute plan”. Note that the last word “...plan.” is followed by a period, not a colon as in „plan:“, which would have suggested that the “reporter” was supposed to state either yes or no. Is that a general assessment of non-feasibility that should have led Judge Ninfo not to confirm the plan rather than to confirm it? (Add:941)

- e. The Summary of Schedules stated “Total Assets 263,456.57” (D:29) But the second page of the “Report” states “3. Best interest of creditors test:...B. Total market value of assets: \$256,562”.
- f. In Schedule C. Property Claimed As Exempt the “Value of Claimed Exemption” adds up to \$178,361 (D:35); but the “Report” states “3....B...Less exempt property \$171732.
- g. Schedule A. Real Property stated “Amount of Secured Claim 77,084.49”. If in the “Report”, entry “3...B...Less valid liens \$83734” refers to that Secured Claim, then they do not match.
- h. The Objection (under 11 U.S.C. §1324(a)) To Confirmation of the Chapter 13 Plan of Debt Repayment (under §§1321-1322), filed by Dr. Cordero (D:63) and entered on the docket (D:497/13), was not mentioned in the “Report”, which instead has some scribbling next to “7. Objections to Confirmation” (Add:938)
- i. On the third scrap of paper titled “I/We filed Chapter 13 for one or more of the following reasons:”, see Add:956§A.

125. What a perfunctory “Report”! It is unworthy of being accepted by a U.S. judge, never mind docketed and relied upon to confirm the plan of debt repayment and thereby deprive creditors of what the debtors owed them. If you were the judge receiving such an incompetently drawn up form, filled out with such shockingly unprofessional scribbles and doodles, and so disrespectfully submitted for you to fend with it, how much effort and time would you have wasted trying to figure out whatever it was that the „reporter“ was trying to „report“ to you?

126. The only documents with figures that the DeLanos or Trustee Reiber filed and that were docketed were the former’s petition (D:23-60) and the latter’s “Report” (Add:937-939). Hence, there was no other information available for Judge Ninfo, let alone the creditors and parties in interest, to reconcile the discrepancies between those two documents and determine whether the DeLanos’ plan of debt repayment should be confirmed or opposed. The Judge discussed no objection, much less the statement in the “Report” that “Payments are not adequate to execute plan”. (§124§d supra) He simply rubberstamped a form of his own to confirm the plan because from experience he knew that it was most unlikely for any creditor to challenge him, but if any did, the challenge would not be sustained by his buddy, District Judge Larimer upstairs, or his appointers at CA2.<sup>84</sup> Had Judge Ninfo denied confirmation, he would have risked giving cause

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<sup>84</sup> [http://Judicial-Discipline-Reform.org/Sct\\_nominee/JSotomayor\\_v\\_Equal\\_Justice.pdf](http://Judicial-Discipline-Reform.org/Sct_nominee/JSotomayor_v_Equal_Justice.pdf) >¶¶4-6

for the 39-year veteran banker, M&T Bankruptcy Officer DeLano, to disclose what he knew about the Judge's participation in the bankruptcy fraud scheme, either out of spite or in a plea bargain if as a result he were criminally investigated for bankruptcy fraud.

127. Dr. Cordero also moved in District Court for Judge Larimer to remove Trustee Reiber (Add:974¶4; Pst:1306¶123.d). Once more, the Trustee did not bother to file even a yellow stick-it in opposition. What other attorney would show such suspiciously arrogant indifference to a direct challenge to his competency and livelihood and shocking disregard for professional standards unless he was sure that, regardless of what he did or failed to do, the judges would not dare expose him to a fall for fear that he might take them down together with him? Would Trustee Reiber have manifested the aloofness of the untouchable if the case had been before both a judge unafraid of him or the CA2 bankruptcy judge appointers and a jury free to find him a participant in a bankruptcy fraud scheme? (D:425) This is the type of superficially innocuous circumstance that catches the attention of insightful investigators and drives them to investigate its underlying causes. (US:2339§B, 2359¶75c, f; 2417¶¶c-e, h-i); 2459§B, 2479¶b)

**8) CA2's admission that Trustee Reiber's motion to dismiss *DeLano* contained "deficiencies" and its disingenuous characterization of them as "minor" reveal its disregard for the rule of law by nevertheless granting the motion and thereby knowingly covering up the bankruptcy fraud scheme**

128. Trustee Reiber did not bother either to contest in CA2 Dr. Cordero's implication of him in the bankruptcy fraud scheme and his request for his removal and for compelled production of documents. (CA:1652¶c, 1773¶f) Actually, he did not care for over a year to file even an appearance in Dr. Cordero's appeal to CA2, just as he had not done so in the District Court, even though the finding that the DeLanos had committed bankruptcy fraud through concealment of assets would have incriminated him as one of the trustees that made it possible by failing to investigate their financial affairs. (SApp:1609 row 1; CA:2112§I)

129. Instead, Trustee Reiber filed a motion on October 30, 2007, to dismiss the appeal as moot. (CA:2102) He set the tenor of the quality of his motion literally in its first line, the title, where he addressed it to "UNITED STATES DISTRICT COURT OF APPEALS SECOND CIRCUIT". This gross mistake cast doubt on which court he had intended to have jurisdiction over his motion. Even after Dr. Cordero pointed this out (CA:2124¶39), the most that the Trustee could muster by way of a correction in his amended motion (CA:2130) was this "UNITED STATES

COURT OF APPEALS SECOND CIRCUIT”. However, even there he did not care to correct any of the gross substantive mistakes that he had committed in his original motion. To oppose dismissal, Dr. Cordero set forth some of those mistakes. (CA: 2111, 2135) So the Trustee, who in both his motions’ opening sentence insisted that he was “an attorney admitted to practice before this Court”, had:

- a. failed to cite any authority for the proposition that failure to object timely by an unstated date to a trustee’s final report...or perhaps it was to the judge’s order approving it –the Trustee could not make up his mind (CA:2103¶¶15-16) or realize its importance for determining when the objection filing period began to run- had rendered the appeal moot and dismissible by some unexplained legal logic or factual connection and regardless of the grounds of the appeal;
- b. failed to identify what class of people of whom Dr. Cordero was supposedly representative had an obligation to object to whatever it was that he was supposed to object;
- c. failed to realize that Dr. Cordero’s objections to:
  - 1) the DeLanos’ bankruptcy petition (D:63, 196§IV);
  - 2) the Trustee’s failure to perform his investigative duty (D:293; Add:962§II);
  - 3) the “Trustee’s Report” (Add:937-939);
  - 4) Judge Ninfo’s approval of it and confirmation (Add:941) of the DeLanos’ debt repayment plan (Add:1038, 1066, 1095, 1097);
  - 5) Judge Ninfo’s disallowance of Dr. Cordero’s claim against the DeLanos (Pst:1306¶123.a and c); and
  - 6) Judge Larimer’s affirmance (SApp:1501) in the appeal filed over 2½ years earlier (D:1; SApp:1508§I; CA:1719§V);

constituted clear evidence that Dr. Cordero objected to every other act flowing therefrom because if his contentions were sustained on appeal, such acts would be rendered null and void as deriving from the nullity of the DeLano’s fraudulent bankruptcy petition of January 27, 2004, and the ensuing cover-up;

- d. failed to notice that Judge Ninfo had deprived Dr. Cordero of standing in *DeLano* (D:22), leaving him only the right to appeal, so that the Judge neither would serve, let alone do so timely, his report-approving order on Dr. Cordero nor could expect the latter to object to it;
- e. failed to assert that the alleged service on Dr. Cordero of “a summary of the account” (CA:

2103¶14) -whatever relation that bore to the Trustee's report or the Judge's order- was timely, let alone to state on what date it was made;

f. failed to explain how service of such "summary" would impose any duty on the recipient to object to something else not served, which would presumably contain the substantive grounds on which an objection be based.

130. Dr. Cordero's detailed analysis (CA:2111, 2135) of Trustee Reiber's substandard motion (CA:2101) and its only-in-the-title "amended" version (CA:2130) was so accurate and fair that even CA2 subsequently admitted that "Appellant's argument that the Trustee's motion is deficient may be correct". (CA:2180) It is also correct to state that the Trustee nevertheless raised them in CA2 in a display of complicit assurance that it would suffice for him to cobble together a pretext for dismissal, such as mootness, for CA2 to take the hint and carry it through. (CA:2191) After all, the one thing he was sure CA2 could not dare do was disavow its twice appointee, Judge Ninfo, through a reversal. Such action would risk causing Insider DeLano to be investigated for bankruptcy fraud, who would in turn incriminate the Trustee and the Judge, and thus trigger a domino effect that could topple CA2 itself for its knowing condonation of a bankruptcy fraud scheme and its systematic denial of due process<sup>85</sup> to cover it up. (US:2459§B)
131. The content and effect of these arrogantly perfunctory motions warrant investigating whether Trustee Reiber's supervisors, namely, Trustees Schmitt, Martini, and Adams (¶¶11-14 supra), allowed their supervisee to amass 3,907 *open* cases before Judge Ninfo because of his capacity to handle them competently or because they, with reckless disregard for both their statutory duties to ensure the integrity of the local or regional bankruptcy system and the harmful consequences for debtors, creditors, and the public at large, deemed him in spite of his lack of such capacity a willing and pliable player in the bankruptcy fraud scheme that they tolerated or participated in.

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<sup>85</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/why\\_j\\_violate\\_due\\_pro.pdf](http://Judicial-Discipline-Reform.org/Follow_money/why_j_violate_due_pro.pdf)

## IV. Conclusion

### A. Strategic thinking to investigate this complaint and the rewards for principled, courageous, and ambitious investigators

132. The in-depth investigation of this complaint by the Disciplinary Committee and/or its most principled, courageous, and ambitious members can enable them to pursue their commitment to honest practice of law by law-abiding and ethical attorneys as well as to a legal system that aspires to attain the noble goal of “Equal Justice Under Law”. Through their investigation, they can advance the interest of the man in the street, who stands no chance of having his economic and due process rights (fn. 85 supra) respected by insiders, whether attorneys or judges, that have grown to deem themselves entitled to control the bankruptcy and legal systems for their personal and class benefit. Exposing them entails risk. But doing the right thing also offers the commensurable rewards of name recognition, support for a public office bid, and system-cleansing legal business.

#### 1) A complaint that offers the rare opportunity to begin investigating attorneys in a bankruptcy court and end up exposing that their coordinated misconduct is tolerated or participated in by a former CA2 judge, now a justice, and the Supreme Court

133. This complaint is detailed enough and so organized as to make it possible to pursue a narrowly targeted investigation. This is further facilitated by the proposed Demand for Information and Evidence, which identifies the key documents that can prove bankruptcy fraud and the coordinated misconduct that enables it.(GCd:8; infra) Such investigation begins by realizing that attorneys that once were only aware of coordinated misconduct, of which a bankruptcy fraud scheme is only one manifestation, but did nothing to expose it, and those who even participated in it, eventually became well-connected attorneys or district judges. They were not about to incriminate themselves due to their passivity or participation by exposing such misconduct or to stop benefiting from gaming the system. Then they became partners in law firms or even circuit judges with the authority to appoint bankruptcy judges and an interest in not indicting their good judgment by reversing, let alone removing, their own appointees.

134. This is the case of Former CA2 Judge Sonia Sotomayor. She was a prosecutor in the NYC Manhattan D.A. office from 1979-1984<sup>86</sup>; then a lawyer and a partner<sup>87</sup>; an SDNY judge from

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<sup>86</sup> Mr. Charles E. King, III, Assistant District Attorney, (FOIL) Records Access Officer, Special Litigation Bureau, District Attorney of the County of NY, One Hogan Place, New

1992 to 1998; a CA2 member from 1992-2009, and as such the presiding judge on the panel that decided the *DeLano* appeal (CA:2180), which was conveniently dismissed by summary order (US:2456§A).<sup>88</sup> Now she is on the Supreme Court. She illustrates how other justices moved up the judicial hierarchy with a baggage of incriminating knowledge (CA:1963§III) or conduct<sup>89</sup>.

135. Consequently, on the strength of the facts of this particular complaint as well as circumstantial evidence concerning the Federal Judiciary it can be responsibly affirmed that the investigation of this complaint offers the realistic possibility of exposing what underlies the bankruptcy fraud scheme involving the complained-against attorneys, namely, coordinated misconduct that has become the Federal Judiciary's institutionalized modus operandi. Expressed in terms of the Commentaries on Canons 2A and 1 of the Code of Conduct for U.S. Judges<sup>90</sup>:

"...reasonable minds, with knowledge of all the relevant circumstances disclosed by a reasonable inquiry, would conclude" that they constitute a "pattern of actual improprieties consisting of intentional and serious violations of law [and] court rules" ,by judges that with disregard for the harmful effect on others and the judicial system" run and cover up a bankruptcy fraud scheme. (US:2518§C; [http://Judicial-Discipline-Reform.org/US\\_writ/2DrCordero-SCT\\_rehear\\_23apr9.pdf](http://Judicial-Discipline-Reform.org/US_writ/2DrCordero-SCT_rehear_23apr9.pdf); cf. Add:621§1; CA:2025§C)

136. The Federal Judiciary is the most secretive, opaque<sup>91</sup>, and due to its members' life-tenure and their authority to declare what the other two branches of government do unlawful or unconstitutional, the most powerful of the three. Yet, its members recognize that they are subject to a very low threshold of sleaziness tolerance on the part of the public:

CANON 2: A JUDGE SHOULD AVOID IMPROPRIETY AND THE APPEARANCE OF IMPROPRIETY IN ALL ACTIVITIES

COMMENTARY ON CANON 2A: An appearance of impropriety occurs when reasonable minds, with knowledge of all the relevant circumstances

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York, NY 10013; tel. (212)335-4370, fax (212)335-4390; cf. [http://Judicial-Discipline-Reform.org/DANY/8DrCordero\\_FOIL\\_NYCDAoffice.pdf](http://Judicial-Discipline-Reform.org/DANY/8DrCordero_FOIL_NYCDAoffice.pdf).

<sup>87</sup> Sonia Sotomayor was an associate from 1984 to 1987 and a partner from 1jan88–30sep92 in the luxury goods boutique law firm of Pavia & Harcourt, LLP, 600 Madison Avenue, New York, NY 10022; tel.(212)980-3500, fax (212)980-3185; <http://www.pavialaw.com>; [http://Judicial-Discipline-Reform.org/SCT\\_nominee/Pavia&Harcourt\\_7feb10.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/Pavia&Harcourt_7feb10.pdf).

<sup>88</sup> Cf. *Pfuntner* in CA2 (A:1304§§VII-IX) conveniently dismissed on jurisdictional grounds (A:876; 885)

<sup>89</sup> [http://Judicial-Discipline-Reform.org/SCT\\_nominee/Senate/10DrCordero-SenLeahy&Sessions.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/Senate/10DrCordero-SenLeahy&Sessions.pdf); [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_integrity/12table\\_JSotomayor-financials.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_integrity/12table_JSotomayor-financials.pdf)

<sup>90</sup> [http://www.uscourts.gov/library/codeOfConduct/Revised\\_Code\\_Effective\\_July-01-09.pdf](http://www.uscourts.gov/library/codeOfConduct/Revised_Code_Effective_July-01-09.pdf); with bookmarks at [http://Judicial-Discipline-Reform.org/docs/Code\\_Conduct\\_Judges\\_09.pdf](http://Judicial-Discipline-Reform.org/docs/Code_Conduct_Judges_09.pdf)

<sup>91</sup> [http://Judicial-Discipline-Reform.org/docs/Sen\\_Specter\\_on\\_SCT.pdf](http://Judicial-Discipline-Reform.org/docs/Sen_Specter_on_SCT.pdf)



disclosed by a reasonable inquiry, would conclude that the judge's honesty, integrity, impartiality, temperament, or fitness to serve as a judge is impaired. Public confidence in the judiciary is eroded by irresponsible or improper conduct by judges. A judge must avoid all impropriety and appearance of impropriety...Actual improprieties under this standard include violations of law, court rules, or other specific provisions of this Code. Code of Conduct for United States Judges<sup>92</sup>

**2) The appearance of judges' and justices' impropriety of tolerating or participating in the bankruptcy fraud scheme or other forms of coordinated misconduct can be exposed through a Watergate-like highly professional investigation**

137. Thus, the appearance of impropriety is enough –at least in theory– to require a judge to disqualify herself from a case or to refrain from engaging in an activity, e.g. all expenses paid judicial junkets. In reality, it turns federal judges into the public officers most vulnerable to a well-orchestrated *publication* of evidence where they appear to tolerate or participate in misconduct, whether within their own ranks or by attorneys closely associated with them, that is, insiders. It is hardly conceivable that any of the justices of the Supreme Court could remain in office as long as President Richard Nixon did after the media reported on the break-in at the Democratic National Committee headquarters at the Watergate Complex in Washington, D.C., on June 17, 1972, and kept closing in on him until he was forced to resign on August 9, 1974.<sup>93</sup>

138. Just think of Watergate. It is last century's paradigm of a highly professional, determined, and intelligent investigation. Though originating in an apparently banal incident, it went on to expose a system of corruption in the Executive Branch that toppled all of its top officers. It was started by *Washington Post* Reporters Carl Bernstein and Bob Woodward. Both were initially ridiculed for pursuing a third rate story of a „garden variety burglary by five plumbers“.<sup>94</sup> Yet, their sheer doggedness and piercing insight paid off by producing shocking revelations that compelled one outlet after the other of the media establishment to jump on the investigative bandwagon. Eventually, the ensuing public outrage at political espionage and organized abuse of power masterminded in, and controlled from, the White House made it inevitable an official investigation in Congress by the Senate Watergate Committee. It led to the drafting of articles of

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<sup>92</sup> Id.

<sup>93</sup> [http://Judicial-Discipline-Reform.org/docs/WP\\_The\\_Watergate\\_Story.pdf](http://Judicial-Discipline-Reform.org/docs/WP_The_Watergate_Story.pdf)

<sup>94</sup> *All the President's Men*, Carl Bernstein and Bob Woodward; Simon & Schuster (1974); a best-seller and Pulitzer Prize winner, which provided the basis for the homonymous hit movie and Oscar winner, starring Robert Redford and Dustin Hoffman.

impeachment of President Nixon, who avoided their filing by his preemptive resignation.

139. The Committee and/or its most ambitious members can accomplish similar results. Actually, it is reasonable to expect much more dramatic results because the exposure by an official body with investigative authority, such as the Committee, of coordinated misconduct in the Federal Judiciary has the potential to shake the latter to its foundation and cause an unprecedented Constitutional crisis. Imagine the media frenzy to scoop what judges were involved in the coordination<sup>95</sup>, to what extent<sup>96</sup>, with what non-judges<sup>97</sup>, and the long-standing call<sup>98</sup> turned clamor for an inspector general of the Judiciary<sup>99</sup> or a citizens board for judicial accountability and discipline<sup>100</sup>. Add the flood of motions (cf. [fn. 10](#) supra) to review cases decided by judges and justices and argued by attorneys involved in coordinated misconduct or merely suspected thereof. One can envisage the attorneys most knowledgeable about coordinated misconduct in the Federal Judiciary being avidly sought out to file those motions individually or as a class action with a multidistrict litigation dimension. The Committee and/or its members would be in the middle of it all.

### **3) Publicizing the nature of the investigation and the call to lawyers and the public for similar information and evidence to proceed legally and effectively**

140. In pursuing its objective at the top of the Federal Judiciary, the Committee can make the most of its substantive advantage over reporters: All attorneys in NY are within its investigative jurisdiction and under the reporting duty of the Rules of Professional Conduct ([fn. 7](#) supra), which in practice has already served them with a subpoena:

#### **RULE 8.3: Reporting Professional Misconduct (emphasis added)**

- (a) A lawyer who knows that another lawyer has committed a violation of the Rules of Professional Conduct that raises a substantial question as to that lawyer's honesty, trustworthiness or fitness as a lawyer *shall report* such knowledge to a tribunal or other authority empowered to investigate or act upon such violation.

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<sup>95</sup> [http://Judicial-Discipline-Reform.org/docs/Dynamics\\_of\\_corruption.pdf](http://Judicial-Discipline-Reform.org/docs/Dynamics_of_corruption.pdf)

<sup>96</sup> [http://Judicial-Discipline-Reform.org/docs/SCT\\_knows\\_of\\_dismissals.pdf](http://Judicial-Discipline-Reform.org/docs/SCT_knows_of_dismissals.pdf);

<sup>97</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero-CA2\\_clerks\\_wrongdoing.pfd](http://Judicial-Discipline-Reform.org/docs/DrCordero-CA2_clerks_wrongdoing.pfd)

<sup>98</sup> [http://Judicial-Discipline-Reform.org/docs/Sensenbrenner\\_on\\_Judicial\\_IG.pdf](http://Judicial-Discipline-Reform.org/docs/Sensenbrenner_on_Judicial_IG.pdf)

<sup>99</sup> Bills S.2678 and H.R.5219 "Judicial Transparency and Ethics Enhancement Act of 2006" creating an inspector general for the Judiciary;  
[http://judicial-discipline-reform.org/Follow\\_money/S2678\\_HR5219.pdf](http://judicial-discipline-reform.org/Follow_money/S2678_HR5219.pdf)

<sup>100</sup> Cf. [http://Judicial-Discipline-Reform.org/docs/Jud\\_Discipline\\_Audit\\_Comm\\_Act.pdf](http://Judicial-Discipline-Reform.org/docs/Jud_Discipline_Audit_Comm_Act.pdf)

- (b) A lawyer who possesses knowledge or evidence concerning another lawyer or a judge shall not fail to **respond to a lawful demand for information** from a tribunal or other authority empowered to investigate or act upon such conduct.

141. The initial requirement that the attorney “knows that another lawyer has committed a violation of the Rules” can be easily shown to have been met if the attorney had actual knowledge or knowledge can be imputed to him because he could not have not known, his efforts at willful ignorance notwithstanding, that the lawyer had violated the very general prohibition under Rule 8.4, providing that “A lawyer or law firm shall not...(d) engage in conduct that is prejudicial to the administration of justice”. If so, the “subpoena” is deemed to have issued under Rule 8.3(b). It compels the attorney, not just to turn over in response to a demand, but also to volunteer, not verified information or hard evidence, but rather a “substantial question” concerning the lawyer’s dishonesty, untrustworthiness, and lack of fitness. That term is more akin to a reasonable doubt than a higher standard „reason to believe“. Rule 8.3 is a magic wand that has already opened all doors to limitless knowledge and evidence of that type without the need for a formal demand to wield it. This means that attorneys have a preceding duty to come forward before they ever receive a formal demand from the Committee or similar authority. If they have failed to voluntarily report their “substantial question”, they are already subject to discipline before the demand issues, never mind its being received by them. That provides leverage. Moreover, such duty to report is broadest, for it encompasses all other lawyers and their violations, thus going well beyond the four corners of any possible subpoena. If a demand issues, it covers both what the lawyer has in his mind as knowledge and what he has in his hands as evidence. When wielded by savvy and imaginative investigators, Rule 8.3 can be a most valuable information-gathering tool.
142. PACER can allow identifying lawyers with an oddly consistent record, who may be winning insiders or losing outsiders of some form of coordinated misconduct. All sorts of electronic case documents can be downloaded and information and evidence can be demanded from the lawyers. The Committee can also use PACER dockets to identify parties that have fallen victim to coordinated misconduct and invite them to testify or otherwise share their experiences with it.
143. Current and former clerks of judges and justices can be called. Court staffers that signed up in response to the noble calling to serve as Administrators of Justice only to be pressed into doing the dirty work of pawns of injustice can be disgusted enough as to hear the call and come forward as Deep Throats. Their leads can prove as invaluable as those of their illustrious namesake

during the Watergate Affair investigation. These and many other means<sup>101</sup> can allow the Committee to lawfully generate enough public outrage to cause its investigation to make progress and take a life of its own, while insulating itself from the pressures of insiders to shut it down.

144. Yet, to set in motion a Watergate-like series of events that build up a critical mass of public outrage, the Committee needs to publicize its investigation. It can work with the contacts that it or its members already have or can develop in the traditional media and with rising politicians. It can request information from the hundreds of Google- and Yahoogroups and websites that complain about dishonest and fraudulent attorneys and judicial bias and abuse of power. (fn. 4 >¶4 supra) It can tap the vigorously expanding Internet community of citizen investigative journalists. It can take advantage of the striking mass communication success of the social networking sites, e.g., Facebook, Utube, and Twitter. In so doing, the confidentiality of the investigation need not be violated. During the investigative stage preceding the lawful release of the investigatees' names or the charges and disciplinary measures brought against them, only the nature of the investigation and the call for information and evidence need be widely publicized.

#### 4) The Committee as a reluctant hero that becomes The Champion of Justice

145. The heft of this complaint requires a thoughtful investigative strategy; its gravitas warrants an unwavering investigation. Its foundation lies in three cases that ascended on appeal in a straight line from a bankruptcy to a district court, to a circuit court and a presiding judge (CA:2180), to a current justice and through her to the Supreme Court<sup>102</sup> (fn. 1, 73 supra), whose members are circuit justices<sup>103</sup> (28 U.S.C. §42) so that from them the line goes down to both the circuits<sup>104</sup>, which appoint bankruptcy judges (fn. 72 supra; A:990), and their chief judges (28 U.S.C. §352; fn. 71.b supra)<sup>105</sup>, from whom the line goes back up to the Judicial Conference of the U.S.<sup>106</sup>

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<sup>101</sup> Cf. [http://Judicial-Discipline-Reform.org/DeLano\\_course/3Journalism\\_to\\_trigger\\_history.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/3Journalism_to_trigger_history.pdf)

<sup>102</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_to\\_Justices\\_4aug8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_to_Justices_4aug8.pdf)

<sup>103</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero-JGinsburg\\_injunction\\_30jun8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero-JGinsburg_injunction_30jun8.pdf)

<sup>104</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_appeal\\_CJWalker.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_appeal_CJWalker.pdf); fn. 106.c>N:36)

<sup>105</sup> <http://Judicial-Discipline-Reform.org/docs/28usc351-364.pdf>;

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<sup>106</sup> a. [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_to\\_Jud\\_Conference\\_18nov4.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_to_Jud_Conference_18nov4.pdf);

b. [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_2complaints\\_JConf.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_2complaints_JConf.pdf); fn. 53 supra;

c. [http://Judicial-Discipline-Reform.org/JNinfo/25Committee/7DrCordero-JConference\\_28feb9.pdf](http://Judicial-Discipline-Reform.org/JNinfo/25Committee/7DrCordero-JConference_28feb9.pdf)

146. Therefore, a thorough investigation of this complaint by the Committee holds out the realistic possibility of establishing a test case that can be mirrored or followed across the nation. While the jurisdictional foundation for the Committee's investigation is the misconduct of and among the named attorneys (GC:1§I supra), their coordination includes federal judges together with their staff. Those that have known or because of their supervisory duties should have known about the bankruptcy fraud schemes or other forms of coordinated misconduct have hushed it up recklessly to preserve in self-interest the Judiciary's esteem at the expense of the administration of justice and public welfare or even to benefit materially from the \$10bls. handled annually in the bankruptcy courts.<sup>107</sup> This warrants the Committee widening its investigative scope to the Federal Judiciary and framing the investigative question thus: What have the top members and bodies of the Federal Judiciary known about bankruptcy fraud schemes developing in the Second Circuit and in any other and all other circuits given that in all of them obtain the same mode of appointment of bankruptcy judges, the same "absence of effective oversight" (§14 supra), and the same opportunity in judicial proceedings as well as corruptive motive and means (GC:i supra)?
147. The investigation of this complaint can become a focal point of national attention. It all comes down to the Committee's and its members' commitment to ensuring that attorneys practice law in compliance also with those that apply to them and as a noble profession that aims to enable every person to assert and enjoy his or her rights and feel it fair to perform their duties just as others also do. The greater that commitment and the courage that must sustain it, the more realistic it will be for the Committee to emerge collectively as our generation's Senator Sam Ervin, the chairman of the Senate Watergate Committee. He became a national figure during the Senate hearings as he gave his TV audience in the millions the assurance that he would get to the bottom of a national political tragedy and bring calm through understanding to an outraged public. The Committee co-chairman, Senator Howard Baker, summarized his examination of all witness at the hearings in a simple but astonishingly effective question that he asked unfailingly of all of them. It became his hallmark and enduring legacy. It can be adapted for the Committee thus:

What do the justices and judges know about coordinated misconduct in the Judiciary and how have they benefitted from doing nothing about it?

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<sup>107</sup> In building a case as it investigates that question, the Committee can draw from the jurisprudence of the cases against the Catholic Church's coordinated effort to protect pedophile priests. What doctrines could be more effective in impeaching the Judiciary than those that it developed to apply to others in a very similar organizational position?

148. If the Committee can muster the necessary strength of character and display the requisite investigative expertise to find the answer to that question, it can set in motion the process of resolving a disturbing institutional problem that goes to the heart of how we conceive of ourselves: A people governed with its consent by the rule of law. If so, the Committee or its most principled, courageous, and ambitious members can become a new and permanent iconic figure of our national psyche: The Champion of Justice. (fn. 5 supra)

## **B. Requested action**

149. Therefore, Dr. Cordero respectfully requests that the Departmental Disciplinary Committee:
- a. investigate, expose, and discipline the complained-against attorneys;
  - b. to that end, invoke Rule 8.3(b) to obtain information from those attorneys who possess knowledge or evidence concerning the subject of this complaint, an investigative undertaking that can be facilitated by the Demand for Information and Evidence (next infra), which identifies the documents most likely to pinpoint and expedite the investigation;
  - c. provide Dr. Cordero with copies of the information and evidence obtained or produced by it and notify him of, and allow him to attend, the depositions and hearings that it may hold given that his command of the record will enable him to suggest pertinent questions and provide helpful comments in assessing the truthfulness, accuracy, and relevance of such information and evidence, including the statements made at the hearings; and
  - d. post on its website or otherwise make publicly available the publicly filed documents in the records of the investigated cases, and call for submission of similar documents, which can help it to establish how widely coordinated misconduct has spread, how high it has reached in our legal and bankruptcy systems, and how detrimental its effect is on the public.
  - e. interview Dr. Cordero so that he may provide further information or clarify the information furnished in the complaint or contained in the record of *Premier, Pfuntner, and DeLano*;
  - f. consider this complaint an opportunity for the Committee and its members to emerge even unwillingly, reasonably scared, but morally compelled as reluctant heroes: Champions of Justice that make progress toward the realization in NYS and across the nation of the aspirational goal of “Equal Justice Under Law”.

Dated: March 1, 2010  
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**NEW YORK STATE SUPREME COURT  
APPELLATE DIVISION  
FIRST JUDICIAL DEPARTMENT  
Departmental Disciplinary Committee**

61 Broadway, 2<sup>nd</sup> Floor  
New York, NY 10006  
tel. (212)401-0800; fax (212)287-1045

**Demand for Information and Evidence**

- 1. Having considered a complaint made to the Departmental Disciplinary Committee (Committee) about the conduct of several attorneys, the Committee exercises its power to investigate or act upon such conduct under the New York State Unified Court System, Part 1200 - Rules of Professional Conduct<sup>1</sup> (Rules or Rule #), and other applicable provisions of law.

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[GCd:1=1]

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**A. Duty to comply with the Demand, its addressees and subject**

- 2. The Committee demands that the lawyers named below and any other lawyers who possess knowledge or evidence concerning the subject of this Demand for Information and Evidence (Demand) respond to it, as is their duty to do under Rule 8: [emphasis added]

<sup>1</sup> <http://www.courts.state.ny.us/rules/jointappellate/index.shtml>

RULE 8.3: Reporting Professional Misconduct

- (a) A lawyer who knows that another lawyer has committed a violation of the Rules of Professional Conduct that raises a substantial question as to that lawyer's honesty, trustworthiness or fitness as a lawyer **shall report** such knowledge to a tribunal or other authority empowered to investigate or act upon such violation.
- (b) A lawyer who possesses knowledge or evidence concerning another lawyer or a judge shall not fail to **respond to a lawful demand for information** from a tribunal or other authority empowered to investigate or act upon such conduct.

RULE 8.4: Misconduct

A lawyer or law firm shall not:

- (a) violate or attempt to violate the Rules of Professional Conduct, **knowingly assist** or induce another to do so, or do so **through the acts of another**;
- (b) engage in illegal conduct that adversely reflects on the lawyer's honesty, trustworthiness or fitness as a lawyer;
- (c) engage in conduct involving dishonesty, fraud, deceit or misrepresentation;
- (d) engage in conduct that is prejudicial to the administration of justice;
- (f) **knowingly assist a judge** or judicial officer in conduct that is a violation of applicable rules of judicial conduct or other law;
- [(g) on discrimination]
- (h) engage in any other conduct that adversely reflects on the lawyer's fitness as a lawyer.

3. Named lawyers to whom this Demand is addressed:

- |   |   |
|---|---|
| 1) Ms. Diana G. Adams<br>[incumbent] U.S. Trustee for Region 2  | tel. (585)263-5812, fax (585)263-5862<br><a href="http://www.justice.gov/ust/r02/rochester.htm">http://www.justice.gov/ust/r02/rochester.htm</a>  |
| 2) Ms. Deirdre A. Martini   |   |
| 3) Ms. Carolyn S. Schwartz<br>Former U.S. Trustees for Region 2<br>Office of the United States Trustee<br>33 Whitehall Street, 21st Floor<br>New York, NY 10004<br>tel. (212)510-0500; fax (212)668-2255<br><a href="http://www.justice.gov/ust/r02/">http://www.justice.gov/ust/r02/</a> | 5) David D. MacKnight, Esq.<br>Lacy, Katzen, Ryen & Mittleman, LLP<br>The Granite Building, 2nd Floor<br>130 East Main Street<br>Rochester, NY 14604-1686<br>tel. (585)324-5724; fax (585)269-3047<br><a href="mailto:dmacknight@lacykatzen.com">dmacknight@lacykatzen.com</a><br><a href="http://lacykatzen.com/bio-dmacknight.aspx">http://lacykatzen.com/bio-dmacknight.aspx</a> |
| 4) Kathleen Dunivin Schmitt, Esq.<br>Assistant United States Trustee<br>Office of the United States Trustee<br>100 State Street, Room 609<br>Rochester, NY 14614  | 6) George Max Reiber, Esq.<br>Chapter 13 Trustee; and<br>7) James W. Weidman, Esq.<br>Attorney for Trustee George Reiber  |

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13) Raymond C. Stilwell, Esq.

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15) William E. Brueckner, Esq.

Attorney for Trustee Kenneth Gordon in *In re Premier Van Lines, Inc.*, 01-20692, WBNY

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<http://www.underberg-kessler.com/Attorneys/Detail/?ID=78>

4. Individuals and entities that may possess knowledge or evidence concerning this Demand and from whom the Committee demands, if they are lawyers, or whom it invites to provide information, if they are not lawyers, include, but are not limited to, the following:

- a) Bankruptcy Judge John C. Ninfo, II  
U.S. Bankruptcy Court  
1220 U.S. Courthouse  
100 State Street, Rochester, NY 14614  
tel. (585)613-4200; <http://www.nywb.uscourts.gov/>
- b) any and all current and former members of Judge Ninfo's staff, including, but not limited to:
- 1) Ms. Andrea Siderakis  
Assistant to Judge Ninfo  
courtroom tel. (585)613-4281, fax (585)613-4299
  - 2) Mr. Todd M. Stickle  
Deputy Clerk in Charge  
tel. (585)613-4223, fax (585)613-4242
  - 3) Case Administrator Karen S. Tacy
  - 4) Case Administrator Paula Finucane
  - 5) Court Directory:  
[http://www.nywb.uscourts.gov/rochester\\_court\\_directory\\_11004.php](http://www.nywb.uscourts.gov/rochester_court_directory_11004.php)
- c) U.S. District Judge David G. Larimer (Ret.)  
U.S. District Court  
2120 U.S. Courthouse  
100 State Street  
Rochester, N.Y. 14614  
tel. (585)613-4000, fax (585)613-4035; <http://www.nywd.uscourts.gov/mambo/>
- d) any and all current and former members of Judge Larimer's staff, including, but not limited to,
- 1) Rodney C. Early, Esq.  
Former Clerk of Court
- e) David J. Palmer  
Owner of Premier Van Lines, Inc.  
1829 Middle Road  
Rush, NY 14543  
tel. (585)292-9530
- f) Auctioneer Roy Teitsworth  
6502 Barber Hill Road  
Geneseo, NY 14454  
tel. (585)243-1563, fax (585)243-3311; [www.teitsworth.com](http://www.teitsworth.com);  
<http://www.auctionzip.com/NY-Auctioneers/13102.html>.
- g) Bonadio & Co., LLP  
Corporate Crossings  
171 Sully's Trail, Suite 201



Pittsford, NY 14534-4557  
tel. (585)381-1000; fax (585)381-3131;  
<http://www.bonadio.com/Profile/Locations/>

- h) Ms. Bonsignor  
Court Reporter  
Alliance Shorthand  
183 East Main Street, Suite 1500  
Rochester, NY 14604  
tel. (585)546-4920
- i) Ms. Melissa L. Frieday  
Contracting Officer for court reporters  
US. Bankruptcy Court  
Olympic Towers, 300 Pearl Street, Suite 250  
Buffalo, NY 14242  
tel. (716)362-3200, fax (716)551-5103
- j) The Circuit Judges of the Court of Appeals for the Second Circuit (CA2)  
Daniel Patrick Moynihan U.S. Courthouse  
500 Pearl Street  
New York, NY, 10007  
Main tel. (212)857-8500; Clerk of Court tel. (212)857-8585  
<http://www.ca2.uscourts.gov/>
- k) any and all members of the CA2 judges' and the Court's staff, including, but not limited to:
  - 1) Clerk of Court Catherine O'Hagan Wolfe
  - 2) Former Clerk of Court Roseann B. MacKechnie
  - 3) Court Directory: <http://www.ca2.uscourts.gov/clerk/navfiles/contact.htm>

5. The subject of this Demand includes, but is not limited to:

- a) the specific information or evidence demanded hereunder;
- b) the complained-about conduct, including, but not limited to, fraud, bankruptcy fraud, toleration of or participation in a bankruptcy fraud scheme, racketeering, concealment or wrongful disposition of assets, wrongful hiring of bankruptcy professionals, wrongful payment or sharing of fees, wrongful trusteeship, violation of fiduciary or official duty, wrongful influencing a judge, bribery, perjury, conflict of interest, wrongful denial of discovery, wrongful docketing, wrongful transmission of the record, tampering with the preparation and filing of a transcript, ex-parte contacts, bias, prejudice, partiality, abuse of process, abuse of judicial power, denial of due process, and any violation of the Rules or any other provision of law, whether the complained-about conduct was engaged in, or any such violation was committed by, the complained-against lawyers or the named

judges or any other lawyer or judge;

c) the following cases, their progeny, and the parties thereto:

- 1) *In re Premier Van Lines, Inc.*, 01-20692, WBNY, (*Premier*);  
[http://Judicial-Discipline-Reform.org/dockets/1Premier\\_01-20692\\_15jan10.pdf](http://Judicial-Discipline-Reform.org/dockets/1Premier_01-20692_15jan10.pdf)
- 2) *James Pfuntner v. Trustee Kenneth Gordon et al.*, 02-2230, WBNY, (*Pfuntner*);  
[http://Judicial-Discipline-Reform.org/dockets/2Pfuntner\\_02-2230\\_15jan10.pdf](http://Judicial-Discipline-Reform.org/dockets/2Pfuntner_02-2230_15jan10.pdf)
- 3) *Richard Cordero v. Kenneth Gordon, Esq.*, 03-cv-6021L, WBNY;  
[http://Judicial-Discipline-Reform.org/dockets/3Gordon\\_03cv6021\\_15may6.pdf](http://Judicial-Discipline-Reform.org/dockets/3Gordon_03cv6021_15may6.pdf)
- 4) *Richard Cordero v. David Palmer*, 03-mbk-6001L, WBNY;  
[http://Judicial-Discipline-Reform.org/dockets/4Cordero\\_v\\_Palmer\\_03mbk6001L\\_19may3.pdf](http://Judicial-Discipline-Reform.org/dockets/4Cordero_v_Palmer_03mbk6001L_19may3.pdf)
- 5) *In re Premier Van*, 03-5023, CA2;  
[http://Judicial-Discipline-Reform.org/dockets/5Premier\\_03-5023\\_CA2\\_15may6.pdf](http://Judicial-Discipline-Reform.org/dockets/5Premier_03-5023_CA2_15may6.pdf)
- 6) *Richard Cordero v. Kenneth W. Gordon, Trustee, et al.*, 04-8371, SCt;  
[http://Judicial-Discipline-Reform.org/dockets/6TrGordon\\_04-8371\\_SCt.pdf](http://Judicial-Discipline-Reform.org/dockets/6TrGordon_04-8371_SCt.pdf)
- 7) *In re David and Mary Ann DeLano*, 04-20280, WBNY, (*DeLano*);  
[http://Judicial-Discipline-Reform.org/dockets/7DeLano\\_04-20280\\_WBNY\\_20jan9.pdf](http://Judicial-Discipline-Reform.org/dockets/7DeLano_04-20280_WBNY_20jan9.pdf)
- 8) *Cordero v. DeLano*, 05-cv-6190L, WBNY;  
[http://Judicial-Discipline-Reform.org/dockets/8DeLano\\_05cv6190\\_WBNY\\_27oct6.pdf](http://Judicial-Discipline-Reform.org/dockets/8DeLano_05cv6190_WBNY_27oct6.pdf)
- 9) *Dr. Richard Cordero v. David and Mary Ann DeLano*, 06-4780-bk, CA2;  
[http://Judicial-Discipline-Reform.org/dockets/9DeLano\\_06-4780\\_CA2\\_20jan9.pdf](http://Judicial-Discipline-Reform.org/dockets/9DeLano_06-4780_CA2_20jan9.pdf)
- 10) *Dr. Richard Cordero v. David and Mary Ann DeLano*, 08-8382, SCt  
[http://Judicial-Discipline-Reform.org/dockets/10DeLano\\_08-8382\\_SCt\\_6feb10.pdf](http://Judicial-Discipline-Reform.org/dockets/10DeLano_08-8382_SCt_6feb10.pdf)

6. A reference to *Pfuntner* or *DeLano* includes its progeny, respectively, as reasonably applicable to obtain production of information and evidence as a means to investigate or act upon the complained-about conduct.

7. An officer with authority to execute this Demand is hereinafter referred to as the Committee.

## **B. Instructions for producing information and evidence**

8. A lawyer shall:

- a) understand a reference to an individual named herein to include any and all members of

such individual's staff, entity, partnership, group, or organization, whether incorporated or unincorporated;

- b) comply with the instructions stated herein and complete such compliance within 14 days of being served with this Demand unless a different deadline for compliance is stated in ¶15 infra;
- c) deem himself or herself served with this Demand as provided for mutatis mutandis under Rule 5(b)(2) of the Federal Rules of Civil Procedure (FRCP)<sup>2</sup>, whether service is made on the lawyer or the attorney last known to be representing the lawyer;
- d) compute time as provided for mutatis mutandis under FRCP 6 and understand a reference there to a court or a clerk's office to be a reference to the Committee; Rule 6(b)(2) does not apply;
- e) be held responsible for any non-compliance and subject to the continuing duty to comply with this Demand within the day each day after the applicable deadline is missed, under pain of being named the subject of a disciplinary proceeding.

9. A lawyer shall produce to the Committee upon its demand and volunteer to it:

- a) information concerning evidence herein identified, including, but not limited to, its author, existence, nature, condition, use, actual or likely whereabouts, person who is, is believed to be, is likely to be, or could be in possession or control of, or have access to, it;
- b) information and evidence without passing judgment on its degree of relevance or lack thereof relative to the subject of the Demand in recognition of the fact that the relevance of a piece of information or evidence may only become apparent in the broader context of information or evidence already gathered or yet to be gathered by the gathering entity; and
- c) information and evidence in application of the principle of honest compliance effort, i.e., "If in doubt, produce the information and evidence to the Committee and disclose the doubt".

10. A lawyer shall with respect to evidence herein demanded produce it, produce information about it, and issue a certificate, as defined in ¶14 infra, to the Committee whenever a reasonable person would who:

- a) acts in good faith, or with due diligence, or competently, or in an official or fiduciary capacity or with the training or experience that is the same as, or equivalent to, that of a

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<sup>2</sup> <http://www.uscourts.gov/rules/index.html> >Rules and Forms in Effect, Federal Rules of Civil Procedure

person in such official or fiduciary capacity;

- b) reasonably believes that at least one part of such evidence is herein demanded;
- c) produces the information or evidence demanded and discloses any doubt as to whether any part thereof is relevant; or
- d) believes that another person with an adversarial interest would want such information, evidence, or certificate or would find it of interest to the end of ascertaining whether an individual or entity:
  - 1) is a holder or an identifier, as defined in ¶¶11 and 12, respectively, infra; or
  - 2) has committed, covered up, or tolerated a violation of the Rules or any other applicable law, or engaged in complained-about conduct;

11. A lawyer who with respect to any evidence herein demanded has possession or control of, or access to, it is hereinafter referred to as a holder and shall for the Committee:

- a) produce the original or a true, correct, and complete copy thereof together with a certificate, as defined in ¶14 infra;
- b) if not complying for a legitimate reason under law with clause a) of this paragraph, certify that such holder holds the evidence and acknowledges the duty under this Demand to:
  - 1) hold it in a secure place, which the holder shall name;
  - 2) ensure its chain of custody; and
  - 3) produce it without delay once the legitimate reason no longer justifies non-compliance;

12. A lawyer who with respect to any evidence herein demanded knows its actual, likely, or possible whereabouts is referred to hereinafter as an identifier and shall for the Committee:

- a) identify the evidence of which the identifier knows the actual, likely, or possible whereabouts;
- b) name such whereabouts,
- c) identify the actual, likely, or possible holder of such evidence by stating his or her known, likely, or possible name, physical and electronic addresses, and telephone and fax numbers;
- d) send to the Committee a true, correct, and complete copy of such evidence or of any secondary evidence that concerns such evidence and that directly or indirectly was received from, or generated by, the actual, likely, or possible holder of such evidence.

13. A lawyer shall produce all the parts of each piece of evidence herein demanded that state as to each transaction covered by such piece of evidence or, if not available each transaction, then for a set of such transactions:
- a) the time, place, amount, and currency or currency equivalent of each such transaction;
  - b) the rates, including but not limited to, the normal, delinquent, introductory, preferential, promotional, special, and exchange rates, applied to the transaction;
  - c) the description of the goods, goods seller, service, and service provider concerned by each transaction;
  - d) the source or recipient of funds or the person or entity that made any charge or claim for funds;
  - e) the opening and closing dates of the piece of evidence;
  - f) the payment due date of the amount owing and such amount concerning each transaction;
  - g) the good or delinquent standing of the account, agreement, or contract dealt with in the piece of evidence;
  - h) the beneficiary of any payment;
  - i) the surety, codebtor, or collateral for each transaction; and
  - j) any other matter concerning the formulation of the terms and conditions of the transaction or relationship dealt with in the piece of evidence.
14. A lawyer shall certify in an affidavit or an unsworn declaration subscribed under penalty of perjury as provided for under 28 U.S.C. §1746 (hereinafter collectively referred to as a certificate), with respect to each piece of evidence produced that:
- a) it has not been the subject of any addition, deletion, correction, or modification of any type whatsoever; and
  - b) it is the whole of the piece of evidence and consists of both all the parts requiring its production and all other parts without regard to their degree of relevance or lack thereof relative to the Demand for production; or
  - c) the certificate required under clauses a) and b) of this paragraph cannot be made with respect to any part or the whole of any piece of evidence and the reason therefor and attach the available evidence to the certificate.
15. A lawyer shall produce evidence demanded herein pursuant to the following timeframes measured from the time the Demand is served on such lawyer as provided for under ¶8c), d), e) supra:

- a) within 14 days with respect to evidence that a lawyer has possession or control of, or access to, it at home or other permanent or temporary dwelling; in the office or place of work or business; in a land, sea, or air vehicle; in a security box or storage place; or equivalent place;
- b) with respect to evidence that both does not fall within the scope of clause a) of this paragraph and must be requested from the third party (or parties) that has, is likely to have, or possibly has possession or control of, or access to, it:
  - 1) within 14 days send a request for such evidence to such third party and send a copy of such request to the Committee;
  - 2) within 10 days of receiving either such evidence or any communication concerning such request, send the evidence or a true, correct, and complete copy thereof to the Committee and, if such communication is not in writing, commit it to writing and send the resulting written communication to the Committee;
  - 3) proceed to obtain such evidence from the third party as a lawyer would who with due diligence makes a good faith and proactive effort to obtain on behalf of his or her client materially important evidence from a third party, including, but not limited to:
    - i) applying to a court of competent jurisdiction for an order of production addressed to such party;
    - ii) issuing a subpoena under FRCP 45 or equivalent state law provision;
    - iii) proceeding under the discovery rules of FRCP or equivalent state rules.
- c) within 14 days explain in writing to the Committee the lawyers' legitimate inability under law to comply with clauses a) and b) of this paragraph and continue to make an effort as described in clause b.3) of this paragraph to obtain and send to the Committee the evidence demanded.

### **C. Evidence in general, production, and certification**

16. Evidence means information that already is or can be caused to be contained in a physical object and that relates to the subject of this Demand.
17. Information is the message that tells one entity something about another entity. It includes knowledge in the mind of a person that can be conveyed to, and received by, another person.

18. Evidence identified with particularity or in general in this Demand is to be understood broadly to include a physical object that holds information in any form and format about something related to the subject of the Demand and can convey knowledge about it directly to a human being or indirectly through a machine.
19. The information may be in the form of text, symbols, graphics, data, clip art, pictures, sound, or video; the format may be handwritten, print, digital, electronic, or otherwise; and the physical object may be any of the following or similar objects, any of which may be referred to as a document when it contains information:
  - a) paper, carton, other paper pulp product; cloth, fabric, plastic, and similar materials;
  - b) graphic or photographic paper, photo or movie film, microfilm, and equivalent;
  - c) a removable storage device, such as a floppy disk; data tape; CD, DVD, Blue Ray, mini, or external hard disk; memory flash, stick, chip, or card; electronic memory strip, such as found on plastic cards, whether credit, debit, identity, security, medical cards and similar information-holding cards;
  - d) fixed storage device, such as an internal hard disk of a computer, server, mainframe, or recorder box;
  - e) an audio or video cassette, tape, or disk, such as used in a tape recorder, camcorder, telephone answering machine; surveillance or security system or device; phone switchboard or PBX; or central, control, or base unit that communicates with outside units, clients, and in-bound callers;
  - f) a wireless handheld digital device, such as an iPod, Blackberry, Palm, or smartphone.
20. A lawyer from whom evidence is demanded herein and who has only or also information about it shall cause that information to be contained in the physical object, such as those listed in ¶19 supra, that is reasonably calculated to be the best means of conveying it to the Committee.
21. A lawyer that has evidence is referred to herein as evidence producer, whether the lawyer:
  - a) is only in a position as a matter of fact rather than as a matter of law to produce such evidence but has not produced it yet;
  - b) is in the process of producing such evidence; or
  - c) has already produced such evidence.
22. Evidence includes information qualified by the evidence producer as:
  - a) information believed by the evidence producer to be a fact;

- b) information reasonably believed by the evidence producer to be true but not known to be a fact;
  - c) information qualified by the evidence producer as known to be false, likely to be false, or possibly false;
  - d) information qualified by the evidence producer as hearsay, regardless of its admissibility in court.
23. Evidence may be produced in the form of:
- a) a written statement or affidavit composed to respond to this Demand;
  - b) an object that already exists at the time the evidence producer becomes aware that it contains evidence an oral communication or testimony;
  - c) an oral communication, such as a conversation, interview, deposition, or hearing, if such form of production is acceptable to the Committee; otherwise, it must be caused to be contained in a physical object, as described in ¶18 supra.
24. A reference herein to a specific piece of evidence includes the source evidence from which it was derived, such as through addition, deletion, merge, update, modification, correction, translation, transformation from one form to another, or rearrangement for inclusion in a database. Conversely, a demand for evidence that is the source from which other evidence was derived includes such derivative evidence.

#### **D. Particular evidence to be produced**

25. A lawyer shall produce to the Committee the following and reasonably similar evidence:

##### **1. Financial evidence**

26. Evidence of any payment, compensation, or transfer of value, whether in cash or in kind and for any reason whatsoever, or offer, promise, or contingent arrangement for such payment, compensation, or transfer by any partner, officer, any other employee, service provider, or person in any way and to any degree related to [Underberg & Kessler, LLP](#), to U.S. Bankruptcy Judge John C. Ninfo, II, WBNY, since January 1, 1992, to date or in future.
27. The documents that during the preparation for and the course of their bankruptcy proceedings until their discharge and thereafter if related to such proceedings were made available directly or indirectly:



- a) by David Gene and Mary Ann DeLano or their children, Michael David and Jennifer, to Christopher Werner, Esq., Devin Lawton Palmer, Esq., any other members or employee of Boylan, Brown; Trustee George Reiber, Assistant U.S. Trustee Kathleen Dunivin Schmitt, U.S. Trustees for Region 2 Deirdre A. Martini and Diana G. Adams; any other panel or official trustee; Judge Ninfo and District Judge David Larimer and any other judge or court staffer;
  - b) by David Palmer to Raymond C. Stilwell, Esq., Trustee Kenneth Gordon, U.S. Trustee Trudy Nowak, U.S. Trustee for Region 2 Carolyn Schwartz, and any other person mentioned by name or capacity in clause a) of this paragraph.
28. The documents obtained by Trustee Reiber in connection with *DeLano* and by Trustee Gordon in connection with *Premier* and *Pfuntner*, regardless of the source, up to the date of compliance with this Demand, whether such documents relate generally to the bankruptcy petition of the DeLanos or Mr. Palmer or his former moving and storage company, Premier Van Lines, Inc., or its successor; or particularly to the investigation of whether either or both of them committed fraud, regardless of whether such documents point to their joint or several commission of fraud or do not point to such commission but were obtained in the context of such investigation.
29. The financial documents in either or both of the names of:
- a) David Gene and Mary Ann DeLano;
  - b) David Palmer and Premier; and
  - c) third parties but concerning a financial matter under the total or partial control of either or both of them, respectively, whether either or both exercised or still exercise such control directly or indirectly through a third person or entity, and whether for their benefit or somebody else's.
30. The dates of the documents referred to in this §D.1. are:
- a) in the case of the DeLanos, since January 1, 1975, to date; and
  - b) in the case of Mr. Palmer, since he began to work for, or do business as, or acquired partially or totally, or otherwise controlled, Premier to date.
31. The financial documents referred to in this §D.1. include the following:
- a) the ordinary, whether the interval of issue is a month or a longer or shorter interval, and extraordinary statements of account of each and all checking, savings, investment, retirement, pension, credit card, and debit card accounts at, or issued by, M&T Bank and any

other entity, whether banking, financial, investment, commercial, or otherwise, in the world;

- b) the unbroken series of documents relating to the purchase, sale, or rental of any property or share thereof or right to its use, wherever in the world such property may have been, is, or may be located, by either or both of the DeLanos and Mr. Palmer/Premier, respectively, including, but not limited to:
  - 1) real estate, including but not limited to the home and surrounding lot at 1262 Shoecraft Road, Webster (and Penfield, if different), NY 14580;
  - 2) Premier, any similar moving or storage company, or other business, whether incorporated or not incorporated;
  - 3) Premier's warehousing space at the warehouses at:
    - i) 2130 Sackett Road, Avon, NY, 14414, owned by Mr. James Pfuntnr;
    - ii) Jefferson Henrietta Associates, 415 Park Avenue, Rochester, NY 14607;
    - iii) 10 Thruway Park Drive, West Henrietta, NY 14586;
  - 4) moving and storage equipment, including, but not limited to, vehicles, forklifts, crates, padding and packaging material; and
  - 5) personal property, including any vehicle, mobile home, or water vessel;
- c) mortgage documents;
- d) loan documents;
- e) title documents and other documents reviewing title, such as abstracts of title;
- f) prize documents, such as lottery and gambling documents;
- g) service documents, wherever in the world such service was, is being, or may be received or given; and
- h) documents concerning the college expenses of each of the DeLanos' children, Jennifer and Michael, including, but not limited to, tuition, books, transportation, room and board, and any loans extended or grant made by a government or a private entity or a parent or relative for the purpose of such education, regardless of whose name appears on the documents as the loan borrower or grant recipient.

## **2. Minutes, transcripts, and recordings**

- 32. The minutes, transcript, stenographic packs and folds, audio tape, and any other recording of the status conference and pretrial hearing in *Pfuntnr* requested by Trustee Schmitt on December 10,

2002, and held before Judge Ninfo on January 10, 2003.

33. The transcript and stenographic packs and folds of the hearings held before Judge Ninfo:

a) in *Pfuntner* on:

- |                      |                   |                     |
|----------------------|-------------------|---------------------|
| a. December 18, 2002 | d. April 23, 2003 | g. July 2, 2003     |
| b. February 12, 2003 | e. May 21, 2003   | h. October 16, 2003 |
| c. March 26, 2003    | f. June 25, 2003  |                     |

b) in *DeLano* on:

- |                    |                      |                      |
|--------------------|----------------------|----------------------|
| a. March 8, 2008   | d. August 25, 2004   | g. November 16, 2005 |
| b. July 19, 2004   | e. December 15, 2004 |                      |
| c. August 23, 2004 | f. July 25, 2005     |                      |

34. Trustee Schmitt and Trustee Reiber or their respective successors shall within 10 days of this Demand arrange for, and produce:

- a) the audio tape of the meeting of creditors of the DeLanos held on March 8, 2004, at the Office of the U.S. Trustee in Rochester, room 6080, and conducted by Att. James Weidman;
- b) its transcription on paper and as a PDF file on a floppy disc or CD; and
- c) the video tape shown at the beginning of such meeting and in which Trustee Reiber appeared providing the introduction to it.

35. The transcript of the meeting of creditors of the DeLanos held on February 1, 2005, at Trustee Reiber's office, made by Court Reporter Ms. Bonsignor of Alliance Shorthand and kept by Trustee Reiber, shall be produced by him or his transferee on paper and as a PDF file on a floppy disc or CD.

36. The original stenographic packs and folds on which Reporter Dianetti recorded the evidentiary hearing of the DeLanos' motion to disallow Dr. Cordero's claim, held on March 1, 2005, in the Bankruptcy Court, shall be kept in the custody of the Bankruptcy Clerk of Court and made available upon demand to the Committee.

37. The statement reported in entry 134 of the docket of *DeLano* to have been read by Trustee Reiber into the record at the confirmation hearing on July 25, 2005, of the DeLanos' plan of debt repayment, of which there shall be produced a copy of the written version, if any, of such statement as well as a transcription of such statement exactly as read and the stenographic packs and folds used by the reporter to record it.

### 3. Court orders

38. The Clerk of the Bankruptcy Court shall produce certified copies of all the orders in *DeLano* and *Pfuntner*, including the following:

a) in *DeLano*:

- 1) July 26, 2004, for production of some documents by the DeLanos;
- 2) August 30, 2004, severing Dr. Cordero's claim against Mr. DeLano from *Pfuntner*, and requiring Dr. Cordero to take discovery from Mr. DeLano to prove his claim against him while suspending all other proceedings until the DeLanos' motion to disallow Dr. Cordero's claim was finally determined;
- 3) November 10, 2004, denying Dr. Cordero all his requests for discovery from Mr. DeLano;
- 4) December 21, 2004, scheduling *DeLano* for an evidentiary hearing on March 1, 2005;
- 5) April 4, 2005, holding that Dr. Cordero has no claim against Mr. DeLano and depriving him of standing to participate in any future proceedings in *DeLano*;
- 6) August 8, 2005, ordering M&T Bank to pay part of Mr. DeLano's salary to Trustee Reiber;
- 7) August 9, 2005, confirming the DeLanos' debt repayment plan after hearing Trustee Reiber's statement and obtaining his "Trustee's Report", that is, his undated "Findings of Fact and Summary of 341 Hearing" and his undated and unsigned sheet titled "I/We filed Chapter 13 for one or more of the following reasons";
- 8) November 10, 2005, letter denying Dr. Cordero his request to appear by phone to argue his motion of November 5, 2005, to revoke the order of confirmation of the DeLanos' debt repayment plan;
- 9) November 22, 2005, denying Dr. Cordero's motion to revoke the confirmation of the DeLanos' debt repayment plan;
- 10) Notice of January 24, 2007, releasing Mr. DeLano's employer, M&T Bank, from the obligation to make any further payments to Trustee Reiber.
- 11) February 7, 2007, discharging the DeLanos after completion of their plan;
- 12) June 29, 2007, providing, among other things, for the allowance of the final account and the discharge of Trustee Reiber, the enjoinder of creditors from any attempt to

collect any discharged debt, the closing of the DeLanos' estate, and the release of their employer from the order to pay the Trustee;

b) in *Pfuntner*:

- 1) December 30, 2002, dismissing Dr. Cordero's cross-claims for defamation as well as negligent and reckless performance as trustee against Trustee Gordon;
- 2) February 4, 2003, transmitting to District Judge David Larimer, WDNY, the record in a non-core proceeding and findings of fact, conclusions of law, and the Recommendation not to grant Dr. Cordero's application for entry of default judgment against David Palmer;
- 3) Attachment of February 4, 2003, to the Recommendation of the Bankruptcy Court that the default judgment not be entered by the District Court;
- 4) February 18, 2003, denying Dr. Cordero's motion to extend time to file notice of appeal;
- 5) July 15, 2003, ordering that a "discrete hearing" be held in Rochester on October 23, 2003, followed by further monthly hearings;
- 6) October 16, 2003, Disposing of Causes of Action;
- 7) October 16, 2003, denying Recusal and Removal Motions and Objection of Richard Cordero to Proceeding with Any Hearings and a Trial;
- 8) October 23, 2003, Finding a Waiver by Dr. Cordero of a Trial by Jury;
- 9) October 23, 2003, setting forth a Schedule in Connection with the Remaining Claims of the Plaintiff, James Pfuntner, and the Cross-Claims, Counterclaims and Third-Party Claims of the Third-Party Plaintiff, Richard Cordero;
- 10) October 28, 2003, denying Dr. Cordero's Motion for a More Definitive Statement of the Court's Order and Decision.

#### **4. Docket documents**

39. The Bankruptcy Clerk shall produce certified copies of the following documents referred to on the docket of *Premier*, 01-20692, WBNY, or connected to that case:

a) Documents entered on the docket:

- 1) the monthly reports of operation for March through June 2001, entered as entries no. 34, 35, 36, and 47;
- 2) the reports for the following months until the completion of the liquidation of

Premier;

- 3) the court order closing that case, which is the last but one docket entry, but bears no number;
- 4) the court order authorizing the payment of a fee to Trustee Gordon and indicating the amount thereof, which is the last docket entry, but bears no number.

b) Documents that are only mentioned in other documents in *Premier*, but not entered themselves anywhere:

- 1) the court order authorizing payment of fees to Trustee Gordon's attorney, William Brueckner, Esq., and stating the amount thereof; cf. docket entry no. 72;
- 2) the court order authorizing payment of fees to Auctioneer Roy Teitsworth and stating the amount thereof; cf. docket entry no. 97;
- 3) the financial statements concerning Premier prepared by Bonadio & Co., for which Bonadio was paid fees; cf. docket entries no. 90, 83, 82, 79, 78, 49, 30, 29, 27, 26, 22, and 16;
- 4) the statement of M&T Bank of the proceeds of its auction of estate assets on which it held a lien as security for its loan to Premier; the application of the proceeds to set off that loan; and the proceeds' remaining balance and disposition; cf. docket entry no. 89;
- 5) the information provided to comply with the order described in entry no. 71 and with the minutes described in entry no. 70;
- 6) the Final report and account referred to in entry no. 67 and ordered filed in entry no. 62.

40. Judge Ninfo's annual financial disclosure reports since 1992, required to be filed publicly under the Ethics in Government Act of 1978, 5 U.S.C. Appendix (identified in West publications as App. 4) shall be obtained from the Administrative Office of the U.S. Courts, One Columbus Circle, NE, Washington, D.C. 20544, tel. (202)502-2600, for the purpose of determining compliance with the disclosure requirements, plausibility, and asset tracking.

for the Departmental Disciplinary Committee:

---

Date

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SUPREME COURT, APPELLATE DIVISION  
FIRST JUDICIAL DEPARTMENT  
61 BROADWAY  
NEW YORK, NEW YORK 10006  
(212) 401-0800  
FAX: (212) 287-1045 (NOT FOR SERVICE OF PAPERS)

March 10, 2010

PERSONAL AND CONFIDENTIAL

Richard Cordero, Esq.  
59 Crescent Street  
Brooklyn, NY 11208

Dear Mr. Cordero:

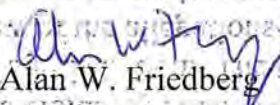
We write regarding your March 1, 2010 bound complaint against a number of Judges and attorneys involved in certain bankruptcy matters, a total of 14.

Of the attorneys named, only Diana Goldberg Adams and Carolyn Susan Schwartz are within the First Department's jurisdiction. The Committee will communicate with you regarding its review of the allegations of professional misconduct against Ms. Adams and Ms. Schwartz, under separate cover. Diedre A. Martini is not admitted in New York according to the records of the New York Office of Court Administration.

The other attorneys named in your complaint are registered in Rochester, New York and, accordingly, within the jurisdiction of the Fourth Judicial Department, 5<sup>th</sup> District Attorney Grievance Committee, 224 Harrison Street, Suite 408, Syracuse, NY 13202. Complaints against Judges are within the jurisdiction of the Commission on Judicial Conduct, 61 Broadway, 12<sup>th</sup> Floor, New York, NY 10006.

Please submit copies of your bound complaints directly to the jurisdictions referenced above.

Very truly yours,

  
Alan W. Friedberg

AWF:SKC:eh

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EILEEN J. SHIELDS  
SCOTT D. SMITH  
STAFF COUNSEL

April 6, 2010

PERSONAL AND CONFIDENTIAL

Richard Cordero, Esq.  
59 Crescent Street  
Brooklyn, New York 11208

Re: Matter of Diana G. Adams, Esq.  
Docket No.: 2010.1315  
Matter of Carolyn S. Schwartz, Esq.  
Docket No.: 2010.1316

Dear Mr. Cordero:

The Departmental Disciplinary Committee has completed the investigation of your complaints against the above-referenced attorneys. As explained below, the Committee has decided to take no further action.

Specifically, you report that both Ms. Adams and Ms. Schwartz failed in their supervisory capacities as United States Trustees for Region 2, to oversee the performance of Trustees in the administration of the Premier and DeLano bankruptcies. You do not describe any action that Ms. Adams took to which you object. You allege that Ms. Schwartz did not properly investigate your complaints concerning the Trustees, misstated the key issue in your appeal from Judge Ninfo's decision regarding Trustee Gordon, and failed to address your claim that Trustee Gordon failed to act promptly to protect your claim against the bankrupt.

Your submission relates a series of allegations against others, including charges of tampering with evidence, *ex parte* communication and cover-up of bankruptcy fraud, in the adjudication of claims at bankruptcy, naming Judges, Trustees, lawyers, and a Bankruptcy Clerk. You urge the Committee to undertake a Watergate-style investigation of all concerned. As noted in my letter to you dated March 10, 2010, this Committee does not have jurisdiction over any of the parties you name except for Ms. Adams and Ms. Schwartz. Furthermore, you have already pursued your position through litigation before Judge Ninfo and the suits initiated by you at p. GCd:6 of your complaint.

The Committee will not undertake an investigation as you suggest. More specifically, there is no basis to support an ethical violation by Ms. Adams or Ms.

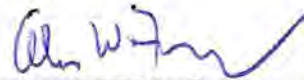


Schwartz.

The Committee arrived at this determination after the case was submitted to a member of the Committee, an independent board of lawyers and non-lawyers appointed by the Appellate Division, First Judicial Department. The Committee member concluded that no further investigation or action was warranted.

You may seek review of this decision by submitting a written request for reconsideration to this office at the above address within thirty (30) days of the date on this letter.

Very truly yours,



Alan W. Friedberg

AWF:MJE/mrh

**Blank**

[Sample of the request sent to each member of the Policy Committee; Ci:170fn11]

May 5, 2010

Alan W. Friedberg, Esq.  
Chief Counsel, Departmental Disciplinary Committee  
Appellate Division, 1<sup>st</sup> Judicial Department  
NYS Supreme Court  
61 Broadway, 2<sup>nd</sup> Floor  
New York, NY 10006

tel. (212)401-0800; fax (212)287-1045

Re: Matter of Diana G. Adams, Esq.  
Docket No. 2010.1315  
Matter of Carolyn S. Schwartz, Esq.  
Docket No. 2010.1316  
Complaint against Deirdre A. Martini

Dear Mr. Friedberg,

This is a request for reconsideration under 22 NYCRR §605.7(c)<sup>1</sup> of the recommendation of the Office of Chief Counsel, communicated to me in the letter of last April 6(rr:88 infra), not to take further action concerning my March 1 misconduct complaint\* against the above-captioned attorneys, and for the Committee chairperson to designate to examine the request a member of the Committee other than the member who originally reviewed such recommendation.

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<sup>1</sup> Rules and Procedures of the Departmental Disciplinary Committee of the Appellate Division of the NYS Supreme Court, First Judicial Department, 22 NYCRR Part 605; <http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part605.shtml>

\* [http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/DrRCordero-DisciplinaryCom\\_5may10.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/DrRCordero-DisciplinaryCom_5may10.pdf) rr:91

Impropriety And Protect The Public Favors The Investigation Of Every Complaint So As Not To Appear To Be Protecting Their Colleagues And Friends By Sparing Them The Embarrassment Of An Investigation And The Possibility Of Discipline..... rr:107

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VIII. Action Requested ..... rr:117

Appendix: Question Put to Each of the Five Member and Legal Staffers of the Attorney Grievance Committee in Rochester, NY, Listed on the Website of the Appellate Division of the NYS Supreme Court for the 4<sup>th</sup> Judicial Department Upon the Dismissal of the February 19, 2010, Complaint Against the Complained-against Attorneys Located in Rochester (GC:1), Which Was Not Answered By Any of Those Five Individuals ..... rr:121

a. Sample of another Office of Chief Counsel's rejection by rubberstamping its boilerplate "no further investigation or action was warranted.....Ci:130 >Ci:171

b. Dr. Richard Cordero, Esq., to Roy L. Reardon, Esq., Chair, Departmental Disciplinary Committee, NYS Supreme Court , Appellate Div., 1st Judicial Department; 1mar10 ..... GC:i

c. Table of Contents of the Complaint to the Departmental Disciplinary Committee; 1mar10..... GC:iii

d. List of Attorneys Complained-Against.....GC:1

e. Proposed Demand for Information and Evidence to be issued by the Departmental Disciplinary Committee for the purpose of pinpointing and expediting its investigation of this complaint ".....".....GCd:\*- cf. lg:221

f. Alan W. Friedberg, Chief Counsel, Departmental Disciplinary Committee, to Dr. Cordero to acknowledge receipt of his complaint; 10mar10.....GC:87

g. Chief Counsel Friedberg's letter of rejection to Dr. Cordero; 6apr10..... rr:88

\*\*\*\*\*

## APPENDIX

### **Question Concerning The Fact and Appearance of Conflict of Interests of The Five Member and Legal Staffers of The Attorney Grievance Committee in Rochester, NY,<sup>1</sup> Put to Them Upon The Dismissal of The Complaint of February 19, 2010, Against the Complained-against Attorneys Located in Rochester<sup>2</sup>, But Which None of Them Answered**

1. Whether any of the Committee member or legal staffers has any relation to the following parties or played any role in the following cases and, if so, which and to what extent:<sup>3</sup>
2. Gregory J. Huether, attorney in *In re Beverly Jackson*, WBNY bankruptcy case 2-04-22380-JCN [Judge John C. Ninfo, II], whose docket contains the following entries:

Filing date	entry #	
06/03/2004	1	Chapter 13 Judge John C. Ninfo/Trustee George Reiber AutoAssign.
01/03/2005 [below 24]		Appearances: James Weidman of counsel to George Reiber, Trustee;
01/04/2005 [below 25]		Notice to the Court of 341 assignment. Trustee: Kenneth Gordon, 02/08/05 at 3:00 at Rochester.
09/23/2005	45	10/06/2005 50 Order Granting Application to Employ Gregory J. Huether, Esq., as Attorney for Trustee Kenneth Gordon
01/24/2006	54	Notice to Creditors of Assets. Kathleen Schmitt, A.U.S.T. added as a party to this case. Proofs of Claims due by 4/27/2006.
04/06/2006	60	Application for Compensation for Gregory J. Huether , Trustee's Attorney, Period: 10/6/2005 to 11/17/2005, Fee: \$6808.44, Expenses: \$574.68.
04/14/2006	63	Letter filed by Gregory Huehter, Esq. advising that he does not have any objection to Application for Compemsation(RE: related document(s) 60 Application for Compensation, ) [sic]

---

<sup>1</sup> The member and legal staffers of the Attorney Grievance Committee in Rochester whose names are stated on the website of the Appellate Division, Fourth Department, and to whom the question was put are:

Thomas N. Trevett, Esq., Chair; Gregory J. Huether, Esq., Chief Counsel;  
Daniel A. Drake, Esq. Principal Counsel; Andrea E. Tomaino, Esq., Principal Counsel;  
Janet A. Montante, Investigator;

<http://www.courts.state.ny.us/ad4/AG/AGdefault.htm> >About the Committees, Offices & Staff

<sup>2</sup> Complaint, §I. List of Attorneys Complained-Against, [GC:1](#)

<sup>3</sup> The cases below can be downloaded through <https://ecf.nywb.uscourts.gov/cgi-bin/iquery.pl>.

05/22/2006 65 Order Granting Application For Compensation (Related Doc # 60 )  
for Gregory J. Huether, fees awarded: \$6808.44, expenses  
awarded: \$574.68 Signed on 5/22/2006.

12/08/2006 70 Order of Distribution for Kenneth W. Gordon, Trustee Chapter 7,  
Fees awarded: \$2157.59, Expenses awarded: \$32.07; Awarded on  
12/8/2006 Signed on 12/8/2006 .

05/15/2007 [last entry] Trustee Fee Paid. P1# 07465500172

3. Robert F. Huether and Myrtle L. Huether, WBNY bankruptcy case, 2-88-21994-JCN
4. Daniel Martin Drake, WBNY bankruptcy case 2-04-21081-JCN
5. Daniel R. Drake, and Eileen C. Drake, WBNY 2-96-21061-JCN
6. Daniel R. Drake, WBNY 2-05-26779-JCN
7. Daniel Roy Drake and Michele Josephine Drake, WBNY 2-05-21890-JCN
8. Any of the following cases, which were returned upon querying "Drake" at:  
[https://ecf.nywb.uscourts.gov/cgi-bin/iquery.pl?953743629355141-L\\_517\\_0-1](https://ecf.nywb.uscourts.gov/cgi-bin/iquery.pl?953743629355141-L_517_0-1)

Drake, Aaron J	(pty)	Drake, Christian O.	(pty)	Drake, Donna J.	(pty)
Drake, Aaron J.	(pty)	Drake, Colette L.	(pty)	Drake, Donna K.	(pty)
Drake, Barbara A.	(pty)	Drake, Constance M.	(pty)	Drake, Douglas E.	(pty)
Drake, Barbara A.	(pty)	Drake, Daniel Martin	(pty)	Drake, Duane	(pty)
Drake, Bernice	(pty)	Drake, Daniel R.	(pty)	Drake, Duane A	(pty)
Drake, Bert J.	(pty)	Drake, Daniel R.	(pty)	Drake, Duane D.	(pty)
Drake, Bill	(pty)	Drake, Daniel Roy	(pty)	Drake, Eileen C.	(pty)
Drake, Brian J.	(pty)	Drake, Danita M.	(pty)	Drake, Elicia Jo	(pty)
Drake, Candace M.	(pty)	Drake, Daryl R.	(pty)	Drake, Eugene J.	(pty)
Drake, Carol A.	(pty)	Drake, David S.	(pty)	Drake, Florence C.	(pty)
Drake, Catherine E.	(pty)	Drake, Debbie L.	(pty)	Drake, Francis S.	(pty)
Drake, Chad W.	(pty)	Drake, Debra J.	(pty)	Drake, Gary	(pty)
Drake, Charles Robert	(pty)	Drake, Deena L.	(pty)	Drake, Gary A.	(pty)
Drake, Charlotte A.	(pty)	Drake, Deena L.	(pty)	Drake, Gary D.	(pty)
Drake, Cheri M.	(pty)	Drake, Donald E.	(pty)	Drake, Gary D.	(pty)
Drake, Cheryl M.	(pty)	Drake, Donna J.	(pty)	Drake, Gary Dale	(pty)

Drake, George J.	(pty)	Drake, Kim Ann	(pty)	Drake, Michelle	(pty)
Drake, George J.	(pty)	Drake, Kim M.	(pty)	Drake, Michelle A.	(pty)
Drake, Gerald L.	(pty)	Drake, Kimberlee M.	(pty)	Drake, Michelle R.	(pty)
Drake, Glenda	(pty)	Drake, Kimberlee Marie	(pty)	Drake, Michelle S.	(pty)
Drake, Henry Rozell(Jr.)	(pty)	Drake, Kimberlee Marie	(pty)	Drake, Nancy D.	(pty)
Drake, Holly	(pty)	Drake, Kimberly A	(pty)	Drake, Nancy J.	(pty)
Drake, James F.	(pty)	Drake, Kristin A.	(pty)	Drake, Norm D.	(pty)
Drake, James J.	(pty)	Drake, Leroy (Jr.)	(pty)	Drake, Norman	(pty)
Drake, James R.	(pty)	Drake, Lewis H.	(pty)	Drake, Norman D.	(pty)
Drake, Jason Anthony	(pty)	Drake, Linda L.	(pty)	Drake, Norman D.	(pty)
Drake, Jean	(pty)	Drake, Linda M	(pty)	Drake, Pamela M.	(pty)
Drake, Jeffrey A.	(pty)	Drake, Lisa A.	(pty)	Drake, Pamela M.	(pty)
Drake, Jennifer J	(pty)	Drake, Lisa A.	(pty)	Drake, Paul R.	(pty)
Drake, Jennifer K.	(pty)	Drake, Lisa A.	(pty)	Drake, Paul R.	(pty)
Drake, Jennifer L.	(pty)	Drake, Lois E.	(pty)	Drake, Randy	(pty)
Drake, Jill Marie	(pty)	Drake, Margaret	(pty)	Drake, Richard J.	(pty)
Drake, Joanna L.	(pty)	Drake, Margaret M.	(pty)	Drake, Richard J.	(pty)
Drake, John L.(Sr.)	(pty)	Drake, Marilyn A.	(pty)	Drake, Robert	(pty)
Drake, John S.	(pty)	Drake, Marilyn A.	(pty)	Drake, Robert A.	(pty)
Drake, Joseph D	(pty)	Drake, Mark A.	(pty)	Drake, Robert A.	(pty)
Drake, Joseph D.	(pty)	Drake, Marsha E.	(pty)	Drake, Robert D.	(pty)
Drake, Judy A.	(pty)	Drake, Mary Anne	(pty)	Drake, Robert D.	(pty)
Drake, Julianne S.	(pty)	Drake, Mary L.	(pty)	Drake, Robert E.	(pty)
Drake, Julie E.	(pty)	Drake, Michael C.	(pty)	Drake, Robert E.	(pty)
Drake, Katharine E.	(pty)	Drake, Michael L	(pty)	Drake, Robert R.	(pty)
Drake, Kathleen P.	(pty)	Drake, Michael W.	(pty)	Drake, Robert R.	(pty)
Drake, Kenneth W.	(pty)	Drake, Michele Josephine	(pty)	Drake, Robert R.	(pty)
Drake, Kevin J.	(pty)			Drake, Robert W.	(pty)
				Drake, Robert William(Jr.)	(pty)
				Drake, Rochelle A.	(pty)
				Drake, Ronald D.(Jr.)	(pty)
				Drake, Ronald James	(pty)
				Drake, Ronald James	(pty)

Drake, Ronald M	(pty)	Drake, Susan G.	(pty)	Drake, William L.(III)	(pty)
Drake, Roxanne E.	(pty)	Drake, Timothy A.	(pty)	Drake, William P.	(pty)
Drake, Russell A.	(pty)	Drake, Timothy E.	(pty)	Drake, William S.	(pty)
Drake, Sharla B.	(pty)	Drake, Wendy	(pty)	Drake, William S.	(pty)
Drake, Shawn A.	(pty)	Drake, Wendy Ann	(pty)	Drake Design	(pty)
Drake, Sherry E	(pty)	Drake, Wendy Kathleen	(pty)	Drake Manufacturing Co., Inc.	(pty)
Drake, Steven R.	(pty)	Drake, Wilda G.	(pty)	Drake's Tire Service	(pty)
Drake, Susan	(pty)	Drake, William J	(pty)		
Drake, Susan	(pty)	Drake, William L.	(pty)		
Drake, Susan B.	(pty)				

9. Thomas A. Trevett, WBNY bankruptcy case 1-10-10102-CLB
10. Janet L. Montante and Joseph P. Montante, WBNY bankruptcy case 1-00-10334-CLB
11. Elizabeth A. Tomaino and Thomas P. Tomaino, WBNY bankruptcy case 1-99-11376-MJK,  
**36 Dayton Street, Lockport, NY 14094**
12. Any of the following cases at:  
[https://ecf.nywb.uscourts.gov/cgi-bin/iquery.pl?200334768014293-L\\_517\\_0-1](https://ecf.nywb.uscourts.gov/cgi-bin/iquery.pl?200334768014293-L_517_0-1):

1. <a href="#">Tomaino, Lynn Marie</a> , 1-93-13747-MJK, <b>38 Dayton St., Lockport, NY 14094</b>	(pty)	6. <a href="#">Tomaino, Michael J.</a> , 1-94-13622-MJK, 63 Rochester Street, <b>Lockport, NY 14094</b>	(pty)
2. <a href="#">Tomaino, Antoinette</a> , 1-03-01106-MJK, 23 Waterman Street, <b>Lockport, NY 14094</b>	(pty)	7. <a href="#">Tomaino, Michelle E.</a> , and Richard M. Tomaino, 1-97-12796-MJK, 115 Willow Street, #3, <b>Lockport, NY 14094</b>	(pty)
3. <a href="#">Tomaino, Elizabeth A.</a> , 1-99-11376-MJK, 36 Dayton Street <b>Lockport, NY 14094</b>	(pty)	8. <a href="#">Tomaino, Susan C.</a> and Earl R. Boyer and Susan C. Boyer, 1-93-13461-MJK, 14 Ransom Court, <b>Lockport, NY 14094</b>	(pty)
4. <a href="#">Tomaino, Linda Anne</a> , 1-99-14097-MJK, 6342 Robinson Road, Lot #63, <b>Lockport, NY 14094</b>	(pty)	9. <a href="#">Tomaino, Claire I.</a> , and Paul J. Tomaino, 1-01-15500-MJK, 558 East Avenue Medina, NY 14103	(pty)
5. <a href="#">Tomaino, Anthony Peter</a> , 1-01-15181-MJK, 263 South Street, <b>Lockport, NY 14094</b>	(pty)		



10. <a href="#">Tomaino, Donna L.</a> , 1-09-10562-MJK, PO Box 287, North Tonawanda, NY 14120-0287	(pty)	14. <a href="#">Tomaino, Paul J.</a> and Claire I. Tomaino, 1-01-15500-MJK	(pty)
11. <a href="#">Tomaino, Janet E.</a> , 1-05-14739-MJK, 3030 Gary Drive, North Tonawanda, NY 14120	(pty)	15. <a href="#">Tomaino, Penelope</a>	(pty)
12. <a href="#">Tomaino, John M.</a> , 6142 Townline Road, <b>Lockport</b> , NY 14094	(pty)	16. <a href="#">Tomaino, Richard M.(Jr.)</a> , <a href="#">Tomaino, Michelle E.</a> , and <a href="#">Tomaino, Michelle E.</a> ,1-97-12796-MJK	(pty)
13. <a href="#">Tomaino, Lorinda</a> and Anthony Peter Tomaino, 1-01-15181-MJK,	(pty)	17. <a href="#">Tomaino, Thomas P.</a> , and Elizabeth A. Tomaino, 1-99-11376-MJK	(pty)

DEPARTMENTAL DISCIPLINARY COMMITTEE  
SUPREME COURT, APPELLATE DIVISION  
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NEW YORK, NEW YORK 10006  
(212) 401-0800  
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STAFF COUNSEL

May 14, 2010

PERSONAL AND CONFIDENTIAL

Mr. Richard Cordero  
59 Crescent Street  
Brooklyn, NY 11208

Re: Matter of Diana G. Adams, Esq.  
Docket No: 2010.1315

Dear Mr. Cordero:

This will acknowledge receipt of your request for RECONSIDERATION of a complaint closed by the Departmental Disciplinary Committee.

Your complaint will be reconsidered. However, due to the large volume of complaints filed in this office, reconsideration is a lengthy process and we regret that we cannot issue progress reports. You will be advised in writing when the decision is reached.

Thank you for your patience

Very truly yours,

The Office of the Chief Counsel

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STAFF COUNSEL

May 14, 2010

PERSONAL AND CONFIDENTIAL

Mr. Richard Cordero  
59 Cresent Street  
Brooklyn, NY 11208

Re: Matter of Carolyn S. Schwartz, Esq.  
Docket No: 2010.1316

Dear Mr. Cordero:

This will acknowledge receipt of your request for RECONSIDERATION of a complaint closed by the Departmental Disciplinary Committee.

Your complaint will be reconsidered. However, due to the large volume of complaints filed in this office, reconsideration is a lengthy process and we regret that we cannot issue progress reports. You will be advised in writing when the decision is reached.

Thank you for your patience

Very truly yours,

The Office of the Chief Counsel

# Dr. Richard Cordero, Esq.

Ph.D., University of Cambridge, England  
M.B.A., University of Michigan Business School  
D.E.A., La Sorbonne, Paris

59 Crescent St., Brooklyn, NY 11208  
Dr.Richard.Cordero.Esq@gmail.com  
tel. (718)827-9521

**[Generic sample of the individualized cover letter sent to each member of the Disciplinary Committee (Gi:168)]**

May 21, 2010

Committee Member  
Departmental Disciplinary Committee  
61 Broadway, 2nd Floor  
New York, NY 10006

tel. (212)401-0800; fax (212)287-1045

Dear Committee Member,

I filed with the Disciplinary Committee of the Appellate Division, 1<sup>st</sup> Department, a complaint<sup>1</sup> against three attorneys in Manhattan. It is in your capacity as member of that Committee that I am addressing you because I believe that neither you nor your colleagues thereon would approve of its handling in your collective name by the Office of Chief Counsel.(rr:88) Hence, this is a request for you to intervene to review it and reconsider its rejection.(Ci:126,127)

Indeed, the explicit purpose of the Committee is to protect the public from misconducting attorneys and promote integrity in the legal profession<sup>2</sup>. The complaint is a meticulous presentation of *uncontested* evidence of misconduct based on documents filed with the courts in three cases that twice went from a bankruptcy court all the way to the U.S. Supreme Court<sup>3</sup>. It shows that the attorneys' misconduct was aggravated by their status as public officers: They were or are U.S. Trustees for Region 2<sup>4</sup>. As such, they were duty-bound to ensure the conformance of bankruptcy cases to the law<sup>5</sup> and bore responsibility for their subordinate attorney-trustees' conduct<sup>6</sup>. Nevertheless, they allowed two trustees, required by regulation to handle their cases personally<sup>7</sup>, to lay their hands on an unmanageable 7,289 cases and bring them before the same judge<sup>8</sup>, who has in practice unreviewable power<sup>9</sup> to determine whether they earn their per case compensation<sup>10</sup> and are reimbursed for their expenses<sup>11</sup>. A bankruptcy petition mill<sup>12</sup> and a situation inherently fostering dependence and connivance degenerated naturally into a bankruptcy fraud scheme<sup>13</sup>. Run by trustees, attorneys, and judges(157§V), it unjustly enriches or unjustifiably harms debtors and creditors while inflicting upon the public the loss caused by every bankruptcy. The Trustees should have known(141§A) and were made to know about the schemers and their scheme, but covered up for them. Yet, the Office of Chief Counsel, without any investigation(148§IV) or even asking the attorneys to respond(135§I), rejected the complaint by rubberstamping it "no further investigation or action was warranted"<sup>14</sup>. In so doing, the Office disregarded its duty(144§III), gives the appearance of impropriety(151§A; cf. 161§VI) and enables attorneys to continue degrading the profession and harming the public even more(138§II).

I trust you will not show the same indifference to evidence of misconduct, which only emboldens misconducting attorneys<sup>15</sup>, but instead will report it to the Appellate Division(154§B). Thus, I respectfully request(164§VIII) that you: **1)** and other members **call a meeting** of the Committee<sup>21</sup>(168) to review the complaint and reconsider its rejection; **2)** cause the Committee to act as such<sup>16</sup> or by subcommittee<sup>17</sup> to investigate it<sup>18</sup> by **(i)** applying for subpoenas<sup>19</sup> to obtain the documents and depose the people on the proposed Demand for Information and Evidence(69), which can focus and speed up the investigation, and **(ii)** holding hearings<sup>20</sup>; **3)** given my knowledge of the facts and the record, share with me the evidence produced and allow me to attend any hearings so that I may assist in the investigation; and **4)** consider how you, your firm, and I can take action on behalf of the class of defrauded debtors and creditors and emerge, possibly with the vocal support of a challenger in this mid-term election year, as the Champions of Justice(162¶64). Thus, I look forward to hearing from you.

Sincerely, *Dr. Richard Cordero, Esq.*

- <sup>1</sup> Complaint of March 1, 2010, in [http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/DrRCordero-DisciplinaryCom\\_20may10.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/DrRCordero-DisciplinaryCom_20may10.pdf) >GC:i-86; this letter is included in that file, where the links in these endnotes are active and with a click can access their references.
- <sup>2</sup> III. WHAT THE COMMITTEE DOES
- The purpose of the Committee is **to protect the public** and the legal profession by ensuring that lawyers adhere to the ethical standards set forth in the Rules of Professional Conduct (the "Rules"). **The Committee protects the public by reviewing and investigating complaints against lawyers** and by recommending sanctions against those who are proven to have violated the Rules. It protects the legal profession by **enforcing high standards of conduct**, while at the same time ensuring that **complaints are dealt with fairly**. (emphasis added) Committee's self-description; <http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/index.shtml>
- <sup>3</sup> 08-8382, SCt, [http://Judicial-Discipline-Reform.org/US\\_writ/1DrCordero-SCt\\_petition\\_3oct8.pdf](http://Judicial-Discipline-Reform.org/US_writ/1DrCordero-SCt_petition_3oct8.pdf); 04-8371, SCt, [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_petition\\_to\\_SCt\\_20jan5.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_petition_to_SCt_20jan5.pdf)
- <sup>4</sup> Region 2 Trustee-Attorneys Diana Goldberg Adams, current, and Carolyn Susan Schwartz and Dierdre A. Martini, former; as to the latter see [Ci:163§VII](#).
- <sup>5</sup> 28 U.S.C. Chapter 39-United States Trustees. §586 Duties, [Ci:163¶66](#).
- <sup>6</sup> Id.; Rule 5.1(b) of the Rules of Professional Conduct, [Ci:143¶13](#); U.S. Trustee Manual:
- §2-2.1 Pursuant to 28 U.S.C. §586(a), the United States Trustee must supervise the actions of trustees in the performance of their responsibilities.
- §2-3.1 The primary functions of the United States Trustee in chapter 7 cases are the establishment, maintenance, and supervision of panels of trustees, and the monitoring and supervision of the administration of cases under chapter 7 of the Bankruptcy Code. [http://www.justice.gov/ust/eo/ust\\_org/ustp\\_manual/index.htm](http://www.justice.gov/ust/eo/ust_org/ustp_manual/index.htm) >Chapter 7 Case Administration
- §4-3.1 The primary responsibilities of the United States Trustee in chapters 12 and 13 cases are the appointment of one or more individuals to serve as standing trustees; the supervision of such individuals in the performance of their duties; and the supervision of the administration of cases under chapters 12 and 13. [http://www.justice.gov/ust/eo/ust\\_org/ustp\\_manual/index.htm](http://www.justice.gov/ust/eo/ust_org/ustp_manual/index.htm) >Ch. 12 & 13 Case Administration
- <sup>7</sup> 28 CFR §58.6(10), [http://Judicial-Discipline-Reform.org/docs/28\\_cfr\\_58.pdf](http://Judicial-Discipline-Reform.org/docs/28_cfr_58.pdf); [Ci:139¶e1\(a\)](#)
- <sup>8</sup> [http://Judicial-Discipline-Reform.org/docs/Trustee\\_Reiber\\_3909\\_cases.pdf](http://Judicial-Discipline-Reform.org/docs/Trustee_Reiber_3909_cases.pdf)  
[http://Judicial-Discipline-Reform.org/docs/TrGordon\\_3383\\_as\\_trustee.pdf](http://Judicial-Discipline-Reform.org/docs/TrGordon_3383_as_trustee.pdf)
- <sup>9</sup> [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_v\\_Equal\\_Justice.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_v_Equal_Justice.pdf) >¶4
- <sup>10</sup> Compensation of trustee under Chapter 7, 11 U.S.C. §§326(a) and 330(a)(1)(A) ; under Chapter 13 if a panel trustee, §§326(b) and 1326(a)(2)-(3); and if a standing trustee, §1326(b)(2) and 28 U.S.C. §586(e); [http://Judicial-Discipline-Reform.org/docs/11usc\\_Bkr-Code\\_08.pdf](http://Judicial-Discipline-Reform.org/docs/11usc_Bkr-Code_08.pdf) and [http://Judicial-Discipline-Reform.org/28usc586\\_trustees\\_duties.pdf](http://Judicial-Discipline-Reform.org/28usc586_trustees_duties.pdf)
- <sup>11</sup> Reimbursement of expenses, 11 U.S.C. §330(a)(1)(B), (2), and (7); §330(a)(1)(B) and §331
- <sup>12</sup> 11 U.S.C. §330(c), on payment of no less than \$5 per month from any distribution, which creates a perverse incentive to rubberstamp any bankruptcy relief petition and as many as possible.
- <sup>13</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/How\\_fraud\\_scheme\\_works.pdf](http://Judicial-Discipline-Reform.org/Follow_money/How_fraud_scheme_works.pdf)
- <sup>14</sup> Infra: [rr:89](#) and cf. [Ci:171](#); [Ci:151§A](#).
- <sup>15</sup> Non-lawyers too are emboldened; see Bankruptcy Officer DeLano's incongruous, implausi-

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ble, and suspicious declarations in his bankruptcy petition, Ci:139¶(e1)(c) infra; GC:42§1.

16 22 NYCRR 603. Conduct of Attorneys. Rules of the Appellate Division of the Supreme Court of the State of New York, First Judicial Department

§603.4 Appointment of Disciplinary Agencies; Commencement of Investigation of Misconduct; Complaints; Procedure in Certain Cases

c. Investigation of professional misconduct...may be commenced sua sponte by this court or by the Departmental Disciplinary Committee....

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part603.shtml>

17 id. §603.4 b....The...Committee may act through...subcommittees or hearing panels.

18 The Committee should take over from the Office of Chief Counsel by applying the principle adopted in its Rules that a person cannot review his or her own decision:

22 NYCRR Part 605. Rules and Procedures of the Departmental Disciplinary Committee of the Appellate Division of the NYS Supreme Court, First Judicial Department

§605.7 Review of Recommended Disposition of Complaint (emphasis added)

c. Reconsideration. Upon notification of the dismissal of a complaint pursuant to Section 605.6, the complainant may submit a written application for reconsideration that shall be filed with the Office of the Chief Counsel within 30 days of the date of the notification. The Committee chairperson shall **designate to examine** a request for reconsideration **a member of the Committee other than the member who originally reviewed** the recommendation of the Office of the Chief Counsel.

§605.8 Final Disposition Without Formal Proceedings (emphasis added)

c.3 ...As soon as practicable after the receipt of an application [for reconsideration of a letter of admonition], the Office of Chief Counsel shall transmit the application and the file relating to the matter to a member of the...Committee (**who shall not be a Reviewing Member designated with respect to such matter** under § 605.6(f)(2) of the Part) designated to review the matter by the Committee Chairperson....

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part605.shtml>

Cf. 28 U.S.C. §47. Disqualification of trial judge to hear appeal

No judge shall hear or determine an appeal from the decision of a case or issue tried by him. <http://uscode.house.gov/pdf/2008/2008usc28.pdf>

19 §603.5 Investigation of Professional Misconduct on the Part of an Attorney; Subpoenas and Examination of Witnesses Under Oath

a. Upon application by the Departmental Disciplinary Committee...conducting an investigation of professional misconduct...the clerk of this court shall issue subpoenas for the attendance of **any** person and the production of books and papers before such committee...or any subcommittee or hearing panel thereof.... (emphasis added)

20 §603.4 Appointment of Disciplinary Agencies; Commencement of Investigation of Misconduct;

d. When the Departmental Disciplinary Committee, after investigation, determines that it is appropriate to file a petition against an attorney in this court, the committee shall institute disciplinary proceedings in this court and the court may discipline an attorney on the basis of the record of **hearings before such committee**,... (emphasis added)

§603.5b. The Departmental Disciplinary Committee, or a subcommittee or hearing panel thereof, or its counsel, is empowered to take and cause to be transcribed the evidence of witnesses who may be sworn by any person authorized by law to administer oaths.

21 §605.19a. The Committee shall meet...upon notice from the Secretary given at the direction of...five members...not less than 24 hours prior to the time fixed for the meeting...

May 20, 2010

**Request For Intervention to Review and Reconsider  
to the Departmental Disciplinary Committee  
of the NYS Supreme Court, Appellate Division, 1st Judicial Department**

61 Broadway, 2<sup>nd</sup> Floor, New York, NY 10006, tel. (212)401-0800; fax (212)287-1045

**CONCERNING**

Matter of Diana Goldberg Adams, Esq.  
Docket No. 2010.1315

Matter of Carolyn Susan Schwartz, Esq.  
Docket No. 2010.1316

Matter of Deirdre A. Martini

**CASE HISTORY AND GROUNDS FOR THE REQUEST:** On March 1, 2010, a misconduct complaint against the above-captioned attorneys or counsellor-at-law was filed with the 1<sup>st</sup> Department Disciplinary Committee by Complainant Dr. Richard Cordero, Esq.\* The Office of Chief Counsel informed him last April 6 of its rejection of the complaint in the conclusory statement with no discussion of any allegation that “there is no basis to support an ethical violation [and] no further investigation or action was warranted”.(rr:88 infra) A reconsideration request dated May 5 was filed. The Office acknowledged receipt on May 14(Ci:126, 127) and stated that “reconsideration is a lengthy process [and] due to the large volume of complaints filed in this office [] we cannot issue progress reports”.

No provision of law or rule even hints that reconsideration is a lengthier process than the initial consideration of a complaint. Instead, these statements give rise to the inference that for reasons of its convenience unrelated to the merits of complaints, the Office lightens its caseload by rejecting them out of hand. Thereby it raises before the complainant an artificial barrier to obtaining lawful relief for his grievance through the disciplinary committee system. To overcome that barrier, the complainant is forced to request reconsideration by rearguing the merits of his complaint and arguing the Office’s duty to investigate it, for no grounds for rejection were provided that he could argue against. Only if he survives that test of his determination to obtain relief does the Office resign itself to getting down to work by submitting the complaint to a reconsideration’s “lengthy process”, presumably a careful consideration of the complaint, the first one. This inferential reading of the Office’s statements is particularly justified in light of the formal and substantive merits of the instant complaint, discussed below. It also justifies having no confidence in the Office’s willingness to investigate the complaint, let alone do so thoroughly. Consequently, this is a request for the Committee to intervene by reviewing the complaint and taking over its reconsideration under 22 NYCRR §605.7(c)<sup>1</sup> in order to conduct the necessary investigation to prosecute the complaint, administer discipline, and provide relief.

\* [http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/DrRCordero-DisciplinaryCom\\_20may10.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/DrRCordero-DisciplinaryCom_20may10.pdf)

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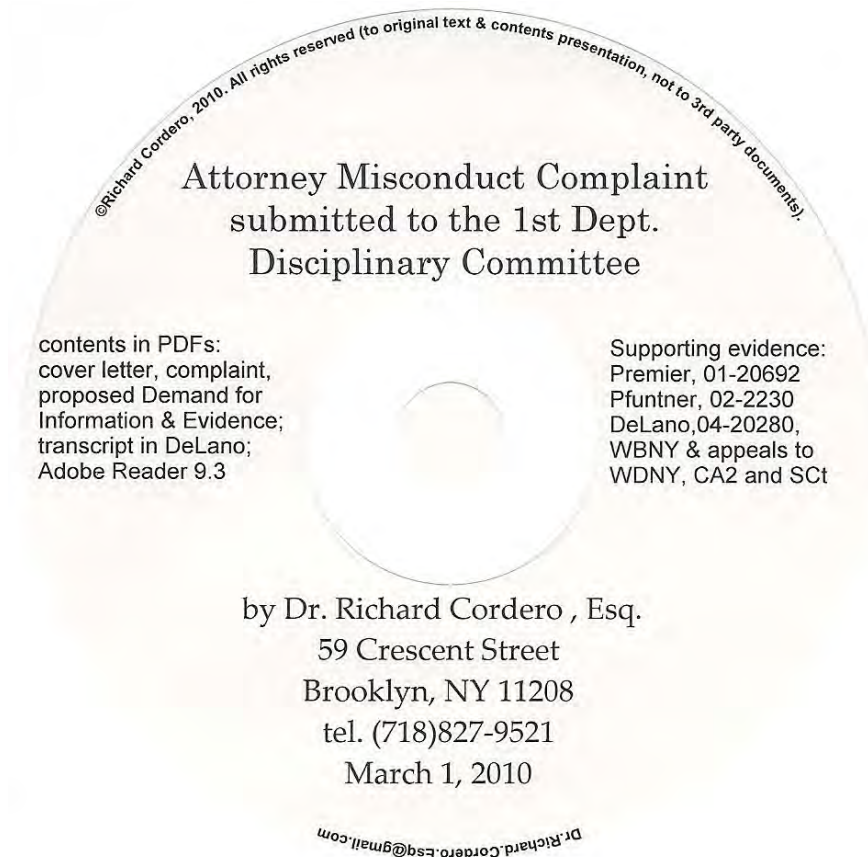
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<sup>1</sup> Rules and Procedures of the Departmental Disciplinary Committee of the Appellate Division of the NYS Supreme Court, First Judicial Department, 22 NYCRR Part 605; <http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part605.shtml>



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- ii) To download Adobe Reader 9.3 for free, go to <http://get.adobe.com/reader/>
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- b. Proposed Demand for Information and Evidence  
[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/5CD\\_Disom/2DrRCordero-DisCom\\_infoDemand.doc](http://Judicial-Discipline-Reform.org/NYS_att_complaints/5CD_Disom/2DrRCordero-DisCom_infoDemand.doc)  
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- e. Exhibits in Pfuntner  
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## **I. The Disciplinary Committee's Office of Chief Counsel failed even to request the complained-against attorneys to respond to the complaint**

1. Part 605. RULES AND PROCEDURES OF THE DEPARTMENTAL DISCIPLINARY COMMITTEE provides that requesting a response from the complained-against attorney is the normal course of action that the Office of Chief Counsel must take unless the exception applies.

22 NYCRR §605.6(d)(2) Transmission of Notice.

**Except** where it appears that there is **no basis for proceeding further**, the Office of Chief Counsel **shall** promptly prepare and forward to the Respondent a **request** for a statement in **response** to the Complaint,.... (emphasis added)

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part605.shtml>

2. Complainant Dr. Richard Cordero, Esq., received no copy of a response to his complaint of either Complained-against Attorneys Carolyn S. Schwartz or Diana G. Adams, former and current U.S. Trustees for Region 2,<sup>2</sup> respectively.<sup>3</sup> If the Office of Chief Counsel had afforded them the opportunity to provide such response and they had responded, the Office would have been required by the Committee's own description of its purpose and functioning to send Dr. Cordero a copy of their response for him to reply to it. Since the Committee's self-description is officially published<sup>4</sup>, the public is entitled to rely upon it.

VII. B. Initial Investigation.

...During this investigation, the attorney about whom you complained will be

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<sup>2</sup> Trustees Schwartz and Adams can be investigated and disciplined for misconduct regardless of date of occurrence of their misconduct given that there are at least two applicable sets of rules, as provided for under Part 603. CONDUCT OF ATTORNEYS of the Appellate Division, First Department, and hereinafter referred to generally as the Rules:

22 NYCRR §603.2 Professional Misconduct Defined

Any attorney who fails to conduct himself both professionally and personally, in conformity with the standards of conduct imposed upon members of the bar as conditions for the privilege to practice law and any attorney who violates any provision of the rules of this court governing the conduct of attorneys, or with respect to conduct on or after April 1, 2009, **the Rules** of Professional Conduct, (22 N.Y.C.R.R Part 1200), or with respect to conduct on or before March 31, 2009, any disciplinary rule of **the former Code** of Professional Responsibility, as adopted by the New York State Bar Association, effective January 1, 1970, as amended, or **any of the special rules** concerning court decorum, shall be guilty of professional misconduct within the meaning of subdivision 2 of section 90 of the Judiciary Law. (emphasis added)

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part603.shtml>

<sup>3</sup> As to Dierdre A. Martini, former U.S. Trustee for Region 2, see [Ci:158§VII](#) and [161¶\(g\)](#).

<sup>4</sup> See generally the website of the Appellate Division, 1<sup>st</sup> Judicial Department, of the NYS Supreme Court, <http://www.courts.state.ny.us/courts/ad1/index.shtml>

sent a copy of your complaint and will be given the opportunity to respond to it. You, in turn, will be given the opportunity to reply to the lawyer's response. Committee's self-description;  
<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/index.shtml>

**A. The provisions for rejecting a complaint were not applicable to the instant complaint, whose presentation of evidence raised substantial questions of ethical violations that required investigation**

3. According to the Committee's self-description, there are four specific, mostly objective reasons for the Office of Chief Counsel to reject a complaint without requesting a response.

VII. HOW COMPLAINTS ARE PROCESSED

A. Initial Screening

Every complaint is given a docket number and initially reviewed by an attorney on the Committee staff. If it is determined that your complaint involves a matter falling outside our Committee's authority, the staff will notify you that your complaint is being rejected. **A rejection** does not mean we did not believe you. It only **means** that what you said the attorney did either was not a violation of any specific rule of the Rules or **we cannot pursue it for one of the following reasons:** (emphasis added)

- A major portion of your complaint is in essence a fee dispute over which the Committee has no jurisdiction.
- The Committee cannot act on your complaint for other reasons having to do with jurisdiction. For example, that the lawyer's registration address is not in Manhattan or the Bronx; the lawyer has already been suspended or disbarred; the lawyer is deceased; or there is a lawsuit pending that involves the same issues.
- Your complaint involves collecting a debt that a lawyer owes to you and you have not exhausted available civil remedies.
- There appears to be little likelihood that your complaint alleging professional misconduct can be proven due to the passage of time, the unavailability of evidence or applicable law.

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/index.shtml>

4. The Office did not cite any of those four specific reasons for rejecting the complaint. Nor could it do so, for the complaint is not about a fee dispute or a debt collection and is within the Committee's territorial jurisdiction. Likewise, the passage of time has not affected it given that its allegations can be proven on the basis of the thousands of pages of court documents consisting of pleadings, briefs, dockets, motions, transcripts, letters among the parties, trustees, judges, their staff, etc., that form the record of the cases involving Trustees Schwartz, Adams, and Martini. This record was submitted to the Chief Counsel –as well as to the Committee chair and the other members of the Policy Committee- on a CD-ROM(GC:vi-vii infra) and part of it

was even printed and attached to the complaint, namely, the transcript([Tr:i-190](#)) by the Bankruptcy Court reporter of the evidentiary hearing in *In re David and Mary Ann DeLano*(cf. [GC:14¶A](#)).

5. Underscoring that the norm is to ask for a response to a complaint, the Appellate Division’s rules in Part 603. CONDUCT OF ATTORNEYS only provide one instance in which the Committee can dismiss a complaint without taking that first investigative step, which strictly speaking is reject it: if it consists of a fee dispute.

§603.4 Appointment of Disciplinary Agencies; Commencement of Investigation of Misconduct; Complaints; Procedure in Certain Cases

- c. ...Whenever the Departmental Disciplinary Committee concludes that **the issue involved upon the complaint is a fee dispute** and, accordingly, **dismisses the complaint**, the chief counsel to the committee or his assistant shall advise the complainant and the respondent that the dispute might be satisfactorily resolved by referring it for conciliation to the Joint Committee on Fee Disputes...(emphasis added)

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part603.shtml>

6. As indicated previously, the instant complaint does not involve a fee dispute at all. So the only remaining reason for rejecting a complaint without first asking for a response is a catch-all, subjective one, for it requires interpreting the law to mean that “what you said the attorney did [] was not a violation of any specific rule of the Rules”(¶3 supra). This is the reason that the Office of Chief Counsel conveniently invoked by limiting itself to making this conclusory assertion, which can be applied as a boilerplate to reject any and every complaint:

The Committee will not undertake an investigation as you suggest. More specifically, there is no basis to support an ethical violation by Ms. Adams or Ms. Schwartz. ([rr:88](#), cf. [Ci:171](#) and [142fn6](#) infra)

7. Yet, the instant complaint is a professionally written one that runs to 68 pages, contains hundreds of references to the pages, sections, and paragraphs of the record on the CD and transcript, and has 107 footnotes that include hundreds of links to the text of laws, rules, regulations, and codes as well as to statements and statistics from members and entities of government, such as the Administrative Office of the U.S. Courts, PACER, and Congress; to the websites of people to verify their identity, employment, and contact information; to commentaries on the law and the administration of justice; etc. Those 68 pages are preceded by the pages of a table of contents([GC:iii](#) infra) consisting of headings which not merely name, but rather concisely summarize the contents of the numerous sections composing the complaint and in which sets of facts and contentions are treated with particularity and to the point while displaying a

chronological and logical progression. Hence, that table furnishes an overview of the complaint and functions as its index. All that provides easy access to the evidence of what Trustees Schwartz, Adams, and Martini and their supervised trustees, for whom they are responsible, were supposed to do but failed to do and shows what they did instead. Those formal features point to the thoroughness of complaint composition and lend credence to its substantive elements. Neither admit of the complaint being tossed away with a flick of a hand as if it had been written on the back of a napkin. They establish, if not the fact that the Trustees violated ethical requirements, then probable cause to believe that they did and most certainly raise “substantial question”(Rule 8.3(a) at [Ci:145](#) infra; [GC:64§3](#)) that they committed misconduct given „the available “evidence or applicable law””(¶3. 4<sup>th</sup> bullet supra).

8. If in a complaint that survives the four objective reasons for rejection the *possibility* is shown that ethical violations *may* have been committed, then the Office of Chief Counsel was and is bound to open an investigation and take its first step of asking the complained-against attorney for a response.

#### VII. B. Initial Investigation

If the initial screening reveals that the complaint is within our Committee's authority and **may** involve an ethical violation, the legal staff **will** carry out an initial investigation of the case. (emphasis added)

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/index.shtml>

## II. Summary of the allegations of ‘repeated, serious possible offenses’ of Trustees Schwartz, Adams, and Martini made in the complaint

9. The Complaint Overview([GC:3§II](#)) sets forth with scrupulous accuracy specific facts of misconduct by the complained-against attorneys concisely and in clearly identifiable paragraphs for the respective attorneys. The Statement of Facts([GC:14§III](#)) provides background and more details showing, among other instances of misconduct, that:
  - a. attorneys in front of the judge in the courtroom signaled answers with their arms to their client while the latter was under oath and examination by the opposing party;
  - b. attorneys withheld discoverable information that would incriminate them and their clients in bankruptcy fraud through concealment of assets;
  - c. attorneys manipulated a transcript and an official audio recording to the same end of depriving a party of evidence incriminating a judge and other attorneys in setting up and conducting a sham evidentiary hearing or incriminating a trustee in unlawfully depriving

- a creditor or party in interest of his right to examine the debtor in bankruptcy proceedings;
- d. attorneys engaged in ex-parte communications with a judge to obtain a benefit for themselves to the detriment of the opposing party; and
  - e. Trustees Schwartz, Adams, and Martini:
    - 1) covered up the misconduct of their supervisees, to wit:
      - (a) Assistant U.S. Trustee Kathleen Dunivin Schmitt, Esq., who made her office available for her supervisee Chapter 13 Trustee George Reiber, Esq., to delegate the conduct of a meeting of creditors to his attorney, James Weidman, Esq., instead of conducting it personally, as he was duty-bound to do, an offense so serious as to be grounds for Trustee Reiber's removal (28 CFR §58.6(10))<sup>5</sup>; then manipulated the tapes of the meeting to cover it up;
      - (b) Chapter 7 Trustee Kenneth Gordon, Esq., who had 3,382 cases before the same judge, J. John C. Ninfo, II, WBNY, and who officially declared that *Premier* was a case with assets for distribution, secured authorization from the judge to hire an auctioneer, but then never accounted for the proceeds of the auction or the whereabouts of the assets, and
      - (c) Chapter 13 Trustee George Reiber, Esq., who recommended to, and secured from, Judge Ninfo, before whom he had 3,907 *open* cases, approval of the bankruptcy petition of a 39-year veteran of the banking and financing industries, Mr. David Gene DeLano, who at filing time was and continued to be employed by a major bank, M&T, precisely as a bankruptcy officer, without ever asking, and instead refusing a creditor's repeated requests, that Mr. DeLano and his working wife Mary Ann produce their bank account statements in order to show the whereabouts of the \$291,470 that they had earned in just the three years prior to such filing and of which they declared to have only \$500 on account and \$35 in hand!
    - 2) participated in or tolerated the fraud, deceit, and misrepresentation of those who so blatantly:
      - (a) trampled upon the provisions of the Bankruptcy Code (11 U.S.C.) and its

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<sup>5</sup> [http://Judicial-Discipline-Reform.org/docs/28\\_cfr\\_58.pdf](http://Judicial-Discipline-Reform.org/docs/28_cfr_58.pdf)

specific duties to oppose a discharge involving fraud (11 U.S.C. §§727(c-e); 1302(b)(1) and 704(6));

- (b) violated the bankruptcy crimes provisions of the Criminal Code (18 U.S.C. §§151-158) by concealing assets and documents;
- (c) caused consequential loss of property and livelihood to the creditors, debtors, and the public whom they preyed upon; and
- (d) tolerated in silence the repeated violation by bankruptcy court employees of bankruptcy law and rules and their participation in deceit and misrepresentation(GC:6¶4, 13¶15, 22§§2-4, 52§6) in spite of their duty to conform their conduct to the law and to high ethical standards:

22 NYCRR 50.1 Code of ethics for nonjudicial employees of the Unified Court System

Preamble: A fair and independent court system is essential to the administration of justice. Court employees must observe and maintain high standards of ethical conduct in the performance of their duties in order **to inspire public confidence and trust in the fairness and independence of the courts**. This code of ethics sets forth basic principles of ethical conduct that court employees must observe, in addition to laws, rules and directives governing specific conducts, so that the court system can fulfill its role as a **provider of effective and impartial justice**. (emphasis added)

(l.) Court employees shall avoid impropriety and the appearance of impropriety **in all their activities**. (emphasis added)

(A.) Court employees shall respect and comply with the law.

<http://nycourts.gov/rules/chiefjudge/index.shtml>

- 3) thereby repeatedly disregarded their duty under “applicable law” to speak out by reporting their violations:

18 U.S.C. §3057. Bankruptcy investigations

(a) Any judge, receiver, or trustee having reasonable grounds for believing that any violation under chapter 9 of this title or other laws of the United States relating to insolvent debtors, receiverships or reorganization plans has been committed, or that an investigation should be had in connection therewith, **shall** report to the appropriate United States attorney all the facts and circumstances of the case, the names of the witnesses and the offense or offenses believed to have been committed.... (emphasis added);

<http://Judicial-Discipline-Reform.org/docs/18usc3057.pdf>; cf. Ci:164¶(F)

Cf. 28 U.S.C. §586(f)(2)(B)(i) on the duty of a U.S. trustee to cause



audits to be performed and make §3057 reports of thereby revealed “material misstatements of income or expenditures or of assets”.

[http://Judicial-Discipline-Reform.org/docs/28usc\\_2008.pdf](http://Judicial-Discipline-Reform.org/docs/28usc_2008.pdf)

- 4) so that the Trustees committed misconduct under the Rules of Professional Conduct, 22 NYCRR Part 1200 (hereinafter Rule #):

Rule 8.4: Misconduct

A lawyer or law firm shall not: ...

(c) engage in conduct involving dishonesty, fraud, deceit or misrepresentation;

(d) engage in conduct that is prejudicial to the administration of justice;

(f) knowingly assist a judge or judicial officer in conduct that is a violation of applicable rules of judicial conduct or other law;

(h) engage in any other conduct that adversely reflects on the lawyer’s fitness as a lawyer.

<http://www.courts.state.ny.us/rules/jointappellate/index.shtml>;

with enhanced bookmarks to facilitate navigation also at

[http://Judicial-Discipline-Reform.org/docs/NYS\\_Rules\\_Prof\\_Conduct.pdf](http://Judicial-Discipline-Reform.org/docs/NYS_Rules_Prof_Conduct.pdf)

**A. The circumstances, the seriousness of the misconduct, and the condition as experts and supervising public officers imputed knowledge to Trustees Schwartz, Adams, and Martini of a bankruptcy fraud scheme and triggered their duty to act rather than remain indifferent or participate in a cover-up**

10. Reasonable people impartially assessing with common sense and logic “the totality of circumstances” that they knew and were supposed to find out through the diligent performance of their official duties would have excluded the possibility of a mere series of isolated wrongful acts of the same individuals coincidentally working on the same cases. This follows from the views of the New York State Bar Association on the Rules entrusted to the Committee for application:

Rule 1.13: Organization as Client

NYSBA Comment: Acting in the Best Interest of the Organization

[3]...Paragraph (b) makes clear, however, that when the lawyer knows that the organization is likely to be substantially injured by action of an officer or other constituent that violates a legal obligation to the organization or is in violation of law that might be imputed to the organization, the lawyer must **proceed as is reasonably necessary** in the best interest of the organization. Under Rule 1.0(k), **a lawyer’s knowledge can be inferred from circumstances**, and **a lawyer cannot ignore the obvious**. The terms “reasonable” and “reasonably” connote a range of conduct that will satisfy the requirements of Rule 1.13. In **determining what is reasonable** in the best interest of the organization, the circumstances at the time of

determination are relevant. Such circumstances may include, among others, the lawyer's **area of expertise**, the time constraints under which the lawyer is acting, and the lawyer's **previous experience and familiarity with the client**.

[http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional\\_Standar.htm](http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional_Standar.htm) >Final NY Rules of Conduct with Comments

11. Trustees Schwartz, Adams, and Martini, experts in the bankruptcy area, were fully aware that the area is strewn with one of the most contagious pathogens of corruption: *money!* the \$10s of bls. that the annual bankruptcy debts to be discharged and claims to be asserted are worth to the frontrunners in the bankruptcy race, that is, debtors, creditors, trustees, lawyers, and the judges who drive the fastest vehicle to corruption, namely, the power to decide who keeps and takes money. They were experienced in the treatment of the resulting disease: bankruptcy abuse. They developed familiarity with those who helped in treating it, their supervisees, i.e., Trustees Schmitt, Gordon, and Reiber, and presumably with the one single judge, Judge Ninfo, before whom they had brought 7,289 cases. Specifics increased their knowledge of the totality of circumstances because these Region 2 Trustees were kept abreast of developing events in the cases in question, to wit, *Premier*(GC:17§B), *Pfuntner*(GC:21§C), and *DeLano*(GC:41§D), by Dr. Cordero serving them with his filings in them and requesting their intervention(GC:12¶14). Hence, they must be held to have recognized and to have been made to recognize that there was a pattern to those circumstances. <sup>6</sup>It was formed by the intentional practices developed by a group of trustees, attorneys, judges, and their supervised staff working in coordination over years as members of the bankruptcy and judicial systems. So the Region 2 Trustees knew actually or constructively what was going on: Insiders were running a bankruptcy fraud scheme.
12. Their knowledge heightened the duty of Trustees Schwartz, Adams, and Martini, who as U.S. Trustees for Region 2 were or still are public officers, to take action to counter, report, and cause the prosecution of those involved in the scheme.

#### NYSBA Comment on Rule 1.13: Organization as Client

Government Agency: [9] The duties defined in this Rule apply to governmental organizations....Moreover, in a matter involving the conduct of **government officials**, a government lawyer may **have greater authority** under applicable law **to question** such conduct than would a lawyer for a private organization in similar circumstances. Thus, when the client is a governmental organization, a different balance may

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<sup>6</sup> Cf. Under the Racketeer Influenced And Corrupt Organizations Act (RICO) 18 U.S.C. §1961(5) a pattern of racketeering can be established by two acts of racketeering activity occurring within 10 years. [http://Judicial-Discipline-Reform.org/docs/18usc1961\\_RICO.pdf](http://Judicial-Discipline-Reform.org/docs/18usc1961_RICO.pdf).

be appropriate between maintaining confidentiality **and assuring that the wrongful act is prevented or rectified.** (emphasis added)

[http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional\\_Standar.htm](http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional_Standar.htm) >Final NY Rules of Conduct with Comments

13. Trustees Schwartz, Adams, and Martini certainly were authorized and had the duty to question their supervisees, Attorney-Trustees Schmitt, Gordon, and Reiber.

RULE 5.1: Responsibilities Of Law Firms, Partners, Managers And Supervisory Lawyers

- (b)(2) A lawyer with direct supervisory authority over another lawyer **shall** make reasonable efforts to ensure that the supervised lawyer conforms to these Rules. (emphasis added)
- (c) ...A lawyer with direct supervisory authority over another lawyer shall adequately supervise the work of the other lawyer, as appropriate. In either case, the **degree of supervision** required is that which is **reasonable under the circumstances**, taking into account factors such as the experience of the person whose work is being supervised, the amount of work involved in a particular matter, and **the likelihood that ethical problems might arise in the course of working on the matter.** (emphasis added)
- (d) A lawyer shall be **responsible for a violation** of these Rules **by another** lawyer if:
- (1) the lawyer orders or directs the specific conduct or, with knowledge of the specific conduct, **ratifies** it; or
- (2)(i) knows of such conduct at a time when it could be prevented or its consequences avoided or mitigated but **fails to take reasonable remedial action**; or
- (ii) in the exercise of reasonable management or supervisory authority **should have known** of the conduct so that reasonable remedial action could have been taken at a time when the consequences of the conduct could have been avoided or mitigated. (emphasis added)

[http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional\\_Standar.htm](http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional_Standar.htm) >Final NY Rules of Conduct with Comments

14. Despite their status as officers of the government bankruptcy system working for the public, their duty as supervisors to inform themselves, and the knowledge that they acquired from a member of the public, Trustees Schwartz, Adams, and Martini failed to provide “effective oversight”<sup>7</sup> to

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<sup>7</sup> “The purpose of the bill is to improve bankruptcy law and practice by restoring personal responsibility and integrity in the bankruptcy system...[to] respond to...**the absence of effective oversight to eliminate abuse in the system** [and] deter serial and abusive bankruptcy filings.” (emphasis added) HR Report 109-31, which was enacted as the Bankruptcy Abuse Prevention and Consumer Protection Act of April 20, 2005, Pub. L. 109-8, 119 Stat. 23; [http://Judicial-Discipline-Reform.org/docs/BAPCPA\\_HR\\_109-31.pdf](http://Judicial-Discipline-Reform.org/docs/BAPCPA_HR_109-31.pdf)

their supervised Attorneys Schmitt, Gordon, and Reiber. They took no action to prevent their further involvement in the bankruptcy fraud scheme and with the other schemers.(GC:12¶14, 36§7, 67¶146) They disregarded even their duty to report the violations of bankruptcy law to U.S. district attorneys with authority to prosecute the schemers(Ci:140¶3) supra). No wonder, the schemers grew more confident of the risklessness of their wrongdoing and engaged in even more blatant bankruptcy fraud.(cf. GC:42§1) Thereby the Region 2 Trustees also violated Rule 8.3:

**RULE 8.3 :Reporting Professional Misconduct**

- (a) A lawyer who knows that another lawyer has committed a **violation** of the Rules of Professional Conduct that **raises a substantial question** as to that lawyer's honesty, trustworthiness or fitness as a lawyer **shall** report such knowledge to a tribunal or other authority empowered to investigate or act upon such violation. (emphasis added)
- <http://www.courts.state.ny.us/rules/jointappellate/index.shtml>;  
with enhanced bookmarks to facilitate navigation also at  
[http://Judicial-Discipline-Reform.org/docs/NYS\\_Rules\\_Prof\\_Conduct.pdf](http://Judicial-Discipline-Reform.org/docs/NYS_Rules_Prof_Conduct.pdf)

**III. The Office of Chief Counsel too must have recognized the pattern of ethical and legal violations that emerged from the bankruptcy fraud scheme, which triggered its duty to investigate the complaint**

15. The complaint and the CD containing the court record of the cases in question, i.e. *Premier*, *Pfuntner*, and *DeLano*, provided the Office of Chief Counsel as well as the other members of the Policy Committee with a coherent account and reliable information that enabled it to recognize a pattern of misconduct. This should have prompted the Office to launch an investigation to obtain whatever facts it deemed necessary to determine whether the misconduct constituting that pattern existed and, if so, its breadth and depth. Obtaining those facts is the duty of the Office, so much so that the Rules governing its operation were adopted by the Appellate Divisions for that very purpose so that it is from the perspective of that purpose that the Rules are to be construed.

**§605.1 Title, Citation and Construction of Rules**

- a. These Rules shall be known, and may be cited, as the "Rules and Procedures of the Departmental Disciplinary Committee of the Appellate Division of the Supreme Court of the State of New York, First Judicial Department"; (hereinafter called the Committee) [so in the original]
- b. **These Rules are promulgated for the purpose** of assisting the Office of Chief Counsel, the Respondent and the Committee **to develop the facts** relating to, and to reach a just and proper determination of, matters brought to the attention of the Office of Chief Counsel or the Committee. (emphasis added)
- <http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part605.shtml>

16. The Office must also have realized that it, not the complainant, had the authority and manpower to pursue the investigation necessary to develop those facts.

NYSBA Comment on Rule 8.3: Reporting Professional Misconduct

[1]...An apparently isolated violation may indicate **a pattern of misconduct that only a disciplinary investigation can uncover**. Reporting a violation is especially important where the victim is unlikely to discover the offense. (emphasis added)

[http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional\\_Standards.htm](http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional_Standards.htm) >Final NY Rules of Conduct with Comments

17. The Office could not reasonably have expected Dr. Cordero to submit an evidentiary document so fully developed factually that it only needed the signature of the Committee Chairman or the Chief Counsel to be referred to the Appellate Division as a recommendation to disbar Trustees Schwartz, Adams, and Martini.

NYSBA Comment on Rule 8.3: Reporting Professional Misconduct

[3]...This Rule [8.3] limits the reporting obligation to those offenses that a self-regulating profession must vigorously endeavor to prevent. A measure of judgment is therefore required in complying with the provisions of this Rule. The term “substantial” refers to **the seriousness of the possible offense and not the quantum of evidence** of which the lawyer is aware. (emphasis added) Id.

18. Hence, in order to cause the Office to investigate, the Rules only call for a complaint to be filed that alleges “the seriousness of the [Trustees’] **possible** offense”. This is in harmony with the view that a lawyer can make a good faith argument in the expectation of subsequently substantiating it through discovery.

NYSBA Comment on Rule 3.1:

[2] The filing of a claim or defense or similar action taken for a client is not frivolous merely because the facts have not first been fully substantiated or because the lawyer expects to **develop vital evidence only by discovery**. Lawyers are required, however, to inform themselves about the facts of their clients’ cases and the applicable law, and determine that they can make good-faith arguments in support of their clients’ positions. (emphasis added)

[http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional\\_Standards.htm](http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional_Standards.htm) >Final NY Rules of Conduct with Comments

19. In the same vein, neither the Committee nor the Office of Chief Counsel can require that it be presented with at least one offense so serious as to turn the complaint into a notice of formal charge. The NYSAB Comments make it clear that even a series of minor offenses is enough to trigger the duty to investigate:

NYSBA Comments on Rule 8.4: Misconduct

[2]...Violations involving violence, **dishonesty, fraud, breach of trust**, or serious interference with the administration of justice are illustrative of illegal conduct that reflects adversely on fitness to practice law. Other types of illegal conduct may or may not fall into that category, depending upon the particular circumstances. **A pattern of repeated offenses, even ones of minor significance when considered separately, can indicate indifference to legal obligation.** (emphasis added)

[3] The prohibition on **conduct prejudicial to the administration of justice** is generally invoked to punish conduct, whether or not it violates another ethics rule, that results in **substantial harm to the justice system** comparable to those caused by **obstruction of justice**, such as

- advising a client to testify falsely, [¶9a supra; GC:14§A]
- paying a witness to be unavailable  
[or transcripts or an audio recording, ¶9a supra; GC:12¶13, 44fn80, 46¶97, 52§6]
- altering documents, [GC:26¶46]
- repeatedly disrupting a proceeding,  
[Tr.28/13-29/4; 75/8-76/3; Tr.141/20-143/16; GC:14§A; and abusing process, GC:9¶8, 50¶108]
- failing to cooperate in an attorney disciplinary investigation or proceeding....[if the trustees were asked to provide an answer to the complaint but failed to do so. ¶1 supra]

The conduct must be **seriously inconsistent** with [not outrageously violative of] a lawyer's responsibility as an officer of the court. (emphasis and bullet formatting added) Id.

20. In any event, the offenses described in the complaint are not minor, judging by the views expressed by the American Bar Association:

ABA Model Rules for Lawyer Disciplinary Enforcement,  
Rule 9. Grounds For Discipline/Lesser Misconduct,

B...Conduct shall not be considered lesser misconduct if any of the following considerations apply:

- (1) the misconduct involves the misappropriation of funds;  
[similar to the assets in *Premier*(GC:4¶3, 17§B) and the \$291,470 in *DeLano*(GC:8¶7, 42¶91) nowhere to be found]
- (2) the misconduct results in or is likely to result in substantial prejudice to a client or other person;
  - Trustees Schwartz, Adams, and Martini allowed Supervisee Schmitt to let Trustees Gordon and Reiber amass in their hands the unmanageable numbers of 3,383 and 3,909 cases, respectively(GC:11¶12), because a scheme's benefits for each member are bigger and its management is easier the fewer schemers there are;
  - fraud among the 1,402,816 cases filed in just the U.S. Bankruptcy Courts in FY09(GC:iiifn3) concerned \$10s of bls. and affected 10s of millions of people;

- when Lehman Brothers went bankrupt due to mortgage fraud, 10s of thousands of direct and indirect employees lost their jobs and the whole City of New York suffered the loss of their taxes]
- (5) the misconduct involves dishonesty, deceit, fraud, or misrepresentation by the respondent;  
[that is what pervades not just the running, but also the toleration of the bankruptcy fraud scheme; [GC:14§A, 49§4, 58§8, 61§1](#)]
- (6) the misconduct constitutes a “serious crime” as defined in Rule 19(C) or  
[bankruptcy fraud is so serious a crime that it is punishable by up to 20 years in prison and a fine of up to \$500,000 under 18 U.S.C. §§152-157, 1519, and 3571; so are money laundering and participation in a RICO enterprise]
- (7) the misconduct is part of a pattern of similar misconduct.  
[that pattern reveals a bankruptcy fraud scheme]

<http://www.abanet.org/cpr/disenf/rule9.html>

21. The NYSBA Comments also lead to the realization that the seriousness of the „repeated possible offenses“ of Trustees Schwartz, Adams, and Martini was heightened by the fact that they were or are public officers.

NYSBA Comments on Rule 8.4: Misconduct

[5] Lawyers holding public office assume legal responsibilities going beyond those of other citizens. **A lawyer’s abuse of public office can suggest an inability to fulfill the professional role of lawyers.** The same is true of abuse of positions of private trust such as trustee, executor, administrator, guardian, agent and officer, director or manager of a corporation or other organization. (emphasis added)

[http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional\\_Standar.htm](http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional_Standar.htm) >Final NY Rules of Conduct with Comments

22. Another law is by analogy relevant here because it highlights how determining misconduct on the part of public officers does not depend on “the quantum of evidence”(¶17[3] supra) produced by the complainant. Rather, a misconduct determination relies on the exercise of reason that enables conduct regulation enforcers to detect a pattern of “improper activity”. This notion is so much broader than “misconduct” that it defies precise, black letter definition, but can be found by the trained eyes of the enforcer. Sensitivity to impropriety and a sense of responsibility to eliminate it are key to understanding the magnitude of the activity’s impact on people, who sustain concrete consequences, and on a more abstract entity, „the judicial system, whose providing effective and impartial justice depends on public confidence and trust in its officers“(Ci:140).

22 NYCRR 100 Rules of the Chief Administrative Judge, Part 100. Judicial Conduct

Preamble: The text of the rules is intended to govern conduct of judges and candidates for elective judicial office and to be binding upon them. It is

not intended, however, that every transgression will result in **disciplinary action**. Whether disciplinary action is appropriate, and the degree of discipline to be imposed, should be **determined through** a reasonable and **reasoned application of the text** and should depend on such factors as the seriousness of the transgression, whether there is a **pattern of improper activity and the effect of the improper activity on others or on the judicial system**. (emphasis added);

<http://nycourts.gov/rules/chiefadmin/100.shtml>

**IV. The thrust of the Appellate Division rules and the Committee’s self-description decidedly favors the investigation of each complaint and the protection of the public interest rather than complaint rejection on a lazy, conclusory “no ethical violation” before even asking the complained-against attorney to respond to the misconduct allegations**

23. In brief, there was a professionally composed complaint that lent credibility to its allegations, substantial evidence of „repeated serious offenses“ establishing a pattern of misconduct, the aggravating factor of its commission by public officers, and a duty weighing on “a self-regulating profession [that] must vigorously endeavor to prevent”(¶17[3] supra) such offenses and consequently weighing even more heavily on a Committee specifically set up to investigate and prosecute them. Nevertheless, the Office of Chief Counsel in effect rejected the complaint without even submitting it to Trustees Schwartz, Adams, and Martini for them to respond to the allegations. In so doing, the Office exceeded its authority and disregarded its duty.
24. The rubberstamping of the complaint with the Office’s boilerplate “no basis to support an ethical violation”(¶6 supra) was patently insufficient to gainsay substantial evidence and disregard the totality of circumstances that so firmly established a prima facie case for „repeated serious offenses“ committed by the Region 2 Trustees. More critically important, it was jurisdictionally insufficient. This follows from the fact that neither the duty nor the authority of the Committee, and thus of the Office, is limited to processing allegations of ethical violations. Disciplinable offenses that fall within the Committee’s jurisdiction and that the Office must investigate have a scope much broader than only those ethical in nature: (bullet formatting and emphasis added)

§605.4 Grounds for Discipline

- Section 90 of the Judiciary Law of the State of New York,
- the **Disciplinary Rules** and
- decisional law

indicate what shall constitute misconduct and shall be grounds for discipline.



## §605.2 Definitions

### 10. Disciplinary Rule.

- Any provision of the rules of the Court governing the **conduct of attorneys**,
- any Disciplinary Rule of the Code of Professional Responsibility, and
- any Canon of the Canons of Professional Ethics as adopted by the New York State Bar Association.

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part605.shtml>

## §603. Conduct of Attorneys

### 2. Professional Misconduct Defined

- a. Any attorney who fails to conduct himself both professionally and personally, in conformity with
  - the standards of conduct imposed upon members of the bar as conditions for the privilege to practice law and...
  - any of the special rules concerning court decorum,shall be guilty of professional misconduct within the meaning of subdivision 2 of section 90 of the Judiciary Law.

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part603.shtml>

25. The Office's reduction of the scope of its duty to only the processing of ethical violations while disregarding all the other types of misconduct has the natural consequence and shows its intent to lighten its caseload for its convenience. It was as a matter of law unjustifiable and as a matter of fact injurious to the attorney disciplinary system and to everybody who was deprived of its protection as a result of his or her complaint being rejected thereby.

26. The Office's rejection of the complaint without even asking the complained-against attorneys for a response lightened its workload too and disregarded the rules as well. The Appellate Division's Part 603. CONDUCT OF ATTORNEYS immediately after providing for the appointment of the Committee, provides for the receipt of a complaint to be followed by its investigation:

#### §603.4 Appointment of Disciplinary Agencies; Commencement of Investigation of Misconduct; Complaints; Procedure in Certain Cases

- c. Investigation of professional misconduct may be commenced upon receipt of a specific complaint by this court, or by the Departmental Disciplinary Committee or such investigation may be commenced sua sponte by this court or by the Departmental Disciplinary Committee.....

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part603.shtml>

27. If the only ground for rejection, namely, that "the issue involved upon the complaint is a fee dispute" (¶5 supra) is inapplicable, the rules of Part 603 proceed on the assumption that the complaint is valid and to be investigated insofar as it falls within the Committee's authority and alleges possible offenses constituting misconduct.

§603.4c. When the Departmental Disciplinary **Committee, after investigation**, determines that it is appropriate to file a petition against an attorney in this court, the committee shall institute disciplinary proceedings in this court and the court may discipline an attorney on the basis of **the record of hearings before such committee**, or may appoint a referee, justice or judge to hold hearings. Id. (emphasis added)

28. Once more the Appellate Division's Part 603 emphasizes the assumption of complaint validity by providing for the suspension of the complained-against attorney even while the investigation is still under course. In so doing, it highlights the consideration that must take precedence over the possibility that the investigation may nevertheless end up exonerating the attorney: the protection of the public and its interest rather than the attorney's.

§603.4e.1. **An attorney who is the subject of an investigation**, or of charges by the Departmental Disciplinary Committee of professional misconduct, or who is the subject of a disciplinary proceeding pending in this court against whom a petition has been filed pursuant to this section, or upon whom a notice has been served pursuant to section 603.3(b) of this Part [re misconduct in a foreign jurisdiction], **may be suspended** from the practice of law, pending consideration of the charges against the attorney, upon a finding that the attorney is guilty of professional **misconduct immediately threatening the public interest**. (emphasis added) Id.

29. The importance accorded the exposing of misconduct and the disciplining of misconducting attorneys is such that the Appellate Division provides:

§603.4f. Disciplinary proceedings shall be granted a preference by this court. Id.

30. Accordingly, the Appellate Division's Part 605. RULES AND PROCEDURES provide that even when a complained-against attorney is acquitted on allegations substantially similar to those that it is investigating, it can still proceed with its investigation and can also do so despite the attorney having won a suit predicated on such allegations:

#### §605.9 Abatement of Investigation

##### b. Matters Involving Related Pending Civil Litigation or Criminal Matters.

1. General Rule. The processing of complaints involving material allegations which are substantially similar to the material allegations of pending criminal or civil litigation need not be deferred pending determination of such litigation.

2. Effect of Determination. The acquittal of a Respondent on criminal charges or a verdict or judgment in the Respondent's favor in a civil litigation involving substantially similar material allegations shall not, in itself, justify termination of a disciplinary investigation predicated upon the same material allegations.

Rules and Procedures of the Departmental Disciplinary Committee of the Appellate Division, 1st Department, 22 NYCRR Part 605;

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part605.shtml>

31. These provisions stress the paramount importance that the law attaches to ensuring that lawyers are persons of integrity in all aspects of their personal and professional conduct. Lawyers too impose upon themselves the duty to conform their conduct to ethical standards so high as to earn the confidence and trust of the people and thereby protect and enhance a common good: our system of justice.

NYSBA NY Rules of Professional Conduct

PREAMBLE: A LAWYER'S RESPONSIBILITIES

[1] A lawyer, as a member of the legal profession, is a representative of clients and an officer of the legal system with special responsibility for the quality of justice. As a representative of clients, a lawyer assumes many roles, including advisor, advocate, negotiator, and evaluator. As an officer of the legal system, each lawyer has a duty to uphold the legal process; to demonstrate respect for the legal system; to seek improvement of the law; and to promote access to the legal system and the administration of justice. In addition, **a lawyer should further the public's understanding of and confidence in the rule of law and the justice system** because, in a constitutional democracy, legal institutions depend on popular participation and support to maintain their authority.

[http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional\\_Standar.htm](http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional_Standar.htm) >Final NY Rules of Conduct with Comments

**A. The Committee members' duty to avoid even the appearance of impropriety and protect the public favors the investigation of every complaint so as not to appear to be protecting their colleagues and friends by sparing them the embarrassment of an investigation and the possibility of discipline**

32. Earning and safeguarding the public confidence in both the legal profession and lawyers explain why even after similar criminal and civil allegations have been disposed of through litigation the investigation into misconduct must continue: to avoid even the appearance that the Committee members took such disposal as a pretext to spare one of their colleagues or friends any embarrassment through investigation, provisional suspension, or discipline.

CANON 9

A Lawyer Should Avoid Even the Appearance of Professional Impropriety

ETHICAL CONSIDERATIONS

EC 9-1 Continuation of the American concept that we are to be governed by rules of law requires that the people have faith that justice can be obtained through our legal system. **A lawyer should promote public**

**confidence in our system and in the legal profession.**

EC 9-6 Every lawyer owes a solemn duty **to uphold the integrity and honor of the profession**;...to act so as to reflect credit on the legal profession and to inspire the confidence, respect, and trust of clients and of the public; and to strive **to avoid** not only professional impropriety but **also the appearance of impropriety**. (emphasis added)

New York Lawyer's Code of Professional Responsibility (Updated Through December 28, 2007); cf. Ci:135fn2 supra  
[http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional\\_Standar.htm](http://www.nysba.org/Content/NavigationMenu/ForAttorneys/ProfessionalStandardsforAttorneys/Professional_Standar.htm);  
also at: [http://Judicial-Discipline-Reform.org/docs/NYSBA\\_Code\\_Prof\\_Res.pdf](http://Judicial-Discipline-Reform.org/docs/NYSBA_Code_Prof_Res.pdf);

33. Hence, the Committee's self-description names only two grounds for dismissal *after* investigation:

VII. How Complaints are Processed

...

D. Dismissal. **If the investigation** reveals that the lawyer did not violate a specific rule in the Rules, or if it appears that the complaint cannot be proven, the Committee may decide that your complaint should be dismissed. (emphasis added) Committee's self-description;

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/index.shtml>

34. So the Committee must avoid even the appearance of protecting its complained-against colleagues and friends by sparing them the bother of having to respond to a complaint that has been in their behalf predetermined for dismissal no matter what. To that end, in addition to requesting that they respond and enabling the complainant to reply, it can require them,

§603.4 e.1(i) to comply with any lawful demand...made in connection with any investigation;

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part603.shtml>

VII. F....conduct further investigation which may require issuing subpoenas for documents and records as well as interviewing witnesses including at times the complainant as well as the attorney whose conduct is being investigated. Committee's self-description; [and]

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/index.shtml>

§603.4d. [hold] hearings before such committee (§27 supra)

35. Investigating a complaint is not only a means for the Committee to avoid the appearance of impropriety, but also to comply with its duty to investigate:

§603.4 Appointment of Disciplinary Agencies; Commencement of Investigation of Misconduct; Complaints; Procedure in Certain Cases

a.1 This court shall appoint a Departmental Disciplinary Committee for the Judicial Department, which shall be **charged with the duty and empowered to investigate** and prosecute matters involving **alleged** misconduct by attorneys who, and law firms that, are subject to this Part, and to impose discipline to the extent permitted by section 603.9

of this Part. Rules of the Appellate Division; (emphasis added)  
<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part603.shtml>

36. In its self-description, the Committee confirms the key role that investigating complaints plays in its protection of the public, which it professes to be its purpose and to fulfill it by dealing with complaints fairly.

### III. WHAT THE COMMITTEE DOES

The purpose of the Committee is **to protect the public** and the legal profession by ensuring that lawyers adhere to the ethical standards set forth in the Rules of Professional Conduct (the "Rules"). **The Committee protects the public by reviewing and investigating complaints against lawyers** and by recommending sanctions against those who are proven to have violated the Rules. It protects the legal profession by **enforcing high standards of conduct**, while at the same time ensuring that **complaints are dealt with fairly**. (emphasis added)

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/index.shtml>

37. How can it be fair to the public or a reasonable means to protect it to reject out of hand without even requesting a response from the complained-against attorneys, let alone investigating, a complaint containing substantial evidence of attorneys, who are public officers to boot, who abuse their office by committing and protecting others who commit „repeated serious offenses“? The complaint credibly sets forth the seriousness of those offenses: Attorneys who as insiders of the bankruptcy and judicial system participate in or tolerate a bankruptcy fraud scheme. Thereby they injure the public gravely by letting the schemers take the money of debtors in the throes of bankruptcy, drive their creditors into financial predicament, if not bankruptcy itself, and make all of them and the rest of the public pay a bankruptcy loss compensation and risk premium for all goods and services that they buy. If the possibility exists that attorneys are so seriously harming the public, then the interest of the public, whose protection constitutes the Committee’s existential purpose, demands that the Committee investigate them.
38. Not to do so is not only not fair or in the public interest, it has the appearance of impropriety. So much so that what the rules of the Appellate Division provide is that a lawyer still being investigated for “misconduct immediately threatening the public interest”(§603.4(e)(1); ¶28 supra) can be suspended pending the outcome of the investigation.

§603.4(e)(2) **The suspension shall be made upon the application of the Departmental Disciplinary Committee to this Court, after notice of such application has been given to the attorney pursuant to subdivision six of section 90 of the Judiciary Law. The court shall briefly state its reasons for its order of suspension which shall be effective immediately and until such time as the disciplinary matters before the Committee have been concluded, and until**

further order of the court. (emphasis added)

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part603.shtml>

39. Consequently, it was error for the Office of Chief Counsel to reject the instant complaint out of hand, for it was inconsistent with both its purpose to protect the public and its duty to do so by investigating the attorneys' „repeated serious possible offenses“. Thereby it failed its fiduciary duty to act as lawyer for its client, the public, defeating the reasonable expectation that it would represent the public zealously when defending it from those who betrayed their public trust so as to gain a moral or material benefit for themselves, their colleagues, and their friends.

Lawyers are *fiduciaries* of their clients. A fiduciary in the law is similar to a trustee. Lawyers must act with utmost good faith, candor, and scrupulousness in dealing with clients. Clients have every right to expect trust from their lawyers, who are expected to act for the benefit of their principals, their clients. Clients have every right to expect loyalty from their lawyers, while they do not expect loyalty from their electricians or grocers." (emphasis in the original) 2009-2010 Legal Ethics, The Lawyer's Deskbook on Professional Responsibility, Ronald F. Rotunda and John S. Dzienkowski; West (2009); p.39-40.

**B. The Committee and each of its members have a duty to bring to the attention of the Appellate Division a systemic failure in the attorney disciplinary system due to conduct allowed by the Policy Committee and engaged in by the Office of Chief Counsel that detracts from the integrity and effectiveness of the system**

40. The Policy Committee, each of whose members was addressed an original of both the March 1 complaint and the May 5 request for reconsideration, should not have allowed the Office of Chief Counsel to resort to rejecting complaints out of hand and limiting its duty to act to only complaints about ethical violations as means of lightening its caseload or to rubberstamping its „no ethical violation“ boilerplate to spare even public officers a response to allegations of what by any reasonable assessment constitutes „repeated serious possible offenses“.(Ci:126-127, 171 infra; 142fn6 supra) By allowing such conduct to develop, the Policy Committee failed its own duty to supervise the Office of Chief Counsel.

§605.21 Policy Committee

a. General. The Policy Committee **shall**:

4. oversee and evaluate on a continuing basis the effectiveness of the operation of the Committee to assure **the integrity of the attorney disciplinary system**; (emphasis added)
5. develop and implement a program to make the public aware of the importance and **effectiveness of the disciplinary procedures and**

**activities of the Committee;** (emphasis added)

Rules and Procedures of the Departmental Disciplinary Committee of the Appellate Division, 1st Department, 22 NYCRR Part 605;  
<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part605.shtml>

41. Therefore, it now falls to the Committee and each of its members to intervene, in particular, to review the instant complaint and reconsider its rejection, and to ensure, in general, that the conduct of the Policy Committee and the Office of Chief Counsel who in the Committee's name process complaints is so reasonable and professionally responsible as to reflect integrity on the part of all the members of the attorney disciplinary system. The Committee owes it to the public whose duty it is to protect to make sure that such system is not only effective, but also is seen to be working effectively (cf. fn10 infra), thereby discharging its duty "to make the public aware of its effectiveness" (§605.21.a.5 at ¶40 supra) That duty flows to each of the Committee members individually, for each one is an appointee under §603.4.a.1 of the Appellate Division (¶35 supra) and as such agreed to take upon himself or herself the duty to perform a public function. Hence, each member has the duty to bring a systemic failure directly to the attention of the Appellate Division.

42. That duty is evident when the failure originates in the Office of Chief Counsel, for it is in consultation with the Committee that the chief counsel is appointed by the Appellate Division.

§603.4.a.1. ...This court shall, in consultation with the Departmental Disciplinary Committee, appoint a chief counsel to such committee and such assistant counsel, special counsel and supporting staff as it deems necessary.

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part603.shtml>

43. In the same vein, the Policy Committee works for the Committee.

§605.21 Policy Committee

a. General. The Policy Committee shall:

2. consult with and **report regularly to the full Committee;**
3. consider and **recommend to** the Committee the establishment of policy for the Committee including without limitation, the establishment of priorities for type of misconduct to be investigated and prosecuted, **standards** to insure uniform treatment of cases and, subject to these Rules, the establishment of **procedures for** the conduct of **investigations by the Office of Chief Counsel** and hearings by the Hearing Panel;
6. engage in such **activities** as may be **assigned to it by the Committee** or the Committee Chairperson. (emphasis added)

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part605.shtml>

44. As a result, the Committee is responsible for the Policy Committee's work. This follows from the Rules of Professional Conduct, which the members that compose the Committee have agreed

to enforce. Honesty and consistency demand that they strive to appear to, and actually, conform their conduct as members and collectively as the Committee to those Rules rather than shirk such demand by wiggling excuses and exceptions, and hairsplitting. Consequently, they have toward both the lawyer and nonlawyer members of the Policy Committee and the Office of Chief Counsel a clear duty: To exercise supervisory authority over them and their work deriving from Rule 5.1(¶13 supra) and 5.3:

Rule 5.3: Lawyer's responsibility for conduct of nonlawyers

- (a) **A law firm shall** ensure that the work of nonlawyers who work for the firm is adequately supervised, as appropriate. **A lawyer** with direct supervisory authority over a nonlawyer **shall** adequately supervise the work of the nonlawyer, as appropriate....(emphasis added)
- (b) A lawyer **shall be responsible for conduct of a nonlawyer** employed or retained by or associated with the lawyer that would be a violation of these Rules if engaged in by a lawyer, if:
  - (2) the lawyer...individually or together with other lawyers possesses comparable managerial responsibility in a law firm in which the nonlawyer is employed...; and
    - (i) **knows of such conduct** at a time when it could be prevented or its consequences avoided or mitigated **but fails to take reasonable remedial action**; or
    - (ii) in the exercise of reasonable management or supervisory authority **should have known of the conduct** so that reasonable remedial action could have been taken at a time when the consequences of the conduct could have been avoided or mitigated.

<http://www.courts.state.ny.us/rules/jointappellate/index.shtml>

45. There is no doubt that exercising supervisory duty over persons who are colleagues and may have become friends, and reporting their failed performance to the Appellate Division will provoke their resentment and recrimination as well as criticism from other members. However, doing what is right, not to mention what is one's duty, in the face of adversity and at the risk of personal harm is the fundamental element of integrity. It is what turns one member of the Committee among others into one that discharges conscientiously the duty assumed to protect the public and the legal profession: one that becomes a Champion of Justice.(¶64 infra; GC:66§4)



**V. The litigation before Judge Ninfo and the subsequent suits are not dispositive under §605.9 of the complaint against Trustees Schwartz, Adams, and Martini, did not address the issue of their misconduct, and were decided by judges involved in the bankruptcy fraud scheme and who in the self-interest of avoiding exposure denied Dr. Cordero every single document that he requested to defend his rights, thus denying him discovery rights and due process and vitiating their decisions**

46. Chief Counsel Friedberg's letter of April 6(rr:88 infra) contains this untenable statement:

Furthermore, you have already pursued your position through litigation before Judge Ninfo and the suits initiated by you at p. GCd:6 [GC:74]of your complaint .

47. It is difficult to fathom why such statement was made given that from the explicit provisions under §605.9 it follows that even if “a verdict or judgment in [Trustees Schwartz, Adams, and Martini]’s favor in a civil litigation involving substantially similar material allegations [had been entered it] shall not, in itself, justify termination of a disciplinary investigation predicated upon the same material allegations”.(¶30 supra)

48. Moreover, neither Judge Ninfo, WBNY, the U.S. District Court, WDNY, the Court of Appeals for the Second Circuit (CA2), nor the Supreme Court was even presented with, and certainly did not decide, any “substantially similar material allegations” of misconduct against either of Trustees Schwartz, Adams, and Martini. The Office of Chief Counsel could have realized this if it had only bothered to do as little as skim over the section „Issues for Review“ or „Questions Presented“ of the briefs that it could have downloaded using the links on the very page GCd:6 =GC:74 that it cited. In addition, had it wanted to appear to know what it was asserting, it could have reviewed the orders issued by Judge Ninfo and other judges that had been „listed on a platter“ further down at GCd:16§3. Court Orders =GC:84.

49. Likewise, the Office could have concluded why those judges’ decision were not dispositive of the instant complaint if it had only read with a minimum of care the information supplied to it as early as the second paragraph of the complaint’s cover letter sent to the Chief Counsel and each of the other Policy Committee members(GC:i infra). There it was stated that:

Each of these three file components [GC:3§II, 14§III, and the accompanying Transcript] helps understand what creates the opportunity for the attorneys to engage in misconduct: **When a judge leads the way into misconduct**, the attorneys and court staff that can benefit from following him will do so. They are allowed as insiders into **biased proceedings**....[where they act] in coordination under the two most insidious and corruptive motive and means: the enormous amount of money at stake in the thousands of bankruptcy cases that they have concentrated in their hands and the strongest power to

break the law, i.e., that which also ensures immunity. They have coordinated their misconduct into a bankruptcy fraud scheme. (emphasis added) GC:i infra; cf. GC11¶11

50. These judges have every interest in not incriminating themselves or their appointee to a bankruptcy judgeship, Judge Ninfo, (28 U.S.C. §152; GC:58§8) by exposing their participation in, or toleration of, the bankruptcy fraud scheme. Hence, they at a minimum enable the continuation of misconduct, whether for their own material or moral benefit(GC:3¶¶1-2). This explains why they denied Dr. Cordero *every single document* that he requested, such as the court documents relating to Trustee Gordon's administration and auctioning of the assets in *Premier*(GC:22§§2-3) and all the DeLanos' documents(GC:47§§3-4). The latter included their bank account statements, so indisputably necessary for the judges themselves, let alone a creditor, to determine the good faith of a bankruptcy petition, which judges are duty-bound to do(11 U.S.C. §1325(a)(3) and (7)). Those bank account statements can prove that 39-year veteran banker and bankruptcy officer Mr. DeLano, the quintessential insider, concealed the \$291,470 that he and his wife had earned in just the three years before filing for bankruptcy and thereby committed perjury in their bankruptcy petition and bankruptcy fraud as participants in the bankruptcy fraud scheme. But the judges could not allow a co-schemer who must know so much about the other schemers and their operation of the scheme to be indicted, lest he trade up in plea bargaining by incriminating „bigger fish“, who may do likewise, causing everybody's downfall in a domino effect. How high in the judicial and U.S. trustee hierarchy could this toppling reach?(GC:61§1)
51. This means that the judges that dealt with *DeLano* –who also decided *Premier* and *Pfuntner* and the motions en banc in all those cases- were not interested in deciding and could not possibly have decided even the issues that were, not to mention those that were not, in the briefs and motions before them, because they denied Dr. Cordero *every single document* that he requested to defend his rights by proving his allegations. Thereby those judges denied themselves too what they needed to decide those issues: „facts fully substantiated through the vital evidence developed only by discovery“(¶18 supra). Those judges included:
- a. Bankruptcy Judge John C. Ninfo, II, WBNY (GC:9¶8; Transcript=Tr:188/7-189/21 attached to the complaint);
  - b. District Judge David Larimer, WDNY (Table at Pst:1261; CA:1735§B; on the submitted CD);

- c. the CA2 panel presided over by then Judge Sonia Sotomayor(GC:62¶135; CA:2180) and all the other CA2 judges that determined the motions en banc(CA:1723¶9; Table at CA:2364 showing 12 requests for documents denied in their entirety by CA2); and
  - d. the Supreme Court (document requests at US:2241, denied at 2309; 2313, denied at 2485; 2429, denied at 2504; 2505, denied at 2547).
52. For judges consistently to deny a party *every single document* that he requests during litigation constitutes an incontrovertible deprivation of his right to discovery and consequently, a denial of due process. It demonstrates their contempt for their fundamental duty: to decide controversies neither capriciously nor by reaching a prejudged outcome, but rather by applying the law to the facts. It also shows that they acted in coordination. The decisions that they entered during such biased and abusive litigation are so suspect and constitutionally defective as to be null and void.
53. As to *Pfuntner*, Bankruptcy Judge Ninfo dismissed Dr. Cordero’s cross-claims for negligent and reckless liquidation in *Premier*(GC:17§B) against Trustee Kenneth Gordon, the one who had had 3,382 cases before him, by biasedly refusing to review the Trustee’s motion for summary judgment in light of the applicable outcome-determinative standards of genuine issues of material facts and assumption of validity of the non-movant’s contentions. Likewise, in determining Dr. Cordero’s motion to extend time to appeal, Judge Ninfo arbitrarily refused to examine Insider Trustee Gordon’s own written statement against self-interest that the motion had been timely filed.(GC:21§C) Neither Judge Ninfo nor any other court ordered production of the *Pfuntner* docket, much less reviewed it, to determine whether it had been manipulated by Att. Paul Warren, the clerk of court, or his deputies.
54. On the contrary, District Judge Larimer summarily issued an affirmance without discussing even a single issue that Dr. Cordero had presented to impugn Judge Ninfo’s decisions.(CA:1725¶13) Moreover, Judge Larimer showed the same contempt for legality when he subjected Dr. Cordero to an “inquest” as a prerequisite for deciding his application for default judgment under FRCP 55 against the owner-debtor in *Premier*. For that “inquest” he cited no authority at all given that there is no basis whatsoever for it either in the Federal Rules of Civil or Bankruptcy Procedure, the Bankruptcy Code, or anywhere else. His only authority was that of the worst kind: power to get away with it.
55. As for CA2, it avoided reviewing on the merits Dr. Cordero’s appeal in *Pfuntner* by the expedient of dismissing it on the technicality of alleged lack of finality. As for the Supreme

Court, it denied certiorari both in *Pfuntner/Premier* and *DeLano* without a word of explanation, of course.(GC:17§§B and C)

56. Had the Office of Chief Counsel bothered to review the evidence submitted to it, it would have realized that none of these judges or courts as much as used even once the term that Dr. Cordero, as litigant and appellant, had expressly made the central notion of the issues that he raised: fraud. There is not a whiff of a hint in any of those judges' dispositive orders(GCd:16§3=GC:84) that any of them was aware that he had charged anybody with participation in, or toleration of, a bankruptcy fraud scheme. They do not even mention that Dr. Cordero complained against any of the attorneys listed in the complaint to the Committee. Moreover, just as they did not acknowledge even the presence in Dr. Cordero's briefs of his issues or questions presented, they never once made a reference to any of his exhibits supporting them.
57. What the record shows is that those judges and courts did not have to take into account Dr. Cordero's position on the issues because they had already prejudged the necessary, self-interested outcome and decided how they were going to put an end to the litigation and suits at hand. As a result, they did not have to be fair to him as a party by allowing him access to documents that would not change their minds anyway and could only implicate them and the other insiders and their appointee, Bankruptcy Judge Ninfo, in the bankruptcy fraud scheme. By their conduct, they showed that they lacked a fundamental element of due process: impartiality.

#### 22 NYCRR Part 100.0 Terminology

(R) "Impartiality" denotes absence of bias or prejudice in favor of, or against, particular parties or classes of parties, as well as maintaining an open mind in considering issues that may come before the judge.  
Rules of the Chief Administrative Judge Part 100. Judicial Conduct, 22 NYCRR 100.0 Terminology; <http://nycourts.gov/rules/chiefadmin/100.shtml>

58. Yet, the Office of Chief Counsel invokes the litigation over which Judge Ninfo and the other judges presided(¶46 supra) to imply that those judges not only must have dealt with the allegations in the complaint against Trustees Schwartz, Adams, and Martini, but also must have disposed of them appropriately so that if the Office ever bothered to read their decisions it would not find anything objectionable therein. So the Office took for granted that the complaint could not establish any ethical violations on the Trustees' part. Its invocation is so unlawyerly as to constitute a pretext for avoiding its duty to investigate the complaint and for not even asking the Trustees to respond to its allegations. It has the appearance of impropriety as the use of another indefensible means for its effect of lightening the Office's workload.

59. The Office's statement that Dr. Cordero's previous litigation justifies its rejection of his complaint shows disregard for the Committee's own Rules and Procedures -its ignorance of them cannot be lightly presumed- and cursory treatment of the information and evidence submitted to it. Both detract from the necessary confidence in either the Office's willingness to conduct an investigation, let alone a thorough one, and its capacity to do so. Consequently, the Office's statement only strengthens the solidly reasonable foundation already laid above for requesting that the Committee intervene by reviewing the complaint and reconsidering its rejection in order to take in hand the investigation and prosecution of the complaint.

**VI. Fact and appearance of conflict of interests through involvement in bankruptcy of even the departmental disciplinary committee in Rochester that dealt with the complaint against the attorneys registered there**

60. In his first letter to Dr. Cordero, dated March 10(rr:87 infra), Chief Counsel Alan Friedberg wrote thus:

The other attorneys named in your complaint are registered in Rochester, New York and, accordingly, within the jurisdiction of the Fourth Judicial Department, 5<sup>th</sup> District Attorney Grievance Committee, 224 Harrison Street, Suite 408, Syracuse, NY 13202.

61. One can leave it up to the Office of Chief Counsel to pick its poison: whether it did not bother to check which grievance committee had jurisdictional authority over the attorneys who are listed on §I. LIST OF ATTORNEYS COMPLAINED-AGAINST(GC:1) as having an address in Rochester<sup>8</sup>, where the Appellate Division for the Fourth Department also sits, or it was sending Dr. Cordero a coded but doomed-to-prove-misleading message to steer away for good reason from the Committee in Rochester, which is the proper one, and try his luck with the Syracuse Committee, although the latter certainly would reject his complaint on lack of territorial jurisdiction.

62. Nevertheless, the most statistically improbable and revealing development of events occurred after Dr. Cordero sent his complaint of February 19 against the attorneys in Rochester to each of the five Rochester Committee member and legal staffers, including the chief counsel. They likewise rejected the complaint out of hand without even sending it to the complained-against attorneys for a response. Moreover, they did not afford Dr. Cordero any right to request reconsideration because their 4<sup>th</sup> Department Appellate Division, the one in the same situs as the

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<sup>8</sup> <http://www.courts.state.ny.us/ad4/AG/Page8.AGCadds.htm>;  
<http://www.courts.state.ny.us/ad4/AG/Page3.about.htm>

bankruptcy fraud schemers, did not provide any in its rules(22 NYCRR 1022.19)<sup>9</sup>. So Dr. Cordero queried those five members in PACER. The returns proved to be nothing short of shocking: They show the fact and appearance of impropriety through conflict of interests of those five members due to their undisclosed involvement in bankruptcies, including the chairman, who worked with and for the very trustees and judge complained-against. What are the odds of the five of them, appointed by the same appointer, the Appellate Division, being purely by chance so involved?

63. Since those Committee members are presumed to be ethical persons intent on avoiding even the appearance of impropriety and aware of the need not only to do the right thing, but also to be seen doing the right thing<sup>10</sup>, Dr. Cordero addressed each of the member and legal staffers once more individually to ask that each comment on the PACER returns and the thereby revealed fact and appearance of their conflict of interests. None of the five commented on them.(rr:121-Appendix infra)
64. This precedent warrants the request made to the members of this Committee to disclose any conflict of interests of their own.(¶69a infra) To those members free of any such conflict the investigation of this complaint offers the rare opportunity to begin conducting a highly professional and thorough investigation(GC:63§2) into allegations of misconduct of attorneys in Manhattan and end up discovering a much wider and intricate web of coordinated wrongdoing spreading throughout New York and far beyond.(GC:61§1) Thereby they may be able to expose how a few well-connected and entrenched insiders richly benefit from corruption in the legal and bankruptcy systems while devastating financially and emotionally many others and affecting everybody else in the public, whether it be by driving them into bankruptcy through mortgage fraud or other types of fraud or by contemptuously frustrating their reasonable expectation of “Equal Justice Under Law” whenever they go to court concerning any matter. Such investigation (GC:64§3) can allow the most principled, courageous, and ambitious members of the Committee to protect the public while making a name for themselves: Champions of Justice.(GC:66§4) In a midterm election year, that name can be not only honorable, but also a valuable asset in a race

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<sup>9</sup> <http://www.courts.state.ny.us/AD4/>

<sup>10</sup> *Ex parte McCarthy*, [1924] 1K. B. 256, 259 (1923) ("Justice should not only be done, but should manifestly and undoubtedly be seen to be done"). "[I]mportant as it was that people should get justice, it was even more important that they should be made to feel and see that they were getting it," *Kramer v. Scientific Control Corp.*, 534 F. 2d 1085, 1088 (3rd Cir. 1976).

for state or even national office. Who can be our generation's Senator Sam Ervin, the nationally recognized chairman of the Senate Watergate Committee, who so much contributed to exposing corruption as the modus operandi of the administration of President Richard Nixon and to forcing him to resign, and who systematically asked his famous, cover-up piercing question of all witnesses at the nationwide televised hearings: "What did you know and when did you know it?"

**VII. Former U.S. Trustee for Region 2, Dierdre A. Martini, should have been found within the jurisdiction of the Committee and the complaint against her should have been investigated**

65. Chief Counsel Alan Friedberg's letter of March 10 stated that former U.S. Trustee for Region 2, "Dierdre A. Martini is not admitted in New York according to the records of the New York Office of Court Administration".(GC:87 infra) This statement allows the inference that the Office of Chief Counsel determined that it lacked jurisdiction to process the allegations of misconduct against her contained in the complaint. As a result, the Office did not open a case in her name. Yet, those allegations were similar to the ones against her colleagues, Trustees Schwartz and Adams, which explains why the factual and legal considerations set forth in this request for intervention are also applicable to Trustee Martini.
66. The March 10 letter is silent on whether Trustee Martini was admitted in New York at the time her alleged misconduct took place. It is reasonable to assume that just as the Office failed to investigate Trustees Schwartz and Adams it did not bother to find out whether Trustee Martini was a lawyer admitted elsewhere. Nor does the Office appear to have considered that as chief law enforcement officer of federal bankruptcy law Trustee Martini was in fact practicing law in New York regardless of whether she was even a lawyer.

28 U.S.C. §586. Duties; supervision by Attorney General

- (a) Each United States trustee, within the region for which such United States trustee is appointed, shall—
- (3) supervise the administration of cases and trustees in cases under chapter 7, 11, 12, 13, or 15 of title 11 by, whenever the United States trustee considers it to be appropriate—
- (A)...
- (ii) filing with the court comments with respect to such application [for compensation and reimbursement under section 330 of title 11] and, if the United States Trustee considers it to be appropriate, objections to such application;
- (B) monitoring plans and disclosure statements filed in cases under

chapter 11 of title 11 and filing with the court, in connection with hearings under sections 1125 and 1128 of such title, comments with respect to such plans and disclosure statements;

- (F) notifying the appropriate United States attorney of matters which relate to the occurrence of any action which may constitute a crime under the laws of the United States and, on the request of the United States attorney, assisting the United States attorney in carrying out prosecutions based on such action; (cf. [Ci:140](#))
- (I) monitoring applications filed under section 327 of title 11 and, whenever the United States trustee deems it to be appropriate, filing with the court comments with respect to the approval of such applications;

<http://uscode.house.gov/pdf/2008/2008usc28.pdf>;

[http://www.law.cornell.edu/uscode/uscode28/usc\\_sec\\_28\\_00000586----000-.html](http://www.law.cornell.edu/uscode/uscode28/usc_sec_28_00000586----000-.html)

67. The performance of such trustee duties constitutes the practice of law and subjects the trustee to the Rules on misconduct and any other applicable law.

#### Judiciary Law §90.2

...the practice of law in any form, either as principal or as agent, clerk or employee of another..., to wit:

- a. The appearance as an attorney or counsellor-at-law before any court, judge, justice, board, commission or other public authority.
- b. The giving to another of an opinion as to the law or its application, or of any advice in relation thereto.

[http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/Section90\(10\).shtml](http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/Section90(10).shtml)

#### §603.1 Application

- a. This Part shall apply to all attorneys who are admitted to practice, reside in, commit acts in or who have offices in this judicial department, or who are admitted to practice by a court of another jurisdiction and who practice within this department as counsel for governmental agencies...

<http://www.courts.state.ny.us/courts/ad1/Committees&Programs/DDC/part603.shtml>

68. At the time of her misconduct, Trustee Martini was certainly the chief counsel for the government's Executive Office of the U.S. Trustee in its NY office. Hence, it was error for the Office of Chief Counsel to consider that it lacked jurisdiction to determine whether she had committed misconduct as alleged in the complaint or otherwise under applicable law. It was wrong for it to lighten its workload by failing to perform with due diligence its duty to ascertain whether a complained-against attorney fell within its jurisdictional scope.

## **VIII. Action requested**

69. Therefore, Dr. Cordero respectfully requests that the Committee:



- a. and each of its members, in order to be seen protecting fairly and without conflict of interests the public from the misconduct of complained-against attorneys while processing this complaint, and in light of the fact and appearance of impropriety described at [Ci:161§VI](#) supra and the Appendix at [rr:121](#) infra, disclose their past or current professional and personal relations to any of the following persons or entities:
- 1) Attorneys Carolyn Susan Schwartz, Diana Goldberg Adams, and Deirdre A. Martini, former or current U.S. Trustees for Region 2;
  - 2) any other member of the Executive Office of the U.S. Trustee and its panel and standing trustees;
  - 3) the other attorneys complained-against([GC:1](#)), including any past or current work done with or for them;
  - 4) the judges mentioned in the complaint, including, but not limited to, any cases in which the members have appeared in any capacity before them;
  - 5) the judges of the U.S. Bankruptcy Courts for the Southern District and for the Western District of New York;
  - 6) the parties to cases that have come before judges of the U.S. Bankruptcy and District Courts for the Western District, wherever they hold court, including their attorneys and law firms, trustees, and 11 U.S.C. §327 professional persons;
  - 7) their roles in each of such cases; and
  - 8) any other relation that an ethical person, intent on complying with the letter and spirit of the Rules of Professional Conduct, particularly Rule 8.4(c)(d) and (h); would disclose to a reasonable person and a court seeking to determine whether there is any conflict of interests, bias, or objectionable circumstance that could impair the fair, impartial, and thorough processing of a complaint such as the instant one or cast the appearance of impropriety;
- b. place in the agenda of its next meeting and review therein the complaint and intervene by taking over its reconsideration under §605.7(c) and entrusting the complaint to those members who have demonstrated to be free of any conflict of interests, bias, and objectionable circumstance, and able to protect the public in order for them to;
- 1) investigate and institute formal proceedings against Trustees Schwartz, Adams, and Martini; and

- 2) originate under §605.6(b)(2) and (e) any complaint, investigate it, and take appropriate action concerning any other attorney who during the investigation may be found to have engaged in disciplinable misconduct under §§605.4 and 605.5(a);
- c. in order to prosecute the complaint and discipline the misconducting attorneys, obtain all the necessary information and evidence:
  - 1) under Rule 8.3(b) from those attorneys who possess knowledge or evidence related to the subject of this complaint; and
  - 2) by exercising its subpoena power, give effect to the proposed Demand for Information and Evidence"(GC:69"? "3 infra), which identifies the documentary evidence apt to pinpoint and expedite the investigation as well as persons and entities likely to possess such information and evidence;
- d. provide Dr. Cordero with copies of the information and evidence obtained or produced by it and notify him of, and allow him to attend, the oral examinations, depositions, and hearings that it may hold given that his first-hand knowledge of the events and command of the record will enable him to suggest to the appropriate Committee members and its investigators during and after such examinations, depositions, and hearings pertinent questions and lines of inquiry and provide helpful comments in assessing the truthfulness, accuracy, and relevance of such information and evidence;
- e. post on its website and make otherwise publicly available the publicly filed documents in the records of the investigated cases, such as those concerning *Premier*, *Pfuntner*, and *DeLano* on the CD-ROM accompanying the complaint(GC:vi-vii infra), and other documents not subject to confidentiality under 22 NYCRR §605.24, and call for submission of similar documents(GC:64§3), which can help it to establish how widely coordinated misconduct, such as in the form of a bankruptcy fraud scheme(GC:63§2), has spread, how high it has reached in our legal and bankruptcy systems, and how detrimental its effect is on the public(GC:61§1);
- f. interview Dr. Cordero so that he may provide further information or clarify the information furnished in the complaint, this request, and the record on the CD;
- g. reconsider the Office of Chief Counsel's determination concerning former U.S. Trustee for Region 2 Dierdre A. Martini and investigate the misconduct allegations against her together with and on similar grounds to those against her colleagues, Trustees Schwartz

and Adams;

- h. bring to the attention of the Appellate Division the systemic failure in performance of the Policy Committee and the Office of Chief Counsel revealed by their way of processing the instant and other complaints;
- i. deem this complaint an opportunity for the Committee and its members to advance their purpose of protecting the public by exposing how attorneys, trustees, judges, their staff, and others charged with enforcing the law engage for their selfish benefit in coordinated misconduct that corrupts our legal system and the practice of law and injures everybody else, and by undertaking such exposure even unwillingly, reasonably scared, but morally compelled emerge as reluctant heroes([¶64 supra](#)): Champions of Justice.

Date: May 20, 2010  
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**IX. Table of Rules, Statutes, Congressional Reports, Regulations, Cases, and Treatises**

**1. NYCRR PART 1200. RULES OF PROFESSIONAL CONDUCT**

<http://www.courts.state.ny.us/rules/jointappellate/index.shtml>

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## X. Service List

The request of May 20, 2010, for intervention to review and reconsider the complaint of March 1, 2010, filed by Dr. Richard Cordero, Esq., with the Departmental Disciplinary Committee, Appellate Division, 1<sup>st</sup> Judicial Department, was sent to the following Committee members<sup>11</sup>:

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<sup>11</sup> The complaint of March 1, 2010, and the request for reconsideration of May 5 were sent under individualized cover letter to each of the members of the Policy Committee, namely:

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January 12, 2009

PERSONAL AND CONFIDENTIAL

Eliot I. Bernstein  
IVIEWIT  
39 Little Avenue  
Red Bluff, California 96080-3519

Re: Matter of Various Attorneys  
Docket No. 2008.0756

Dear Mr. Bernstein:

The Departmental Disciplinary Committee has completed its investigation of your complaint against the above-referenced attorney. As explained below, the Committee has decided to take no further action.

Specifically, there is insufficient evidence to support your allegations in your most recent complaint. Also, we note that our Court previously transferred a similar complaint that you filed with our office to the Second Judicial Department.

The Committee arrived at this determination after the case was submitted to a member of the Committee, an independent board of lawyers and non-lawyers appointed by the Appellate Division, First Judicial Department. The Committee member concluded that no further investigation or action was warranted.

You may seek review of this decision by submitting a written request for reconsideration to this office at the above address within thirty (30) days of the date on this letter.

Very truly yours,



Alan W. Friedberg

D-PR/C  
AWF:JD//nkd  
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SUPREME COURT, APPELLATE DIVISION  
FIRST JUDICIAL DEPARTMENT  
DEPARTMENTAL DISCIPLINARY COMMITTEE

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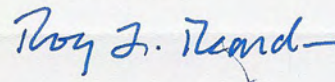
June 4, 2010

Dr. Richard Cordero, Esq.  
59 Crescent Street  
Brooklyn, NY 11208

Dear Dr. Cordero:

I write in my capacity as Chairman of the Departmental Disciplinary Committee and on behalf of the members of the entire Committee in response to your May 21, 2010 letter which appears to have been sent to each member of the Committee. As reflected in the May 14, 2010 letter from the Office of the Chief Counsel, the Committee's prior decision to take no further action regarding the above-referenced matters is in the process of being reconsidered under the procedure set forth in Section 605.7 (c) of our rules. I am satisfied that no departure from this procedure is warranted here. However, your May 21, 2010 letter will be included with the file for review. Once the Committee member has made a recommendation, we will let you know in writing in the normal course.

Very truly yours,



Roy L. Reardon

cc: Members of Committee and Policy Committee

DEPARTMENTAL DISCIPLINARY COMMITTEE  
SUPREME COURT, APPELLATE DIVISION  
FIRST JUDICIAL DEPARTMENT  
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SCOTT D. SMITH  
STAFF COUNSEL

July 08, 2010

PERSONAL AND CONFIDENTIAL

Richard Cordero  
59 Crescent Street  
Brooklyn, NY 11208

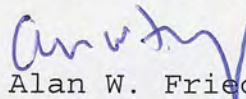
Re: Matter of Carolyn S. Schwartz  
Docket No. 2010.1316

Dear Dr. Cordero:

We have received your letter dated 5/7/2010 in which you have requested reconsideration of the determination by this Committee to dismiss your complaint against the above respondent-attorney. As a result of your request we forwarded your letter, together with the entire file, to a different member of the Departmental Disciplinary committee than the person who originally reviewed and approved the staff recommendation of dismissal.

That independent review has now taken place. I have been formally advised that the second reviewing member is in accord with the original decision not to proceed further with your complaint. Accordingly, I regret to inform you that we cannot be of any further assistance in this matter.

Very truly yours,

  
Alan W. Friedberg

RECON

DEPARTMENTAL DISCIPLINARY COMMITTEE

SUPREME COURT, APPELLATE DIVISION

FIRST JUDICIAL DEPARTMENT

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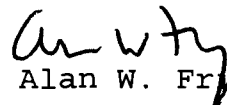
Re: Matter of Diana G. Adams  
Docket No. 2010.1315

Dear Dr. Cordero:

We have received your letter dated 5/7/2010 in which you have requested reconsideration of the determination by this Committee to dismiss your complaint against the above respondent-attorney. As a result of your request we forwarded your letter, together with the entire file, to a different member of the Departmental Disciplinary committee than the person who originally reviewed and approved the staff recommendation of dismissal.

That independent review has now taken place. I have been formally advised that the second reviewing member is in accord with the original decision not to proceed further with your complaint. Accordingly, I regret to inform you that we cannot be of any further assistance in this matter.

Very truly yours,

  
Alan W. Friedberg

RECON

### Judge Sotomayor

**earned \$3,773,824 since 1988 + received \$381,775 in loans = \$4,155,599  
+ her 1976-1987 earnings, yet disclosed assets worth only \$543,903  
thus leaving unaccounted for in her answers to the Senate Judiciary  
Committee \$3,611,696 - taxes and the cost of her reportedly modest living  
The similarity to the *DeLano* Case that she withheld from the Committee**

The Senate Judiciary Committee required Justice Nominee Judge Sonia Sotomayor to "Provide a complete, current financial net worth statement which itemizes in detail all assets [and] all liabilities".<sup>1</sup> Judge Sotomayor was also under an independent duty under the Ethics in Government Act to file "full and complete" annual financial disclosure reports.<sup>2</sup> Her discharge of such obligations or failure to do so reflects her respect or lack thereof for the law applicable to her and thus, the law that she applies to others and the quality of justice that she dispenses to them. Hence, examining her handling of such obligations is warranted by the need to ascertain her personal and judicial integrity.

The following table and its endnotes show that Judge Sotomayor failed to disclose the whereabouts of her earnings, as summarized in the title above. Money does not simply disappear.<sup>3</sup> It is either spent, donated, or saved.<sup>4</sup> To some extent, how a person spends money can be determined from her appearance and public conduct. How she saves it, e.g., by investing it, requires mostly disclosure or subpoenas.<sup>5</sup> Failure to disclose financial information when under a duty to do so is a violation of the law. Nondisclosure by a bankruptcy petitioner constitutes concealment of assets and perjury. It is a crime punishable by up to 20 years imprisonment and a fine of up to \$500,000.

In the *DeLano* case, 06-4780-bk, Judge Sotomayor, presiding, and her colleagues on a panel of the Court of Appeals, 2<sup>nd</sup> Circuit (CA2), issued a summary order<sup>6</sup> to protect, not the rule of law, but rather their appointee to a bankruptcy judgeship<sup>7</sup>, Bkrp. Judge John C. Ninfo, II, WBNY. He had covered up the concealment of at least \$673,657 by the most unlikely of „bankrupts“: a 39-year veteran banker who at the time of filing for bankruptcy was and remained employed by a major bank, M&T Bank, precisely as a bankruptcy officer!<sup>8</sup> Both M&T and Mr. DeLano are clients of the law firm, Underberg & Kessler, in which Judge Ninfo was a partner at the time of taking the bench.<sup>9</sup> To protect such concealment of assets by a bankruptcy system insider and her bankruptcy appointee, Judge Sotomayor violated discovery rights<sup>10</sup> by denying *every single document* in all creditor-requests,<sup>11</sup> which would have exposed a judge-run bankruptcy fraud scheme.<sup>12</sup>

Worse yet, by so doing, Judge Sotomayor failed to protect the most important Constitutional guarantee that a judge, let alone a Supreme Court justice, is required to safeguard: due process of law.<sup>13</sup> Her gross partiality toward her own and blatant denial of due process to the creditor so indict her integrity that she withheld *DeLano* despite the Committee's request for her to submit all her cases. Her conduct in, and handling of, that case has been brought to the Committee's attention.<sup>14</sup>

The table aims to have Judge Sotomayor and *DeLano* investigated by the Committee, which is authorized to do so<sup>15</sup>, and journalists<sup>16</sup>. Their *Follow the Money!* investigation should determine whether she has been complying with her financial disclosure obligations and, if not, whether she reckoned that she too was protected by her peers, who are also above the law.<sup>17</sup> The investigation should also expose her and other judges'<sup>18</sup> involvement in a bankruptcy fraud scheme that aggravates the misery of millions and the extent to which withholding *DeLano* was part of the cover-up. The ensuing public outrage should force Congress to adopt effective judicial accountability and discipline legislation that brings our legal system closer to the noble ideal of "Equal Justice Under Law".

## INCOME<sup>19</sup>

	Year	Federal, Outside, and Rental Income					Salary
1.	1976	The Equitable Life Assurance Society of the U.S. jun-aug1976					
		\$					
2.	1977	Office of the General Counsel, Yale U. jun-sep 77					
		\$					
3.	1977		The Graduate-Professional Center sep77-may78				
			\$				
4.	1978		\$	Paul, Weiss, Rifkind, Wharton & Garrison jun-aug78	Yale Law School Mimeo Room sep78-may79		
				\$	\$		
5.	1979	Assist. D.A. in NY County (Manhattan) D.A.'s Office sep79-mar84			\$		
		\$					
6.	1980	\$	Puerto Rican Legal Defense & Education Fund (now LatinoJustice PRLDEF) 1980-oct92				
			\$				
7.	1981	\$	\$				
8.	1982	\$	\$				
9.	1983	\$	\$	Sotomayor & Associates 1983-86			
				\$			
10.	1984	\$	\$	\$	Pavia & Harcourt: associate apr84-dec87		
					\$		
11.	1985		\$	\$	\$	Maternity Center Association 85-86	
						\$	
12.	1986		\$	\$	\$	\$	



13.	1987		\$		\$	State of New York Mortgage Agency 1987-oct92	
						\$	
14.	1988		\$	NY City Campaign Finance Board 88-oct92	partner 1jan88-30sep92 <sup>20</sup>	\$	
				\$	\$141,951 <sup>21</sup>		141,951
15.	1989		\$	\$	\$145,920	\$	145,920
16.	1990		\$	\$	\$150,000	\$	150,000
17.	1991		\$	\$	\$154,080	\$	154,080
18.	1992	U.S. District Judge, SDNY 2oct92-12oct98	\$	\$	\$118,703 \$25,000 <sup>22</sup>	\$	215,469
		\$32,198 <sup>23</sup>					
19.	1993	133,600 <sup>24</sup>				Rental income from Brooklyn co- op apartment <sup>25</sup>	133,600
						\$1,100/month =\$13,200	
20.	1994	133,600 <sup>26</sup>				\$13,200	146,800
21.	1995	133,600 <sup>27</sup>				\$13,200	146,800
22.	1996	133,600 <sup>28</sup>				\$13,200	146,800
23.	1997	133,600 <sup>29</sup>				\$13,200	146,800
24.	1998	1Jan-12oct98				\$13,200	119,938
		106,738 <sup>30</sup>					
25.	1998	U.S. Circuit Judge, 2 <sup>nd</sup> Circuit 13oct-to date			Adjunct professor, NYU School of Law 1997-2007 <sup>31</sup>		41,781
		31,781 <sup>32</sup>			\$10,000 <sup>33</sup>		
26.	1999	145,000 <sup>34</sup>	Lecturer-in-Law, Columbia University 1999-2009 <sup>35</sup>		\$10,000	\$13,200	168,200
			\$?				
27.	2000	149,900 <sup>36</sup>	\$10,000		\$12,000	\$13,200	185,100
28.	2001	153,900 <sup>37</sup>	\$10,000		\$10,000	\$13,200	187,100
29.	2002	159,100 <sup>38</sup>	\$10,000		\$13,500	\$13,200	195,800
30.	2003	164,000 <sup>39</sup>	\$10,000		\$14,600	\$13,200	201,800
31.	2004	167,600 <sup>40</sup>	\$10,000		\$13,205	\$13,200	204,005
32.	2005	171,800 <sup>41</sup>	\$10,000		\$14,315	\$13,200	209,315
33.	2006	175,100 <sup>42</sup>	\$10,000		\$14,780	\$13,200	213,080
34.	2007	175,100 <sup>43</sup>	\$10,000	Trustee, Princeton University 2007-to date	\$14,780	\$13,200	213,080
				\$			
35.	2008	179,500 <sup>44</sup>	\$25,830	\$		\$13,200	218,530

36.	Jan-May 09	76,875 <sup>45</sup>	\$	\$		\$13,200 x 5/12= \$5,500	87,875
37.						<b>Total earnings over time</b>	<b>\$3,773,824</b>

ASSETS			LIABILITIES	
38.	31,985	Cash on hand and in banks <sup>46</sup>	Real estate mortgages payable <sup>47</sup>	381,775
39.	360,000	purchase price of Greenwich Village condo bought in 1998 <sup>48</sup>	Accounts and bills due	5,752
			Credit card bills	15,823
40.	43,000	interest in condominium	Dentist bill (estimate)	15,000
41.	108,918	Autos and other personal property		
42.	<b>\$543,903</b>	<b>Total</b>	<b>Total</b>	<b>\$418,350</b>

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Note: Click a link or copy & paste it into your browser’s address box, cut any blank space between characters, and go there.

- 1 **a)** U.S. Senate Committee on the Judiciary, Associate Justice of the U.S. Supreme Court – Sonia Sotomayor – Questionnaire; <http://judiciary.senate.gov/nominations/SupremeCourt/Sotomayor/SoniaSotomayor-Questionnaire.cfm> >Committee Questionnaire, United States Senate Committee on the Judiciary, Questionnaire for Judicial Nominees, Public, pp. 167 -168; and
- b)** <http://judiciary.senate.gov/nominations/SupremeCourt/Sotomayor/SoniaSotomayor-Questionnaire.cfm> >June 15, 2009 - Questionnaire Supplement, pp. 2-3;
- c)** also at [http://Judicial-Discipline-Reform.org/Sct\\_nominee/JSotomayor\\_integrity/2SenJudCom\\_Questionnaire\\_JSotomayor.pdf](http://Judicial-Discipline-Reform.org/Sct_nominee/JSotomayor_integrity/2SenJudCom_Questionnaire_JSotomayor.pdf) >JS:167-168 and 317-318; this file collects the above two and several others in the Questionnaire and adds to them bookmarks useful for navigating through them.
- 2 The Ethics in Government Act of 1978 (5 U.S.C. Appendix (Appendix IV in West)) is one of the pieces of legislation adopted by Congress in the wake of the Watergate Scandal. It is made applicable to federal judges at §§101(f)(11) and 109(10), mandating that they file an annual financial disclosure report. Section 102(a) requires that they make “a full and complete statement with respect to...income,...gifts,...interest in property,... liabilities, ...purchase, sale or exchange...in real property...or...securities,...all positions held [in an entity],...any...future employment,...total cash value of any interest...in a qualified blind trust,...information...respecting the spouse or dependent child”. So it calls for very specific and detailed financial information. Judges must file their reports with the Administrative Office of the U.S. Courts (AO), where they are publicly available. For AO’s address, see <http://www.uscourts.gov/comment.html>. The Act, with added useful bookmarks, is at [http://Judicial-Discipline-Reform.org/docs/5usc\\_Ethics\\_Gov\\_14apr9.pdf](http://Judicial-Discipline-Reform.org/docs/5usc_Ethics_Gov_14apr9.pdf). See [http://Judicial-Discipline-Reform.org/Sct\\_nominee/JSotomayor\\_03-07\\_reports.pdf](http://Judicial-Discipline-Reform.org/Sct_nominee/JSotomayor_03-07_reports.pdf).

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<sup>3</sup> “Sotomayor, an avid Yankees fan, **lives modestly**, reporting virtually no assets despite her \$179,500 yearly salary. [Since January 1, 2009, her annual salary is \$184,500; ent. 45 infra.] On her financial disclosure report for 2007, she said her only financial holdings were a Citibank checking and savings account, worth \$50,000 to \$115,000 combined. During the previous four years, the money in the accounts at some points was listed as low as \$30,000. When asked recently how she managed to file such streamlined reports, Sotomayor, according to a source, replied, “When you don't have money, it's easy. There isn't anything there to report.” N.Y. Federal Judge Likely on Shortlist, Keith Richburg, *The Washington Post*, May 7, 2009; (emphasis added <http://www.washingtonpost.com/wp-dyn/content/article/2009/05/06/AR2009050603762.html>); also at [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_integrity/13onJSotomayor.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_integrity/13onJSotomayor.pdf).

Judge Sotomayor's statement quoted above is contradicted by the evidence. Her own answers to the Questionnaire show that she is reimbursed for her numerous travel to, and lodging and meals at, judicial conferences and other events at which she speaks; endnote 1a) and c) supra >11. Membership, p.15.c.; 165(c-f); and 1c) JS:307, entry for 6/16/95. If she spent her earnings minus taxes and the cost of living modestly neither to participate in such events nor acquire assets other than those listed on the table, which exclude capital appreciation, how did she spend, or in what else did she invest, them?

<sup>4</sup> There are basically three ways of spending money: on goods, on services, or in charitable contributions.

1. It is unlikely that a public figure could have spent millions of dollars on services, such as eating at expensive restaurants or going on extravagantly luxurious vacations, without attracting attention.
2. It is likely that if a person gave away to charitable entities almost every penny that she earned, she or the entities would bring it to public attention, if only to persuade others to contribute to her cherished charitable causes.
3. If the money went to the purchase of goods, the latter are somewhere, that is, either in:
  - a) household goods, and she would have had to buy lots of, and have space for, them;
  - b) personal goods, such as designer clothes and sparkling jewels that everybody would have noticed; or
  - c) (i) investment goods, such as real property, which must be recorded in somebody's name in the county clerk's office, or  
(ii) certificates of deposit, stock and bonds, and similar financial instruments, all of which have to be reported in the annual judicial financial disclosure reports required under the Ethics in Government Act of 1978. Endnote 2.

<sup>5</sup> [http://Judicial-Discipline-Reform.org/SCT\\_nominee/Senate/6DrCordero-SenJudCom\\_subpoena.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/Senate/6DrCordero-SenJudCom_subpoena.pdf)

<sup>6</sup> The summary order, scanty as such orders are just to get rid of the case, appears at CA:2180 in [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_06\\_4780\\_CA2.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_06_4780_CA2.pdf); see there CA:1725§VII. Statement of Facts.

<sup>7</sup> Bankruptcy judges are appointed by their respective circuit courts; 28 U.S.C. §152;

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[http://Judicial-Discipline-Reform.org/docs/28usc151-159\\_bkr\\_judges.pdf](http://Judicial-Discipline-Reform.org/docs/28usc151-159_bkr_judges.pdf).

- <sup>8</sup> The Salient Facts of the *DeLano* Case; [http://Judicial-Discipline-Reform.org/Follow\\_money/DrCordero-journalists.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DrCordero-journalists.pdf) >2.
- <sup>9</sup> [http://www.nywb.uscourts.gov/about\\_judge\\_ninfo\\_46.php](http://www.nywb.uscourts.gov/about_judge_ninfo_46.php). Do you trust the impartiality and objectivity of a judge who was a partner in your opposing counsel's firm?; <http://www.underbergkessler.com/>. Judge for yourself; [http://Judicial-Discipline-Reform.org/docs/transcript\\_DeLano\\_1mar5.pdf](http://Judicial-Discipline-Reform.org/docs/transcript_DeLano_1mar5.pdf) >Tr.28/13-29/4; 75/8-76/3; and 141/20-143/16; and [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_DeLano\\_WDNY\\_21dec5.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_DeLano_WDNY_21dec5.pdf) >Pst:1255§E.
- <sup>10</sup> Federal Rules of Civil Procedure 26 and 34, <http://www.uscourts.gov/rules/index.html>, are applied in bankruptcies by reference in Federal Rules of Bankruptcy Procedure 7026 and 7034, <http://www.uscourts.gov/redirects/cornellLaw.html> ><http://www.law.cornell.edu/rules/frbp/>.
- <sup>11</sup> Table of Documents Requested by Dr. Cordero and Denied by CA2, at US:2484, in the appeal of *DeLano* to the Supreme Court on petition for certiorari to CA2, *Richard Cordero v. David DeLano et ux.*, docket 08-8382; [http://Judicial-Discipline-Reform.org/US\\_writ/DrCordero-SCt\\_petition\\_3oct8.pdf](http://Judicial-Discipline-Reform.org/US_writ/DrCordero-SCt_petition_3oct8.pdf). See there also US:2442§IX. Statement of Facts; and US:2456§X. Analysis of CA2's Order of Dismissal.
- <sup>12</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/How\\_fraud\\_scheme\\_works.pdf](http://Judicial-Discipline-Reform.org/Follow_money/How_fraud_scheme_works.pdf)  
The petition for panel rehearing and hearing en banc shows how the order was a perfunctory job intended to cover up the bankruptcy fraud scheme by disregarding the facts of the case, referring to cases unrelated with the law or the facts of the case, and evading the issues on appeal, id. CA:1719§V, and even the term explicitly made its key issue: fraud; [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_CA2\\_rehear.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_CA2_rehear.pdf)
- <sup>13</sup> See the discussion of how Judge Sotomayor's and her colleagues' conduct gave "the appearance of impropriety" and constituted "improprieties" under the Code of Conduct for U.S. Judges; [http://Judicial-Discipline-Reform.org/US\\_writ/2DrCordero-SCt\\_rehear\\_23apr9.pdf](http://Judicial-Discipline-Reform.org/US_writ/2DrCordero-SCt_rehear_23apr9.pdf).
- <sup>14</sup> [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/11DrCordero-SenJudCom.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/11DrCordero-SenJudCom.pdf)
- <sup>15</sup> Endnote 2 supra: Ethics in Government Act §101(a)...Nothing in this Act shall prevent any Congressional committee from requesting, as a condition of confirmation, any additional financial information from any Presidential nominee whose nomination has been referred to that committee.
- <sup>16</sup> Synopsis of an Investigative Journalism Proposal: Has a Federal Judgeship Become a Safe Haven for Coordinated Wrongdoing?; endnote 8 supra >1.
- <sup>17</sup> The Choice: Judge Sotomayor's Ethnicity v. Equal Justice Under Law; [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_v\\_Equal\\_Justice.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_v_Equal_Justice.pdf) > para. 4 and 5.
- <sup>18</sup> See the role of District Judge Larimer, WDNY, and Former CA2 Chief Judge Walker in the scheme in *Pfuntner v. Trustee Gordon et al.*, 02-2230, WBNY; [http://Judicial-Discipline-Reform.org/JNinfo/25Committee/2DrCordero-petition\\_25feb9.pdf](http://Judicial-Discipline-Reform.org/JNinfo/25Committee/2DrCordero-petition_25feb9.pdf) >N:66§IV and [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_TrGordon\\_SCt.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_TrGordon_SCt.pdf) >A:1642§B.

- 19 Endnote 1a) and c) supra >question 6. Employment Record.
- 20 “She reported making about \$150,000 in 1990, her last full year as a private lawyer in New York.” For a justice, Sonia Sotomayor is low on dough, Josh Gerstein, Politico, May 28, 2009; <http://www.politico.com/news/stories/0509/23045.html>; see “also at...” ent. 3 supra.

In her answer to 6. Employment Record, she stated: “Pavia & Harcourt, *Partner* 1/1/88 – 9/30/92”; endnote 1a) and c) supra >2. It can reasonably be assumed that she earned at least as much for the subsequent full year and pro rata for part of her last year there.

To estimate her earnings as a partner for those years as well as for the preceding ones, i.e., 1988-1989, the average Cost of Living Adjustment for judicial salaries for the available years, namely, 1992-2009, has been used. The justification for this is that COLA intends to reflect the pace of earning increases that judges would have received if they had remained in private practice. The Late Chief Justice Rehnquist had this to say on the subject: “[Judges] are only asking that the pay that was set some years ago be adjusted for increases in the cost-of-living since that time -- a benefit that many working people in the private sector, and almost all employees of the federal government, regularly expect and receive”. Supreme Court Year-End Report, 1996; <http://www.uscourts.gov/ttb/jan96ttb/1yearend.html>.

<b>Average of the Percentage Increases in Judicial Salaries Between 1992 and 2009</b>						
1992	129,500 dis. judge			2001	153,900	2.67
1993	133,600	3.17		2002	159,100	3.38
1994	133,600	0		2003	164,000	3.08
1995	133,600	0		2004	167,600	2.20
1996	133,600	0		2005	171,800	2.51
1997	133,600	0		2006	175,100	1.92
1998	136,700	2.32		2007	175,100	0
1999	145,000 cir. judge	0		2008	179,500	2.51
2000	149,900	3.38		2009	184,500	2.79
					<b>Average</b>	<b>2.72</b>

1990 earnings of \$150,000 – 2.72% = 1989 earnings of \$145,920

1989 earnings of \$145,920 - 2.72% = 1988 earnings of \$141,951

1990 earnings of \$150,000 + 2.72% = 1991 earnings of \$154,080

1991 earnings of \$154,080 + 2.72% = 1992 earnings of \$158,271/ ¾ of a year (1/1-9/1/92)= \$118,703

Whatever excess income may have been thus estimated for these years is vastly compensated by the fact that no income at all has been estimated for the years 1979-1987.

- 21 Values in *italics* are estimated.
- 22 “She said she was due about \$25,000 for her partnership interest in a small firm, Pavia & Harcourt. By contrast, when Chief Justice John Roberts left a major Washington law firm, Hogan & Hartson, in May 2003 to take a seat on the D.C. Circuit Court, he was paid more than \$1 million in salary and compensation for his partnership interest.” For

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a justice, Sonia Sotomayor is low on dough, Josh Gerstein, Politico, May 28, 2009; <http://www.politico.com/news/stories/0509/23045.html>; see “also at ...” ent. 3 supra.

<sup>23</sup> **1992:** 5 U.S.C. §5332 The General Schedule, Schedule 7, Judicial Salaries; [http://bulk.resource.org/courts.gov/juris/j0110\\_03.sgml](http://bulk.resource.org/courts.gov/juris/j0110_03.sgml). Salary as U.S. district judge from 2oct-31dec92= \$129,500/366 days= \$353.83 x 91 days= \$32,198.

<sup>24</sup> **1993:** [http://bulk.resource.org/courts.gov/juris/j0113\\_03.sgml](http://bulk.resource.org/courts.gov/juris/j0113_03.sgml).

<sup>25</sup> “Kinzer and Cardi became Sotomayor's friends in the 1980s when Cardi was working as a legal aid lawyer and Sotomayor was a prosecutor in the Manhattan district attorney's office. Cardi persuaded Sotomayor to move to their neighborhood, Carroll Gardens in Brooklyn, when there was a vacant apartment next door. Sotomayor later bought her own condo down the block.... Sotomayor only reluctantly left the neighborhood when she became a judge in Manhattan, because rules stipulate that judges must live in the district to which they are assigned.” Friends Provide a Glimpse Into Sotomayor's 'Very Full Life', Keith B. Richburg, Robin Shulman and Nancy Trejos, *The Washington Post*, Sunday, May 31, 2009; <http://www.washingtonpost.com/wp-dyn/content/article/2009/05/30/AR2009053002061.html?nav=emailpage>; see “also at...” ent. 3 supra.

“Papers submitted in connection with her nomination to the 2nd Circuit Court of Appeals in 1997 say she was earning \$1,100 a month in rent on a co-op apartment that she owned in Brooklyn. As recently as 2004, she reported less than \$30,000 in her two bank accounts. A source told *The Washington Post* earlier this month that Sotomayor once said that filling out her financial reports was a breeze. “When you don't have money, it's easy. There isn't anything there to report”, she was quoted as saying. Sotomayor is divorced and has no children.” For a justice, Sonia Sotomayor is low on dough, Josh Gerstein, Politico, May 28, 2009; <http://www.politico.com/news/stories/0509/23045.html>. The implication is obvious: What else did she spend her money on or where did she place it? The question is particularly pertinent since it is reported that she “lives modestly”; endnote 3 supra.

It is assumed that she still owns her rental property in Brooklyn and earns rent therefrom; otherwise, the proceeds of its sale are unaccounted for. To be conservative, the rent is stated at the same level for the past 11 years. By comparison, controlled rents increase in NY City on average 3.5% for a one-year lease and 7% for a two-year lease.

<sup>26</sup> **1994:** No Schedule 7 was found for the period beginning on or after January 1, 1994. However, since Schedule 7 for the preceding and the following years indicate that the salary for district judges was \$133,600, then it is absolutely certain that such was the salary also for 1994 given that Const., Art. III, Sec. 1, provides that “The Judges...shall...receive for their Services, a Compensation, which shall not be diminished during their Continuance in Office”. [http://Judicial-Discipline-Reform.org/docs/US\\_Constitution.pdf](http://Judicial-Discipline-Reform.org/docs/US_Constitution.pdf).

<sup>27</sup> **1995:** <http://www.gpoaccess.gov/uscode/search.html> >United States Coder (1994) >Search: 5usc5332> <http://frwebgate.access.gpo.gov/cgi-bin/multidb.cgi> > 5USC Sec. 5332. The General Schedule > Text: <http://frwebgate6.access.gpo.gov/cgi-bin/TEXTgate.cgi?WAISdocID=510554514834+0+1+0&WAISaction=retrieve>.

<sup>28</sup> **1996:** <http://www.gpoaccess.gov/uscode/search.html> >United States Coder (1994 suppl.

- 1) >Search: 5usc5332 > <http://frwebgate.access.gpo.gov/cgi-bin/multidb.cgi> > 5USC Sec. 5332. The General Schedule > Text: <http://frwebgate5.access.gpo.gov/cgi-bin/TEXTgate.cgi?WAISdocID=511085272174+0+1+0&WAISaction=retrieve>.
- <sup>29</sup> **1997**: Photocopy of 5usc5332 in USC, v. 1994, suppl. 2. Cf. <http://www.gpoaccess.gov/uscode/search.html> >United States Code (1994 suppl. 2) >Search: 5usc5332> <http://frwebgate.access.gpo.gov/cgi-bin/multidb.cgi> > 5 USC Sec. 5332. The General Schedule > Text: <http://frwebgate5.access.gpo.gov/cgi-bin/waisgate.cgi?WAISdocID=610555377786+0+0+0&WAISaction=retrieve>.
- <sup>30</sup> **1998**: 5 U.S.C. §5332; <http://www.gpoaccess.gov/uscode/search.html> >United States Code (1994 suppl. 3) Search: 5usc5332 ><http://frwebgate.access.gpo.gov/cgi-bin/multidb.cgi> >Text, <http://frwebgate6.access.gpo.gov/cgi-bin/TEXTgate.cgi?WAISdocID=60606640734+0+1+0&WAISaction=retrieve>. Judge Sotomayor's salary as district judge from 1jan-12oct98 at \$136,700/365 days= \$374.52 x 285 days= \$106,738.
- <sup>31</sup> United States Senate Committee on the Judiciary, Questionnaire for Judiciary Nominees, Public, <http://judiciary.senate.gov/nominations/SupremeCourt/Sotomayor/SoniaSotomayor-Questionnaire.cfm> >Committee Questionnaire >Question 19. Teaching, p. 164; also at ent.1c) supra
- <sup>32</sup> Endnote 30 supra. Judge Sotomayor's salary as U.S. circuit judge from 13oct-31dec98 = \$145,000/365 days= \$397.26 x 80 days= \$31,781.
- <sup>33</sup> Note that there are limitations on the amount of earned income that federal judges can add to their federal salaries under the Ethics in Government Act, endnote 2 supra, (Titles I to V of Pub. L. 95-521) Title V. Government-wide Limitation on Outside Earned Income and Employment, §501. (1) [A judicial] officer... may not in any calendar year have outside earned income attributable to such calendar year which exceeds 15 percent of the annual rate of basic pay for level II of the Executive Schedule under section 5313 of title 5 U.S.C., as of January 1 of such calendar year; <http://uscode.house.gov/pdf/2007/>. To see 5 U.S.C. §5313 go to <http://www.gpoaccess.gov/> >2006 U.S. Code >Search: 5usc5313 ><http://frwebgate.access.gpo.gov/cgi-bin/multidb.cgi> >5USC Sec. 5313. Positions at level II: PDF; cf. [http://Judicial-Discipline-Reform.org/docs/5usc\\_2010.pdf](http://Judicial-Discipline-Reform.org/docs/5usc_2010.pdf)
- <sup>34</sup> **1999**: 5 U.S.C. §5332; <http://www.gpoaccess.gov/uscode/search.html> >United States Code (1994 suppl. 4) Search: 5usc5332 ><http://frwebgate.access.gpo.gov/cgi-bin/multidb.cgi> >Text, <http://frwebgate4.access.gpo.gov/cgi-bin/TEXTgate.cgi?WAISdocID=512498187600+0+1+0&WAISaction=retrieve>.
- <sup>35</sup> Endnote 31 supra >165.
- <sup>36</sup>**2000**: 5 U.S.C. §5332; <http://wyomcases.courts.state.wy.us/applications/oscn/DeliverDocument.asp?CiteID=185097>
- <sup>37</sup> **2001**: 5 U.S.C. §5332; <http://www.gpoaccess.gov/uscode/search.html> >United States Code (2000) >Search: 5usc5332 > Text: <http://frwebgate4.access.gpo.gov/cgi-bin/TEXTgate.cgi?WAISdocID=509036228003+0+1+0&WAISaction=retrieve>.

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- 38 **2002**: 5 U.S.C. §5332; <http://www.gpoaccess.gov/uscode/search.html> >United States Code (2000 suppl. 1) >Search: 5usc5332 > Text: <http://frwebgate1.access.gpo.gov/cgi-bin/TEXTgate.cgi?WAISdocID=507570115300+0+1+0&WAISaction=retrieve>.
- 39 **2003**: 5 U.S.C. §5332; <http://uscode.house.gov/download/downloadPDF.shtml> >107th Congress, 2d Session (2002) (2000 Edition and Supplement II) >Friday, April 09, 2004 4:28 PM 4494151 2002usc05.pdf
- 40 **2004**: 5 U.S.C. §5332; <http://uscode.house.gov/download/downloadPDF.shtml> > [108th Congress, 1st Session](#) (2003) (2000 Edition and Supplement III) >Thursday, July 07, 2005 3:56 PM 4576090 2003usc05.pdf.
- 41 **2005**: 5 U.S.C. §5332; <http://uscode.house.gov/download/downloadPDF.shtml> >108th Congress, 2d Session (2004) (2000 Edition and Supplement IV) > Thursday, April 06, 2006 3:21 PM 4753695 2004usc05.pdf.
- 42 **2006**: 5 U.S.C. §5332; <http://uscode.house.gov/download/downloadPDF.shtml> >109th Congress, 1st Session (2005) (2000 Edition and Supplement V) > Tuesday, April 17, 2007 12:55 PM 5269282 2005usc05.pdf.
- 43 **2007**: 5 U.S.C. §5332; <http://www.gpoaccess.gov/> >2006 U.S. Code >5usc5332, <http://www.gpoaccess.gov/uscode/index.html>, Search: 5usc5332 <http://frwebgate.access.gpo.gov/cgi-bin/multidb.cgi>, 5USC Sec. 5332 The General Schedule >PDF.
- 44 **2008**: 5 U.S.C. §5332; <http://uscode.house.gov/download/downloadPDF.shtml> >110th Congress, 1st Session (2007) (2006 Edition and Supplement I) > Tuesday, April 14, 2009 5:02 PM 5343812 2007usc05.pdf.  
Also at <http://uscode.house.gov/> > Search, <http://uscode.house.gov/search/criteria.shtml> >Title: 5, Section: 5332, <http://uscode.house.gov/uscode-cgi/fastweb.exe?search> >5 USC Sec. 5332 > <http://uscode.house.gov/uscode-cgi/fastweb.exe?getdoc+uscview+t05t08+468+0++%28%29%20%20AND%20%28%285%29%20ADJ%20USC%29%3ACITE%20AND%20%28USC%20w%2F10%20%285332%29%29%3ACITE%20%20%20%20%20%20%20%20%20%20>.
- 45 **2009**: The salary of circuit judges increased to  $\$184,500/12=\$15,375 \times 5=\$76,875$ . COLA for Federal Judges in 2009, The Third Branch, Newsletter of the Federal Courts, Mar 2009, vol. 41, num. 3; [http://www.uscourts.gov/ttb/2009-03/article03.cfm?WT.cg\\_n=TTB&WT.cg\\_s=Mar09\\_article03\\_tableOfContents](http://www.uscourts.gov/ttb/2009-03/article03.cfm?WT.cg_n=TTB&WT.cg_s=Mar09_article03_tableOfContents).
- 46 The Financial Statement Net Worth table of the Questionnaire, endnote 1a) and c) supra >186, requires that Judge Sotomayor “Provide a **complete, current** financial net worth statement which **itemizes in detail all assets** (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) **all liabilities** (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.” (emphasis added)
- 47 “The judge's reportable net worth has hardly changed at all since she was appointed to the bench in 1992, according to a source in a position to know. The modest increase in her



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net worth in 2007 may be attributable to a home equity loan she took out to do some renovations, the source said. Disclosed assets may not tell the whole financial picture, as federal rules do not require judges to disclose the value of their personal residences. **Sotomayor has listed no outstanding loans or other liabilities in recent years, except for four credit cards.** Sotomayor brought in some extra income in 2007 by working as an adjunct professor at New York Law School and lecturing at Columbia Law School. Those jobs paid her nearly \$25,000 that year. She also has traveled frequently to conferences. In 2007, she reported being reimbursed for expenses related to six trips, such as a stint teaching at the University of Puerto Rico and a trip to a judicial clerkship institute at Pepperdine University.” Sotomayor Rose High, with Few Assets, Joe Stephens, *The Washington Post*, May 7, 2009; (emphasis added); [http://voices.washingtonpost.com/44/2009/05/07/sotomayor\\_rose\\_high\\_with\\_few\\_a.html?sid=ST2009050702123](http://voices.washingtonpost.com/44/2009/05/07/sotomayor_rose_high_with_few_a.html?sid=ST2009050702123); see “also at...” ent. 3 supra.

But see endnote 46 supra. See also, endnote 48 infra, where it is reported that “city records indicate two outstanding mortgages totaling \$450,000.” This inconsistency needs to be resolved.

It should also be found out the rate of interest of those mortgages and their closing costs. It is not apparent at all why a person would need to take those mortgages and incur those costs although the whereabouts of her earnings of \$3,577,024 plus those for 1976-1987 cannot be accounted for. A person with expertise in financial matters, let alone in real estate, who understands the basic concept of interest rate spreads, would not keep earnings in a savings account, where she would earn a low rate, only to take a mortgage and pay a high rate. However, those mortgages can represent the leveraging of undisclosed investments earning a higher rate or with a high potential for capital appreciation that would more than offset the mortgage rate.

Judge Sotomayor has real estate expertise and connections. To question “16. Legal Career ...a.ii. whether you practiced alone, and if so, the addresses and dates”, her answer was:

Yes, with Sotomayor & Associates, 10 3rd Street, Brooklyn, New York 11231, from 1983 to 1986, but this work was as a consultant to family and friends in their real estate, business, and estate planning decisions. If their circumstances required more substantial legal representation, I referred the matter to my firm, Pavia & Harcourt, or to others with appropriate expertise.” Endnote 1 supra >1a) & c) 143-144.

...

“From April 1984 as an associate, and from January 1988 until October 1992 as a partner [in Pavia & Harcourt], I was a general civil litigator involved in all facets of commercial work including, but not limited to, real estate, employment, banking, contract, distribution and agency law.” Id, p.145

...

[At] Pavia & Harcourt[, m]y typical clients were significant European companies doing business in the United States. My practice at that firm focused on commercial litigation...My work also involved advising clients on a wide variety of legal issues, including, but not limited to...banking, real estate, patents, employment, partnership, joint venture and shareholder laws...and franchising and licensing matters. Moreover, I conducted over fifteen arbitration hearings...involving banking, partnership, tire and fashion industry disputes.

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She was a member of the board of directors of the State of New York Mortgage Agency from 1987 to October 1992.

“She was engaged in the 1990s to Peter White, who worked in construction and real estate, but they later broke up.” Friends Provide a Glimpse Into Sotomayor's 'Very Full Life', Keith B. Richburg, Robin Shulman and Nancy Trejos, *The Washington Post*, Sunday, May 31, 2009; <http://www.washingtonpost.com/wp-dyn/content/article/2009/05/30/AR2009053002061.html?nav=emailpage>; see “also at...” ent. 3 supra.

Judge Sotomayor said this in her speech at her induction to the Court of Appeals:

“Before Peter, Marguerite and Tom moved me out of and settled me into every home I have ever had since I moved into the city. You don't know how hard that is.” p.39. “At Pavia [ & Harcourt], I also met Alessandro and Fe Saracino of the Fendi family, who along with their parents have introduced me to the beauty of the international world. Every day for five years I spoke to Marta Fontanesi, Fendi's legal representative. We formed a bond that is so special that she has come from Italy to be here today. Her husband Daniel Valebrega and his parents, who could not be here, have not only given us friendship but they gave Peter and me the opportunity to buy our current home in the Village.” p.41 “Peter, it was you who convinced me to say yes when the President [Clinton] called about my nomination, and it was you who lifted my spirits each time I came close to giving up during this process. Four years ago, we committed to a life together. It is a commitment for life and it is the best thing that has ever happened to me. Thank you for all that you do for me, large and small, for all that we do together.” pp. 55-56;

[http://judiciary.senate.gov/nominations/SupremeCourt/Sotomayor/Sonia\\_Sotomayor-Questionnaire.cfm](http://judiciary.senate.gov/nominations/SupremeCourt/Sotomayor/Sonia_Sotomayor-Questionnaire.cfm) >November 6, 1998 - United States Court of Appeals for the Second Circuit, [Induction Speech](#); also at ent.1c) supra

- <sup>48</sup> “Her personal financial disclosure form filed last year puts her sum total of investments at the end 2007 from \$50,001 to \$115,000. She reported only two assets: a checking account and a savings account — both at Citibank. The form does not require disclosure of the value of a judge’s personal residence. **But New York City records show that Sotomayor owns a Greenwich Village condo that she bought in 1998 for \$360,000.** It's now worth about \$1.4 million, according to Zillow.com. And **city records indicate two outstanding mortgages totaling \$450,000.** Papers submitted in connection with her nomination to the 2nd Circuit Court of Appeals **in 1997 say she was earning \$1,100 a month in rent on a co-op apartment that she owned in Brooklyn.** As recently as 2004, she reported less than \$30,000 in her two bank accounts. A source told *The Washington Post* earlier this month that Sotomayor once said that filling out her financial reports was a breeze. “When you don't have money, it's easy. There isn't anything there to report”, she was quoted as saying. Sotomayor is divorced and has no children. In 2007, Sotomayor supplemented her federal judicial salary with nearly \$25,000 from teaching at the Columbia and New York University law schools. She has missed out on the escalation in salaries and profits at major law firms in the past two decades.” For a justice, Sonia Sotomayor is low on dough, Josh Gerstein, Politico, May 28, 2009; (emphasis added); <http://www.politico.com/news/stories/0509/23045.html>; see “also at...” ent. 3 supra. Cf. [http://Judicial-Discipline-Reform.org/Follow\\_money/unaccount\\_jud\\_nonjud\\_acts.pdf](http://Judicial-Discipline-Reform.org/Follow_money/unaccount_jud_nonjud_acts.pdf)

# MANDATE

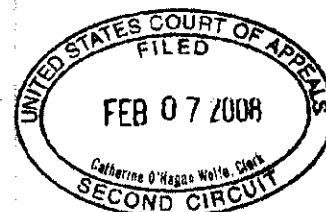
W.D.N.Y.  
02 cv-6190  
Larimer, J.

## United States Court of Appeals FOR THE SECOND CIRCUIT

At a stated term of the United States Court of Appeals for the Second Circuit, held at the Daniel Patrick Moynihan United States Courthouse, 500 Pearl Street, in the City of New York, on the 7<sup>th</sup> day of February, two thousand eight.

Present:

Hon. Sonia Sotomayor,  
Hon. Debra Ann Livingston,  
*Circuit Judges,*  
Hon. Gregory W. Carman,  
*Judge, U.S. Court of International Trade.*



Dr. Richard Cordero,

*Creditor-Appellant,*

v.

06-4780-bk

David DeLano, Mary Ann DeLano,

*Debtors-Appellees.*

George M. Reiber, as Bankruptcy Trustee, moves to dismiss the appeal as moot. Although Appellant's argument that the Trustee's motion is deficient may be correct, any such deficiencies are minor and, in any event, the appeal is subject to dismissal under this Court's *sua sponte* authority. Upon due consideration, it is hereby ORDERED that the appeal is DISMISSED as equitably moot. *See In re Metromedia Fiber Network, Inc.*, 416 F.3d 136, 144 (2d Cir. 2005); *In re Chateaugay Corp.*, 988 F.2d 322, 326 (2d Cir. 1993).

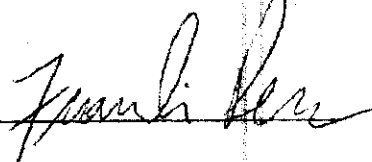
FOR THE COURT:  
Catherine O'Hagan Wolfe, Clerk

A TRUE COPY  
Catherine O'Hagan Wolfe, Clerk

by

  
DEPUTY CLERK

By:



The Honorable Gregory W. Carman, of the United States Court of International Trade,  
sitting by designation.

SAO-LB

ISSUED AS MANDATE: 5/16/08

**2<sup>nd</sup> Circuit Judicial Council & J. Sotomayor's Denial of 100% of Petitions for Review of Systematically Dismissed Misconduct Complaints Against Their Peers & 0 Judge Disciplined in the Reported 12 Years<sup>1</sup>**

Table S-22 [previously S-23 & S-24]. Report of Complaints Filed and Action Taken Under 28 U.S.C. §351 for the 12-mth. Period Ended 30sep97-07 & 10may08  
<http://www.uscourts.gov/judbususc/judbus.html>; collected at [http://Judicial-Discipline-Reform.org/statistics&tables/judicial\\_misconduct.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/judicial_misconduct.pdf)

<b>Data of Judicial Council 2<sup>nd</sup> Cir. for AO; 28 U.S.C. §332(g)</b>	96-97	97-98	98-99	99-00	00-01	01-02	02-03	03-04	04-05	05-06	06-07	07-5/8	96-5/8	Avg.
<b>Complaints Pending on each September 30 of 1996-2008*</b>	5	10	23	65	33	60	29	34	57	31	28	13	388	32
<b>Complaints Filed</b>	40	73	99	59	102	62	69	23	36	14	22	4	603	50
<b>Complaint Type</b>														
Written by Complainant	40	73	99	59	102	62	69	23	36	0	22	4	589	49
On Order of Chief Judges	0	0	0	0	0	0	0	0	0	14	0	0	14	1.8
<b>Officials Complained About**</b>														
<b>Judges</b>														
Circuit	3	14	23	9	31	10	8	4	7	0	6	1	116	9.7
District	27	56	63	41	52	41	49	15	23	10	12	3	392	33
National Courts	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Bankruptcy Judges	2	1	2	2	2	1	1	1	0	0	0	0	12	1
Magistrate Judges	8	8	11	7	17	10	11	3	6	4	4	0	89	7.5
<b>Nature of Allegations**</b>														
Mental Disability	1	9	26	2	5	4	6	3	3	1	1	1	62	5.2
Physical Disability	0	1	2	1	0	0	1	2	0	0	0	1	8	.7
Demeanor	2	2	2	3	14	3	4	6	0	0	0	0	36	3
Abuse of Judicial Power	25	30	7	29	28	57	20	6	3	0	1	1	207	17
Prejudice/Bias	32	36	34	28	24	40	20	35	43	28	30	5	355	30
Conflict of Interest	0	0	5	11	10	18	3	4	5	1	1	0	58	4.8
Bribery/Corruption	0	0	10	21	2	15	4	5	2	2	1	1	63	5.2
Undue Decisional Delay	0	4	0	11	6	15	9	5	8	2	3	3	66	5.5
Incompetence/Neglect	4	1	3	1	5	2	3	3	4	0	3	2	31	2.6
Other	0	11	3	5	0	0	4	33	80	38	47	14	235	20
<b>Complaints Concluded</b>	33	56	57	80	75	93	42	51	91	45	50	17	690	57
<b>Action By Chief Judges</b>														
Complaint Dismissed														
Not in Conformity With Statute	3	4	0	0	4	1	1	6	5	8	1	2	35	2.9
Directly Related to Decision or Procedural Ruling	12	19	19	29	17	23	14	18	46	15	10	9	231	19
Frivolous	0	1	19	0	13	9	7	3	1	3	2	1	59	4.9
Appropriate Action Already Taken	0	0	0	0	0	0	0	1	0	1	0	0	2	0.2
Action No Longer Needed Due to of Intervening Events	0	0	3	1	0	2	0	0	0	1	0	0	7	0.6
Complaint Withdrawn	0	0	0	0	0	2	0	1	2	0	0	0	5	0.4
Subtotal	15	24	41	30	34	37	22	29	54	28	13	12	339	28
<b>Action by Judicial Councils</b>														
Directed Chief Dis. J. to Take Action (Magistrates only)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Certified Disability	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Requested Voluntary Retirement	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ordered Temporary Suspension of Case Assignments	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Privately Censured	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Publicly Censured	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ordered Other Appropriate Action	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Dismissed the Complaint	18	32	16	50	40	56	20	22	37	17	37	6	351	29
Withdrawn	n/a	n/a	0	0	1	0	0	0	0	0	0	0	1	.08
Referred Complaint to Judicial Conference	0	0	0	0	0	0	n/a	0	0	n/a	0	0	0	0
Subtotal	18	32	16	50	41	56	20	22	37	17	37	6	352	29
Special Investigating Committees Appointed	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	1	1	0	2	.17
<b>Complaints Pending on each 30sep of 1997-2008</b>	12	27	65	44	60	29	56	6	2	0	0	0	301	25

\*Revised. \*\*Each complaint may involve multiple allegations against numerous judicial officers. Nature of allegations is counted when a complaint is concluded.

<sup>1</sup> Cf. [http://Judicial-Discipline-Reform.org/SCT\\_nominee/Senate/26evidence/1DrCordero-Senate.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/Senate/26evidence/1DrCordero-Senate.pdf)

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(1may10)

### Synopsis of an Investigative Journalism Proposal

Where the leads in evidence already gathered in a cluster of *federal cases* would be pursued in a Watergate-like *Follow the money!* investigation to answer the question:

#### **Has a Federal Judgeship Become a Safe Haven for Coordinated Wrongdoing?**

with links to *references* at [http://Judicial-Discipline-Reform.org/Follow\\_money/DrCordero-journalists.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DrCordero-journalists.pdf)

This is a poignant question, for it casts doubt on the integrity of the government branch that should incarnate respect for the law and high ethical values. What makes it a realistic question worth investigating is the fact that since the *Judicial Conduct Act* judges are charged with the duty to discipline themselves. Anybody with a complaint against a federal judge must *file* it with the chief circuit judge, whose decision may be reviewed by the circuit council. But according to the *official statistics*, judges systematically dismissed 99.86% of the 7,977 complaints terminated in the 1oct96-30sep07 11-year period with no investigation or private or public discipline. In the last 29 years only three judges –*currently 2,180* are subject to the Act- have been impeached and removed. This shows self-exemption from discipline and coordination to disregard a duty placed by law upon judges. Actually, in the 220 years since the creation of the federal judiciary in 1789, *only seven judges* have been impeached and removed...on average one every 31 years!

Money provides a motive for discipline self-exemption. Indeed, the chief justice of the Supreme Court and the associate justices are allotted as circuit justices to the several circuits. With their chief district and circuit judges they review *twice a year reports* showing that those judges systematically dismiss complaints against their peers. All of them know too that bankruptcy judges dispose of tens of billions of dollars annually and do so however they like: In FY08, *1,043,993 new bankruptcy cases* were filed while only 773 were appealed to the circuit courts. In turn, circuit judges dispose of *75% of appeals by summary orders*, where there is mostly only one operative word, “Affirmed”. Those orders have *no precedential value*, thus leaving judges free to decide future cases however they want. Such freedom for inconsistent and arbitrary decision-making is further ensured by circuit judges *not publishing 83.5% of opinions* and orders terminating cases on the merits. So no matter how bankruptcy judges dispose of money, their rulings are all but assured to stand; otherwise, to be reversed without explanation.

Unaccountable power and lots of money!, the two most insidious corruptors in the hands of discipline self-exempted judges. Risklessness enables and encourages judges to engage in unlawful conduct for profit; coordination allows them to maximize the benefit. A most profitable form of coordinated judicial wrongdoing is a *bankruptcy fraud scheme*. The case described on page 2, *DeLano*, now before the *Supreme Court (08-8382)*, provides evidence of such a scheme. Journalists can use it to conduct a pinpointed Watergate-like *Follow the money!* investigation reminiscent of that led once by Carl Bernstein and Bob Woodward and likely to reach similar results: The exposure of coordinated or tolerated wrongdoing by judges all the way to the judiciary’s top.

If on average it took 31 years to hold accountable people like B. Madoff, who could dispose of tens of billions of dollars, including your money, and who in addition could exercise power over your property, liberty, and even life however they wanted with no more consequences than the reversal of their decisions, do you think that they would be tempted to treat you and everybody else with arrogant disregard? If all your complaints and everybody else’s ended up in the wastebasket, would you expect everybody to want to know of your efforts to force those people out of their safe haven so as to require them to treat everybody according to law or be liable to all of you? If so, you have a U.S. audience of 303 million persons waiting to know about your efforts to hold those Madoff-like judges accountable for their conduct. Hence, I invite you to read on and then contact me to discuss how I can facilitate the proposed *Follow the money!* investigation.

**The Salient Facts of The *DeLano* Case**

(as of 6sep10)

revealing the involvement of bankruptcy & legal system insiders in a bankruptcy fraud scheme  
(*D:#* & footnote references are to [Judicial-Discipline-Reform.org/Follow\\_money/DeLano\\_docs.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DeLano_docs.pdf); these are *bookmarks* on the left)

*DeLano* is a federal bankruptcy case. Part of a case cluster, it reveals fraud that is so egregious as to betray overconfidence born of a long standing practice<sup>1</sup>: Coordinated wrongdoing evolved into a bankruptcy fraud scheme.<sup>2</sup> It was commenced by the DeLano couple filing a bankruptcy petition with Schedules A-J and a Statement of Financial Affairs on January 27, 2004. (04-20280, WBNY<sup>3</sup>) Mr. DeLano, however, was a most unlikely bankruptcy candidate. At filing time he was a 39-year veteran of the banking and financing industry and continued to be employed by M&T Bank precisely as a bankruptcy officer. He and his wife, a Xerox technician, were not even insolvent, for they declared \$263,456 in assets v. \$185,462 in liabilities (*D:29*); and also:

1. that they had in cash and on account only \$535 (*D:31*), although they also declared that their monthly excess income was \$1,940 (*D:45*); and in the FA Statement (*D:47*) and their 1040 IRS forms (*D:186*) that they had earned \$291,470 in just the three years prior to their filing;
2. that their only real property was their home (*D:30*), bought in 1975 (*D:342*) and appraised in November 2003 at \$98,500<sup>4</sup>, as to which their mortgage was still \$77,084 and their equity only \$21,416 (*D:30*)...after making mortgage payments for 30 years! and receiving during that period at least \$382,187 through a string of eight mortgages<sup>5</sup>. (*D:341*) Mind-boggling!
3. that they owed \$98,092 –spread thinly over 18 credit cards (*D:38*)- while they valued their household goods at only \$2,810 (*D:31*), less than 1% of their earnings in the previous three years. Even couples in urban ghettos end up with goods in their homes of greater value after having accumulated them over their working lives of more than 30 years.
4. Theirs is one of the trustee's 3,907 *open* cases and their lawyer's 525 before the same judge.

These facts show that this was a scheming bankruptcy system insider offloading 78% of his and his wife's debts (*D:59*) in preparation for traveling light into a golden retirement. They felt confident that they could make such incongruous, implausible, and suspicious declarations in the petition and that neither the co-schemers would discharge their duty nor the creditors exercise their right to require that bankrupts prove their petition's good faith by providing supporting documents. Moreover, they had spread their debts thinly enough among their 20 institutional creditors (*D:38*) to ensure that the latter would find a write-off more cost-effective than litigation to challenge their petition. So they assumed that the sole individual creditor, who in addition lives hundreds of miles from the court, would not be able to afford to challenge their good faith either. But he did after analyzing their petition, filed by them under penalty of perjury, and showing that the DeLano 'bankrupts' had committed bankruptcy fraud through concealment of assets.

The Creditor requested that the DeLanos produce documents<sup>6</sup> as reasonably required from any bankrupt as their bank account statements. Yet the trustee, whose role is to protect the creditors, tried to prevent the Creditor from even meeting with the DeLanos. After the latter denied *every single document* requested by the Creditor, he moved for production orders. Despite his discovery rights and their duty to determine whether bankrupts have concealed assets, the *bankruptcy* and *district judges* denied him *every single document*. So did the *circuit judges*, even *then CA2 Judge Sotomayor*, the presiding judge, who also needed the documents to find the facts to which to apply the law. They denied him and themselves due process of law. To eliminate him, *they* disallowed his claim in a *sham evidentiary hearing*. Revealing how incriminating the documents are, to oppose their production the DeLanos, with the trustee's recommendation and the bankruptcy judge's approval, were allowed to pay their lawyers \$27,953 in legal fees<sup>7</sup>...though they had declared that they had only \$535. To date \$673,657<sup>8</sup> is still unaccounted for. Where did it go<sup>9</sup>? How many of the trustee's 3,907 cases have unaccounted for assets? For whose benefit?<sup>2</sup>

<sup>1</sup>§XIII <sup>2</sup>§III <sup>3</sup>§V. <sup>4</sup>§X <sup>5</sup>§§I.B & VIII <sup>6</sup>Cf. §XII <sup>7</sup>§XI <sup>8</sup>§I.B <sup>9</sup>§II

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## Summary of the DeLanos' income of \$291,470 + mortgage receipts of \$382,187 = \$673,657 and credit card borrowing of \$98,092

**unaccounted for and inconsistent with their declaration in Schedule B  
 of their voluntary bankruptcy petition (D:23)<sup>1</sup> that at the time of its filing  
 on January 27, 2004, they had in hand and on account only \$535!**

Exhibit page #	<b>Mortgages<sup>2</sup> referred to in the incomplete documents produced by the DeLanos<sup>a</sup> to Chapter 13 Trustee George Reiber (cf.Add:966§B)</b>	Mortgages or loans	
		year	amount
D <sup>b</sup> :342	1) from Columbia Banking, S&L Association	16jul75	\$26,000
D:343	2) another from Columbia Banking, S&L Asso.	30nov77	7,467
D:346	3) still another from Columbia Banking, S&L Asso.	29mar88	59,000
D:176/9	4) owed to Manufacturers & Traders Trust=M&T Bank	March 88	59,000
D:176/10	5) took an overdraft from ONONDAGA Bank	March 88	59,000
D:348	6) another mortgage from Central Trust Company	13sep90	29,800
D:349	7) even another one from M&T Bank	13dec93	46,920
D:350-54	8) yet another from Lyndon Guaranty Bank of NY	23dec99	95,000
	9) any other not yet disclosed?	<b>Subtotal</b>	\$382,187
<b>The DeLanos' earnings in just the three years preceding their voluntary bankruptcy petition (04-20280, WBNY; D:23)</b>			
2001	1040 IRS form (D:186)	\$91,229	\$91,229
2002	1040 IRS form (D:187) Statement of Financial Affairs (D:47)	\$91,859	91,655
2003	1040 IRS form (D:188) Statement of Financial Affairs (D:47)	+97,648	+108,586
to this must be added the receipts contained in the \$98,092 owed on 18 credit cards, as declared in Schedule F (D:38) <sup>c</sup>		\$280,736 <sup>d</sup>	\$291,470 <sup>d</sup>
		<b>TOTAL</b>	<b>\$673,657</b>

<sup>a</sup> The DeLanos claimed in their petition, filed just three years before traveling light of debt to their golden retirement, that their home was their only real property, appraised at \$98,500 on 23nov3, as to which their mortgage was still \$77,084 and their equity only \$21,416 (D:30/Sch.A) ...after paying it for 30 years! and having received \$382,187 during that period through eight mortgages! *Mind-boggling!* They sold it for \$135K<sup>3</sup> on 23apr7, a 37% gain in merely 3½ years.

<sup>b</sup> D=Designated items in the record of *Cordero v. DeLano*, 05-6190L, WDNY, of April 18, 2005.

<sup>c</sup> The DeLanos declared that their credit card debt on 18 cards totals \$98,092 (D:38/Sch.F), while they set the value of their household goods at only \$2,810! (D:31/Sch.B) *Implausible!* Couples in the Third World end up with household possessions of greater value after having accumulated them in their homes over their working lives of more than 30 years.

<sup>d</sup> Why do these numbers not match?

<sup>1</sup>[http://Judicial-Discipline-Reform.org/Follow\\_money/DeLano\\_docs.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DeLano_docs.pdf)>§V. <sup>2</sup>Id.>§§VI-VIII. <sup>3</sup>Id.>§X.

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## ***Follow the Money!* from a Subpoena for the Financial Statements of the Weak Link, the DeLanos, to the Top of the Bankruptcy Fraud Scheme**

The weak link is the DeLanos, for if they were shown to have concealed assets, they would face up to 20 years imprisonment and up to \$500,000 in fines each. (18 U.S.C. §§152-157, 1519, and 3571) In that event, Mr. DeLano could use the wealth of inside knowledge of wrongdoing that he gained during the more than 42 years that he spent as a banker as his chip in plea bargaining for leniency. He could trade up to “bigger fish”, such as Bankruptcy John C. Ninfo, II, WBNY, the trustees, and other bankruptcy system insiders, anyone of whom could incriminate him. In turn, the Judge could trade up to “fat cats” in the federal judiciary who have either participated in running, or sharing in the benefits of, the bankruptcy fraud scheme or have knowingly looked the other way for years.

The *Follow the money!* investigation can also search the public registries, such as county clerk’s offices. (<http://www.naco.org>; for Rochester, NY, go to <http://www.monroecounty.gov/>; see also §§VI-VIII, X infra) Then it can cover private and official trustees and other bankruptcy system insiders. The following leads can pinpoint and expedite a cost-effective investigation:

**David Gene DeLano,** SS # 077-32-3894  
DoB: September 1, 1941  
Last employer: M&T Bank –Manufacturers & Traders Trust Bank-  
255 East Avenue, Rochester, NY 14604  
Previous employers: Central Trust, Rochester, NY;  
First National Bank, Rochester, NY; employed as Vice President  
Voter Identification Number: 13374201

**Mary Ann DeLano,** SS # 091-36-0517  
DoB: September 21, 1944  
Last employer: Xerox, Rochester, NY; employed as a product specialist  
Last known address: 1262 Shoecraft Road, Webster, NY 14580; tel. (585) 671-8833  
Previous address: 35 State Street, Rochester, NY 14814-8954

**Their children and their education:** **Jennifer DeLano,** born circa 1969  
Mercy High School, 1988  
Associate Business degree from Monroe Community College, NY

**Michael David DeLano,** born circa 1971  
Aquinas High School, 1989  
Associate Business degree from Monroe Community College, NY

**Initial judges:** Their investigation can begin by matching up **a)** the assets that they declared in their mandatory annual financial disclosure reports publicly filed with the Administrative Office of the U.S. Courts (<http://www.uscourts.gov/>) under the Ethics in Government Act (**5 USC App. 4)** and **b)** assets –homes, cars, boats- registered in their names or their relatives’ or strawmen’s; then on to finding from drivers, barmen, maids, etc. about their conduct at judicial junkets; etc.

1. U.S. Bankruptcy Judge John C. Ninfo, II, WBNY; Rochester, NY; <http://www.nywb.uscourts.gov/>
2. U.S. District Judge David G. Larimer, WDNY; Rochester, NY; <http://www.nywd.uscourts.gov/>
3. Former CA2 Chief Judge John M. Walker, Jr.; NYC; <http://www.ca2.uscourts.gov/>
4. Current CA2 Chief Judge Dennis Jacobs
5. CA2 Judge Peter W. Hall; NYC



# Judges' Systematic Dismissal Without Investigation of 99.82% of Complaints Against Them

Table S-22 [previously S-23 & S-24]. Report of Complaints Filed and Action Taken Under 28 U.S.C. §351 for the 12-mth. Period Ended 30sep97-07 & 10may08. <http://www.uscourts.gov/judbususc/judbus.html>; collected at [http://Judicial-Discipline-Reform.org/statistics&tables/judicial\\_misconduct.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/judicial_misconduct.pdf)<sup>1</sup>

<b>Complaints filed in the 13 Cir. and 2 Nat. Courts</b>	'96-97	'97-98	'98-99	'99-00	'00-01	'01-02	'02-03	'03-04	'04-05	'05-06	'06-07	'07-5/8	'96-5/8	n/11.6
<b>Complaints Pending on each Sep. 30 of 1996-2008*</b>	109	214	228	181	150	262	141	249	212	210	241	333	2530	218
<b>Complaints Filed</b>	679	1,051	781	696	766	657	835	712	642	643	841	491	8794	758
Complaint Type														
Written by Complainant	678	1,049	781	695	766	656	835	712	642	555	841	491	8701	750
On Order of Chief Judges	1	2	0	1	0	1	0	0	0	88	0	0	93	8
Officials Complained About**														
Judges														
Circuit	461	443	174	191	273	353	204	240	177	141	226	112	2995	258
District	497	758	598	522	563	548	719	539	456	505	792	344	6841	589
National Courts	0	1	1	1	3	5	1	0	0	3	4	0	19	1.6
Bankruptcy Judges	31	28	30	26	34	57	38	28	31	33	46	24	406	35
Magistrate Judges	138	215	229	135	143	152	257	149	135	159	197	105	2014	174
Nature of Allegations**														
Mental Disability	11	92	69	26	29	33	26	34	22	30	20	16	408	35
Physical Disability	4	7	6	12	1	6	7	6	9	3	1	4	66	5.7
Demeanor	11	19	34	13	31	17	21	34	20	35	22	5	262	23
Abuse of Judicial Power	179	511	254	272	200	327	239	251	206	234	261	242	3176	274
Prejudice/Bias	193	647	360	257	266	314	263	334	275	295	298	232	3734	322
Conflict of Interest	12	141	29	48	38	46	33	67	49	43	46	25	577	50
Bribery/Corruption	28	166	104	83	61	63	87	93	51	40	67	51	894	77
Undue Decisional Delay	44	50	80	75	60	75	81	70	65	53	81	45	779	67
Incompetence/Neglect	30	99	108	61	50	45	47	106	52	37	59	46	740	64
Other	161	193	288	188	186	129	131	224	260	200	301	225	2486	214
<b>Complaints Concluded</b>	482	1,002	826	715	668	780	682	784	667	619	752	552	8529	735
<b>Action By Chief Judges</b>														
Complaint Dismissed														
Not in Conformity With Statute	29	43	27	29	13	27	39	27	21	25	18	13	311	27
Directly Related to Decision or Procedural Ruling	215	532	300	264	235	249	230	295	319	283	318	236	3476	300
Frivolous	19	159	66	50	103	110	77	112	41	63	56	23	879	76
Appropriate Action Already Taken	2	2	1	6	4	3	3	3	5	5	3	3	40	3.4
Action No Longer Needed Due to Intervening Events	0	1	10	7	5	6	8	9	8	6	6	4	70	6
Complaint Withdrawn	5	5	2	3	3	8	8	3	6	9	3	5	60	5
Subtotal	270	742	406	359	363	403	365	449	400	391	404	288	4840	417
<b>Action by Judicial Councils</b>														
Directed Chief Dis. J. to Take Action (Magistrates only)	0	0	0	0	0	0	0	0	0	1	0	0	1	.09
Certified Disability	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Requested Voluntary Retirement	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ordered Temporary Suspension of Case Assignments	0	1	0	0	0	0	0	0	0	0	0	0	1	.09
Privately Censured	0	0	0	0	1	0	0	0	0	0	0	0	1	.09
Publicly Censured	0	1	0	2	0	2	0	0	0	0	0	1	6	0.5
Ordered Other Appropriate Action	0	0	0	0	0	0	1	0	0	0	2	0	3	0.26
Dismissed the Complaint	212	258	416	354	303	375	316	335	267	227	344	263	3670	316
Withdrawn	n/a	n/a	4	0	1	0	0	0	0	0	2	0	7	0.6
Referred Complaint to Judicial Conference	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal	212	260	420	356	305	377	317	335	267	228	348	264	3689	318
Special Investigating Committees Appointed	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	7	5	2	14	1.2
<b>Complaints Pending on each September 30 of 1997-08</b>	306	263	183	162	248	139	294	177	187	234	330	272	2795	241

\*Revised. \*\*Each complaint may involve multiple allegations against numerous judicial officers. Nature of allegations is counted when a complaint is concluded.

<sup>1</sup>With statistics from 11may-30sep08; cf. [http://Judicial-Discipline-Reform.org/JNinfo/25Committee/2DrCordero-petition\\_25feb9.pdf](http://Judicial-Discipline-Reform.org/JNinfo/25Committee/2DrCordero-petition_25feb9.pdf)

# Fraudulent Coordination Among The Main Players In The Bankruptcy System

Homeowner or Debtor ↔ Financial Institution : imposes foreclosure-aimed terms  
 1. forced placed home insurance  
 2. wrong higher rates  
 3. budget-busting escrow charges

Trustee : ← not appointed at random or Ch.# standing trustee → The Judge: Approves all compensation applications regardless of 11usc330 "actual and necessary services or expenses"

Professional persons: appointed under 11usc327

Attorney:  
Trustee's own law firm

Auctioneer:  
holds no auction or an insiders' auction

Appraiser:  
No-appraisal undervaluation

Property management co.: secretly owned by  
Trustee & Auctioneer, e.g. in their minor's names

Other trustees, judges,  
friends & relatives

Intra-sale:  
at loss for capital loss or at inflated price for money laundering

Flip property on open market for quick gain  
reduced by inflated improvement expenses

Homeowner or Debtor:  
Squeezed dry in pincer movement

## Proposed Subpoena

[Text identifying key information and evidence and thus enabling authorities and other professionals to assess the underlying complaint and pinpoint its investigation;

[http://Judicial-Discipline-Reform.org/HR/11-4-7DrRCordero-HR\\_COGR.pdf](http://Judicial-Discipline-Reform.org/HR/11-4-7DrRCordero-HR_COGR.pdf)

[http://Judicial-Discipline-Reform.org/HR/11-4-7DrRCordero-HR\\_COGR\\_subpoena.pdf](http://Judicial-Discipline-Reform.org/HR/11-4-7DrRCordero-HR_COGR_subpoena.pdf) ]

**Case no.** \_\_\_\_\_

# U.S. House of Representatives

## Committee on Oversight and Government Reform

Rep. Darrell Issa, Chairman, & Rep. Elijah Cummings, Ranking Member

B350a Rayburn House Office Building

Washington, DC 20515

phone: (202) 225-5074; fax: (202)225-3974

## Subpoena for Information and Evidence

1. Upon consideration of a complaint filed with the HR Committee on Oversight and Government Reform (the Committee), the Committee exercises its power under applicable federal laws and rules as well as under the provision of the New York Rules of Professional Conduct<sup>†</sup> (Rules or Rule #) that entitles any “other authority empowered to investigate or act upon such [Rules] violation” and receive knowledge and evidence of attorney professional misconduct, to investigate or act upon the complained-about conduct and to that end, issues this Subpoena to demand information and evidence as set forth below.

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<sup>†</sup> 22 NYCRR 1200; <http://www.courts.state.ny.us/rules/jointappellate/index.shtml>

## A. Duty To Comply With, and Addressees of, The Subpoena

2. A person named below and any other person who possesses information or evidence concerning the subject matter of this Subpoena or who must deal with it is referred to herein as a concerned person.
3. The Committee demands that a concerned person respond to this Subpoena, as is his or her duty to do pursuant to law, including, but not limited to, Rule 8, which provides thus: [emphasis added]

### RULE 8.3: Reporting Professional Misconduct

- (a) A lawyer who knows that another lawyer has committed a violation of the Rules of Professional Conduct that raises a substantial question as to that lawyer's honesty, trustworthiness or fitness as a lawyer **shall report** such knowledge to a tribunal or **other authority empowered to investigate or act upon such violation.**
- (b) A lawyer who possesses knowledge or evidence concerning another lawyer or a judge shall not fail to **respond to a lawful demand for information** from a tribunal or other authority empowered to investigate or act upon such conduct.

### RULE 8.4: Misconduct

A lawyer or law firm shall not:

- (a) violate or attempt to violate the Rules of Professional Conduct, **knowingly assist** or induce another to do so, or do so **through the acts of another**;
- (b) engage in illegal conduct that adversely reflects on the lawyer's honesty, trustworthiness or fitness as a lawyer;
- (c) engage in conduct involving dishonesty, fraud, deceit or misrepresentation;
- (d) engage in conduct that is prejudicial to the administration of justice;
- (f) **knowingly assist a judge** or judicial officer in conduct that is a violation of applicable rules of judicial conduct or other law;
- [(g) on discrimination]
- (h) engage in any other conduct that adversely reflects on the lawyer's fitness as a lawyer.

4. Attorneys included among the concerned persons are the following

- 1) Tracy Hope Davis  
(incumbent) U.S. Trustee for Region 2  
Office of the United States Trustee  
33 Whitehall Street, 21st Floor  
New York, NY 10004

tel. (212)510-0500; fax (212)668-2255  
<http://www.justice.gov/ust/r02/index.htm>

- 2) Diana G. Adams, Esq.
- 3) Deirdre A. Martini, Esq.
- 4) Carolyn S. Schwartz, Esq.

- Former U.S. Trustees for Region
- 5) Kathleen Dunivin Schmitt, Esq.  
Assistant United States Trustee  
Office of the United States Trustee  
100 State Street, Room 609  
Rochester, NY 14614  
tel. (585)263-5812, fax (585)263-5862  
<http://www.justice.gov/ust/r02/rochester.htm>
  - 6) Kenneth W. Gordon, Esq.  
Chapter 7 Trustee  
Gordon & Schaal, LLP  
1039 Monroe Avenue  
Rochester, NY 14620  
tel. (585)244-1070; fax (585)244-1085  
[kengor@rochester.rr.com](mailto:kengor@rochester.rr.com)  
<http://www.gordonandschaal.com/aboutus.html>
  - 7) George Max Reiber, Esq.  
Chapter 13 Trustee; and
  - 8) James W. Weidman, Esq.  
Attorney for Trustee George Reiber  
Winton Court  
3136 Winton Road S., Suite 206  
Rochester, NY 14623-2928  
tel. (585)427-7225; fax (585)427-7804  
[trustee13@roch13.com](mailto:trustee13@roch13.com)
  - 9) William E. Brueckner, Esq.  
Attorney for Trustee Kenneth Gordon in *In re Premier Van Lines, Inc.*, 01-20692, WBNY;  
at the time at:  
Ernstrom & Drester, LLP  
2000 Winton Road South  
Building One, Suite 300  
Rochester, NY 14618-3922;  
now at:  
Underberg & Kessler  
300 Bausch & Lomb Place  
Rochester, NY 14604  
tel. (585)258-2892, fax (585)258-2821  
[wbrueckner@underbergkessler.com](mailto:wbrueckner@underbergkessler.com)  
<http://www.underberg-kessler.com/Attorneys/Detail/?ID=78>
  - 10) Michael J. Beyma, Esq.  
Underberg & Kessler, LLP  
300 Bausch & Lomb Place  
Rochester, NY 14604  
tel. (585)258-2890; fax (585)258-2821  
[mbeyma@underbergkessler.com](mailto:mbeyma@underbergkessler.com), &  
assistant, [breed@underbergkessler.com](mailto:breed@underbergkessler.com)  
<http://www.underbergkessler.com/Attorneys/Detail/?ID=30>
  - 11) Karl S. Essler, Esq.  
Attorney for David Dworking and  
Jefferson Henrietta Associates  
Principal, Fix Spindelman Brovitz & Goldman, P.C.  
295 Woodcliff Drive, Suite 200  
Fairport, NY 14450  
tel. (585)641-8000, ext. 242; fax (585)641-2702  
[kessler@fixspin.com](mailto:kessler@fixspin.com); <http://fixspin.com/>  
<http://fixspin.com/attorneys/karl-s-essler/>
  - 12) David D. MacKnight, Esq.  
Attorney for James Pfuntner  
Lacy, Katzen, Ryen & Mittleman, LLP  
The Granite Building, 2nd Floor  
130 East Main Street  
Rochester, NY 14604-1686  
tel. (585)324-5724; fax (585)269-3047  
[dmacknight@lacykatzen.com](mailto:dmacknight@lacykatzen.com)  
<http://lacykatzen.com/bio-dmacknight.aspx>
  - 13) Raymond C. Stilwell, Esq.  
Attorney for David Palmer and Premier  
Adair Law Firm, LLP  
300 Linden Oaks, Suite 220  
Rochester, NY 14625-2883  
tel. (585)419-9000, fax (585)248-4961  
<http://www.adairlaw.com/>  
[rstilwell@adairlaw.com](mailto:rstilwell@adairlaw.com)
  - 14) Christopher K. Werner, Esq. and
  - 15) Devin Lawton Palmer, Esq.  
Bankruptcy Attorneys for the DeLanos  
Boylan, Brown, Code, Vigdor & Wilson, LLP  
2400 Chase Square  
Rochester, NY 14604  
tel. (585)232-5300; fax (585)232-3528  
[cwerner@boylanbrown.com](mailto:cwerner@boylanbrown.com)  
[dpalmer@boylanbrown.com](mailto:dpalmer@boylanbrown.com)  
<http://www.boylanbrown.com/attorneys.aspxn>

5. Other persons, who may or may not be attorneys, and entities included among the concerned persons are the following:

- a) Bonadio & Co., LLP, Accountants  
Corporate Crossings  
171 Sully's Trail, Suite 201  
Pittsford, NY 14534-4557  
tel. (585)381-1000; fax (585)381-3131  
<http://www.bonadio.com/Profile/Locations/>
- b) Ms. Bonsignor, Court Reporter  
Alliance Shorthand  
183 East Main Street, Suite 1500  
Rochester, NY 14604  
tel. (585)546-4920
- c) David Gene, Bankruptcy Officer at M&T  
and Mary Ann DeLano, Xerox technician  
1262 Shoecraft Road  
Webster (Penfield, if different), NY 14580
- d) the DeLanos' children, Jennifer and Michael
- e) David Dworkin  
Warehouse Manager and/or officer  
Jefferson Henrietta Associates  
415 Park Avenue  
Rochester, NY  
Simply Storage  
tel. (585)442-8820  
LLD Enterprises  
tel. (585)244-3575; fax (716)647-3555
- f) Ms. Melissa L. Frieday  
Contracting Officer for court reporters  
U.S. Bankruptcy Court  
Olympic Towers  
300 Pearl Street, Suite 250  
Buffalo, NY 14242  
tel. (716)362-3200, fax (716)551-5103
- g) Manufacturers & Traders Trust Bank (M&T)  
255 East Avenue  
Rochester, NY 14604  
tel. (585)258-8207, fax (585)325-5105  
Customer Service tel. (800)724-2440  
<http://mandtbank.spatialpoint.com/PrxInput.aspx>
- h) David J. Palmer  
1829 Middle Road  
Rush, NY 14543  
tel. (585)292-9530  
Owner of Premier Van Lines, Inc.  
Tax ID: 16-1542181  
formerly doing business at:  
10 Thruway Park Drive  
West Henrietta, NY 14586
- i) James Pfuntner, Warehouser  
2130 Sackett Road  
Avon, NY, 14414
- j) Roy Teitsworth, Auctioneer  
6502 Barber Hill Road  
Geneseo, NY 14454  
tel. (585)243-1563, fax (585)243-3311  
[www.teitsworth.com](http://www.teitsworth.com);  
<http://www.auctionzip.com/NY-Auctioneers/13102.html>
- k) Bankruptcy Judge John C. Ninfo, II  
and all other judges of this court:  
U.S. Bankruptcy Court, WBNY  
1220 U.S. Courthouse  
100 State Street  
Rochester, NY 14614  
tel. (585)613-4200  
<http://www.nywb.uscourts.gov/>
- l) Bankruptcy Judge John C. Ninfo, II, and all  
current and former members of the staff of  
Judge Ninfo or his Bankruptcy Court,  
including, but not limited to:
  - 1) Paula Finucane, Case Administrator
  - 2) Ms. Andrea Siderakis  
Assistant to Judge Ninfo  
courtroom tel. (585)613-4281;  
fax (585)613-4299
  - 3) Karen S. Tacy, Case Administrator
  - 4) Todd M. Stickle, Deputy Clerk in Charge  
tel. (585)613-4223, fax (585)613-4242

- 5) Paul R. Warren, Esq., Clerk of Bankruptcy Court
- 6) Court Directory:  
[http://www.nywb.uscourts.gov/rochester\\_court\\_directory\\_11004.php](http://www.nywb.uscourts.gov/rochester_court_directory_11004.php)
- m) U.S. District Judge David G. Larimer (Ret.) and all other judges of this court:  
 U.S. District Court, WDNY  
 2120 U.S. Courthouse  
 100 State Street, Rochester, N.Y. 14614  
 tel. (585)613-4000, fax (585)613-4035  
<http://www.nywd.uscourts.gov/mambo/>
- n) any and all current and former members of the staff of Judge Larimer or his District Court, including, but not limited to:
- 1) Peggy Ghysel, Appeals Clerk
  - 2) Rodney C. Early, Esq., Former Clerk of Court
- o) The Judges of the Court of Appeals for the Second Circuit (CA2), including, but not limited to:
- 1) Judge Gregory W. Carman, of the U.S. Court of International Trade, sitting by designation on the *DeLano* case
  - 2) Judge Peter W. Hall
  - 3) Chief Judge Dennis Jacobs
  - 4) Judge Robert A. Katzmann
  - 5) Judge Debra Ann Livingston
  - 6) Judge James L. Oakes
  - 7) Judge Reena Raggi
  - 8) Former Judge Sonia Sotomayor
  - 9) Former Chief Judge John M. Walker, Jr.  
 Daniel Patrick Moynihan U.S. Courthouse  
 500 Pearl Street  
 New York, NY, 1007  
 Switchboard (212)857-8500  
 Clerk of Court tel. (212)857-8585
- <http://www.ca2.uscourts.gov/>
- p) any and all members of the CA2 judges' and CA2's staff, including, but not limited to:
- 1) Thomas Asreen, Former Acting Clerk of Court
  - 2) Donnell Bolden, Deputy Clerk
  - 3) Patricia Chin-Allen, Deputy Clerk
  - 4) Elizabeth Duwe, Acting Motions Staff Attorney
  - 5) Fernando Galindo, Chief Deputy of the Clerk of Court
  - 6) Lisa Greenberg, Staff Attorney
  - 7) Arthur Heller, Esq., Senior Motion Attorney
  - 8) Roseann B. MacKechnie, Former Clerk of Court
  - 9) Siomara Martinez, Case Manager
  - 10) Karen Greve Milton, Circuit Executive
  - 11) Catherine D. Minuse, Esq., Supervisory Staff Attorney  
 fax (212)857-8684  
[Catherine\\_Minuse@uscourts.gov](mailto:Catherine_Minuse@uscourts.gov)
  - 12) Donna Morgan-Steele, Agency Team Supervisor  
[Donna\\_Morgan\\_Steele@ca2.uscourts.gov](mailto:Donna_Morgan_Steele@ca2.uscourts.gov)
  - 13) Catherine O'Hagan Wolfe, Clerk of Court
  - 14) Lynette Rodriguez, Deputy Clerk
  - 15) Robert Rodriguez, Deputy Clerk
  - 16) Ana Vargas, Calendar Deputy Clerk
  - 17) Lian Yeh, Agency Team Case Manager  
 Direct tel. (212)857-8562,  
 Team tel. (212)857-8544;  
 fax (212)857-8547  
[Lian\\_Yeg@ca2.uscourts.gov](mailto:Lian_Yeg@ca2.uscourts.gov)
  - 18) Tracy W. Young, Motions Staff Attorney
  - 19) Court Directory  
<http://www.ca2.uscourts.gov/clerk/navfiles/contact.htm>

6. An officer with authority to execute this Subpoena is hereinafter referred to as the Committee.

## **B. Subject Matter of The Subpoena**

7. The subject matter of this Subpoena includes, but is not limited to:

- a) the specific information or evidence demanded hereunder;
- b) the complained-about conduct, including, but not limited to, fraud; bankruptcy fraud; toleration of or participation in a bankruptcy fraud scheme; racketeering and corrupt enterprise; concealment or wrongful disposition of assets; wrongful hiring of bankruptcy professionals; wrongful payment or sharing of fees; wrongful trusteeship; violation of fiduciary or official duty; wrongful influencing a judge; bribery; perjury; conflict of interests; wrongful docketing of case documents; wrongful transmission of the record from one court to an appellate court; tampering with the preparation and filing of a transcript; ex-parte contacts; bias, prejudice, partiality toward court insiders and against outsiders; arbitrariness; violation of discovery right by denying every single document requested and thus cover up wrongdoing; wrongful exclusion of the movant's whole testimony against self-interest under oath in court in order to grant the movant's motion; abuse of process to strip an opposing party of standing in the case and thereby prevent him from requesting production of documents incriminating court insiders and officers in a bankruptcy fraud scheme and its cover-up; abuse of judicial power; denial of due process; and any violation of the Rules or any other provision of law, whether the complained-about conduct was engaged in, or any such violation was committed by, the complained-against persons or other persons;
- c) the following cases, their progeny, and the parties thereto:
  - 1) *In re Premier Van Lines, Inc.*, 01-20692, WBNY, (*Premier*);  
[http://Judicial-Discipline-Reform.org/dockets/1Premier\\_01-20692\\_15jan10.pdf](http://Judicial-Discipline-Reform.org/dockets/1Premier_01-20692_15jan10.pdf)
  - 2) *James Pfuntner v. Trustee Kenneth Gordon et al.*, 02-2230, WBNY, (*Pfuntner*);  
[http://Judicial-Discipline-Reform.org/dockets/2Pfuntner\\_02-2230\\_15jan10.pdf](http://Judicial-Discipline-Reform.org/dockets/2Pfuntner_02-2230_15jan10.pdf)
  - 3) *Richard Cordero v. Kenneth Gordon, Esq.*, 03-cv-6021L, WDNY;  
[http://Judicial-Discipline-Reform.org/dockets/3Gordon\\_03cv6021\\_15may6.pdf](http://Judicial-Discipline-Reform.org/dockets/3Gordon_03cv6021_15may6.pdf)
  - 4) *Richard Cordero v. David Palmer*, 03-mbk-6001L, WDNY;  
[http://Judicial-Discipline-Reform.org/dockets/4Cordero\\_v\\_Palmer\\_03mbk6001L\\_19may3.pdf](http://Judicial-Discipline-Reform.org/dockets/4Cordero_v_Palmer_03mbk6001L_19may3.pdf)
  - 5) *In re Premier Van*, 03-5023, CA2;  
[http://Judicial-Discipline-Reform.org/dockets/5Premier\\_03-5023\\_CA2\\_15may6.pdf](http://Judicial-Discipline-Reform.org/dockets/5Premier_03-5023_CA2_15may6.pdf)



- 6) *Richard Cordero v. Kenneth W. Gordon, Trustee, et al.*, 04-8371, SCt,<sup>1</sup>  
[http://Judicial-Discipline-Reform.org/dockets/6TrGordon\\_04-8371\\_SCt.pdf](http://Judicial-Discipline-Reform.org/dockets/6TrGordon_04-8371_SCt.pdf)
- 7) *In re David and Mary Ann DeLano*, 04-20280, WBNY, (*DeLano*);  
[http://Judicial-Discipline-Reform.org/dockets/7DeLano\\_04-20280\\_WBNY\\_20jan9.pdf](http://Judicial-Discipline-Reform.org/dockets/7DeLano_04-20280_WBNY_20jan9.pdf)
- 8) *Cordero v. DeLano*, 05-cv-6190L, WDNY;  
[http://Judicial-Discipline-Reform.org/dockets/8DeLano\\_05cv6190\\_WDNY\\_27oct6.pdf](http://Judicial-Discipline-Reform.org/dockets/8DeLano_05cv6190_WDNY_27oct6.pdf)
- 9) *Dr. Richard Cordero v. David and Mary Ann DeLano*, 06-4780-bk, CA2;  
[http://Judicial-Discipline-Reform.org/dockets/9DeLano\\_06-4780\\_CA2\\_20jan9.pdf](http://Judicial-Discipline-Reform.org/dockets/9DeLano_06-4780_CA2_20jan9.pdf)
- 10) *Dr. Richard Cordero v. David and Mary Ann DeLano*, 08-8382, SCt<sup>2</sup>  
[http://Judicial-Discipline-Reform.org/dockets/10DeLano\\_08-8382\\_SCt\\_6feb10.pdf](http://Judicial-Discipline-Reform.org/dockets/10DeLano_08-8382_SCt_6feb10.pdf)

8. A reference to *Pfuntner* or *DeLano* includes its progeny, respectively, as reasonably applicable to obtain production of information and evidence as a means to investigate or act upon the complained-about conduct.<sup>2</sup>

### **C. Instructions For Producing Information and Evidence**

9. A concerned person shall:
- a) understand a reference to a person named herein to include any and all members of such person's staff, entity, partnership, group, or organization, whether incorporated or unincorporated;
  - b) comply with the instructions stated herein and complete such compliance within 14 days of being served with this Subpoena unless a different deadline for compliance is stated in 10¶16 (= page 11, paragraph 16 herein);
  - c) deem himself or herself served with this Subpoena as provided for, mutatis mutandis, under Rule 5(b)(2) of the Federal Rules of Civil Procedure (FRCP)<sup>1</sup>, whether service is made directly on him or her or on his or her current or last known attorney;
  - d) compute any period of time for compliance with this Subpoena from the day after the day on which that person was served or deemed under applicable New York State law to have been served, excluding Saturdays, Sundays, and legal holidays under such law and understand a reference therein to a court or a clerk's office to be a reference to the District

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<sup>1</sup> For the relation of *Pfuntner* and *DeLano* to *Premier*, see GC:17§B of the complaint.

Att; Rule 6(b)(2) does not apply;

- e) be held responsible for any non-compliance and subject to the continuing duty to comply with this Subpoena within the day each day after the applicable deadline is missed, under pain of being named the subject of a disciplinary or contempt proceeding.

10. A concerned person shall produce to the Committee upon his demand and volunteer to him:
  - a) information concerning evidence herein identified, including, but not limited to, its author, existence, nature, condition, use, actual or likely whereabouts, person who is, is believed to be, is likely to be, or could be in possession or control of, or have access to, it;
  - b) information and evidence without passing judgment on its degree of relevance or lack thereof relative to the subject matter of the Subpoena in recognition of the fact that the relevance of a piece of information or evidence may only become apparent in the broader context of information or evidence already gathered or yet to be gathered by the Committee; and
  - c) information and evidence in application of the principle of honest compliance effort, i.e., “If in doubt, produce the information and evidence to the Committee and disclose the doubt”.
11. A concerned person shall with respect to evidence herein demanded produce it, produce information about it, and issue a certificate, as defined in 10¶15, to the Committee whenever a reasonable person would who:
  - a) acts in good faith, or with due diligence, or competently, or in an official or fiduciary capacity or with the training or experience that is the same as, or equivalent to, that of a person in such official or fiduciary capacity;
  - b) reasonably believes that at least part of such evidence is herein demanded;
  - c) produces the information or evidence demanded and discloses any doubt as to whether any part thereof is relevant; or
  - d) believes that another person with an adversarial interest would want such information, evidence, or certificate or would find it of interest to the end of ascertaining whether a person or entity:
    - 1) is a holder or an identifier, as defined in 8¶12 and 9¶13, respectively; or
    - 2) has committed, covered up, or tolerated a violation of the Rules or any other applicable law, or engaged in any complained-about conduct;

12. A concerned person who with respect to any evidence herein demanded has possession or control

of, or access to, it is hereinafter referred to as a holder and shall on behalf of the Committee:

- a) produce the original or a true, correct, and complete copy thereof together with a certificate, as defined in 10¶15;
- b) if not complying for a legitimate reason under law with clause a) of this paragraph, certify that such holder holds the evidence and acknowledges the duty under this Subpoena to:
  - 1) hold it in a secure place, which the holder shall name;
  - 2) ensure its chain of custody; and
  - 3) produce it without delay once the legitimate reason no longer justifies non-compliance;

13. A concerned person who with respect to any evidence herein demanded knows its actual, likely, or possible whereabouts is referred to hereinafter as an identifier and shall on behalf of the Committee:

- a) identify the evidence of which the identifier knows the actual, likely, or possible whereabouts;
- b) name such whereabouts,
- c) identify the actual, likely, or possible holder of such evidence by stating his or her known, likely, or possible name, physical and electronic addresses, and telephone and fax numbers;
- d) send to the Committee a true, correct, and complete copy of such evidence or of any secondary evidence that concerns such evidence and that directly or indirectly was received from, or generated by, the actual, likely, or possible holder of such evidence.

14. A concerned person shall produce all the parts of each piece of evidence herein demanded that state as to each transaction covered by such piece of evidence or, if information as to each transaction is not available, then as a set of such transactions:

- a) the time, place, amount, and currency or currency equivalent of each such transaction;
- b) the rates, including but not limited to, the normal, delinquent, introductory, preferential, promotional, special, and exchange rates, applied to the transaction;
- c) the description of the goods, goods seller, service, and service provider concerned by each transaction;
- d) the source or recipient of funds or the person or entity that made any charge or claim for funds;
- e) the opening and closing dates of the piece of evidence;
- f) the payment due date of the amount owing and such amount concerning each transaction;

- g) the good or delinquent standing of the account, agreement, or contract dealt with in the piece of evidence;
  - h) the beneficiary of any payment;
  - i) the surety, codebtor, or collateral for each transaction; and
  - j) any other matter concerning the formulation of the terms and conditions of the transaction or relationship dealt with in the piece of evidence.
15. A concerned person shall certify in an affidavit or an unsworn declaration subscribed under penalty of perjury as provided for under 28 U.S.C. §1746 or equivalent New York State law (hereinafter collectively referred to as a certificate), with respect to each piece of evidence produced that:
- a) it has not been the subject of any addition, deletion, correction, or modification of any type whatsoever; and
  - b) it is the whole of the piece of evidence and consists of both all the parts requiring its production and all other parts without regard to their degree of relevance or lack thereof relative to the Subpoena for production; or
  - c) the certificate required under clauses a) and b) of this paragraph cannot be made with respect to any part or the whole of any piece of evidence and the reason therefor and attach the available evidence to the certificate.
16. A concerned person shall produce evidence demanded herein pursuant to the following timeframes measured from the time the Subpoena is served on such person as provided for under ¶9c), d), e) supra:
- a) within 14 days with respect to evidence that a concerned person has possession or control of, or access to, if at home or other permanent or temporary dwelling; in the office or place of work or business; in a land, sea, or air vehicle; in a security box or storage place; or equivalent place;
  - b) with respect to evidence that both does not fall within the scope of clause a) of this paragraph and must be requested from a third party (or parties) that has, is likely to have, or possibly has possession or control of, or access to, it:
    - 1) within 14 days send a request for such evidence to such third party and send a copy of such request to the Committee;
    - 2) within 10 days of receiving either such evidence or any communication concerning such request, send the evidence or a true, correct, and complete copy thereof to the

- Committee and, if such communication is not in writing, commit it to writing and send the resulting written communication to the Committee;
- 3) proceed to obtain such evidence from the third party as a reasonable person would who with due diligence makes a good faith and proactive effort to comply with this Subpoena, including, but not limited to:
    - i) applying to a court of competent jurisdiction for an order of production addressed to such third party;
    - ii) issuing a subpoena under Rule 45 of the Federal Rules of Civil Procedure (FRCP) or equivalent state law provision;
    - iii) proceeding under the discovery rules of FRCP or equivalent state rules.
  - c) within 14 days explain in writing to the Committee the concerned person's legitimate inability under law to comply with clauses a) and b) of this paragraph and continue to make an effort as described in clause b.3) of this paragraph to obtain and send to the Committee the evidence demanded.

#### **D. Information and Evidence In General, Production, and Certification**

17. Evidence means information that already is or can be caused to be contained in a physical object and that relates to the subject matter of this Subpoena.
18. Information is the message that tells one entity something about another entity. It includes knowledge in the mind of a person that can be conveyed to, and received by, another person.
19. Evidence identified with particularity or in general in this Subpoena is to be understood broadly to include a physical object that holds information in any form and format about something related to the subject matter of the Subpoena and can convey knowledge about it directly to a human being or indirectly through a machine.
20. The information may be in the form of text, symbols, graphics, data, clip art, pictures, sound, or video; the format may be handwritten, print, digital, electronic, or otherwise; and the physical object may be any of the following or similar objects, any of which may be referred to as a document when it contains information:
  - a) paper, carton, other paper pulp product; cloth, fabric, plastic, and similar materials;
  - b) graphic or photographic paper, photo or movie film, microfilm, and equivalent;
  - c) a removable storage device, such as a floppy disk; data tape; CD, DVD, whether single or

double layered, Blue Ray, and mini disk; external hard disk; memory flash, stick, chip, or card; electronic memory strip, such as found on a plastic card, whether credit, debit, gift, identity, security, medical card and similar information-holding card;

- d) fixed storage device, such as an internal hard disk of a computer, server, mainframe, or recorder box;
- e) an audio or video cassette, tape, or disk, such as used in a tape recorder, camcorder, telephone answering machine; surveillance or security system or device; phone switchboard or PBX; or central, control, or base unit that communicates with outside units, clients, and in-bound callers;
- f) a wireless handheld digital device, such as an iPod, iPad, Blackberry, Palm, and smartphone.

- 21. A concerned person from whom evidence is demanded herein and who has only or also information about it shall cause that information to be contained in the physical object, such as those listed in 11¶20, that is reasonably calculated to be the best means of conveying it to the Committee.
- 22. A concerned person that has evidence is referred to herein as evidence producer, whether such person:
  - a) is only in a position as a matter of fact rather than as a matter of law to produce such evidence but has not produced it yet;
  - b) is in the process of producing such evidence; or
  - c) has already produced such evidence.
- 23. Evidence includes information qualified by the evidence producer as:
  - a) information believed by the evidence producer to be a fact;
  - b) information reasonably believed by the evidence producer to be true but not known to be a fact;
  - c) information qualified by the evidence producer as known to be false, likely to be false, or possibly false;
  - d) information qualified by the evidence producer as hearsay, regardless of its admissibility in court.
- 24. Evidence may be produced in the form of:
  - a) a written statement or affidavit composed to respond to this Subpoena;
  - b) an object that already exists at the time the evidence producer becomes aware that it

contains evidence;

- c) an oral communication, such as a conversation, interview, deposition, testimony, or hearing, if such form of production is acceptable to the Committee; otherwise, it must be caused to be contained in a physical object, as described in 11¶19.

25. A reference herein to a specific piece of evidence includes the source evidence from which such piece was derived through addition, deletion, merger, update, modification, correction, translation, transformation from one form to another, or rearrangement for inclusion in a database, or otherwise. Conversely, a demand for evidence that is the source from which other evidence was derived includes such derivative evidence. In either case, the circumstances of such derivation must be stated.

## **E. Particular Information and Evidence To Be Produced**

26. A concerned person shall produce to the Committee the following and reasonably similar information and evidence:

### **1. Financial affairs**

27. Evidence of any payment, compensation, or transfer of value, whether in cash or in kind and for any reason whatsoever, or offer, promise, or contingent arrangement for such payment, compensation, or transfer by any partner, officer, any other employee, service provider, or person in any way and to any degree related to Underberg & Kessler, LLP,[GC:51¶111; 3¶10) supra] to U.S. Bankruptcy Judge John C. Ninfo, II, WBNY, since January 1, 1992, to date or in future.
28. The documents that during the preparation for, and the course of, their bankruptcy proceedings until their discharge and thereafter, if related to such proceedings, were made available directly or indirectly:
- a) by David Gene and Mary Ann DeLano or their children, Michael David and Jennifer, to Christopher Werner, Esq., Devin Lawton Palmer, Esq., any other members or employee of Boylan, Brown; Trustee George Reiber, Assistant U.S. Trustee Kathleen Dunivin Schmitt, U.S. Trustees for Region 2 Deirdre A. Martini and Diana G. Adams; any other panel or official trustee; Judge Ninfo and District Judge David Larimer and any other judge or court staffer;
  - b) by David Palmer to Raymond C. Stilwell, Esq., Trustee Kenneth Gordon, U.S. Trustee

Trudy Nowak, U.S. Trustee for Region 2 Carolyn Schwartz, and any other person mentioned by name or capacity in clause a) of this paragraph.

29. The documents obtained by Trustee Reiber in connection with *DeLano* and by Trustee Gordon in connection with *Premier* and *Pfuntner*, regardless of the source, up to the date of compliance with this Subpoena, whether such documents relate generally to the bankruptcy petition of the DeLanos or Mr. Palmer or his former moving and storage company, Premier Van Lines, Inc., (Premier) or its successor; or particularly to the investigation of whether either or both of them committed fraud, regardless of whether such documents point to their joint or several commission of fraud or do not point to such commission but were obtained in the context of such investigation.
30. The financial documents in either or both of the names of:
  - a) David Gene and Mary Ann DeLano
  - b) David Palmer and Premier and
  - c) third parties but concerning a financial matter under the total or partial control of either or both of them, respectively, whether either or both exercised or still exercise such control directly or indirectly through a third person or entity, and whether for their benefit or somebody else's.
31. The dates of the documents referred to in this 13§E.1 are:
  - a) in the case of the DeLanos, since January 1, 1975, to date; and
  - b) in the case of Mr. Palmer, since he began to work for, or do business as, or acquired partially or totally, or otherwise controlled, Premier to date.
32. The financial documents referred to in this 13§E.1 include the following:
  - a) the ordinary, whether the interval of issue is a month or a longer or shorter interval, and extraordinary statements of account of each and all checking, savings, investment, retirement, pension, credit card, and debit card accounts at, or issued by, M&T Bank and any other entity, whether banking, financial, investment, commercial, or otherwise, in the world;
  - b) the unbroken series of documents relating to the purchase, sale, or rental of any property or share thereof or right to its use, wherever in the world such property may have been, is, or may be located, by either or both of the DeLanos and Mr. Palmer/Premier, respectively, or by either or both of the DeLanos' children with funds totally or partially provided or secured by either or both of the DeLanos, including, but not limited to:
    - 1) real estate, including but not limited to the home and surrounding lot at 1262



- Shoecraft Road, Webster (and Penfield, if different), NY 14580;
- 2) Premier, any similar moving or storage company, or other business, whether incorporated or not incorporated;
  - 3) Premier's warehousing space at the warehouses at:
    - i) 2130 Sackett Road, Avon, NY, 14414, owned by Mr. James Pfuntner;
    - ii) Jefferson Henrietta Associates, 415 Park Avenue, Rochester, NY 14607;
    - iii) 10 Thruway Park Drive, West Henrietta, NY 14586
  - 4) moving and storage equipment, including, but not limited to, vehicles, forklifts, crates, padding and packaging material; and
  - 5) personal property, including any vehicle, mobile home, or water vessel;
- c) mortgage documents;
  - d) loan documents;
  - e) title documents and other documents reviewing title, such as abstracts of title;
  - f) prize documents, such as lottery and gambling documents;
  - g) documents relating to any service, wherever in the world such service was, is being, or may be received or provided; and
  - h) documents concerning any and all loans to or from each of the DeLanos' children, including, but not limited to, college expenses, such as tuition, books, transportation, room and board, and any loans extended or grant made by a government, a private entity, a relative, or any other person or entity for the benefit of the children and their education or the purchase or rental of a dwelling, regardless of whose name appears on the documents as the loan borrower or grant recipient.

## **2. Minutes, transcripts, and recordings**

33. The minutes, transcript, stenographic packs and folds, audio tape, and any other recording of the status conference and pretrial hearing in *Pfuntner* requested by Trustee Schmitt on December 10, 2002, and held before Judge Ninfo on January 10, 2003.
34. The transcript and stenographic packs and folds of the hearings held before Judge Ninfo:
  - a) in *Pfuntner* on:
    - a. December 18, 2002
    - b. February 12, 2003
    - c. March 26, 2003
    - d. April 23, 2003
    - e. May 21, 2003
    - f. June 25, 2003

g. July 2, 2003                      h. October 16, 2003                      i. any other date

b) in *DeLano* on:

a. March 8, 2008                      b. July 19, 2004                      c. August 23, 2004  
d. August 25, 2004                      e. December 15, 2004                      f. July 25, 2005  
g. November 16, 2005                      h. any other date

35. Trustee Schmitt and Trustee Reiber or their respective successors shall within 10 days of this Subpoena arrange for, and produce:
- a) the audio tape of the meeting of creditors of the DeLanos held on March 8, 2004, at the Office of the U.S. Trustee in Rochester, room 6080, and conducted by Att. James Weidman;
  - b) its transcription on paper and as a searchable PDF file on a CD; and
  - c) the video tape shown at the beginning of such meeting and in which Trustee Reiber appeared providing the introduction to it;
36. The transcript of the meeting of creditors of the DeLanos held on February 1, 2005, at Trustee Reiber's office, made by Court Reporter Ms. Bonsignor of Alliance Shorthand, and kept by Trustee Reiber, shall be produced by him or his transferee on paper and as a PDF file on a CD.
37. The recordings, transcripts, minutes, and memoranda of any other conversation, meeting, interview, examination, discussion, or conference that in manner, whether in person or by phone or videoconference, was approved, organized, held, requested, or attended, by Judge Ninfo or his representative, Trustee Schmitt, Trustee Reiber, or any other member of the U.S. Trustee Office or private trustee concerning any or all of the DeLanos, David Palmer, Premier, David Dworkin, M&T Bank officers, Dr. Richard Cordero, Esq., or Jefferson Henrietta Associates, regardless of whether any or all of them participated in or attended it in whatever manner.
38. The original stenographic packs and folds on which Reporter Dianetti recorded the evidentiary hearing of the DeLanos' motion to disallow Dr. Cordero's claim against them in their bankruptcy, which was held on March 1, 2005, in the Bankruptcy Court, shall be kept in the custody of the Bankruptcy Clerk of Court and made available upon demand to the Committee.
39. The statement reported in entry 134 of the docket of *DeLano* (7¶(c)7) to have been read by Trustee Reiber into the record at the confirmation hearing on July 25, 2005, of the DeLanos' plan of debt repayment, of which there shall be produced a copy of the written version, if any, of such statement as well as a transcription of such statement exactly as read and the stenographic packs and folds used by the reporter to record it.

### 3. Court orders

40. The Clerk of the Bankruptcy Court shall produce certified copies of all the orders in *DeLano* and *Pfuntner*, including, but not limited to, the following:

a) in *DeLano*:

- 1) July 26, 2004, for production of some documents by the DeLanos ;
- 2) August 30, 2004, severing Dr. Cordero's claim against Mr. DeLano from *Pfuntner*, and requiring Dr. Cordero to take discovery from Mr. DeLano to prove his claim against him while suspending all other proceedings until the DeLanos' motion to disallow Dr. Cordero's claim was finally determined;
- 3) November 10, 2004, denying Dr. Cordero all his requests for discovery from Mr. DeLano;
- 4) December 21, 2004, scheduling *DeLano* for an evidentiary hearing on March 1, 2005;
- 5) April 4, 2005, holding that Dr. Cordero has no claim against Mr. DeLano and depriving him of standing to participate in any future proceedings in *DeLano*;
- 6) August 8, 2005, ordering M&T Bank to pay part of Mr. DeLano's salary to Trustee Reiber;
- 7) August 9, 2005, confirming the DeLanos' debt repayment plan after hearing Trustee Reiber's statement and obtaining his "Trustee's Report", that is, his undated "Findings of Fact and Summary of 341 Hearing" and his undated and unsigned sheet titled "I/We filed Chapter 13 for one or more of the following reasons";
- 8) November 10, 2005, letter denying Dr. Cordero his request to appear by phone to argue his motion of November 5, 2005, to revoke the order of confirmation of the DeLanos' debt repayment plan;
- 9) November 22, 2005, denying Dr. Cordero's motion to revoke the confirmation of the DeLanos' debt repayment plan;
- 10) Notice of January 24, 2007, releasing Mr. DeLano's employer, M&T Bank, from the obligation to make any further payments to Trustee Reiber.
- 11) February 7, 2007, discharging the DeLanos after completion of their plan;
- 12) June 29, 2007, providing, among other things, for the allowance of the final account and the discharge of Trustee Reiber, the enjoinder of creditors from any attempt to

collect any discharged debt, the closing of the DeLanos' estate, and the release of their employer from the order to pay the Trustee;

b) in *Pfuntner*:

- 1) December 30, 2002, dismissing Dr. Cordero's cross-claims against Trustee Gordon for defamation as well as negligent and reckless performance as trustee;
- 2) February 4, 2003, transmitting to District Judge David Larimer, WDNY, the record in a non-core proceeding and findings of fact, conclusions of law, and the Recommendation not to grant Dr. Cordero's application for entry of default judgment against David Palmer;
- 3) Attachment of February 4, 2003, to the Recommendation of the Bankruptcy Court that the default judgment not be entered by the District Court ;
- 4) February 18, 2003, denying Dr. Cordero's motion to extend time to file notice of appeal;
- 5) July 15, 2003, ordering that a "discrete hearing" be held in Rochester on October 23, 2003, followed by further monthly hearings ;
- 6) October 16, 2003, Disposing of Causes of Action ;
- 7) October 16, 2003, denying Recusal and Removal Motions and Objection of Richard Cordero to Proceeding with Any Hearings and a Trial;
- 8) October 23, 2003, Finding a Waiver by Dr. Cordero of a Trial by Jury ;
- 9) October 23, 2003, setting forth a Schedule in Connection with the Remaining Claims of the Plaintiff, James Pfuntner, and the Cross-Claims, Counterclaims and Third-Party Claims of the Third-Party Plaintiff, Richard Cordero ;
- 10) October 28, 2003, denying Dr. Cordero's Motion for a More Definitive Statement of the Court's Order and Decision.

#### **4. Documents entered on dockets and publicly filed**

41. The Bankruptcy Clerk shall produce certified copies of the following documents referred to on the docket of *Premier*, 01-20692, WBNY, or connected to that case:

a) Documents entered on the docket:

- 1) the monthly reports of operation for March through June 2001, entered as entries no. 34, 35, 36, and 47;

- 2) the reports for the following months until the completion of the liquidation of Premier;
  - 3) the court order closing that case, which is the last but one entry, but bears no number;
  - 4) the court order authorizing the payment of a fee to Trustee Gordon and indicating the amount thereof, which is the last docket entry, but bears no number.
- b) Documents that are only mentioned in other documents in *Premier*, but not entered themselves anywhere:
- 1) the court order authorizing payment of fees to Trustee Gordon's attorney, William Brueckner, Esq., and stating the amount thereof; cf. docket entry no. 72;
  - 2) the court order authorizing payment of fees to Auctioneer Roy Teitsworth and stating the amount thereof; cf. docket entry no. 97;
  - 3) the financial statements concerning Premier prepared by Bonadio & Co., for which Bonadio was paid fees; cf. docket entries no. 90, 83, 82, 79, 78, 49, 30, 29, 27, 26, 22, and 16;
  - 4) the statement of M&T Bank of the proceeds of its auction of estate assets on which it held a lien as security for its loan to Premier; the application of the proceeds to set off that loan; and the proceeds' remaining balance and disposition; cf. docket entry no. 89;
  - 5) the information provided to comply with the order described in entry no. 71 and with the minutes described in entry no. 70;
  - 6) the Final report and account referred to in entry no. 67 and ordered filed in entry no. 62.
42. Judge Ninfo's and Judge Larimer's annual financial disclosure reports since 1992, required to be filed publicly under the Ethics in Government Act of 1978, 5 U.S.C. Appendix (App. 4 in Thomson West publications) shall be obtained from the Administrative Office of the U.S. Courts, One Columbus Circle, NE, Washington, D.C. 20544; tel. (202)502-2600; and from Judicial Watch at <http://www.judicialwatch.org/judicial-financial-disclosure>, for the purpose of:
- a) comparing them with their salaries, made a public matter by 5 U.S.C. §5332, The General Schedule, Schedule 7–Judicial Salaries, and other declared and otherwise discovered sources of income;
  - b) determining plausibility and compliance with the disclosure requirements; and
  - c) facilitating asset tracking as necessary in the context of the Subpoena's subject matter.

**5. Monitoring of, or interference with, communications through email accounts, websites, or electronic equipment**

43. Every concerned person and any other person contacted, approached, questioned, interviewed, or examined in connection with this Subpoena or its subject matter shall state any information and produce any evidence to the Committee regarding:
- a) any discussion or conversation, whether the person participated in it or otherwise came to know about it by whatever means, about
    - 1) its participants, date, place, and who initiated or called for it;
  - b) any plan, attempt, opportunity, action, means, or capacity, regardless of whether known ever to have been used or of its degree of actual or potential success,
  - c) to monitor, record, filter, reroute, delay, interfere with, prevent or delay access to or receipt of, or block
    - 1) the purpose, date, frequency, executioner(s), target(s) of any such action and the person(s) who authorized it;
  - d) any communication through, or the operation of, any mail service, email account, website, electronic equipment, including, but not limited to, computers, phones, or fax machines
  - e) of any person named in 2¶¶4 -5 above, or any party to any case listed on 6¶¶7c; and
  - f) the use that would, was, will, or may be made of any such communication.

for the HR Committee  
on Oversight and Government Reform,

\_\_\_\_\_  
Date

<sup>1</sup> Cf. [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_SCt\\_3oct8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_SCt_3oct8.pdf)

<sup>2</sup> Cf. [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_TrGordon\\_SCt.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_TrGordon_SCt.pdf)

(25apr11)

2<sup>nd</sup> Investigation: *Follow the wire!*<sup>†</sup>

**REQUEST**  
**to**  
**The U.S. House of Representatives**  
**Committee on Oversight and Government Reform**

**To Investigate Probable Cause To Suspect**  
**Interference By Public Officers**  
**With Email, Mail, and Phone Communications That**

**is intended to prevent a lawyer from exposing**  
**both individually and with others**  
**evidence gathered in cases that**

**went each from a federal bankruptcy court,**  
**to the district court, the 2<sup>nd</sup> Circuit Court of Appeals and Judicial Council,**  
**the U.S. Supreme Court, the Judicial Conference of the U.S.,**  
**to attorney grievance and disciplinary committees, and**  
**the New York State Attorney General, that**

**reveal the participation of attorneys, trustees, judges, bankruptcy professionals,**  
**and law enforcement officers**

**in New York City, Rochester, Buffalo, and elsewhere in the country in**  
**a bankruptcy fraud scheme and its cover up**

**involving corruption, concealment of assets and tax evasion,**  
**and abuse of power for personal and partisan gain**  
**and establish**

**The need for and rewards of a *Follow the wire!* investigation**

<sup>†</sup> Cf. 1<sup>st</sup> investigation: *Follow the money!*; [HR:ix](#)

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Dr. Richard Cordero, Esq.

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February 4, 2011

Mr. Eric M. Schneiderman  
New York State Attorney General  
The Capitol  
Albany, NY12224-0341

Re: 2nd investigation: *Follow the wire!*

Dear Mr. Schneiderman,

Relying on your commitment that you have “established restoring the public’s faith in its public and private sector institutions as key priorities for [his] office, by focusing on areas including public integrity, economic [and] social justice”<sup>1</sup>, and your determination to be “a champion for people concerned about government corruption”<sup>2</sup>, I hereby file a complaint about a bankruptcy fraud scheme entailing corruption, concealment of assets, tax evasion and self-interested dismissal of complaints.

The scheme originates in the Federal Judiciary.<sup>3</sup> Its judges abuse their self-policing power by self-exempting from any discipline<sup>4</sup>. With risklessness assured, they handle wrongfully the greatest corruptor at all levels: money!, at least \$325.6 billion in FY09<sup>5</sup> at stake just in personal bankruptcies<sup>6</sup>. So they harm millions<sup>7</sup>, but protect their own, such as a bankruptcy officer at a major bank<sup>8</sup>. After he went ‘bankrupt’, *every single document*<sup>9</sup> requested by a creditor to expose his concealment of assets was denied by the CA2-appointed<sup>10</sup> bankruptcy judge<sup>11</sup> and the district and CA2 judges, including Then-J. Sotomayor, presiding<sup>12</sup>. By violating discovery rights and dismissing the case<sup>13</sup>, she too covered up the scheme. Judges cover up their assets by filing pro forma financial disclosure reports<sup>14</sup>, which Judge Sotomayor did<sup>15</sup>, as hinted at by major newspapers<sup>16</sup>. The co-scheming attorneys were complained-against to the chair and staffers of the Attorney Grievance Committee in Rochester.<sup>17</sup> They dismissed the complaint without asking for a response or documents, for they had a conflict of interests: Court records show that they worked for or with the judge and attorneys running the fraud scheme or were involved in the type of bankruptcies concerned by it.<sup>18</sup> When those members became the subject of a complaint<sup>19</sup>, they also dismissed it in self-interest!<sup>20</sup> A similar complaint was filed with each 1<sup>st</sup> Department Disciplinary Committee member<sup>21</sup> against the attorneys in NYC<sup>22</sup>. The Committee dismissed it too.<sup>23</sup>

From those members I received only one response...to inform me that the sender was no longer a member. In the same vein, the attached statement of facts describes my efforts to inform judges with supervisory duties<sup>24</sup> of the bankruptcy fraud scheme. They were to no avail. So I sent tens of thousands of emails to my list of 9,000+ addresses and mailed letters repeatedly to over 1,700 individuals and entities. They are journalists, academics, practitioners, and litigants to whom I proposed to work together to expose judicial wrongdoing. Yet, I did not receive but a handful of replies, all negative.<sup>25</sup> It is not reasonable to believe that such a vast number of people with divergent interests on that subject would have an overwhelmingly uniform non-responsive or rejective reaction.<sup>26</sup> Also, on two occasions the day after I emailed an article critical of the judiciary and of J. Sotomayor<sup>27</sup>, my four email accounts from different ISP stopped receiving the hundreds of emails that I was receiving daily.<sup>28</sup> Calls and letters from inmates have stopped.<sup>29</sup> Far from a random coincidence, it supports probable cause to suspect interference by the Judiciary.<sup>30</sup>

Not to investigate judges and attorneys would belie your promise that “Eric will stand up to powerful special interests...and follow investigations wherever the facts lead – independent of political considerations”.<sup>31</sup> But investigating<sup>32</sup> and prosecuting the interferers<sup>33</sup>, and supporting a whistleblower<sup>34</sup> would honor your Agenda<sup>35</sup> against corruption<sup>36</sup>, waste, and fraud<sup>37</sup>. So I respectfully request that you **1)** *Follow the wire!*<sup>38</sup>; **2)** keep me informed of its progress<sup>39</sup> openly or as Deep Throat; and **3)** ask me in for an interview.

Sincerely, *Dr. Richard Cordero, Esq.*

## Endnotes

(To easily retrieve the references below download the digital file, which is complete and has active links; <http://Judicial-Discipline-Reform.org/DoJ-FBI/11-3-10DrRCordero-AUSALGerson.pdf>

- <sup>1</sup> About the Attorney General; <http://www.ag.ny.gov/about.html>
- <sup>2</sup> A.G. Schneiderman Visits Rochester, Vowing To Be A Champion For Upstate; [and] Details Ambitious Agenda for the Region, Including Cracking Down on Fraud & Corruption Against Taxpayers; [http://www.ag.ny.gov/media\\_center/2011/jan/jan24a\\_11.html](http://www.ag.ny.gov/media_center/2011/jan/jan24a_11.html). Cf. [http://Judicial-Discipline-Reform.org/Follow\\_money/Champion\\_of\\_Justice.pdf](http://Judicial-Discipline-Reform.org/Follow_money/Champion_of_Justice.pdf)
- <sup>3</sup> In the 222 years since the establishment of the Federal Judiciary in 1789, only 8 federal judges have been impeached and removed; Federal Judicial Center, [http://www.fjc.gov/history/home.nsf/page/judges\\_impeachments.html](http://www.fjc.gov/history/home.nsf/page/judges_impeachments.html). Put this in perspective against the 2,132 justices, judges, and magistrates in office on 30sep09; <http://www.uscourts.gov/Statistics/JudicialBusiness/JudicialBusiness.aspx?doc=uscourts/Statistics/JudicialBusiness/2009/JudicialBusinesspdfversion.pdf> >34-37; and [http://Judicial-Discipline-Reform.org/statistics&tables/num\\_jud\\_officers.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/num_jud_officers.pdf)

“About 3.2% of adults in the U.S. resident population, or 1 in every 31 adults, were under correctional supervision at yearend 2008. This rate has remained fairly stable during the past eight years.” Probation and Parole in the U.S., 2008, Lauren E. Glaze and Thomas P. Bonczar, Bureau of Justice Statistics, DoJ, BJS Bulletin, dec9, NCJ 228230, at 3; <http://bjs.ojp.usdoj.gov/index.cfm?ty=dcdetail&iid=271>; and [http://Judicial-Discipline-Reform.org/docs/statistics&tables/correctioneers/correctional\\_population\\_1in31.pdf](http://Judicial-Discipline-Reform.org/docs/statistics&tables/correctioneers/correctional_population_1in31.pdf).

If the “1 in every 31” statistic is applied arguendo to the 2,132 judicial officers at the end of FY09, then 69 of them should have been, not on the bench, but rather “incarcerated or on probation or parole”. No doubt, this application can be subjected to reasonable statistical refinements. However, the result would still not support the pretense of the judges on the Judicial Council of the Second Circuit, including Then-Judge Sotomayor during her stint there, that in the FY96-09 13-year period not a single one of their 2<sup>nd</sup> Circuit complained-against judge or magistrate peers engaged in conduct suspect enough to warrant that the dismissal by the CA2 chief judge of the corresponding complaint be reviewed by the Council, let alone by an investigative committee(endnote 4) appointed by it under 28 U.S.C. §354(a)(1)(C). (<http://Judicial-Discipline-Reform.org/docs/28usc351-364.pdf>)

The Council’s pretense implies that a CA2 chief judge cannot err and a 2<sup>nd</sup> Circuit judge can do no wrong. That is outright implausible as contrary to human and judicial experience. The pretense is further exposed as such by the indisputable fact that the number of persons investigated for administrative, civil, or criminal misconduct is always substantially higher than the number of those that end up being criminally charged, tried, convicted, and incarcerated or placed on probation.

What is more, the “1 in every 31” statistic does not even include the number of adults in the population suffering from a mental or physical disability that incapacitates them from working. By contrast, that kind of disability can be the predicate of a complaint against a judge, which opens the way to an investigation, suspension from case assignment, removal of a bankruptcy or magistrate judge, and

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theoretically even impeachment of a district and circuit judge and a Supreme Court justice. Hence, the inclusion of disable judges in the 1 in 31 statistical calculation would result in that more than 69 out of the 2,132 of them in office on 30sep9 should have been not on the bench, but rather “incarcerated or on probation or parole”.

<sup>4</sup> Federal judges have systematically dismissed 99.82% of the 9,466 complaints filed against them under 28 U.S.C. §351 et seq. ([http://Judicial-Discipline-Reform.org/docs/28usc351\\_Conduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/docs/28usc351_Conduct_complaints.pdf), during the 1oct96-30sep8 12-year period covered by the posted statistics. See the statistical tables of misconduct complaint against judges, prepared by the Administrative Office of the U.S. Courts, Judicial Business of the U.S. Courts; <http://www.uscourts.gov/Statistics/JudicialBusiness.aspx> > Table S-22 Report of Action Taken on Complaints (in earlier years Table S-23 or 24); collected and relevant values tabulated at [http://Judicial-Discipline-Reform.org/statistics&tables/judicial\\_misconduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/judicial_misconduct_complaints.pdf) >Cg:6. Judge Sonia Sotomayor supported the Judicial Council’s policy, revealed by those official statistics, of denying 100% of petitions to review systematically dismissed complaints against judges in the 2<sup>nd</sup> Circuit. Id. >Cg:7 and supra at AG:214.

<sup>5</sup> In FY09 -1oct8-30sep9-, federal bankruptcy judges dealt with the staggering \$325.6 billion in liabilities self-reported by individual debtors in cases with predominantly consumer debt; to this figure must be added the \$10s of billions in debt of predominantly business debtors. They discharged \$310,329,885,000. Even a tiny percentage of this amount and of the non-discharged difference of \$15,270,115,000 is a colossal amount of money, particularly because it is concentrated in the hands of only judges and a few insiders of the bankruptcy and legal systems with whom they coordinate their wrongdoing to extend its reach and profitability. [http://www.uscourts.gov/News/TheThirdBranch/10-07-01/BAPCPA\\_Report\\_Looks\\_at\\_Filers\\_in\\_Non-business\\_Bankruptcies.aspx](http://www.uscourts.gov/News/TheThirdBranch/10-07-01/BAPCPA_Report_Looks_at_Filers_in_Non-business_Bankruptcies.aspx); tables collected at [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/bkr\\_dollar\\_value.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/bkr_dollar_value.pdf) >dv:1 Judges abuse their power to exempt from investigation and discipline themselves and those insiders, in whose favor they rule if ever sued. So they have the most irresistible incentive to do wrong by grabbing the money: risklessness.

<sup>6</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/How\\_fraud\\_scheme\\_works.pdf](http://Judicial-Discipline-Reform.org/Follow_money/How_fraud_scheme_works.pdf)

<sup>7</sup> In the year to 30jun10, 1,572,597 cases were filed in the U.S. Bankruptcy Courts, a 20.4% increase over the 1,306,315 filed in the year to 30jun9, which continued the upward trend since 2006; <http://www.uscourts.gov/Statistics/BankruptcyStatistics.aspx> >12-month period ending June >2009-2010 Calendar Year comparison; and [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/latest\\_bkr\\_filings.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/latest_bkr_filings.pdf).

These figures do not include the latest ones for cases filed in CY09 elsewhere:

- a) 43,776 civil cases (=57,138 -13,362) filed in the 12 regional U.S. Courts of Appeals (Table B-1.—Appeals Commenced);
- b) 1,337 filed in the Federal Circuit Court of Appeals (Table B-8. Appeals Filed); nor
- c) 278,884 civil cases filed in the U.S. District Courts in the same period.

Administrative Office of the United States Courts, *Statistical Tables for the Federal Judiciary*: December 31, 2009; Washington, D.C., 2010; <http://www.uscourts.gov/>

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[Statistics/StatisticalTablesForTheFederalJudiciary/December2009.aspx](http://Statistics/StatisticalTablesForTheFederalJudiciary/December2009.aspx); and [http://Judicial-Discipline-Reform.org/statistics&tables/caseload/ljudicial\\_caseload.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/caseload/ljudicial_caseload.pdf)

In all these 1,896,594 (=1,572,597 + 323,997 [=43,776 + 1,337 + 278,884]) civil cases, money could have been at stake and unaccountable federal judges could abusively wield power in self-interest to decide who kept it or had to pay it out to somebody.

<sup>8</sup> The Salient Facts of The *DeLano* Case, revealing the involvement of bankruptcy and legal system insiders in a bankruptcy fraud scheme; supra [AG:216](#) and [GC:41§D](#).

<sup>9</sup> Supra [GC:49§4](#); and [Ci:157§V](#)

<sup>10</sup> Appointment of bankruptcy judges [by their respective U.S. court of appeals]; [http://Judicial-Discipline-Reform.org/docs/28usc151-159\\_bkr\\_judges.pdf](http://Judicial-Discipline-Reform.org/docs/28usc151-159_bkr_judges.pdf)

<sup>11</sup> Judicial Misconduct Complaint under 28 U.S.C. §351 against U.S. Bankruptcy Judge John C. Ninfo, II, WBNY, Rochester, NY, for bias, prejudice, and abuse of judicial power in support of a bankruptcy fraud scheme and its cover up; [http://Judicial-Discipline-Reform.org/docs/DrRCordero\\_2v\\_JNinfo\\_6jun8.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero_2v_JNinfo_6jun8.pdf)

<sup>12</sup> Supra [AG:213](#). The *DeLano* case is so incriminating that Judge Sotomayor withheld it from the Senate Judiciary Committee that held hearings on her nomination for a justiceship. She violated her duty to comply with the Committee's request for her to produce all cases in which she had participated, let alone presided over, as she had in *DeLano*. In addition, she perjured herself, for she swore that she had complied with the production request; [http://Judicial-Discipline-Reform.org/SCt\\_nominee/Senate/1DrCordero-Senate.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/Senate/1DrCordero-Senate.pdf). Thus, she also violated her duty to the public to disclose all matters relevant to assess her integrity and competence to become a justice.

<sup>13</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_CA2\\_rehear.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_CA2_rehear.pdf)

<sup>14</sup> Federal judges earn judicial salaries, [http://Judicial-Discipline-Reform.org/docs/Schedule7\\_Judicial\\_Salaries.pdf](http://Judicial-Discipline-Reform.org/docs/Schedule7_Judicial_Salaries.pdf), that put them in the top 2% of income earners in our country; [http://www.census.gov/compendia/statab/cats/income\\_expenditures\\_poverty\\_wealth/income\\_for\\_persons.html](http://www.census.gov/compendia/statab/cats/income_expenditures_poverty_wealth/income_for_persons.html); and [http://Judicial-Discipline-Reform.org/docs/US\\_Census\\_Income\\_2010.pdf](http://Judicial-Discipline-Reform.org/docs/US_Census_Income_2010.pdf) >Table 689. Money Income of People--Number by Income Level and by Sex, Race, and Hispanic Origin: 2007: 4,777,000 people earned \$150,000 and above out of 238,148,000 representing 2.01% of the total. Those judges cannot end up with the few assets that they disclose year after year unless they squander their money or conceal it; [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/12table\\_JSotomayor-financials.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/12table_JSotomayor-financials.pdf)

<sup>15</sup> At the time of Then-Judge Sotomayor' 2009 nomination to a justiceship, her annual earnings were over \$210,000. Where did they go, since her reports, [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/JSotomayor\\_03-07\\_reports.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/JSotomayor_03-07_reports.pdf), do not account for them?; supra [AG:201](#).

Cf. Judge David Larimer, WDNY, the U.S. district judge in the bankruptcy officer's bankruptcy; supra [GC:30§5](#) and [52§6](#). In 2008, his judicial salary alone was \$169,300, placing him in the top 2% of income earners; supra ent. 14. Yet, in his available financial disclosure reports, [http://Judicial-Discipline-Reform.org/docs/J\\_Larimer\\_](http://Judicial-Discipline-Reform.org/docs/J_Larimer_)

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[fin\\_disclosure\\_rep.pdf](#), he disclosed for the reported years up to 5 accounts with \$1,000 or less each, no transaction reported in a mutual fund or the other accounts, and a single loan of between \$15K-\$50K. Where did his money go?

Their concealment of assets explains their disregard of the bankruptcy officer's incongruous, implausible, and suspicious bankruptcy petition disclosures, supra [GC:42§1](#), and their denial of discovery of *every single document* concerning his bankruptcy, lest the documents lead to his indictment for bankruptcy fraud and it causes him to plea bargain by incriminating these judges and others in the bankruptcy fraud scheme.

<sup>16</sup> Articles in the *NYT*, *The Washington Post*, and Politico on J. Sotomayor's suspicious financial disclosure reports; [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_integrity/6articles\\_JSotomayor\\_financials.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_integrity/6articles_JSotomayor_financials.pdf)

<sup>17</sup> [http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/1DrRCordero-Att\\_Grievance\\_Com.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/1DrRCordero-Att_Grievance_Com.pdf). Cf. supra Cover>[GC:i](#); Overview >[GC:3§II](#); Statement of Facts >[GC:14§III](#)

<sup>18</sup> Id. [ri:132§IV](#). Cf. supra [rr:121](#) and [Ci:161§VI](#)

<sup>19</sup> Id. [ri:116¶9](#)

<sup>20</sup> Id. [ri:169](#)

<sup>21</sup> Supra [GC:i](#) and [viii](#); [rr:91](#) and [Ci:170](#); and [Ci:128](#) and [168](#)

<sup>22</sup> Supra [GC:1](#) and [Ci:163§VII](#)

<sup>23</sup> Supra [rr:88](#) and [Ci:173](#)

<sup>24</sup> [AG:266§A](#)

<sup>25</sup> [AG:271§§B.1-6](#)

<sup>26</sup> [AG:282§III](#)

<sup>27</sup> That Then-Judge Sotomayor could have concealed assets, as did the scheme-insider bankruptcy officer in *DeLano*, is possible, for she was nominated by President Obama, who also nominated known tax cheats Tim Geithner, Tom Daschle, and Nancy Killefer; [http://Judicial-Discipline-Reform.org/docs/Geithner\\_tax\\_evasion\\_jan9.pdf](http://Judicial-Discipline-Reform.org/docs/Geithner_tax_evasion_jan9.pdf); [http://Judicial-Discipline-Reform.org/docs/Tom\\_Daschle\\_tax\\_evasion\\_feb9.pdf](http://Judicial-Discipline-Reform.org/docs/Tom_Daschle_tax_evasion_feb9.pdf); and [http://Judicial-Discipline-Reform.org/docs/Nancy\\_Killefer\\_3feb9.pdf](http://Judicial-Discipline-Reform.org/docs/Nancy_Killefer_3feb9.pdf).

If AG Schneiderman honestly deplores that dishonesty has reached even “the highest ranks”, then he must show “integrity in public service” by applying “at all levels” his Fraud Enforcement and Recovery Act; DA Vance, Senator Schneiderman, Assembly Member Kellner Announce Major Reforms To Combat Public Corruption; 4may10; <http://www.manhattanda.org/whatsnew/press/2010-05-04.shtml>; and [http://Judicial-Discipline-Reform.org/docs/DANY\\_Pub\\_Corrupt\\_Unit\\_20oct10.pdf](http://Judicial-Discipline-Reform.org/docs/DANY_Pub_Corrupt_Unit_20oct10.pdf). He must expose, even if only to shame, those with “the highest ranks” who cover up their peers’ wrongdoing: President Obama, who vetted Then-Judge Sotomayor, and the Senators, who reviewed the financial documents that she submitted to the Senate Judiciary Committee and who posted them on its website, [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_integrity/2SenJudCom\\_Questionnaire\\_JSotomayor.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_integrity/2SenJudCom_Questionnaire_JSotomayor.pdf). They had evidence that she had failed to account for \$3,611,696 in earnings minus

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taxes and her ‘modest living’ cost; supra, [AG:201](#). Nevertheless, for their short term political benefit from putting the first Latina and third woman on the Court, they saddled the public with a life-tenured dishonest justice; [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/15JSotomayor\\_wrongdoing.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/15JSotomayor_wrongdoing.pdf).

<sup>28</sup> [AG:278§7](#)

<sup>29</sup> [AG:281§8](#)

<sup>30</sup> [AG:282§III](#)

<sup>31</sup> Eric Schneiderman’s Agenda For The Office of New York State Attorney General; <http://www.ericshneiderman.com/issues?id=0008> at 1; and [http://Judicial-Discipline-Reform.org/docs/Schneiderman\\_Agenda\\_2010.pdf](http://Judicial-Discipline-Reform.org/docs/Schneiderman_Agenda_2010.pdf).

<sup>32</sup> [AG:221](#): Subpoena identifying key information and evidence, proposed for the A.G. to issue; [http://Judicial-Discipline-Reform.org/AG/2DrRCordero-AG\\_subpoena\\_4feb11.pdf](http://Judicial-Discipline-Reform.org/AG/2DrRCordero-AG_subpoena_4feb11.pdf) A basis and leads for the requested *Follow the wire!* investigation can also be found here:

- a) Synopsis of an Investigative Journalism Proposal; leads for a Watergate-like *Follow the money!* investigation to answer the question: **HAS A FEDERAL JUDGESHIP BECOME A SAFE HAVEN FOR COORDINATED WRONGDOING?**; 1may10; [http://Judicial-Discipline-Reform.org/Follow\\_money/DrCordero-journalists.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DrCordero-journalists.pdf); supra [AG:215](#);
- b) How to Conduct a Watergate-like *Follow the money!* Investigation To Expose Coordinated Wrongdoing in the Federal Judiciary; 28sep8; [http://Judicial-Discipline-Reform.org/docs/how\\_to\\_follow\\_money.pdf](http://Judicial-Discipline-Reform.org/docs/how_to_follow_money.pdf); and
- c) Leads connected to *DeLano* and its concealment of assets as part of the DeLanos’ participation in the judge-run bankruptcy fraud scheme and useful for the *Follow the money!* investigation to expose the scheme; [AG:217](#) and [218](#).

<sup>33</sup> “Eric will make it a priority to vigorously launch civil investigations and prosecutions of businesses or corporations that flout laws involving government corruption [and] will go after public officials who engage in an act of wrongdoing that violates a business law, government fraud law, or other law”; <http://www.ericshneiderman.com/issues?id=0008> at 46, and [http://Judicial-Discipline-Reform.org/docs/Schneiderman\\_Agenda\\_2010.pdf](http://Judicial-Discipline-Reform.org/docs/Schneiderman_Agenda_2010.pdf).

<sup>34</sup> “In the fight against the theft of government funds by corrupt contractors, there is no more important weapon in the Attorney General’s arsenal than the False Claims Act – the state’s triple-damage whistleblower law that Eric helped pass in 2007”; id. at 47. Cf. “NY hopes incentive will help blow the whistle on tax cheats”, by Michelle Breidenbach, Syracuse Post-Standard, 9jan11; reprinted on Attorney General Schneiderman’s website; <http://www.ericshneiderman.com/transition-news?id=0004>

<sup>35</sup> [AG:287§IV](#). “In addition to immediately requesting that the Governor grant the Attorney General expanded power to fight public corruption, Eric’s *first* program bill, which he will send to legislative leaders on January 1, 2011, will call for [] investigatory and enforcement powers of the Public Officers Law [and] other government ethics laws to be codified into law...and establish a dedicated unit in the Public Integrity Bureau to commence those investigations and prosecutions”; id. at 59.

Cf. Unaccountability in the Federal Judiciary: The institutionalization of judges’ coordinated wrongdoing as the Judiciary’s modus operandi; [http://Judicial-Discipline-Reform.org/docs/Unaccountability\\_in\\_the\\_Federal\\_Judiciary.pdf](http://Judicial-Discipline-Reform.org/docs/Unaccountability_in_the_Federal_Judiciary.pdf)

<sup>36</sup> A.G. Schneiderman and principled members of his staff can pursue this complaint to prove the sincerity of their commitment to investigate and prosecute the beneficiaries of “the culture of corruption”, *id.* at 59, whether among state or federal officers who defraud the taxpayers. If they do not shy away from exposing the wrongdoing and cover-up of even powerful Supreme Court justices, NYS judges, and well-connected, generous donor-lawyers, they will have kept their word and earn the respect, trust, and attention of those who matter the most at the next election: the public.

<sup>37</sup> “As a lifelong progressive Democrat, Eric contends that if you believe that the public sector is a force for good, you must be among the harshest critics of corruption, waste, and fraud in government. For his entire career, Eric has been active in the struggle to reform New York’s government”; *supra* ent. 33 at 44. Cf. “Recouping taxpayer dollars illegally siphoned from state coffers is critically important,” Gov. Andrew M. Cuomo said.” A.G. Schneiderman Launches New Initiative To Bolster Recovery of Taxpayer Dollars & Fight Government Fraud; [http://www.ag.ny.gov/media\\_center/2011/jan/jan27a\\_11.html](http://www.ag.ny.gov/media_center/2011/jan/jan27a_11.html).

<sup>38</sup> The *Follow the wire!* investigation can be the prelude to asking at public corruption commission hearings before a state or national audience a variation on the question that U.S. Sen. Howard Baker asked of each witness that he examined at the nationally televised Senate Watergate Committee hearings: ‘WHAT AND WHEN DID THE JUSTICES, JUDGES, AND ATTORNEYS KNOW ABOUT THE BANKRUPTCY FRAUD SCHEME AND WHY DID YOU NOT PROTECT “WE THE PEOPLE”?’ Thereby he made himself known to the whole nation and introduced a piercing question of accountability into our political language; [http://Judicial-Discipline-Reform.org/docs/CNN\\_Watergate.pdf](http://Judicial-Discipline-Reform.org/docs/CNN_Watergate.pdf) >wg:4.

You can be the one to ask that question or you can refuse to investigate and let the Republicans, led by U.S. Sen. Majority Leader McConnell and Rep. Issa and Cantor, do the asking and win national recognition supportive of higher office, even a movie deal. The latter was one of the rewards earned by *Washington Post* Reporters Carl Bernstein and Bob Woodward for their journalistic investigation of the Watergate Scandal of corruption, fraud, and abuse of power by President Nixon and his White House aides. After they won a Pulitzer Prize, their bestseller *All the President’s Men* was turned into a homonymous film, a blockbuster starring Robert Redford and Dustin Hoffman, that won an Oscar. For your responsible and fearless work, you can succeed Bernstein and Woodward as this generation’s Champion of Justice.

<sup>39</sup> Last November 4, NBC reported Then-Sen. Minority Leader M. McConnell, <http://mccconnell.senate.gov/public/>, as saying that to get America back on track, a president that can veto the agenda of the new majority must be forced out of office and that, consequently, his eyes are on the 2012 presidential elections. Rep. D. Issa said that “GOP Oversight Will Search Out Waste, Fraud & Abuse...And Eliminate it”; <http://www.youtube.com/watch?v=oJMqxiHKIzc&feature=channel>. He and Rep. E. Cantor, <http://cantor.house.gov/>, will conduct a series of investigations of President Obama’s administration to hold it accountable. Surely, the TEA Party will join them, because “The enemy of my enemy is my friend”. You can take the initiative to investigate Justices’, judges’ and attorneys’ participation in, and cover-up of, a bankruptcy fraud scheme, concealment of assets, and tax evasion or you can join the enemy.

# Table of Documents

## supporting the *Follow the wire!* investigation request

**NOTE:** All pages are numbered consecutively; the letters preceding the numbers identify the nature or stage of the proceedings to which they belong: lg:i >lg:1 >AG:i >GC:i >GC:1 GCd:69 >rr:88 >Ci:126 >AG:201 >lg:221 >AG:251 to end.

Summarizing title pages of the request addressed to the U.S. Attorney General’s Office for a ***Follow the wire!*** investigation ..... lg: 241  
 and a ***Follow the money!*** investigation ..... lg:i  
 based on the statement of facts contained in the 4feb11 request to NY State Attorney General Eric Schneiderman, who has not acknowledged receipt of it

### **I. Complaint About Interference With Communications and request for a *Follow the wire!* investigation**

1. Dr. Richard Cordero, Esq., to the New York State Attorney General’s Office to file a complaint about interference with his email, mail, and phone communications by public officers involved in a bankruptcy fraud scheme and its cover-up; 4feb11 ..... AG:251
  - a. Endnotes..... AG:252
  - b. Request to the NYS Attorney General’s Office to investigate probable cause to suspect interference by public officers with a third party’s email, mail, and phone communications that is intended to prevent that party from exposing both individually and with others evidence gathered in cases that went each from a federal bankruptcy court, to the district court, the 2nd Circuit Court of Appeals and Judicial Council, the U.S. Supreme Court, the Judicial Conference of the U.S., and to attorney disciplinary committees, and that reveal the participation of trustees, lawyers, judges, and other bankruptcy and legal systems insiders in Rochester, Buffalo, New York City, and elsewhere in a bankruptcy fraud scheme and its cover up involving corruption, concealment of assets, and tax evasion as well as abuse of power through dismissal in self-interest of complaints; the need for and rewards of a *Follow the wire!* investigation; 4feb11 ..... AG:261

### **SUPRA**

### **II. Complaint About A Bankruptcy Fraud Scheme and Its Cover-up and request for a *Follow the money!* investigation**

2. Dr. Richard Cordero, Esq., to the New York State Attorney General’s Office to



**a)** file a complaint against public officers, judges, attorneys, and other insiders of the bankruptcy and legal systems in Rochester, Buffalo, NY City, and elsewhere for public corruption through their participation in, and cover-up of, a bankruptcy fraud scheme involving concealment of assets, tax evasion, and the dismissal in self-interest of complaints; **b)** provide information and evidence to make a focused and cost-effective investigation possible; and **c)** afford A. G. Eric T. Schneiderman an opportunity to prove his commitment to protect the people of New York, in general, and taxpayers, in particular, rather than his supporters and party colleagues; 4feb11 ..... AG:i

a. Endnotes ..... AG:iii

3. Statement of facts of the bankruptcy fraud scheme and its cover-up

a. Dr. Cordero’s cover letters to Thomas N. Trevett, Esq., Chair of the NY State Fourth Judicial Department, Seventh District, Attorney Grievance Committee in Rochester, 25feb10; and Roy L. Reardon, Esq., Chair of the First Department Attorney Disciplinary Committee in NY City, 1mar10; as samples of the individualized cover letter sent to each of the policy committee members of each of those Committees together with an original of the complaint, after which an individualized letter and an original of the complaint were sent to each of the other members of those Committees and to the chairs of the corresponding Committees in Buffalo and Syracuse; ..... GC:i

[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/1DrRCordero-Att\\_Grievance\\_Com.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/1DrRCordero-Att_Grievance_Com.pdf)  
[http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/1DrRCordero-Disciplinary\\_Com.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/1DrRCordero-Disciplinary_Com.pdf)

b. Dr. Cordero’s complaint to the departmental attorney grievance and disciplinary Committees of the NYS Supreme Court, Appellate Division, for the Fourth and the First Judicial Departments, respectively, against attorneys engaged in misconduct contrary to law and/or the NYS Unified Court System, Part 1200 - Rules of Professional Conduct, which reveal the participation also of judges, trustees, and other insiders of the bankruptcy and legal systems in a bankruptcy fraud scheme and its cover-up.....GC:1

**III. Exhibits and Proposed Subpoena**

4. Judge Sotomayor of the Court of Appeals for the 2<sup>nd</sup> Circuit (CA2) earned \$3,773,824 since 1988 + received \$381,775 in loans = \$4,155,599 + her 1976-1987 earnings, yet disclosed assets worth only \$543,903, thus leaving unaccounted for in her answers to the Senate Judiciary Committee \$3,611,696 minus taxes and the cost of her reportedly ‘modest living’: The similarity to the *DeLano* case, 06-4780-bk, CA2, that she presided over but withheld from the Committee holding hearings on her nomination to a justiceship of the U.S. Supreme Court; as of 14dec9 ..... AG:201

5. Summary order dismissing the *DeLano* case, 06-4780-bk, CA2, which Judge S. Sotomayor, presiding, withheld from the Senate Judiciary Committee; 7feb8 ..... AG:213
6. Second Circuit Judicial Council's and Judge Sotomayor's Denial of 100% of Petitions for Review of Systematically Dismissed Misconduct Complaints Against Their Peers and 0 Judge Disciplined in the 12-Year Period from 1oct96-30sep8 for which Reports Have Been Posted on the Internet ..... AG:214  
(This policy was continued in 1oct8-30sep9 FY09; <http://www.uscourts.gov/Statistics/JudicialBusiness/JudicialBusiness2009.aspx> >Tables S-22A and S-22B; and [http://Judicial-Discipline-Reform.org/statistics&tables/judicial\\_misconduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/judicial_misconduct_complaints.pdf) >Cg:5a and 44-47.)
7. Synopsis of an Investigative Journalism Proposal: Where the leads in evidence already gathered in a cluster of federal cases would be pursued in a Watergate-like *Follow the money!* investigation to answer the piercing question: **HAS A FEDERAL JUDGESHIP BECOME A SAFE HAVEN FOR COORDINATED WRONGDOING?** ..... AG:215
  - a. The Salient Facts of The *DeLano* Case, revealing the involvement of bankruptcy and legal system insiders in a judge-run bankruptcy fraud scheme ..... AG:216
  - b. Summary of the DeLanos' income of \$291,470 + mortgage receipts of \$382,187 = \$673,657 + borrowings of \$98,092, but incongruously only \$535 disclosed in their bankruptcy petition to the U.S. Bankruptcy Court..... AG:217
  - c. Leads for the *Follow the money!* investigation: from the financial statements of the weak link, the DeLanos, to the top of the bankruptcy fraud scheme ..... AG:218
8. **Proposed Subpoena:** to be issued by the NY State Attorney General's Office, identifying key pieces of information and evidence apt to pinpoint the investigation of the complaint and render it cost-effective  
(as adapted for the U.S. Attorney General's Office; also as [http://Judicial-Discipline-Reform.org/DoJ-FBI/11-3-9DrRCordero-USAG\\_subpoena.pdf](http://Judicial-Discipline-Reform.org/DoJ-FBI/11-3-9DrRCordero-USAG_subpoena.pdf)) .....lg:221
9. See also: Transcript of the sham evidentiary hearing on the 'bankrupt' DeLanos' artifice of a motion to disallow a creditor's claim in order to strip him of standing in their bankruptcy case and thus deprive him of the right to keep requesting production of the documents that would prove their concealment of assets as part of the involvement of Bankruptcy Officer DeLano, a bankruptcy system insider, in a judge-run and covered up bankruptcy fraud scheme; before Bankruptcy Judge John C. Ninfo, II, WBNY; 1mar5 (downloadable through [http://Judicial-Discipline-Reform.org/docs/transcript\\_DeLano\\_1mar5.pdf](http://Judicial-Discipline-Reform.org/docs/transcript_DeLano_1mar5.pdf)) ..... Tri:190  
(See also the scheme's factual and statistical basis at [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/bkr\\_as\\_percent\\_new\\_cases.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/bkr_as_percent_new_cases.pdf))

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## **I. A.G. Eric Schneiderman’s stated agenda as basis for the request, and interference with communications as the basis for the scope of the request**

Eric will stand up to powerful special interests...follow investigations wherever the facts lead – independent of political considerations...fight for equal, independent and progressive justice for all New Yorkers...combat the corrosiveness of corruption and instill transparency, honesty and accountability in the public sector. Eric will aggressively pursue a series of initiatives – including an expansion of the size and scope of the Attorney General’s Public Integrity Bureau – to enhance enforcement of current New York law. ERIC SCHNEIDERMAN’S AGENDA FOR THE OFFICE OF NEW YORK STATE ATTORNEY GENERAL; <http://www.ericshneiderman.com/issues?id=0008> at 1, 7, and 46; and [http://Judicial-Discipline-Reform.org/docs/Schneiderman\\_Agenda\\_2010.pdf](http://Judicial-Discipline-Reform.org/docs/Schneiderman_Agenda_2010.pdf)

1. The facts of this complaint will show the „corrosive corruption of powerful special interests“ that reaches into the highest levels of government. The facts will show corruption among those who took an oath to discharge not just any public duty, but rather that graver one of administering justice according to law. They will show special interests acting corruptly as a group as well as engaging in a more pernicious form of corruption: coordinated wrongdoing that has become the modus operandi of public entities and institutions. The coordination is among members of the judiciary as well as between its members and insiders of the bankruptcy and legal systems; thus, it includes judges, court clerks, attorneys, trustees, auctioneers, other bankruptcy professionals, as well as New York attorney grievance and disciplinary committees and their members. They all took upon themselves a fiduciary duty to apply the law in behalf of the public at large and of the creditors and clients that they represent as well as those that have been aggrieved by attorney misconduct. However, they have disregarded that duty in order to advance their personal and class interests. Whether through explicit or implicit coordination to actively participate in running or covering up a bankruptcy fraud scheme that handles colossal amounts of money(AG:244ent5) or passively engaging in willful blindness so as to evade the duty to take action disruptive of interpersonal relations and donations to elective office, they have intended to cause the reasonable consequences of their wrongdoing: They have contributed to both the continued operation of the scheme and the emboldening of the schemers to expand their fraud and corruption<sup>1</sup> to the detriment of New Yorkers, their trust in government and its laws, and economic justice.
2. Hence, this complaint affords A.G. Schneiderman and his assistants the opportunity to show that they are “among the harshest critics of corruption, waste, and fraud in government”, id. at 7, and to demonstrate integrity by being consistent with his campaign promises in that he “follows investigations wherever the facts lead – independent of political considerations”. His staff, in general, and his Public Integrity Bureau chief and staffers, in particular, should deem them-

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<sup>1</sup> [http://Judicial-Discipline-Reform.org/docs/Dynamics\\_of\\_corruption.pdf](http://Judicial-Discipline-Reform.org/docs/Dynamics_of_corruption.pdf)

selves authorized to give practical meaning to his Agenda.<sup>2</sup> To that end, they can investigate those whose corrupt conduct not only deprives the people of New York of justice and the honest service that they are entitled to receive from their public employees, but also defrauds them of money as taxpayers and of relief and compensation as victims of their misconduct. Whether those actively and passively involved in the bankruptcy fraud scheme are state or federal public officers, they can be investigated on grounds of, among others, concealment of assets, tax evasion, money laundering, fraud on the public, deprivation of rights under color of authority, bribery and theft, racketeering, involvement in a corrupt enterprise, and dereliction of duty.

3. The first part of this complaint stated the facts describing the operation of that scheme in concrete cases. It called for a *Follow the money!* investigation.<sup>3</sup> This part of the complaint deals with the facts that give probable cause to believe that schemers have interfered and are still interfering with the email, mail, and phone communications of third parties that can expose their involvement in the scheme. It calls for a *Follow the wire!* investigation.

**A. Need to issue the proposed subpoena to obtain key information and evidence that will expedite the investigation and demonstrate the determination to *Follow the wire!* wherever the facts lead**

4. There is compelling evidence that the scheming judges and their peers engage in unlawful conduct to cover up the scheme and other forms of their coordinated wrongdoing that would be exposed if the scheme were investigated. It is found in the nature and uniformity of the way the cases referred to herein were handled by all the judges, from those in the bankruptcy court and the district court, to those in the Court of Appeals for the Second Circuit, and the U.S. Supreme Court<sup>4</sup>, to those with supervisory and disciplinary authority who were asked to intervene, including those in the Second Circuit's Judicial Council<sup>5</sup>, the U.S. Judicial Conference Committee on Judicial Conduct and Disability<sup>6</sup>, and the Judicial Conference<sup>7</sup> itself.<sup>8</sup>

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<sup>2</sup> "As an independent Attorney General, Eric will continue his long battle against the status quo... he will put a public integrity officer into each of the Attorney General's Regional Offices to help combat local fraud"; AGENDA at 44.

<sup>3</sup> See the cover letter at [AG:i](#), which leads to the details set forth at [GC:i](#) and [GC:1](#). That statement together with this request can be downloaded through [http://Judicial-Discipline-Reform.org/AG/1DrRCordero-AGESchneiderman\\_4feb11.pdf](http://Judicial-Discipline-Reform.org/AG/1DrRCordero-AGESchneiderman_4feb11.pdf). See also [http://Judicial-Discipline-Reform.org/Follow\\_money/How\\_fraud\\_scheme\\_works.pdf](http://Judicial-Discipline-Reform.org/Follow_money/How_fraud_scheme_works.pdf).

NOTE: All pages are numbered consecutively; the letters preceding the numbers identify the nature or stage of the proceedings to which they belong: AG:i >GC:i >GC:1 >rr:88 >Ci:126 >AG:201-end.

<sup>4</sup> a) [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_ScT\\_3oct8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_ScT_3oct8.pdf), 08-8382, SCt

b) [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_TrGordon\\_ScT.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_TrGordon_ScT.pdf), 04-8371, SCt

<sup>5</sup> a) 28 U.S.C. §332, [http://Judicial-Discipline-Reform.org/docs/28usc331-335\\_Conf\\_Councils.pdf](http://Judicial-Discipline-Reform.org/docs/28usc331-335_Conf_Councils.pdf)

b) 28 U.S.C. §§352(c), 354(a), 356(a), and 357(a), [http://Judicial-Discipline-Reform.org/docs/28usc351\\_Conduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/docs/28usc351_Conduct_complaints.pdf)

<sup>6</sup> Here fn5a) >§331

<sup>7</sup> a) [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_to\\_Jud\\_Conference\\_18nov4.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_to_Jud_Conference_18nov4.pdf)

5. The most telling case was the personal bankruptcy of an insider, a 39-year veteran bankruptcy officer(GC:42§1) with a major bank, M&T<sup>9</sup>, dumping his debts to the detriment of his creditors just before retiring(AG:216) to enjoy his concealed assets(AG:217). To protect him from exposure by a creditor, the judges denied *every single document*<sup>10</sup> requested by the creditor to prove his claim against the „bankrupt“ bankruptcy officer and to defend against the latter’s artifice of a motion to disallow that claim so as to deprive him of standing and eliminate him from the case. By so doing, the judges and justices engaged in blatant denial of discovery rights and thus, of due process. They denied even themselves the documents that they needed to dutifully ascertain the facts to which to apply the law and assess the integrity of both the judges below and the judicial process that they must safeguard. Their unlawful and uniform course of action was not a coincidence. It was coordinated wrongdoing. Its aim was to protect their peers’ skin as well as their own and, of course, their profitable(AG:244fn5) bankruptcy fraud scheme.
6. Millions of people are harmed, in particular, by the scheme(AG:244fn7), and in general, by scheming judges and bankruptcy and legal system insiders. Those so harmed and the rest of the people of New York and NY taxpayers deserve and expect public officers to serve with integrity. All of them can only hope that A.G. Schneiderman and his Public Integrity Bureau chief and staffers will not hold unaccountable those who harm them while arrogating to themselves the status of Judges Beyond Prosecution(AG:243ent3) with power to immunize themselves (AG:244ent4) and the insiders. To support and realize that hope, the A.G. and the P.I.B., far from denying themselves *every single document* that they need to ascertain the merits of the complaint –as the judges did- and determine whether those suspected of harming New Yorkers and NY tax revenue<sup>11</sup> are liable, will issue the proposed subpoena(AG:221). It identifies key information and evidence that can propel and focus the *Follow the wire!* investigation –as well as its companion *Follow the money!*- and make it cost-effective.
7. Indeed, the facts found through the proposed subpoena can enable the A.G. and the P.I.B. to conduct a narrowly targeted investigation that can lead them right to the top levels of government. Among the latter is the Supreme Court, where Justice Sotomayor sits now. As Then-Judge Sotomayor of the Court of Appeals for the Second Circuit, she presided(AG:213) over *DeLano*<sup>12</sup>

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b) [http://Judicial-Discipline-Reform.org/docs/DrRCordero\\_2v\\_JNinfo-JudConf.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero_2v_JNinfo-JudConf.pdf)

c) [http://Judicial-Discipline-Reform.org/Follow\\_money/to\\_JudConf\\_Tr\\_Rep\\_30aug5.pdf](http://Judicial-Discipline-Reform.org/Follow_money/to_JudConf_Tr_Rep_30aug5.pdf)

<sup>8</sup> See also AG:265§A

<sup>9</sup> Manufacturers & Traders Trust; <https://www.mtb.com/aboutus/Pages/WhoIsMT.aspx>

<sup>10</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/docs\\_denied.pdf](http://Judicial-Discipline-Reform.org/Follow_money/docs_denied.pdf)

<sup>11</sup> “With the state facing a budget crisis and a loss of confidence in its government, Attorney General Eric T. Schneiderman today announced an aggressive plan to root out fraud and return money illegally stolen from New York taxpayers and their government....The launch of Schneiderman's new initiative comes as New York State must close a looming \$10 billion gap in next year’s \$136 billion budget, and projected deficits of \$14 billion and \$17 billion in the following years.” A.G. Schneiderman Launches New Initiative To Bolster Recovery of Taxpayer Dollars & Fight Government Fraud; [http://www.ag.ny.gov/media\\_center/2011/jan/jan27a\\_11.html](http://www.ag.ny.gov/media_center/2011/jan/jan27a_11.html)

<sup>12</sup> *In re David Gene and Mary Ann DeLano*, 04-20280, WBNY; GC:58§8; Salient Facts, AG:216

and was instrumental in covering up its concealment of assets as part of Bankruptcy Officer DeLano's participation in the bankruptcy fraud scheme as well as her own similar concealment(AG:201) and concomitant New York tax evasion. The consequences can be Watergate-like<sup>13</sup>, particularly if Justice Sotomayor's coordinated wrongdoing in *DeLano* and with her assets is only representative of the Above the Law conduct of the other justices and former CA2 peers, who are NY taxpayers. Would A.G. Schneiderman rather not investigate this complaint to avoid indisposing Governor Andrew Cuomo, Lt. Gov. Robert Duffy, or other colleagues than *Follow the wire!* to become New Yorkers" or even this nation"s(AG:248ent38) Champion of Justice, as he recently vowed he would be(AG:243ent2)?

## **II. The efforts to expose the bankruptcy fraud scheme provided the motive for schemers to frustrate them by interfering with email, mail, and phone communications**

### **A. Notices between 2002-2006 to the judges and bodies of the Judiciary of efforts to expose their coordinated wrongdoing and its manifestation in a bankruptcy fraud scheme**

8. For years, many federal justices and judges as well as courts and other bodies of the Judiciary have been informed of the efforts of Dr. Richard Cordero, Esq., to expose the wrongdoing of federal judges and bankruptcy and legal system insiders, in general<sup>14</sup> and their coordination in running a bankruptcy fraud scheme, in particular.
  - a. In 2002, Dr. Cordero applied to:<sup>15</sup>

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<sup>13</sup> Precedent for this statement can be found in the resignation on May 14, 1969, of Supreme Court Justice Abe Fortas. He had not committed any crime, as tax evasion is. Rather, it became publicly known that he "had accepted fifteen thousand dollars raised by [former co-partner] Paul Porter from the justice's friends and former clients for teaching a summer course at American University, an arrangement that many considered improper. Republicans and conservative southern Democrats launched a filibuster, and the nomination [to chief justice] was withdrawn at Fortas's request. A year later Fortas's financial dealings came under renewed scrutiny when *Life* magazine revealed that he had accepted an honorarium for serving on a charitable foundation headed by a former client [Louis Wolfson]. Fortas resigned from the Court in disgrace...his old firm refused to take him back...Fortas's relationship with Wolfson seemed suspect, and the American Bar Association declared it contrary to the provision of the canon of judicial ethics that a judge's conduct must be free of the appearance of impropriety." The Oxford Companion to the Supreme Court of the United States, 2<sup>nd</sup> edition, Kemit L. Hall, Editor in Chief; Oxford University Press (2005), pp. 356-357.

<sup>14</sup> Table of Notices Between 2may3-17mar7 to the 2nd Circuit Court of Appeals and Judicial Council, the Circuit Judges, Circuit Justice Ginsburg, and others of Evidence of a Bankruptcy Fraud Scheme in the Bankruptcy Court, WBNY, and the District Court, WDNY; [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_06\\_4780\\_CA2.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_06_4780_CA2.pdf) >CA:1721.

See also <http://Judicial-Discipline-Reform.org/docs/DrRCordero-Justices&judges.pdf>

<sup>15</sup> Contact information and tags identifying the role of schemers and other people and entities



- 1) Bankruptcy Judge John C. Ninfo, II, WBNY,
- 2) the Assistant U.S. Trustee Kathleen Dunivin Schmitt, Esq., in Rochester and
- 3) the U.S. Trustee for Region 2 Carolyn S. Schwartz

to have the Chapter 7 trustee in *Premier*<sup>16</sup>, Kenneth W. Gordon, Esq., removed<sup>17</sup>.

b. In 2003, Dr. Cordero:

- 4) appealed in *Premier-Pfuntner*<sup>18</sup> to the District Court, WDNY<sup>19</sup>,
- 5) the CA2<sup>20</sup>,
- 6) moved to recuse Judge Ninfo<sup>21</sup>,
- 7) filed the first misconduct complaint against him<sup>22</sup>, and
- 8) petitioned by mandamus to CA2 for the removal of Judge Ninfo and the transfer of the cases to another U.S. district court<sup>23</sup>.

c. In 2004, he:

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referred to hereunder are at [GC:1§I-II](#) and [AG:222¶¶4-5](#).

<sup>16</sup> *In re Premier Van Lines, Inc.*, 01-20692, WBNY, (*Premier*); [GC:17§B](#)

<sup>17</sup> a) Statement of Facts and Application to Bankruptcy Judge John C. Ninfo, II, WBNY, for a Determination of whether Chapter 7 Trustee Kenneth W. Gordon, as trustee in bankruptcy with fiduciary duties to all the parties, failed in his duty and is not fit to continue as trustee of Premier Van Lines, of September 27, 2002; [http://Judicial-Discipline-Reform.org/Pfuntner\\_record/2Pfuntner\\_record\\_A1-2291.pdf](http://Judicial-Discipline-Reform.org/Pfuntner_record/2Pfuntner_record_A1-2291.pdf) >A:7-18;

b) Letter of November 25, 2002, to Carolyn S. Schwartz, U.S. Trustee for Region 2, concerning Assistant U.S. Trustee Kathleen Dunivin Schmitt's perfunctory handling of his application for a review of Trustee Gordon's performance and fitness to serve as trustee of Premier; id. >101;

c) Hearing on December 18, 2002, of Trustee Gordon's motion of December 5, to dismiss Dr. Cordero's cross-claims against him in the *Pfuntner* case, granted by Judge Ninfo, whereupon Dr. Cordero gave notice of his intention to appeal to the District Court, WDNY; See in general the list of hearings at [http://Judicial-Discipline-Reform.org/docs/J\\_Ninfo\\_re\\_DrCordero.pdf](http://Judicial-Discipline-Reform.org/docs/J_Ninfo_re_DrCordero.pdf).>¶1.

<sup>18</sup> *James Pfuntner v. Chapter 7 Trustee Kenneth W. Gordon et al.*, 02-2230, WBNY, (*Pfuntner*); [GC:21§C](#)

<sup>19</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_TrGordon\\_WDNY.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_TrGordon_WDNY.pdf)

<sup>20</sup> Opening brief of 9jul3 in CA2 in *In re Premier Van et al.*, 03-5023, CA2, on appeal from *Premier* and *Pfuntner*; [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_Premier\\_CA2.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_Premier_CA2.pdf) >A:1337 §VIII

<sup>21</sup> [http://Judicial-Discipline-Reform.org/docs/DrRCordero\\_mtn\\_v\\_JNinfo\\_8aug3.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero_mtn_v_JNinfo_8aug3.pdf)

<sup>22</sup> First misconduct complaint against Bankruptcy Judge John C. Ninfo, II, WBNY; [http://Judicial-Discipline-Reform.org/docs/DrRCordero\\_v\\_JJNinfo\\_WBNY\\_11aug3.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero_v_JJNinfo_WBNY_11aug3.pdf) >original version 1jn:1 and 7; >shortened reformatted version 1jn:69

<sup>23</sup> Petition for a writ of mandamus, 03-3088, CA2, directing the U.S. Bankruptcy and District Courts for the Western District of NY to transfer *Pfuntner*...to the U.S. District Court for the Northern District of NY; [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_mandamus\\_app.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_mandamus_app.pdf) >A:629§1, 640§K-L

- 9) gave notice in *DeLano*<sup>24</sup> to Judge Ninfo of the DeLanos' concealment of assets and bankruptcy fraud<sup>25</sup>;
- 10) filed a complaint against CA2 Chief Judge John M. Walker, Jr.,<sup>26</sup>
- 11) brought the matter of C.J. Walker's and CA2 clerks' wrongdoing to the attention of Chief Justice William Rehnquist;<sup>27</sup>
- 12) Justice Ruth Ginsburg, Circuit Justice for the Second Circuit(id. >C:112);
- 13) each of the judges of the Second Circuit Judicial Council and the Council itself<sup>28</sup>, all of whose members are judges<sup>29</sup>;
- 14) requested also that they report the bankruptcy fraud scheme to the U.S. Attorney General,<sup>30</sup>
- 15) the Circuit Executive of the Second Circuit,<sup>31</sup>
- 16) the Administrative Office of the U.S. Courts;<sup>32</sup>

<sup>24</sup> *In re David Gene and Mary Ann DeLano*, 04-20280, WBNY, (*DeLano*), petition of 27jan4, [http://Judicial-Discipline-Reform.org/Follow\\_money/DeLano\\_docs.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DeLano_docs.pdf) >§V-D:23, for bankruptcy relief under Chapter 13, Adjustment of Debts of an Individual with Regular Income, of the Bankruptcy Code, 11 U.S.C., [http://Judicial-Discipline-Reform.org/docs/11usc\\_Bkr-Code\\_06.pdf](http://Judicial-Discipline-Reform.org/docs/11usc_Bkr-Code_06.pdf)

<sup>25</sup> a) Objection of March 4, 2004, to the Confirmation of the DeLanos' Chapter 13 Plan of Debt Repayment; [http://Judicial-Discipline-Reform.org/DeLano\\_record/3DrRCordero\\_v\\_DeLano\\_D1-CA2090.pdf](http://Judicial-Discipline-Reform.org/DeLano_record/3DrRCordero_v_DeLano_D1-CA2090.pdf) >D:63;

b) Statement of July 9, 2004, in opposition to Chapter 13 Trustee George Max Reiber's motion to dismiss the DeLanos' petition, containing in the request for relief the text of a proposed order for issuance by Judge Ninfo of production of documents, including their bank account statements, intended to show their concealment of assets, which would have become apparent to the Trustee had he discharged his duty, as representative of the creditors, to investigate the financial affairs of the debtors; id. >D:193;

c) See also [http://Judicial-Discipline-Reform.org/DeLano\\_record/DrCordero\\_DeLano-ToC.pdf](http://Judicial-Discipline-Reform.org/DeLano_record/DrCordero_DeLano-ToC.pdf) and [http://Judicial-Discipline-Reform.org/DeLano\\_record/4DrRCordero\\_v\\_DeLano\\_CA2091-US2547.pdf](http://Judicial-Discipline-Reform.org/DeLano_record/4DrRCordero_v_DeLano_CA2091-US2547.pdf)

<sup>26</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_CJWalker\\_CA2\\_19mar4.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_CJWalker_CA2_19mar4.pdf)

<sup>27</sup> <http://Judicial-Discipline-Reform.org/docs/DrRCordero-Justices&judges.pdf> >C:851

<sup>28</sup> [http://Judicial-Discipline-Reform.org/docs/DrRCordero-CirJus\\_JudCoun\\_11feb4.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero-CirJus_JudCoun_11feb4.pdf)

<sup>29</sup> Cf. <http://www.ca2.uscourts.gov/judcouncil.htm>

<sup>30</sup> 18 U.S.C. §3057(a) Bankruptcy Investigations (imposing on federal judges the duty to report to a U.S. attorney a *belief*, not proof, not even evidence, that laws relating to bankruptcy and insolvent debtors have been violated or that an investigation should be had in connection therewith); <http://Judicial-Discipline-Reform.org/docs/18usc3057.pdf>. The judges knowingly disregarded this duty even when it was brought to their individual attention with an abundance of supporting evidence and each was asked to make such report; [http://Judicial-Discipline-Reform.org/docs/make\\_18usc3057\\_report.pdf](http://Judicial-Discipline-Reform.org/docs/make_18usc3057_report.pdf).

<sup>31</sup> [http://Judicial-Discipline-Reform.org/docs/DrRCordero-2CirExecKGMilton\\_mar4.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero-2CirExecKGMilton_mar4.pdf)

<sup>32</sup> Complaint to the Administrative Office of the United States Courts about Court Administrative and Clerical Officers and their mishandling of judicial misconduct complaints and orders to the detriment of the public at large as well as of Dr. Richard Cordero; [http://Judicial-Discipline-Reform.org/docs/complaint\\_to\\_Admin\\_Office\\_28jul4.pdf](http://Judicial-Discipline-Reform.org/docs/complaint_to_Admin_Office_28jul4.pdf)

- 17) the Judicial Conference of the United States and each of its members<sup>33</sup>, all of whom are judges; and
  - 18) raised four motions in CA2 and caused Chief Judge Walker to recuse from *Premier*;<sup>34</sup>
  - 19) requested that the U.S. Attorneys in Manhattan, Buffalo, and Rochester Offices investigate the evidence of a bankruptcy fraud scheme involving federal judges;<sup>35</sup> and
  - 20) wrote to the Judicial Discipline and Disability Act Study Committee, created by Chief Justice William Rehnquist and headed by Justice Stephen Breyer, and to each of its members.<sup>36</sup>
- d. In 2005, Dr. Cordero:
- 21) petitioned to the Supreme Court for a writ of certiorari in the *Premier-Pfuntner* case;<sup>37</sup>
  - 22) moved in *DeLano* in Bankruptcy Court, WBNY, to recuse Judge Ninfo<sup>38</sup> and
  - 23) in District Court, WDNY, to remove Chapter 13 Trustee George Max Reiber<sup>39</sup> and refer Bankruptcy Reporter Mary Dianetti to the Judicial Conference for refusal to certify that her transcript of the hearing of the DeLanos' motion to disallow the creditor's claim was accurate, complete, and free of any modification;<sup>40</sup>
  - 24) appealed in *DeLano* to the District Court, WDNY;<sup>41</sup>
  - 25) appealed to the Judicial Conference and each of its members<sup>42</sup> concerning the two

<sup>33</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_to\\_Jud\\_Conference\\_18nov4.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_to_Jud_Conference_18nov4.pdf) >JC:2 and 31

<sup>34</sup> Four motions to recuse CA2 Chief Judge Walker from considering the petition for panel rehearing and hearing en banc of the dismissal of *Premier-Pfuntner* due to his disregard of the law and the rules in his handling of the complaint against Judge Ninfo and his toleration of a pattern of wrongdoing by CA2 clerks; 22mar-31may4; [http://Judicial-Discipline-Reform.org/docs/DrCordero-4recuse\\_CJWalker\\_04.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero-4recuse_CJWalker_04.pdf); recusal, id. >A:1197

<sup>35</sup> a) [http://Judicial-Discipline-Reform.org/Pfuntner\\_record/2Pfuntner\\_record\\_A1-2291.pdf](http://Judicial-Discipline-Reform.org/Pfuntner_record/2Pfuntner_record_A1-2291.pdf) >A:1609§E & b) [http://Judicial-Discipline-Reform.org/docs/DrRCordero\\_FBI\\_USAtt\\_may-dec4.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero_FBI_USAtt_may-dec4.pdf)

<sup>36</sup> [http://Judicial-Discipline-Reform.org/docs/DrRCordero-Justice\\_SBreyer\\_Com\\_26nov4.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero-Justice_SBreyer_Com_26nov4.pdf)

<sup>37</sup> *In re Premier*, 03-5023, CA2, was appealed sub nom. *Cordero v. Gordon*, 04-8371, SCT; [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_TrGordon\\_SCT.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_TrGordon_SCT.pdf)

<sup>38</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_recuse\\_JCNinfo\\_17feb5.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_recuse_JCNinfo_17feb5.pdf)

<sup>39</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/Tr\\_Reiber\\_Report.pdf](http://Judicial-Discipline-Reform.org/Follow_money/Tr_Reiber_Report.pdf), which contains the analysis of the shockingly unprofessional and perfunctory "Report" on the DeLanos' debt repayment plan scribbled by Trustee Reiber and approved by Judge Ninfo; GC:45§2

<sup>40</sup> [http://Judicial-Discipline-Reform.org/DeLano\\_record/3DrRCordero\\_v\\_DeLano\\_D1-CA2090.pdf](http://Judicial-Discipline-Reform.org/DeLano_record/3DrRCordero_v_DeLano_D1-CA2090.pdf) >Add:911

<sup>41</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_DeLano\\_WDNY\\_21dec5.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_DeLano_WDNY_21dec5.pdf) >Pst:1281§§c-d

<sup>42</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_to\\_Jud\\_Conference\\_18nov4.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_to_Jud_Conference_18nov4.pdf) >JC:54

pending complaints against Judge Ninfo and CA2 Chief Judge Walker, and

- 26) requested the intervention therein of **a)** Judge Carolyn King, Chair of the Executive Committee of the Judicial Conference; **b)** Judge Ralph Winter, Chair of the Committee to Review Circuit Council Conduct and Disability Orders; **c)** Chief Justice Rehnquist; and **d)** William Burchill, Associate Director and General Counsel of the Administrative Office of the U.S. Courts;<sup>43</sup> and
  - 27) of the judges composing the Committee to Review Circuit Council Conduct Orders(id. >JC:74, cf. 51);
  - 28) to the Judicial Conference to have the bankruptcy court reporter in *DeLano* replaced<sup>44</sup>;
  - 29) appealed to CA2 not to reappoint Judge Ninfo<sup>45</sup>.
- e. In 2006, Dr. Cordero:
- 30) requested the Judicial Council of the 2<sup>nd</sup> Circuit to abrogate a local rule of the District Court, WDNY, that makes it effectively impossible to file claims under the Racketeer Influenced and Corruption Organizations law (RICO);<sup>46</sup> and
  - 31) appealed to CA2 in *DeLano*.<sup>47</sup>

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<sup>43</sup> Id. >JC:39 et seq.

<sup>44</sup> Petition to the Judicial Conference of the U.S. for an Investigation under 28 U.S.C. §753(c) of a Court Reporter's Refusal to Certify the Reliability of her Transcript and for Designation under 28 U.S.C. §753(b) of Another Individual to Produce the Transcript; [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_to\\_JConf\\_CtReporter\\_28jul5.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_to_JConf_CtReporter_28jul5.pdf) >rep:29

<sup>45</sup> a) [http://Judicial-Discipline-Reform.org/docs/1DrCordero\\_v\\_reappoint\\_JNinfo.pdf](http://Judicial-Discipline-Reform.org/docs/1DrCordero_v_reappoint_JNinfo.pdf) of 17mar5

b) [http://Judicial-Discipline-Reform.org/docs/2DrCordero\\_v\\_reappoint\\_JNinfo.pdf](http://Judicial-Discipline-Reform.org/docs/2DrCordero_v_reappoint_JNinfo.pdf) of 4aug5

c) [http://Judicial-Discipline-Reform.org/docs/3DrCordero\\_v\\_reappoint\\_JNinfo.pdf](http://Judicial-Discipline-Reform.org/docs/3DrCordero_v_reappoint_JNinfo.pdf) of 6sep5

<sup>46</sup> The lack of scruples of the district judges, WDNY, about imposing in self-interest burdens on litigants that blatantly contradict the requirements of specifically applicable rules and law is discussed in the "Statement To the Judicial Council of the Second Circuit on how Rule 5.1(h) of the Local Rules of Civil Procedure of the U.S. District Court, WDNY, requires exceedingly detailed facts to plead a RICO claim so that it contravenes FRCivP 8 and 83 and should be abrogated, and how Rules 5.1(h) and 83.5 constitute a preemptive attack on any RICO claim that could expose the District and Bankruptcy Courts' support for a bankruptcy fraud scheme and the schemers"; [http://Judicial-Discipline-Reform.org/docs/DrRCordero-JudCoun\\_local\\_rule5.1h.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero-JudCoun_local_rule5.1h.pdf). This statement, sent to the Judicial Council of the Second Circuit and to each of its members(id. >C:1286), was disposed of unceremoniously by a clerk(id. >Pst:1360).

<sup>47</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_06\\_4780\\_CA2.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_06_4780_CA2.pdf)

**B. The statistical impossibility that over 1,700 Fraud & Forensic Accounting (FFA), law, legal ethics, and journalism deans, professors, and other practitioners and more than 9,000 email recipients repeatedly contacted with information about judicial wrongdoing and a proposal for exposing it would reject it and not acknowledge receipt, except for a few negative responses**

**1. The complaint against the two top CA2 judges and the effort to have the NY City Bar Association and the Federal Bar Council investigate it and make their wrongdoing public knowledge**

9. After June 19, 2006, the judges also must have learned of a qualitatively and quantitatively much greater effort that Dr. Cordero initiated to make known outside the courts his evidence of the Federal Judiciary's coordinated wrongdoing and its judge-run and tolerated bankruptcy fraud scheme. With that date, he submitted a complaint against Then-CA2 Chief Judge John Walker and the next chief, Judge Dennis Jacobs, now the chief judge, to the Joint Committee on Judicial Conduct as well as each of its members. This Committee was created under the auspices of the CA2 and is composed jointly by the Association of the Bar of the City of New York (NYCBar)<sup>48</sup> and the Federal Bar Council (FBC)<sup>49</sup>. Its mission is to handle complaints of members of the bar against federal judges of CA2 and those in the Circuit's Southern and Eastern Districts. The names of the Committee's then current members had been announced on November 17, 2005, by Chief Judge Walker<sup>50</sup>, who as circuit chief judge was a member of the Judicial Conference. He and Judge Jacobs were explicitly named as complained-against judges in the complaint's opening sentence.(id. >C:i)
10. Dr. Cordero received no acknowledgment of receipt. His requests therefor by phone calls to NYCBar and FBC and a subsequent letter to each of the Committee members were unsuccessful.(id. >C:271-275) So on November 9, 2006, he sent a letter with supporting files to the presidents of NYCBar and FBC to submit the complaint to their respective associations and asked them to cause the associations to acknowledge receipt of it, place it on the agenda of each of the associations' next meeting with a view to the associations launching an investigation of the complaint, and bring it to the attention of the media.<sup>51</sup> To the same end, he sent an individualized letter to 170 of the associations' officers, directors, and chairs and members of their committees<sup>52</sup> and an email on the subject to everybody else on his mailing list. He followed that up with a more formal email in the form of a press release.<sup>53</sup> In the updated

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<sup>48</sup> <http://www.nycbar.org/index.htm>

<sup>49</sup> <http://www.federalbarcouncil.org/>

<sup>50</sup> [http://Judicial-Discipline-Reform.org/NYCBar\\_FBC/to\\_ComJudConduct\\_19jun6.pdf](http://Judicial-Discipline-Reform.org/NYCBar_FBC/to_ComJudConduct_19jun6.pdf) >C:iii

<sup>51</sup> [http://Judicial-Discipline-Reform.org/NYCBar\\_FBC/Complaint\\_to\\_NYCBar\\_FBC\\_9nov6.pdf](http://Judicial-Discipline-Reform.org/NYCBar_FBC/Complaint_to_NYCBar_FBC_9nov6.pdf)

<sup>52</sup> [http://Judicial-Discipline-Reform.org/NYCBar\\_FBC/email\\_9nov6.pdf](http://Judicial-Discipline-Reform.org/NYCBar_FBC/email_9nov6.pdf)

<sup>53</sup> Request that the NYCBar, the Federal Bar Council, and their members investigate a complaint against the former and current CA2 chief judges submitted on June 19, 2006, to their joint Committee on Judicial Conduct, none of whom has even acknowledged its receipt; 11nov6; [http://Judicial-Discipline-Reform.org/NYCBar\\_FBC/to\\_ComJudConduct\\_19jun6.pdf](http://Judicial-Discipline-Reform.org/NYCBar_FBC/to_ComJudConduct_19jun6.pdf) >C:301

statement of facts bearing the date of September 25(id. >310), which was made available through that press release, he elaborated on this heading:

IV. Call for a virtual firm of lawyers and investigative journalists to help prepare pro bono a class action centered on a representative case against these judges to expose the systematic dismissal of complaints supporting a bankruptcy fraud scheme and reveal how high and to what extent wrongdoing has reached

11. Only then did the NYCBar and FBC presidents send Dr. Cordero a letter, dated November 17, 2006.(id. >320) The presidents acknowledged receipt of his complaint and dismissed it with the standard cop-out: a claim of lack of jurisdiction to process it. However, Dr. Cordero did not receive any other response from any of the other addressees, except a handful of emails requesting removal from the mailing list.
12. His subsequent letter of November 27 to the presidents and the associations' officeholders<sup>54</sup>, showed that even by the terms of Chief Judge Walker's announcement of the Committee new members and the limited jurisdictional scope acknowledged by the association presidents, the Committee had jurisdiction to deal with the complaint. Then he emailed a press release to his mailing list.<sup>55</sup> Moreover, he pointed out that he had submitted the evidence of coordinated wrongdoing to the associations and their members themselves. Therefore, they were now confronted with a choice between expedient protection of their relationship with powerful federal judges and principled conduct that holds all judges to high standards of judicial integrity as a requirement for lawyers' clients to have their day in a court that is fair and impartial. Still, Dr. Cordero received no response.
13. On December 6, he sent an email to the newspapers on his emailing list<sup>56</sup> Shortly thereafter, on December 13 he sent yet another letter to the presidents and officeholders requesting that each of them stand up to denounce the judges' coordinated wrongdoing and their cover-up of it.<sup>57</sup> No response was received either.

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<sup>54</sup> [http://Judicial-Discipline-Reform.org/NYCBar\\_FBC/to\\_members\\_27nov6.pdf](http://Judicial-Discipline-Reform.org/NYCBar_FBC/to_members_27nov6.pdf)

<sup>55</sup> Request to Individuals and Entities Advocating Judicial Integrity to Call for an Investigation of CA2 Chief Judges' Toleration or Support of Second Circuit Judges' Coordinated Wrongdoing, including a Bankruptcy Fraud Scheme; 27nov6; AG:271fn50 >C:329; and [http://Judicial-Discipline-Reform.org/NYCBar\\_FBC/to\\_members\\_27nov6.pdf](http://Judicial-Discipline-Reform.org/NYCBar_FBC/to_members_27nov6.pdf)

<sup>56</sup> Request to NYCBar and Federal Bar Council to investigate evidence of coordinated wrongdoing by CA2 federal chief judges and investigation proposal; 6dec6; AG:271fn50 >C:331

<sup>57</sup> Id. >C:333 and [http://Judicial-Discipline-Reform.org/NYCBar\\_FBC/I\\_Denounce\\_13dec6.pdf](http://Judicial-Discipline-Reform.org/NYCBar_FBC/I_Denounce_13dec6.pdf)

**2. Non-receipt of responses to the complaints to NYCBar and FBC, unexplainable despite deference to the judges either out of fear or to secure benefits from them since it opened an opportunity in their members' self-interest**

14. A total of 199 individuals and officeholders were sent more than once letters, emails, and faxes commenting on, and providing access to, the complaint. Only two letters of an administrative character (AG:271fn50 >C:272, 274), the dismissal (id. >C:320), and fewer than five requests for removal from the emailing list were received. No response was received that was positive even if only in the sense of requesting more information or sharing a similar experience or expressing an interest in pursuing the subject.
15. It is very difficult to imagine that not a single lawyer saw in the complaint and the letters an opportunity for at least „mounting an argument“ on behalf of his clients and in defense of his own reputation: that he had lost one or more cases because the judges had been similarly biased, self-interested, or corrupt. Or is it that those lawyers knew full well what happens to lawyers that dare take on the chief judge and the next chief judge of a federal court of appeals, whether at their hands<sup>58</sup> or at those of their enforcers of wrongdoing, their clerks? The latter can be removed from office by judges at will and do their bidding to avoid such fate.
16. Deference out of fear is antithetical to the courage needed to defend due process of law. But deference to secure favored treatment is destructive of one's integrity. This is what the Federal Bar Council posted on its website:

The Federal Bar Council is an organization of lawyers...committed to encouraging respectful, cordial relations between the bench and bar...The Federal Bar Council is assisted in its mission by the Federal Bar Foundation...Over twenty former Trustees of the Federal Bar Council have gone on to service in the federal judiciary...From its inception, the Federal Bar Council, with the assistance of the Federal Bar Foundation, has sought to forge a special bond between judges and attorneys through a wide variety of events...Federal Bar Council, Mission Statement<sup>59</sup>

The close fellowship between bench and bar creates a truly symbiotic relationship. We have become almost an official supporting group to our Second Circuit judiciary. We are frequently called up by our judges to contribute time, effort and advice on projects which the judges believe are needed in our circuit. Essentially, we are looked upon as the voice of the federal bar in the Second Circuit and have thus aided the judges in such disparate projects as the construction and planning of new courthouses, the addition of technology in the courtroom and educational training for judges' law clerks. These projects are not only substantively productive; they also provide a means to work closely with judges that often result in great respect, and even friendship, between judges and our active members. Dean Joan G. Wexler, President of

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<sup>58</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/DeLano\\_docs.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DeLano_docs.pdf) >W:22B

<sup>59</sup> <http://www.federalbarcouncil.org/mission.ihtml> and AG:271fn50 >C:282

the Federal Bar Council (circa August 2005)<sup>60</sup>

17. This “symbiotic relationship” means, not just an opportunity for FBC and its members to slave for federal judges, but rather a reciprocating flow of benefits. It explains why the FBC and the Joint Committee on Judicial Conduct would not dare risk their benefits by opening an investigation on the two most powerful Second Circuit judges on charges of their involvement in a bankruptcy fraud scheme and other forms of wrongdoing. It did not matter how compelling the evidence of wrongdoing was. Nor did it matter that they had assumed a fiduciary duty upon either becoming members of the Committee or setting it up to proceed with due diligence to ascertain the truth or falsity of misconduct complaints lodged with the Committee. However, the zealous protection and cultivation of that “symbiotic relationship” does not explain the non-receipt by Dr. Cordero of even polite acknowledgments of receipt from some 194 out of 199 lawyers contacted...let alone from the others included in the 10,700 individuals and entities that he has contacted since then.

### 3. Emails to an emailing list that grew to over 9,000 addresses

18. Indeed, in September 2006, Dr. Cordero started to send emails about his judicial wrongdoing evidence to an ever growing number of newspeople, including journalists, media editors and publishers, bloggers, website owners and Yahoo- and Googlegroups critical of the courts and the legal system, lawyers, and political pundits. He searched for their email addresses on the Internet, harvested them from other emails’ lists of recipients, or received them from contributors. The contents of the mass emails were short newspaper-like articles<sup>61</sup> and press releases<sup>62</sup> that presented his research on the official statistics relating to judicial misconduct and disability complaints<sup>63</sup> and stated the facts of coordinated judicial wrongdoing that he had confronted first hand in his practice. Gradually, he established regular contact with a group of like-minded pro se parties that had had similar experiences. Just before Judge Sotomayor was nominated for a justiceship on May 26, 2009, his list of newspeople email addresses had grown

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<sup>60</sup> [http://Judicial-Discipline-Reform.org/NYBar\\_FBC/Complaint\\_to\\_NYBar\\_FBC\\_9nov6.pdf](http://Judicial-Discipline-Reform.org/NYBar_FBC/Complaint_to_NYBar_FBC_9nov6.pdf) >C:286

<sup>61</sup> Emails to entities and individuals complaining about biased judges that abuse their power; re: Evidence of federal judges’ coordinated wrongdoing and support of a bankruptcy fraud scheme; sep-oct6; [http://Judicial-Discipline-Reform.org/docs/JDR\\_emails.pdf](http://Judicial-Discipline-Reform.org/docs/JDR_emails.pdf)

<sup>62</sup> a) Evidence of a bankruptcy fraud scheme in the U.S. Bankruptcy and District Courts in Rochester and a proposed class action against federal judges; 10sep6; [http://Judicial-Discipline-Reform.org/docs/Rochester\\_bkr\\_fraud\\_scheme.pdf](http://Judicial-Discipline-Reform.org/docs/Rochester_bkr_fraud_scheme.pdf)

b) Press Release: Hearing on Draft Rules Governing Judicial Misconduct Complaints; 23sep7; [http://Judicial-Discipline-Reform.org/docs/draft\\_rules\\_misconduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/docs/draft_rules_misconduct_complaints.pdf)

c) Press Release: Evidence of [Former President Bush’s Attorney General Nominee] Judge Michael Mukasey’s incapacity to stand up to wrongdoing friends in the judiciary; 16oct7; [http://Judicial-Discipline-Reform.org/docs/JMukasey\\_by\\_wrongdoing\\_friends.pdf](http://Judicial-Discipline-Reform.org/docs/JMukasey_by_wrongdoing_friends.pdf); see documents supporting that press release at [http://Judicial-Discipline-Reform.org/docs/JMukasey\\_by\\_wrongdoing\\_friends2.pdf](http://Judicial-Discipline-Reform.org/docs/JMukasey_by_wrongdoing_friends2.pdf)

<sup>63</sup> [http://Judicial-Discipline-Reform.org/statistics&tables/judicial\\_misconduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/judicial_misconduct_complaints.pdf)



to over 9,000 and he was receiving several hundred emails daily, including junk email. However, no responses were received from lawyers or journalists, let alone positive ones.

#### 4. No response received to the proposal sent to all the other attendees to two Fraud and Forensic Accounting conferences

19. The following year, from May 10-12, 2007, Dr. Cordero attended the 1<sup>st</sup> Fraud and Forensic Accounting Education Conference. It was organized jointly by Georgia Southern University and West Virginia University, and was held in Savannah, Georgia.<sup>64</sup> By previous arrangement between the conference director and Dr. Cordero, the former had his assistants and also allowed the latter to place a copy of his *DeLano Case Follow the money!* investigation business venture proposal on the desk of each of the 198 FFA professors and professionals in attendance.<sup>65</sup> At breakfast and throughout the day during session breaks, Dr. Cordero approached individually as many attendees as he could to hand them another copy of his proposal and explain to them personally how he envisaged forming an FFA investigative team.<sup>66</sup> After he returned to his office, he sent to each of the attendees a letter to cultivate interest in the *Follow the money!* business proposal.<sup>id.</sup> Moreover, he started to develop that proposal into a university course and then mailed a personalized letter<sup>67</sup> and also emailed it to each of the attendees to offer the materials<sup>68</sup> of the course and apply to teach it. He received no response. That was very odd.
20. The next year, from May 13-15, 2008, Dr. Cordero attended the 2<sup>nd</sup> Annual Conference on Fraud and Forensic Accounting Education in Charleston, SC, presented by The Center for Fraud and Forensic Studies in Accounting and Business at Georgia Southern University School of Accountancy.<sup>69</sup> On May 15, as scheduled in the Conference abstracts,<sup>70</sup> he presented the *DeLano Case Course* in a break-out session.<sup>71</sup> It is worth noting that although the director of the

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<sup>64</sup> [http://Judicial-Discipline-Reform.org/docs/FFA\\_conf\\_announce\\_16apr7.pdf](http://Judicial-Discipline-Reform.org/docs/FFA_conf_announce_16apr7.pdf)

<sup>65</sup> Proposal To Join a *Follow the Money!* Investigation In the Context of a Business Venture to Further Pursue the Evidence Already Gathered of a Bankruptcy Fraud Scheme Supported by The Coordinated Wrongdoing of Federal Judges in Order to Expose It and Thus Promote Honesty in The Judiciary and The Integrity of Judicial Process; [http://Judicial-Discipline-Reform.org/DeLano\\_course/FFA/DrRCordero-FFA\\_conferees\\_19may7.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/FFA/DrRCordero-FFA_conferees_19may7.pdf), with supporting files at [http://Judicial-Discipline-Reform.org/DeLano\\_course/FFA/Investigation\\_Proposal\\_to\\_FFA\\_professionals\\_apr7.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/FFA/Investigation_Proposal_to_FFA_professionals_apr7.pdf)

<sup>66</sup> Proposed Method For The Formation By Fraud & Forensic Accounting Professionals of The Judicial Wrongdoing Investigative Team; 8may7; [http://Judicial-Discipline-Reform.org/DeLano\\_course/FFA/formation\\_FFA\\_team\\_8may7.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/FFA/formation_FFA_team_8may7.pdf)

<sup>67</sup> [http://Judicial-Discipline-Reform.org/DeLano\\_course/FFA/DrRCordero-FFA\\_conferees\\_aug7.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/FFA/DrRCordero-FFA_conferees_aug7.pdf)

<sup>68</sup> [http://Judicial-Discipline-Reform.org/DeLano\\_course/FFA/FFA\\_course\\_for\\_instructors.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/FFA/FFA_course_for_instructors.pdf) as of 9dec7

<sup>69</sup> [http://Judicial-Discipline-Reform.org/docs/FFA\\_conf\\_SC\\_13-15may8.pdf](http://Judicial-Discipline-Reform.org/docs/FFA_conf_SC_13-15may8.pdf)

<sup>70</sup> Abstract of The *DeLano Case* a hands-on, role-playing FFA course based on a cluster of 12 federal cases showing a bankruptcy fraud scheme supported or tolerated by judicial officers; [http://Judicial-Discipline-Reform.org/DeLano\\_course/FFA/DrRCordero-FFA\\_abstract\\_15may8.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/FFA/DrRCordero-FFA_abstract_15may8.pdf)

<sup>71</sup> Handout and Appendix for the Presentation of The *DeLano Case*: a hands-on, role-playing FFA course based on a cluster of 12 federal cases showing a bankruptcy fraud scheme; [http://Judicial-Discipline-Reform.org/AG/1DrRCordero-AGESchneiderman\\_4feb11.pdf](http://Judicial-Discipline-Reform.org/AG/1DrRCordero-AGESchneiderman_4feb11.pdf)

conference was scheduled to introduce Dr. Cordero to the audience, he did not show up and nobody else did so in his stead; hence, Dr. Cordero had to introduce himself.

21. Once back from the Conference, he sent a personalized email to each of the 97 FFA professors and professionals whose names and emails were listed in the conference materials just as he also mailed a letter to all the conference organizers at Georgia Southern University. His purpose was to interest them in joining the *Follow the money!* investigation of the *DeLano* case; offering his course to their students, whether taught by him or one of their professors; and having him make a presentation of the case and the course to their faculty, students, and clients. However, he did not receive any response from them, not even a “Thanks, but no thanks” polite email. That was certainly strange because the subject matter of his presentation, the course, and the proposed investigation was directly relevant to the subject matters and activities of the FFA field and its practitioners.

**5. Non-receipt of responses even from law and journalism school and legal ethics deans, directors, and professors, except for a few negative ones**

22. Just as he continued to email the newspeople, the following year during the period between October 28, 2008, and January 2, 2009, Dr. Cordero mailed 847 letters<sup>72</sup> to law school deans and professors proposing The *DeLano* Case Course and the *Follow the money!* investigation. He kept refining and buttressing the proposal with the results of his research on judicial unaccountability and abuse of power. So he added to it the new evidence of the judges’ disposing of his second complaint against Judge Ninfo under the new complaint processing rules<sup>73</sup> just as they had disposed of the first complaint under the old rules.<sup>74</sup> He also included the evidence of the judges’ blatant violation of due process and his right to discovery by denying *every single document* that he requested in *DeLano*<sup>75</sup> to prove the involvement in the bankruptcy

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[Discipline-Reform.org/DeLano\\_course/FFA/DrRCordero\\_FFAhandout\\_appdx\\_may8.pdf](http://Discipline-Reform.org/DeLano_course/FFA/DrRCordero_FFAhandout_appdx_may8.pdf)

<sup>72</sup> [http://Judicial-Discipline-Reform.org/DeLano\\_course/DrCordero-law\\_dean\\_prof\\_oct8-jan9.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/DrCordero-law_dean_prof_oct8-jan9.pdf)

<sup>73</sup> a) <http://www.uscourts.gov/RulesAndPolicies/ConductAndDisability/JudicialConductDisability.aspx>  
>Rules for Judicial Conduct and Disability Proceedings;

b) Press release on the Rules adoption by the Judicial Conference on March 11, 2008, together with the Rules with useful bookmarks: [http://Judicial-Discipline-Reform.org/docs/Rules\\_complaints.pdf](http://Judicial-Discipline-Reform.org/docs/Rules_complaints.pdf)

<sup>74</sup> The New Rules For Processing Misconduct and Disability Complaints Against Any Federal Judge Adopted By The Judicial Conference of the U.S. On March 11, 2008, Will Continue To Allow The Judges To Self-Exempt From Any Accountability and Discipline Through The Systematic Dismissal of Complaints Without Investigation; [http://Judicial-Discipline-Reform.org/judicial\\_complaints/new\\_rules\\_no\\_change.pdf](http://Judicial-Discipline-Reform.org/judicial_complaints/new_rules_no_change.pdf)

The old rules were adopted by each circuit along the lines of a model adopted by the Judicial Conference. See those of the Second Circuit, [http://Judicial-Discipline-Reform.org/docs/CA2\\_complaint\\_rules.pdf](http://Judicial-Discipline-Reform.org/docs/CA2_complaint_rules.pdf).

<sup>75</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/docs\\_denied.pdf](http://Judicial-Discipline-Reform.org/Follow_money/docs_denied.pdf)

fraud scheme of one of their own, Mr. DeLano, a bankruptcy officer at M&T Bank, thus an insider.

23. Between October 2008 and May 2010, Dr. Cordero sent the proposal for the Course and the investigation as well as updates together with inquiries about the proposal status<sup>76</sup> to over 1,000 deans and professors of 214 law schools and law centers and institutes around the U.S., including 194 schools approved by the American Bar Association<sup>77</sup>. No positive reply was received, only a few acknowledgments of receipt that declined the proposal. It felt as if anything remotely positive was being filtered out.
24. Undeterred, on September 4, 2009, Dr. Cordero mailed an appropriately adapted proposal to 152 deans of, and professors at, schools and departments of journalism and mass communications, including 109 members of The Accrediting Council on Education in Journalism and Mass Communications<sup>78</sup>. Between November 24 and December 11, he sent to all of them an updated version and inquired about the proposal status.<sup>79</sup> All he received was a few responses that turned the proposal down. That was most strange, for it is difficult to figure why journalism professors would not show even a flicker of interest in leads in public records, such as court documents are, that could pan out into a one of a kind scoop: a cover-up from the Supreme Court all the way down to the bankruptcy courts of their use of a bankruptcy fraud scheme to resolve “the most pressing issue facing the Judiciary [namely, how to] increase judicial salaries”<sup>80</sup> through the riskless self-help to huge sums of money: \$325bl. in just personal bankruptcies in FY09<sup>81</sup>. Something was wrong.
25. The relatively few responses that Dr. Cordero received from either law or journalism schools were all negative. Some professors informed him that they had forwarded his letter and materials to the chair of the faculty appointments committee, but subsequently no letter from any member of such committee was received. This was hardly the way procedurally-minded lawyers conduct themselves. What was going on here?
26. Nevertheless, Dr. Cordero contacted professors and centers specializing in legal ethics.<sup>82</sup> Of all professionals and places, one would have thought that, as opposed to limiting themselves to

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<sup>76</sup> [http://Judicial-Discipline-Reform.org/DeLano\\_course/17Law/DrCordero-LawDeans&Prof.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/17Law/DrCordero-LawDeans&Prof.pdf)

<sup>77</sup> <http://www.abanet.org/legaled/approvedlawschools/approved.html>

<sup>78</sup> <http://www2.ku.edu/~acejmc/index.html>

<sup>79</sup> [http://Judicial-Discipline-Reform.org/DeLano\\_course/19Journalism/1DrRCordero-Journalism\\_Sch\\_Dept.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/19Journalism/1DrRCordero-Journalism_Sch_Dept.pdf) >cf. Dn:3

<sup>80</sup> [http://www.supremecourtus.gov/publicinfo/speeches/sp\\_07-15-02.html](http://www.supremecourtus.gov/publicinfo/speeches/sp_07-15-02.html); and [http://Judicial-Discipline-Reform.org/docs/CJ\\_Rehnquist\\_morale\\_erosion\\_15jul2.pdf](http://Judicial-Discipline-Reform.org/docs/CJ_Rehnquist_morale_erosion_15jul2.pdf)

<sup>81</sup> [http://www.uscourts.gov/News/TheThirdBranch/10-07-01/BAPCPA\\_Report\\_Looks\\_at\\_Filers\\_in\\_Non-business\\_Bankruptcies.aspx](http://www.uscourts.gov/News/TheThirdBranch/10-07-01/BAPCPA_Report_Looks_at_Filers_in_Non-business_Bankruptcies.aspx); tables collected at [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/bkr\\_dollar\\_value.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/bkr_dollar_value.pdf) >dv:1; AG:244ent5

<sup>82</sup> [http://Judicial-Discipline-Reform.org/DeLano\\_course/17Law/DrRCordero-Legal\\_ethics\\_prof.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/17Law/DrRCordero-Legal_ethics_prof.pdf) >Dn:1¶¶1-2

studying the theory of ethical conduct and the lip service that judges pay to it<sup>83</sup>, they would show some interest in exploring the facts of how judges at the four jurisdictional levels of the Federal Judiciary and in all its administrative bodies actually handle allegations of unethical behavior in order to avoid even the appearance of the impropriety of “guild favoritism”<sup>84</sup>. Yet, aside from a few flat rejections of his proposal, he received not even their acknowledgment of receipt. This just did not make any sense at all!

**6. Even to the proposal to join forces with Culture Project and Blueprint for Accountability no responses were received despite the obvious common cause**

27. In June 2010, Dr. Cordero delivered a hardcopy of his two-part academic and business proposal by hand and by mail to Culture Project and Blueprint for Accountability in New York City. These are a parent organization and its main initiative, respectively, involved precisely in exposing government unaccountability. He submitted this proposal to the 22 members of Culture Project’s board of directors and to the main officers of Blueprint; to half of them he additionally sent the same file by email.<sup>85</sup> It was reasonable to expect that people working on the same cause as he was would express interest in a proposal for joining forces, or at least show appreciation for advancing a common cause, or at the very least acknowledge receipt of a professionally prepared submission. Yet, none appeared to respond, for no response was received. After Dr. Cordero sent a status-inquiring email, the president sent a curt reply in the negative on the flimsy ground that “your approach in contacting my board members was quite off putting to me”.<sup>86</sup>

**7. Four email accounts held with different Internet Service Providers stopped receiving emails the day after mass-emailing an article on the evidence of concealment of assets by Then-Justice Nominee Judge Sotomayor and her cover-up of the bankruptcy fraud scheme in *DeLano***

28. After Judge Sotomayor’s nomination on May 26, 2010, Dr. Cordero began mass-emailing

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<sup>83</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_SCT\\_rehear\\_23apr9.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_SCT_rehear_23apr9.pdf) >US:2511 §§I-II and 2547

<sup>84</sup> “[A] system that relies for investigation solely upon judges themselves risks a kind of undue “guild favoritism” through inappropriate sympathy with the judge’s point of view or de-emphasis of the misconduct problem.” Breyer Report, p.1; <http://www.uscourts.gov/RulesAndPolicies/ConductAndDisability/JudicialConductDisability.aspx> >Implementation of the Judicial Conduct and Disability Act of 1980: A Report to the Chief Justice; and [http://Judicial-Discipline-Reform.org/judicial\\_complaints/Breyer\\_Report.pdf](http://Judicial-Discipline-Reform.org/judicial_complaints/Breyer_Report.pdf)

<sup>85</sup> [http://Judicial-Discipline-Reform.org/Judicial\\_unaccountability/DrRCordero-DirFStevens.pdf](http://Judicial-Discipline-Reform.org/Judicial_unaccountability/DrRCordero-DirFStevens.pdf) >Dn:ii\_a

<sup>86</sup> [http://Judicial-Discipline-Reform.org/Judicial\\_unaccountability/DrRCordero-PresABuchman.pdf](http://Judicial-Discipline-Reform.org/Judicial_unaccountability/DrRCordero-PresABuchman.pdf) >Dn:xiv

meticulously researched articles<sup>87</sup> on the subject of her concealment of assets<sup>88</sup>, based on a comparison of her publicly known earnings<sup>89</sup> and her financial disclosure reports<sup>90</sup>, and her involvement in the bankruptcy fraud scheme cover-up by denying *every single document* in his 12 requests in *DeLano*<sup>91</sup>, including the one filed when he argued before her as the presiding CA2 panel member<sup>92</sup>. He pointedly made reference to the articles that *The Washington Post* and *Politico* had published expressing suspicion about the truthfulness of her disclosed financial affairs.<sup>93</sup> Some recipients praised him and others inveighed against him. It was reasonable to expect that particularly the professional journalists on his 9,000+ emailing list would ask him for more information. In fact, anybody who knows anything about journalism and who has the ambition of making a name as a journalist must wish for a scoop that will catapult his or her career to the paradigm of modern journalism: The fall of a President and his administration brought about (not singlehandedly, but most representatively) by *Washington Post* Reporters Carl Bernstein and Bob Woodward during the Watergate Scandal.<sup>94</sup> The Sotomayor story had the potential of developing into a scandal graver than Watergate.

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<sup>87</sup> E.g., The Choice: Judge Sotomayor's Ethnicity v. Equal Justice Under Law; [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_v\\_Equal\\_Justice.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_v_Equal_Justice.pdf)

<sup>88</sup> Judge Sotomayor earned \$3,773,824 since 1988 + received \$381,775 in loans = \$4,155,599 + her 1976-1987 earnings, yet disclosed assets worth only \$543,903, thus leaving unaccounted for in her answers to the Senate Judiciary Committee \$3,611,696 - taxes and the cost of her reportedly modest living; The similarity to the *DeLano* case that she withheld from the Committee; as of 14dec9; [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_integrity/12table\\_JSotomayor-financials.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_integrity/12table_JSotomayor-financials.pdf).

<sup>89</sup> [http://Judicial-Discipline-Reform.org/docs/Schedule7\\_Judicial\\_Salaries.pdf](http://Judicial-Discipline-Reform.org/docs/Schedule7_Judicial_Salaries.pdf)

<sup>90</sup> [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_integrity/JSotomayor\\_03-07\\_reports.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_integrity/JSotomayor_03-07_reports.pdf)

<sup>91</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/DeLano\\_docs.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DeLano_docs.pdf) >W:22

<sup>92</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_CA2\\_oralarg.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_CA2_oralarg.pdf)

<sup>93</sup> [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_integrity/6articles\\_JSotomayor\\_financials.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_integrity/6articles_JSotomayor_financials.pdf)

<sup>94</sup> Compare the query 'where Then-Judge Sotomayor's salary earnings went' with 'where the money came from?' The latter set Bernstein and Woodward on their *Follow the money!* investigation during the Watergate Scandal. They asked themselves and their *WP* colleagues: 'Who is paying the high profile Washington, D.C., lawyers representing the five burglars at their arraignment after they were caught breaking into the Democratic National Committee headquartered in the Watergate building complex if the burglars are merely petit crime plumbers involved in "a garden variety burglary"? Their search for an answer led them to discover that money had been deposited in the Miami bank account of one of the burglars.

Eventually they discovered the money's link to the Republican Committee for the Reelection of President Richard Nixon, which was headed by former Attorney General John Mitchell. This led to the finding of a slush fund for 'special operations' controlled by Mitchell. It was used with the knowledge and authorization of Nixon and his top White House aides to engage in political espionage and the intimidation of opponents to the Viet Nam War. *All the President's Men*, Carl Bernstein and Bob Woodward; Simon & Schuster (1974), pp. 16-18, 34-44; [http://Judicial-Discipline-Reform.org/docs/WP\\_The\\_Watergate\\_Story.pdf](http://Judicial-Discipline-Reform.org/docs/WP_The_Watergate_Story.pdf); and the arraignment scene near the beginning of the movie *All the President's Men*, starring Dustin Hoffman and Robert Redford.

29. It was reasonable to foresee that the Sotomayor story could have triggered –as it still can- an ever-expanding journalistic investigation into wrongdoing running throughout the Federal Judiciary. The revelation that judges had coordinated their wrongdoing and engaged in it so routinely as to have turned it into the Judiciary’s institutionalized modus operandi would have caused widespread public indignation. Exacerbated by the media’s daily barrage of scandalous news, the public’s ever more strident clamor for action would have compelled law enforcement agents of the Executive Branch, e.g., the FBI, the Internal Revenue Service, Department of Justice attorneys, etc., and the Congressional committees on the Judiciary to plumb the disregard for the rule of law by officially investigating judges, their clerks, and other court insiders, including lawyers, their associations’ officeholders, trustees, etc. However, U.S. attorneys applying for search & seizure and arrest warrants to judges whose peers were their targets could have met with the judges’ refusal in self-interest to grant them, lest the investigated peers agree to provide information against other judges in plea bargaining that triggers a domino effect, eventually toppling even the warrant-granting judges. The judges’ refusal would have aggravated the tension between the Branches by giving rise to claims of partiality, disregard for legality, and obstruction of justice. Thus hampered in its investigation, the Executive would have had to watch Congress move along its investigation by issuing its own subpoenas and wielding its contempt power.
30. Congress would have been able to do something else: hold nationally televised hearings on judicial wrongdoing where a modern day Senator Howard Baker would have emerged as the central figure. He was the vice chairman of the Senate Watergate Committee who became recognized from coast to coast because during the televised hearings he consistently questioned each witness about Republican President Richard Nixon’s involvement in the break-in at the Democratic National Committee and its cover-up.<sup>95</sup> His signature question “What and when did the President know and when did he know it?” would now piercingly reverberate thus: “What did the Justices and judges know about the bankruptcy fraud scheme and other forms of coordinated wrongdoing and why did you fail to protect *“We the people”*?”
31. The Baker-like inquisitor could have bounced that question from Judge Sotomayor herself to her CA2 judicial and administrative colleagues; to chief district judges in Judicial Council of the Second Circuit; to the officers in the Administrative Office of the U.S. Courts with whom they had filed both their financial disclosure reports and the circuit statistics on complaints against judges, which showed their systematic dismissal of such complaints and 100% denial of petitions to review such dismissals(AG:214); to AO’s source of policies and operating instructions, that is, the Judicial Conference<sup>96</sup>; to the appointer of AO’s director, the Chief Justice<sup>97</sup>; and to all the justices, who as circuit justices<sup>98</sup> and former judges knew but kept

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<sup>95</sup> Far More Than A Burglary; Craig Staats/All Politics, CNN TIME, June 17, 1997; <http://www.cnn.com/ALLPOLITICS/1997/gen/resources/watergate/identify.html>; and [http://Judicial-Discipline-Reform.org/docs/CNN\\_Watergate.pdf](http://Judicial-Discipline-Reform.org/docs/CNN_Watergate.pdf)

<sup>96</sup> 28 U.S.C. §331; [http://Judicial-Discipline-Reform.org/docs/28usc331-335\\_Conf\\_Councils.pdf](http://Judicial-Discipline-Reform.org/docs/28usc331-335_Conf_Councils.pdf)

<sup>97</sup> 28 U.S.C. §601, [http://Judicial-Discipline-Reform.org/docs/28usc601-613\\_Adm\\_Off.pdf](http://Judicial-Discipline-Reform.org/docs/28usc601-613_Adm_Off.pdf)

complicity silent about the underreporting of assets in the financial disclosure reports and other forms of coordinated wrongdoing that had become the Federal Judiciary's institutionalized modus operandi.

32. Any effort to dredge the corruption found and adopt measures to prevent it from settling down again as the operational foundation of the Judiciary would have provoked a shift in the balance of power among the three Branches. The emergence of Congress as the most effective Branch to restore legality could have been felt as a threat by the other two Branches. The tug of war among all of them trying to reequilibrate or maintain their role in government would have precipitated a constitutional crisis of unimaginable proportions.
33. The Watergate Scandal constitutes precedent that validates this scenario as realistic. Actually, for some it may have been all too realistic and menacing too. So, on two occasions the day after Dr. Cordero emailed articles exposing Judge Sotomayor's wrongdoing his four email accounts held at four different Internet service providers<sup>99</sup> stopped receiving emails. He immediately contacted the ISPs to inquire about why his email accounts had been blocked. Gradually, he began to receive emails again. However, since then he does not receive nearly as many emails as he did before; mostly junk emails is what he gets now. Most importantly, he no longer receives emails from the pro se litigants who had experienced similar judicial abuse of power and denial of due process and with whom he used to be in regular contact. It is as though they or he had disappeared from the Internet. That cannot be explained away as a technical glitch that occurred simultaneously on four accounts each with a different domain.

#### **8. Even the phone calls and letters from inmates requesting help stopped**

34. Just as strange is the fact that Dr. Cordero used to receive letters, even phone calls, from inmates from different correctional facilities in different states who had read articles by him discussing judicial wrongdoing that, according to them, had been republished in „The American's Bulletin“<sup>100</sup>. He replied to each of those letters and sent the inmates hardcopies of other articles that he had written. Inmates have all the time in the world to read and write. Moreover, they have a driving interest in exposing judicial wrongdoing in so far as proving that the judge in their cases engaged in wrongdoing can help them to overturn their convictions. Therefore, those inmates that Dr. Cordero wrote back to must have spread around word that he, a lawyer, had replied to their letters and they must also have lent to others the additional articles that he sent them. Consequently, it was reasonable to expect that the same inmates that had

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<sup>98</sup> 28 U.S.C. §42. Allotment of Supreme Court Justices to circuits; [http://Judicial-Discipline-Reform.org/docs/28usc41-49\\_CAs.pdf](http://Judicial-Discipline-Reform.org/docs/28usc41-49_CAs.pdf)

<sup>99</sup> [Dr.Richard.Cordero.Esq@gmail.com](mailto:Dr.Richard.Cordero.Esq@gmail.com); [Dr.Richard.Cordero.Esq@Judicial-Discipline-Reform.org](mailto:Dr.Richard.Cordero.Esq@Judicial-Discipline-Reform.org); [CorderoRic@yahoo.com](mailto:CorderoRic@yahoo.com); and [Cordero.Ric@hotmail.com](mailto:Cordero.Ric@hotmail.com)

<sup>100</sup> <http://www.spiritualsovereignty.com/privacy-services/americans-bulletin.html>

written to him would keep writing to him and other inmates would feel encouraged to begin writing to him. Far from it, all mail and phone calls from inmates stopped. That too could not have been a mere coincidence on the part of all inmates across the U.S.

### **III. The judges' motive, means, and opportunity to interfere with the communications of people disseminating evidence of their wrongdoing and determined to bring about legislation that holds them publicly accountable and disciplinable, thus threatening their self-crafted above-the-law status**

35. Neither the more than 1,700 academics nor the over 9,000 newspeople include other people and institutions that Dr. Cordero has contacted with other initiatives concerning the investigation and exposure of the coordinated wrongdoing of judges together with other bankruptcy and legal systems insiders as the Judiciary's institutionalized modus operandi.<sup>101</sup> Consequently, the above statement of facts is not exhaustive; it is only illustrative. Nevertheless, the statement suffices to show that the non-receipt of positive responses relative to the number of people and times that they were contacted is a non-random anomaly.
36. **Bell-shaped distribution curve.** This pool of 10,700+ people scattered all over the country is representative of the spectrum of academic, professional, and personal interests. One can expect their reactions to be distributed graphically over a bell-shaped curve. Outliers at one end of the curve would have such a knee-jerk averse reaction to any or all elements of Dr. Cordero's *The DeLano Case Course* and the *Follow the money!* investigation proposal that they would reply if only to berate him. Outliers at the opposite end would instead be ecstatic by it so that they would rush to congratulate him and inquire further about the proposal. The majority of the pool members would be bunched close to either side of the bell apex. The statistical normalcy would be for some among them who disliked the proposal to reject it silently or decline it politely in an acknowledgment of receipt. Others who liked it would express thanks and at least some lukewarm interest in learning more about it, if only out of intellectual curiosity, or even state their desire to join forces for a more effective pursuit of a common cause.(AG:284fn105) It is possible and even probable for the majority to take no action since that is easier than to take

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<sup>101</sup> Cf. **a)** Proposal To Newspapers For a Series of Articles and an Investigative Website Presenting the Evidence of a Bankruptcy Fraud Scheme and Exposing its Support: The Coordinated Wrongdoing of Federal Judges; jun7; [http://Judicial-Discipline-Reform.org/Follow\\_money/proposal\\_to\\_news\\_papers.pdf](http://Judicial-Discipline-Reform.org/Follow_money/proposal_to_news_papers.pdf)

**b)** A Lead for Editors and Investigative Journalists to investigate coordinated judicial wrongdoing tolerated or supported by the judges in the federal courts and by the policy-making judges of the Judicial Conference of the U.S.; [http://Judicial-Discipline-Reform.org/judicial\\_complaints/to\\_editors\\_investigators\\_17apr8.pdf](http://Judicial-Discipline-Reform.org/judicial_complaints/to_editors_investigators_17apr8.pdf)

**c)** Proposal For a Book Publication and A Business Venture Centered on Fraud and Forensic Accounting and the Exposure of Coordinated Judicial Wrongdoing Through a Case Revealing a Bankruptcy Fraud Scheme; 1jun7; [http://Judicial-Discipline-Reform.org/Follow\\_money/proposal\\_publishers\\_1jun7.pdf](http://Judicial-Discipline-Reform.org/Follow_money/proposal_publishers_1jun7.pdf)



action. But for practically the totality of them to coincide on the same reaction, i.e., rejection and no response, except for a handful of negative responses, is neither statistically possible nor consonant with common experience that even people drawn together by common interests do not all behave the same way, let alone those pursuing diverse interests.

37. For one thing, more than 90% of the 10,700 people were contacted by email. Given that it is so much easier to reply to an email than to a printed letter, there should have been a statistically significant higher number of responses from those people who received an email than from those who only received a printed letter. But the non-responsive rejection reaction was the same for both groups. In the same vein, those people who received both an email and a printed letter should have had a higher response rate than those who only received the email since the letter worked as an object that remained physically near them requesting, as it were, that the addressee respond to it and the email facilitated that response. However, the number of responses received from tandem receivers was not greater than that from email-only receivers.
38. A statistically more significant factor determining response rate is that the pool was large, consisting of over 10,700 people repeatedly contacted. Some were at Ivy League universities and national media networks and others at local educational entities that just recently had received accreditation and local outlets reporting on a blog. Such an intrinsically diverse pool was bound to contain a kaleidoscopic mix of interests, including diametrically opposite ones: Well-established people want to keep the status quo to preserve long-standing beneficial relations with the Judiciary while those seeking to carve a niche in academe or journalism may try to disprove conventional wisdom in order to get national attention, either to have their Andy Warhol 15 minutes of fame or to make the opening bid to become the leader in a new field: judicial power and unaccountability studies.<sup>102</sup>
39. **The Lone Ranger sociological phenomenon.** A crowd is never monolithic in character, for there will always be someone who will break ranks and ride alone in order to right what he perceives to be a wrong (the idealist), escape the wrong done to him (the defector), or reap a competitive advantage by finding El Dorado on his own despite or ahead of the crowd (the opportunist). Tonto-like sidekicks may follow him out of a need for guidance and pragmatists may stand ready to different degrees of eagerness to join him if they realize that doing so will redound to their benefit. Or stated in highly technical terms: Something ain't right if everybody rejects chocolate or is happy when given castor oil.
40. **Who has motive to interfere.** It follows that the 10,700+ academics and newspeople could not react on their own to a proposal with the same non-responsive rejection or indifference. Non-random anomaly points to intentional interference. Hence, an alternative explanation must be considered: That Dr. Cordero's email accounts, postal delivery, and phone calls are being monitored and interfered with intentionally. The entity with the most pressing interest in so doing is the Judiciary. It is viscerally opposed to investigating and disciplining its own

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<sup>102</sup> [http://Judicial-Discipline-Reform.org/DeLano\\_course/17Law/DrRCordero\\_course&project.pdf](http://Judicial-Discipline-Reform.org/DeLano_course/17Law/DrRCordero_course&project.pdf) >Dn:11-3rd¶ and Dn:1

members, let alone having Congress do it in its stead. So much so that it engages in, and tolerates, adjudication so palpably and unlawfully biased toward judges as a 100% denial of petitions to review systematically dismissed complaints throughout the 1996-2009 13-year period covered by the posted statistical reports.(AG:214)<sup>103</sup>

41. The Judiciary's motive to monitor and interfere with Dr. Cordero's communications would be to nip in the bud the spread of his research and proposal and the sought-after formation of an action group to advocate the investigation of its coordinated wrongdoing. Such investigative findings could have devastating repercussions on judges as well as justices, leading to embarrassment, a tarnished reputation, a humiliating drop in invitations to extra-judicial activities or pariah treatment at them<sup>104</sup>, and theoretically ending up in criminal charges, imprisonment, impeachment and removal as well as strict supervision by Congress.
42. The kind of group that Dr. Cordero has endeavored to form<sup>105</sup> would strive to have precisely such impact on the Judiciary: To expose judicial wrongdoing widely and authoritatively enough to outrage the public, who would in turn demand that the authorities investigate the Judiciary,

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<sup>103</sup> [http://Judicial-Discipline-Reform.org/statistics&tables/judicial\\_misconduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/judicial_misconduct_complaints.pdf) >Cg:5a.ent18-Cg:7, Cg:44-47

<sup>104</sup> Cir. J. Kozinski [presently CA9 Chief Judge], dissenting: Passing judgment on our colleagues is a grave responsibility entrusted to us only recently. In the late 1970s, Congress became concerned that Article III judges were, effectively, beyond discipline because the impeachment process is so cumbersome that it's seldom used....Disciplining our colleagues is a delicate and uncomfortable task, not merely because those accused of misconduct are often men and women we know and admire. It is also uncomfortable because we tend to empathize with the accused, whose conduct might not be all that different from what we have done—or been tempted to do—in a moment of weakness or thoughtlessness. And, of course, there is the nettlesome prospect of having to confront judges we've condemned when we see them at a judicial conference, committee meeting, judicial education program or some such event. 28 U.S.C. §453. (Internal citations omitted.) *In re Judicial Misconduct Complaint*, docket no. 03- 89037, Judicial Council, 9th Cir., September 29, 2005, 425 F.3d 1179, 1183; <http://www.ca9.uscourts.gov/opinions/> >Advance Search: 09/29/2005 >In re Judicial Misconduct 03-89037; and [http://Judicial-Discipline-Reform.org/docs/CA9JKozinski\\_dissent.pdf](http://Judicial-Discipline-Reform.org/docs/CA9JKozinski_dissent.pdf)

<sup>105</sup> a) Programmatic Proposal to Unite Entities and Individuals to Use Their Resources Effectively in Our Common Mission to Ensure Integrity in Our Courts by Engaging in Specific Activities and Achieving Concrete Objectives: [http://Judicial-Discipline-Reform.org/docs/Programmatic\\_Proposal.pdf](http://Judicial-Discipline-Reform.org/docs/Programmatic_Proposal.pdf); cf. 5§C. Organizing and posting evidence

b) How You Can Help to Take the First Concrete Step Toward the Implementation of the Programmatic Program Through the Formation of the Virtual Firm on the Internet of Investigative Journalists and Lawyers to Expose Judges Engaged in Coordinated Wrongdoing and Abuse of Power and Bring a Class Action against Them; [http://Judicial-Discipline-Reform.org/docs/Firm\\_to\\_sue\\_judges.pdf](http://Judicial-Discipline-Reform.org/docs/Firm_to_sue_judges.pdf)

c) Table of Division of Labor for the Formation of the Virtual Firm of Lawyers, Investigative Journalists, and Publicists to Unite Entities and Individuals to Use Their Resources Effectively in Our Common Mission to Ensure Integrity in Our Courts Through Effective Judicial Accountability and Discipline Legislation; [http://Judicial-Discipline-Reform.org/docs/firm\\_formation.docs.pdf](http://Judicial-Discipline-Reform.org/docs/firm_formation.docs.pdf)

d) The need to create a firm of lawyers, investigative journalists, and publicists to effectively expose and curb judges' abuse of their power originating in their unaccountability and resulting in their institutionalized coordination of judicial wrongdoing; [http://Judicial-Discipline-Reform.org/docs/firm\\_tasks\\_22sep8.pdf](http://Judicial-Discipline-Reform.org/docs/firm_tasks_22sep8.pdf)

whose findings would force Congress to abrogate the judicial self-discipline system<sup>106</sup> and entrust its function to a citizens board for judicial accountability and discipline.<sup>107</sup> That is anathema to a Judiciary that will not even open its regular Judicial Conference meetings to a pool of reporters, let alone the public.<sup>108</sup>

43. As for the motive of Former Chief Judge John M. Walker, Jr., he was the CA2 chief judge from 2000–2006 and as such was a member of the Judicial Conference. He was named chair of the Judicial Conference Committee on Judicial Conduct and Disability in 2008. Dr. Cordero:
- a. caused his recusal from that Committee when considering his second complaint against Judge Ninfo<sup>109</sup>;
  - b. caused his recusal from *Premier*<sup>110</sup>;
  - c. filed a §351 Judicial Conduct complaint against him<sup>111</sup>;
  - d. when appealing that complaint to the Judicial Conference, charged Chief Judge Walker, at the time a member of the Conference, with “creat[ing] an institutional climate at CA2 of disrespect for the law”<sup>112</sup>;
  - e. brought it to the attention of every other Conference member(id. >JC:31);
  - f. filed a complaint against him with the NYCBar-FBC Joint Committee on Judicial Conduct<sup>113</sup>; and
  - g. made that complaint public knowledge in his emails to the 9,000+ people on his emailing list<sup>114</sup>.

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<sup>106</sup> [http://Judicial-Discipline-Reform.org/docs/28usc351\\_Conduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/docs/28usc351_Conduct_complaints.pdf)

<sup>107</sup> [http://Judicial-Discipline-Reform.org/docs/Jud\\_Discipline\\_Audit\\_Comm\\_Act.pdf](http://Judicial-Discipline-Reform.org/docs/Jud_Discipline_Audit_Comm_Act.pdf)

<sup>108</sup> a) [http://Judicial-Discipline-Reform.org/Follow\\_money/unaccount\\_jud\\_nonjud\\_acts.pdf](http://Judicial-Discipline-Reform.org/Follow_money/unaccount_jud_nonjud_acts.pdf) >2: Judges’ unaccountability for judicial and non-judicial acts is fostered at the behind-closed-doors meetings of the Judicial Conference of the United States

b) The efforts to open judicial council meetings failed during the debates on the Judicial Councils Reform and Judicial Conduct and Discipline Act so that only the second part of the bill was enacted (AG:285fn106); 126 Cong. Rec. S28086 et seq. (Sep. 30, 1980), especially Sen. DeConcini’s offered amendments; [http://Judicial-Discipline-Reform.org/docs/Jud\\_Councils\\_Reform\\_bill\\_30sep80.pdf](http://Judicial-Discipline-Reform.org/docs/Jud_Councils_Reform_bill_30sep80.pdf)

<sup>109</sup> [http://Judicial-Discipline-Reform.org/docs/DrRCordero\\_2v\\_JNinfo-JudConf.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero_2v_JNinfo-JudConf.pdf) >N:152

<sup>110</sup> Four motions to recuse CA2 Chief Judge Walker from considering the petition for panel rehearing and hearing en banc of the dismissal of *Premier–Pfundner* due to his disregard of the law and the rules in his handling of the complaint against Judge Ninfo and his toleration of a pattern of wrongdoing by CA2 clerks; 22mar-31may4; [http://Judicial-Discipline-Reform.org/docs/DrCordero-4recuse\\_CJWalker\\_04.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero-4recuse_CJWalker_04.pdf) >A:1197

<sup>111</sup> Statement of Facts Setting forth a complaint under 28 U.S.C. §351 against the Hon. John M. Walker, Jr., Chief Judge of the Court of Appeals for the Second Circuit addressed under Rule 18(e) of the Rules of the Judicial Council of the Second Circuit Governing Complaints against Judicial Officers to the Circuit Judge eligible to become the next chief judge of the circuit; [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_CA2\\_CJ\\_Walker.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_CA2_CJ_Walker.pdf)

<sup>112</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_to\\_Jud\\_Conference\\_18nov4.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_to_Jud_Conference_18nov4.pdf) >JC:21¶57

<sup>113</sup> [http://Judicial-Discipline-Reform.org/NYCBar\\_FBC/to\\_ComJudConduct\\_19jun6.pdf](http://Judicial-Discipline-Reform.org/NYCBar_FBC/to_ComJudConduct_19jun6.pdf) >C:i

<sup>114</sup> a) id. >C:301. Request that the NYCBar, the Federal Bar Council, and their members investigate a

44. As for Current CA2 Chief Judge Dennis Jacobs, Dr. Cordero:

charged him, in his second complaint against Judge Ninfo, with “self-interest...in not finding [his] own two-term Appointee Judge Ninfo involved in a bankruptcy fraud scheme”<sup>115</sup>;

- a. brought it to the attention of his fellow Conference members(id. >28);
- b. requested his recusal from considering that complaint(id. >N:20a);
- c. filed likewise a complaint against him with the NYCBar-FBC Joint Committee on Judicial Conduct(AG:285fn113 >C:i); and
- d. made that complaint public knowledge in his emails to the 9,000+ people on his emailing list(AG:285fn114).

45. **Means for interfering.** The judges have the means for interfering with third party communications. They can abuse their power either to issue, whether through complacent U.S. attorneys, former colleagues in the U.S. Attorney’s Office<sup>116</sup> or on their own, wiretap orders under 18 U.S.C. Ch. 119<sup>117</sup> and 50 U.S.C. Ch. 36<sup>118</sup>, and search and seizure warrants. They can

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complaint against the former and current CA2 chief judges submitted on June 19, 2006, to their joint Committee on Judicial Conduct, none of whom has even acknowledged its receipt; 11nov6;

b) id. >C:329. Request to Individuals and Entities Advocating Judicial Integrity to Call for an Investigation of CA2 Chief Judges’ Toleration or Support of Second Circuit Judges’ Coordinated Wrongdoing, including a Bankruptcy Fraud Scheme; 27nov6; and [http://Judicial-Discipline-Reform.org/NYCBar\\_FBC/to\\_members\\_27nov6.pdf](http://Judicial-Discipline-Reform.org/NYCBar_FBC/to_members_27nov6.pdf);

c) id. >C:331. Request to NYCBar and Federal Bar Council to investigate evidence of coordinated wrongdoing by CA2 federal chief judges; and investigation proposal; 6dec6;

d) id. >C:333 and [http://Judicial-Discipline-Reform.org/NYCBar\\_FBC/I\\_Denounce\\_13dec6.pdf](http://Judicial-Discipline-Reform.org/NYCBar_FBC/I_Denounce_13dec6.pdf).

<sup>115</sup> Judicial Misconduct Complaint of June 6, 2008, under 28 U.S.C. §351 against U.S. Bankruptcy Judge John C. Ninfo, II, WBNY, Rochester, NY, for bias, prejudice, and abuse of judicial power in support of a bankruptcy fraud scheme and its cover up; [http://Judicial-Discipline-Reform.org/docs/DrRCordero\\_2v\\_JNinfo\\_6jun8.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero_2v_JNinfo_6jun8.pdf) >N:1. It was designated by CA2 as 02-08-90073-jm; id. >N:31.

<sup>116</sup> <http://www.ca2.uscourts.gov/judgesmain.htm> >John M. Walker, Jr.: “Biographical information: ...Judge Walker...From 1970 to 1975 he served as an Assistant United States Attorney in the Criminal Division, Southern District of New York...In 1981 Judge Walker became Assistant Secretary of the Treasury, responsible for policy in law enforcement, regulatory, and trade matters, and with oversight of the Customs Service, Secret Service, Federal Law Enforcement Training Center, Bureau of Alcohol, Tobacco and Firearms, and the Office of Foreign Assets Control. Judge Walker remained in this position until 1985, when he became a United States District Judge for the Southern District of New York. He has been...Director and on the faculty of NYU Law School’s Institute of Judicial Administration and Appellate Judges Seminar since 1992.” [http://Judicial-Discipline-Reform.org/docs/JJ\\_Walker\\_CA2\\_13sep10.jpg](http://Judicial-Discipline-Reform.org/docs/JJ_Walker_CA2_13sep10.jpg)

<sup>117</sup> a) 18 U.S.C. Chapter 119 – Wire and Electronic Communications Interception and Interception of Oral Communications; <http://uscode.house.gov/pdf/2009/>2009usc18.pdf>; and [http://Judicial-Discipline-Reform.org/docs/18\\_usc\\_wire\\_tapping.pdf](http://Judicial-Discipline-Reform.org/docs/18_usc_wire_tapping.pdf).

b) See also <http://www.uscourts.gov/Statistics/WiretapReports.aspx>

<sup>118</sup> 50 U.S.C. Chapter 36 - Foreign Intelligence Surveillance Act of 1978; <http://uscode.house.gov/pdf/2008/>2008usc50.pdf>; and [http://Judicial-Discipline-Reform.org/docs/50usc\\_ch36\\_FISA.pdf](http://Judicial-Discipline-Reform.org/docs/50usc_ch36_FISA.pdf)

“A 1978 federal statute that established new procedures and courts to authorize electronic surveillance of foreign intelligence operations in the U.S. The Act established the Foreign

even skip that formality and order their clerks, whom they can remove at will, and other technical employees, for instance, those who maintain their own courts“ or AO“s websites,<sup>119</sup> to engage in illegal electronic interception of third party communications<sup>120</sup>.

#### **IV. The *Follow the wire!* investigation’s reputational benefit for the A.G., his P.I.B. chief, and other staffers; and its impact on a power-abusive Judiciary and on the public and voters**

46. The lack of responses, let alone positive ones, to Dr. Cordero’s repeated emails, letters, and faxes to 10,700+ individuals and entities to call on them to join an investigation and exposure of coordinated judicial wrongdoing may be explained in part by the fear of lawyers, other professionals, and newspeople of retaliation from powerful, life-tenured, and de facto unimpeachable judges.
47. Judges“ means of retaliation are not limited to offering acquittals to criminal defense lawyers if they have one of their violent clients out on bail “take care” of a vocal accuser of their wrongdoing. To retaliate against the accuser and intimidate others away from joining him it suffices for the judges, as members of a close-knit and privileged class, to ensure that they work together to doom the accuser, his clients, and associates to lose every motion, every application, and every case that they bring before them. Similarly, they can see to it that their clerks, as their enforcers of wrongdoing, apply against them every conceivable means of chicanery, from misplacing their filings, to changing their docket entry dates, to not entering them at all.<sup>121</sup> Therefore, to the extent that fear of retaliation rather than the Judiciary“s interference with Dr. Cordero“s postal, emails, and phone communications accounts for that lack of responses, the intervention of the A.G.“s Office becomes just as crucial and pertinent as well as required, for

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Intelligence Surveillance Court and the Foreign Intelligence Court of Review. It allows the Attorney General to *obtain warrants* that authorize electronic surveillance of suspected foreign-intelligence operatives *without public disclosure and without a showing of probable cause that criminal activity is involved.*” (emphasis added) Black’s Law Dictionary, 8<sup>th</sup> ed., Bryan A. Garner, Editor in Chief, Thomson West (2004)

<sup>119</sup> <http://www.uscourts.gov/Home.aspx>

<sup>120</sup> “In the United States, phone and broadband networks are already required to have interception capabilities, under a 1994 law called the Communications Assistance to Law Enforcement Act. [47 U.S.C. §§1001-1021; <http://Judicial-Discipline-Reform.org/docs/47usc1001-1021.pdf>] It aimed to ensure that government surveillance abilities would remain intact during the evolution from a copper-wire phone system to digital networks and cellphones. Often, investigators can intercept communications at a switch operated by the network company.” U.S. Tries to Make It Easier to Wiretap the Internet, Charlie Savage, *The New York Times*; 27sep10; <http://www.nytimes.com/2010/09/27/us/27wiretap.html?emc=na>; and [http://Judicial-Discipline-Reform.org/docs/Internet\\_wiretaps\\_NYT\\_27sep10.pdf](http://Judicial-Discipline-Reform.org/docs/Internet_wiretaps_NYT_27sep10.pdf)

<sup>121</sup> Cf. GC:26§§3-5; 47§§3-5; 58§8; in the pdf version of this file at the address in the footer. See also the transcript of the hearing on the DeLanos’ motion to disallow the creditor’s claim; [http://Judicial-Discipline-Reform.org/docs/transcript\\_DeLano\\_1mar5.pdf](http://Judicial-Discipline-Reform.org/docs/transcript_DeLano_1mar5.pdf).

A.G. Schneiderman has stated “As an **independent** Attorney General, Eric will continue his long battle against the status quo. He will use long-standing AG powers to investigate businesses that illegally conspire with crooked public officials and will **dramatically expand the use** of the 2007 state False Claims Act, a powerful whistleblower law that Eric is working to strengthen as a lawmaker”<sup>122</sup> (emphasis added).

48. Likewise, “Eric T. Schneiderman...has established restoring the public’s faith in its public and private sector institutions as key priorities for [his] office, by focusing on areas including public integrity, economic justice, social justice”.<sup>123</sup> More than a violation of trust is at stake if the judges have interfered with the communications of third parties to cover up their running of a bankruptcy fraud scheme and other forms of coordinated wrongdoing. To begin with, such interference cannot be the work of a rogue judge, but only of judges working in coordination among themselves and with their technical staff.
49. Hence, on the strength of the probable cause set forth here, the conduct of a *Follow the wire!* investigation<sup>124</sup> by the technical experts of the A.G.’s Office and P.I.B. can reveal that the judges of the Federal Judiciary have stolen the noble identity of their office as administrators of Justice in order to exploit it as members of a secretive society of contemnors of law that abuse their power for their individual and collective benefit. The judges would be shown to have robbed the public of due process of law and the Justice that it is intended to deliver. Their interception of, and interference with, third party communications has not been undertaken to further a “national security” interest<sup>125</sup>. Rather, it is an illegal means used to run a crass operation to cover up their theft of the public’s security in government by the rule of law and escape discipline, even civil and criminal liability. Just as all the judges denied discovery of *every single document* requested by the creditor in *DeLano* to prove their bankruptcy fraud scheme, they have interfered with third party email, mail, and phone communications only to further their own interests as members of the unlawfully institutionalized Judges Above the Law.
50. The revelation by the A.G.’s Office and P.I.B technicians of the Judiciary as interfeerer in self-interest of incriminating communications would spark fireworks of inquiries from technical experts everywhere in the U.S. and abroad to learn how they pulled off that feat so as to emulate

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<sup>122</sup> Eric Schneiderman’s Agenda For The Office Of New York State Attorney General: *A Blueprint for Economic Fairness, Social Justice & Real Reform in New York State*; <http://www.ericshneiderman.com/issues?id=0008> >p.44; and [http://Judicial-Discipline-Reform.org/docs/Schneiderman\\_Agenda\\_2010.pdf](http://Judicial-Discipline-Reform.org/docs/Schneiderman_Agenda_2010.pdf)

<sup>123</sup> About the Attorney General; <http://www.ag.ny.gov/about.html>

<sup>124</sup> For the *Follow the wire!* investigation, Dr. Cordero can make available to Cybercrime Bureau all the contact information about the 1,700+ professionals that he wrote to and copies of what he sent to them and the corresponding dates; and the email addresses of the 9,000+ newspeople to whom he emailed articles as well as those of the few ones with whom he regularly exchanged emails critical of the Judiciary. The provisions of this material must take place in a secure way.

<sup>125</sup> The interception of Dr. Cordero’s communications does not fall within the scope 18 U.S.C. §2516. Authorization for interception of wire, oral, or electronic communications;

them in their own effort to hold every branch of their government accountable and within the bounds of the law. Those technicians would be praised for having revealed, not a leak of incriminating information to the outside world from a racketeering enterprise shrouded in secrecy, but rather the tear in the robe of Justice through which a corrupt organization of Your Honors, The Duty-bound Renderers of Honest Services<sup>126</sup>, sneaked out their hands to break and enter without a valid 4<sup>th</sup> Amendment search and seizure warrant into the public's domain to rob the people of their First Amendment rights to freedom of speech, of the press, and of assembly to petition the Government for a redress of grievances and deprive them of equal protection of the law implicit in the 5<sup>th</sup> Amendment.<sup>127</sup>

51. From this revelation, the A.G.'s Office technicians would emerge as having honored A.G. Schneiderman's promissory campaign statement that "Consistent with his lifelong record as a progressive Democrat, Eric's sweeping policy agenda for the Attorney General's office reflects an unrivaled commitment to justice on behalf of everyday New Yorkers...[He] is committed first and foremost to equal justice under law"<sup>128</sup>. This is the antithesis of selective prosecution, for it applies the law without fear or favor to "powerful special interests...[and] independent of political considerations"<sup>129</sup>. By investigating even those that bear in their office title the notion of Justice but produce "corrosive corruption", A.G. Schneiderman and his P.I.B. chief and staff can take concrete action to deliver on his promise to "instill transparency, honesty and accountability in the public sector, to help give New Yorkers the government they deserve"<sup>130</sup>. If they do so, they can emerge not only as our state's, but also our nation's Champions of Justice.

Date: February 4, 2011  
59 Crescent Street  
Brooklyn, NY 11208

Dr. Richard Cordero, Esq.  
Dr. Richard Cordero, Esq.

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<sup>126</sup> "U.S. Judge G. Thomas Porteous, Jr., (E.D. La.)...deprived the public of its right to his honest services in violation of 18 U.S.C. §§1341, 1343, and 1346, and [his acts] constituted an abuse of his judicial office in violation of Canons 5C(1) and 5C(4) of the Code of Conduct for United States Judges"; In Re: Complaint of Judicial Misconduct against United States District Judge G. Thomas Porteous, Jr., under the Judicial Conduct and Disability Act of 1980, docket no. 07-05-351-0085, The Judicial Council of the 5<sup>th</sup> Circuit, 10sep8; <http://fifthcat.lb5.uscourts.gov/>; and [http://Judicial-Discipline-Reform.org/docs/JPorteous\\_JCoun\\_Cir5\\_reprimand.pdf](http://Judicial-Discipline-Reform.org/docs/JPorteous_JCoun_Cir5_reprimand.pdf).

See also Judicial Conference of the U.S., Certification to the House of Representatives that Judge G. Thomas Porteous, Jr., should be impeached, 18jun8; [http://Judicial-Discipline-Reform.org/docs/JConf\\_impeach\\_JPorteous\\_18jun8.pdf](http://Judicial-Discipline-Reform.org/docs/JConf_impeach_JPorteous_18jun8.pdf). The Judge was removed by the Senate on December 8, 2010.

Canon 1: A judge should uphold the integrity and independence of the judiciary [and] must comply with the law; <http://www.uscourts.gov/RulesAndPolicies/CodesOfConduct.aspx> > Code of Conduct for United States Judges; with useful bookmarks and the newsrelease of the Judicial Conference that adopted it on March 17, 2009, [http://Judicial-Discipline-Reform.org/docs/Code\\_Conduct\\_Judges\\_09.pdf](http://Judicial-Discipline-Reform.org/docs/Code_Conduct_Judges_09.pdf)

<sup>127</sup> [http://Judicial-Discipline-Reform.org/docs/US\\_Constitution\\_&\\_notes.pdf](http://Judicial-Discipline-Reform.org/docs/US_Constitution_&_notes.pdf)

<sup>128</sup> AG:263 > ERIC SCHNEIDERMAN'S AGENDA, at 1 and 8

<sup>129</sup> AGENDA, as quoted at AG:263

<sup>130</sup> AGENDA, at 7

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November 11, 2010

D.A. Cyrus R. Vance, Jr.  
NY County District Attorney's Office  
One Hogan Place  
New York, NY 10013

Dear Mr. Vance,

In reliance on DA C. Vance's recent statement that "Self-serving criminal activity among public employees at all levels severely undermines the public's confidence in our government"<sup>1</sup>, I hereby file a complaint concerning a bankruptcy fraud scheme and the involvement in it of judges, attorneys, U.S. trustees, and the members of the attorneys disciplinary committee.

The scheme originates in the Federal Judiciary.<sup>2</sup> Its judges abuse their self-policing power by self-exempting from any discipline<sup>3</sup>. With risklessness assured, they handle wrongfully the greatest corruptor "at all levels": money!, at least \$325.6 bl. in FY09<sup>4</sup> at stake just in personal bankruptcies<sup>5</sup>. So they harm millions<sup>6</sup>, but protect their own, such as a bankruptcy officer at a major bank<sup>7</sup>. After he went „bankrupt“, *every single document*<sup>8</sup> requested by a creditor to expose his concealment of assets was denied by the CA2-appointed<sup>9</sup> bankruptcy judge<sup>10</sup> and the district and CA2 judges, including Then-J. Sotomayor, presiding<sup>11</sup>. By violating discovery rights and dismissing the case<sup>12</sup>, she too covered up the scheme. Judges cover up their assets by filing pro forma financial disclosure reports<sup>13</sup>, which Judge Sotomayor did<sup>14</sup>, as hinted at by major newspapers<sup>15</sup>.

The co-scheming attorneys became the subject of a complaint to the Attorney Grievance Committee in Rochester.<sup>16</sup> That Committee dismissed it without even asking them to reply or produce documents, for its members had a conflict of interests: Court records show that they worked for or with the attorneys and judge running the fraud scheme or were involved in the type of bankruptcies concerned by it.<sup>17</sup> When the members became the subject of another complaint<sup>18</sup>, in self-interest they dismissed it too!<sup>19</sup> A similar complaint<sup>20</sup> was filed with each 1<sup>st</sup> Department Disciplinary Committee member<sup>21</sup> against the attorneys in NYC<sup>22</sup>. They too dismissed it out of hand.<sup>23</sup> To protect their colleagues, all of them showed reckless disregard for the truth by evading the means to ascertain the facts. Did the NYS appellate judges commit a glaring error of judgment in appointing them „for their integrity“ or did they appoint them because the attorneys would not disrupt special interests?: attorneys' donations to judicial elections and relations to federal judges.

This complaint allows you to expose attorney grievance committee members' and judges' systematic dismissal of misconduct complaints<sup>24</sup> as self-dealing and pay to play to ensure reciprocal dismissals<sup>25</sup> and donations. You can investigate it as a multidistrict *Follow the money!* investigation<sup>26</sup> of concealment of assets, tax evasion<sup>27</sup> and money laundering by J. Sotomayor<sup>28</sup> and other federal<sup>29</sup> and state judges. All have breached their fiduciary duty to the public and ethics laws.<sup>30</sup> Not to investigate this complaint would mean that they are above suspicion.<sup>31</sup> It would belie DA Vance's statement that "Public employees must be held to the highest standards of honesty and integrity"<sup>32</sup>. But since "It is a top priority of the Office to investigate and prosecute those who violate the public's trust"<sup>id.</sup>, it should be investigated, e.g., by issuing the proposed subpoena that identifies key information and evidence<sup>33</sup>. This can lead to a national TV audience<sup>34</sup> before whom you can ask "What did the Justices<sup>35</sup> and judges know about the bankruptcy fraud scheme and when did they know it?"<sup>36</sup> ...or you can let Sen. McConnell and Rep. Issa and Cantor do the asking<sup>37</sup> and be rewarded with higher office<sup>38</sup>, even a movie deal<sup>39</sup>. So I respectfully request that you **1**) investigate the complaint; **2**) keep me informed of progress; and **3**) ask me in for an interview.

Looking forward to hearing from you, sincerely, *Dr. Richard Cordero, Esq.*

[http://Judicial-Discipline-Reform.org/DANY/9DrRCordero-NYCDACVance\\_11nov10.pdf](http://Judicial-Discipline-Reform.org/DANY/9DrRCordero-NYCDACVance_11nov10.pdf)

DA:i

HR:301

## Endnotes

(To easily retrieve the references below through a file that has all the links active go to [http://Judicial-Discipline-Reform.org/DANY/9DrRCordero-NYCDACVance\\_11nov10.pdf](http://Judicial-Discipline-Reform.org/DANY/9DrRCordero-NYCDACVance_11nov10.pdf))

<sup>1</sup> Press Release: District Attorney Vance Announces Formation Of Public Integrity Unit; New Public Integrity Hotline Will Allow New Yorkers to Easily Report Wrongdoing, Cyrus R. Vance, Jr., NY DA; contact Erin Duggan, tel. (212)335-9400; 20oct10; <http://www.manhattanda.org/whatsnew/press/2010-10-20.shtml>; and [http://Judicial-Discipline-Reform.org/docs/DANY\\_Pub\\_Corrupt\\_Unit\\_20oct10.pdf](http://Judicial-Discipline-Reform.org/docs/DANY_Pub_Corrupt_Unit_20oct10.pdf) >pcu:4.

<sup>2</sup> In the 221 years since the establishment of the Federal Judiciary in 1789, only 7 federal judges have been impeached and removed; Federal Judicial Center, [http://www.fjc.gov/history/home.nsf/page/judges\\_impeachments.html](http://www.fjc.gov/history/home.nsf/page/judges_impeachments.html). Put this in perspective against the 2,132 justices, judges, and magistrates in office on 30sep09; <http://www.uscourts.gov/Statistics/JudicialBusiness/JudicialBusiness.aspx?doc=uscourts/Statistics/JudicialBusiness/2009/JudicialBusinesspdfversion.pdf> >34-37; and [http://Judicial-Discipline-Reform.org/statistics&tables/num\\_jud\\_officers.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/num_jud_officers.pdf)

“About 3.2% of adults in the U.S. resident population, or 1 in every 31 adults, were under correctional supervision at yearend 2008. This rate has remained fairly stable during the past eight years.” Probation and Parole in the U.S., 2008, Lauren E. Glaze and Thomas P. Bonczar, Bureau of Justice Statistics, DoJ, BJS Bulletin, dec9, NCJ 228230, at 3; <http://bjs.ojp.usdoj.gov/index.cfm?ty=dcdetail&iid=271>; and [http://Judicial-Discipline-Reform.org/docs/statistics&tables/correctioneers/correctional\\_population\\_1in31.pdf](http://Judicial-Discipline-Reform.org/docs/statistics&tables/correctioneers/correctional_population_1in31.pdf).

If the “1 in every 31” statistic is applied arguendo to the 2,132 judicial officers at the end of FY09, then 69 of them should have been, not on the bench, but rather “incarcerated or on probation or parole”. No doubt, this application can be subjected to reasonable statistical refinements. However, the result would still not support the pretense of the judges on the Judicial Council of the Second Circuit, including Then-Judge Sotomayor during her stint there, that in the FY96-09 13-year period not a single one of their 2<sup>nd</sup> Circuit complained-against judge or magistrate peers engaged in conduct suspect enough to warrant that the dismissal by the CA2 chief judge of the corresponding complaint be reviewed by the Council, let alone by an investigative committee(endnote 3) appointed by it under 28 U.S.C. §354(a)(1)(C) ([http://Judicial-Discipline-Reform.org/docs/28usc351\\_Conduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/docs/28usc351_Conduct_complaints.pdf)).

The Council’s pretense implies that a CA2 chief judge cannot err and a 2<sup>nd</sup> Circuit judge can do no wrong. That is outright implausible as contrary to human and judicial experience. The pretense is further exposed as such by the indisputable fact that the number of persons investigated for administrative, civil, or criminal misconduct is always substantially higher than the number of those that end up being criminally charged, tried, convicted, and incarcerated or placed on probation.

What is more, the “1 in every 31” statistic does not even include the number of adults in the population suffering from a mental or physical disability that incapacitates them from working. By contrast, that kind of disability can be the predicate of a complaint against a judge, which opens the way to an investigation, suspension from case assignment, removal of a bankruptcy or magistrate judge, and

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theoretically even impeachment of a district and circuit judge and a Supreme Court justice. Hence, the inclusion of disable judges in 1 in 31 the statistical calculation would result in that more than 69 out of the 2,132 of them in office on 30sep9 should have been not on the bench, but rather “incarcerated or on probation or parole”.

<sup>3</sup> Federal judges have systematically dismissed 99.82% of the 9,466 complaints filed against them under 28 U.S.C. §351 et seq. ([http://Judicial-Discipline-Reform.org/docs/28usc351\\_Conduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/docs/28usc351_Conduct_complaints.pdf), during the 1oct96-30sep8 12-year period covered by the posted statistics. See the statistical tables of misconduct complaint against judges, prepared by the Administrative Office of the U.S. Courts, Judicial Business of the U.S. Courts; <http://www.uscourts.gov/Statistics/JudicialBusiness.aspx> > Table S-22 Report of Action Taken on Complaints (in earlier years Table S-23 or 24); collected and relevant values tabulated at [http://Judicial-Discipline-Reform.org/statistics&tables/judicial\\_misconduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/judicial_misconduct_complaints.pdf) >Cg:6

Judge Sonia Sotomayor supported the Judicial Council’s policy, revealed by those official statistics, of denying 100% of petitions to review systematically dismissed complaints against judges in the 2<sup>nd</sup> Circuit. Id. >Cg:7 and infra at DA:214.

<sup>4</sup> In FY09 -1oct8-30sep9-, federal bankruptcy judges dealt with the staggering \$325.6 billion in liabilities self-reported by individual debtors in cases with predominantly consumer debt; to this figure must be added the \$10s of billions in debt of predominantly business debtors. They discharged the net amount of \$310,329,885,000. [http://www.uscourts.gov/News/TheThirdBranch/10-07-01/BAPCPA\\_Report\\_Looks\\_at\\_Filers\\_in\\_Non-business\\_Bankruptcies.aspx](http://www.uscourts.gov/News/TheThirdBranch/10-07-01/BAPCPA_Report_Looks_at_Filers_in_Non-business_Bankruptcies.aspx); tables collected at [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/bkr\\_dollar\\_value.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/bkr_dollar_value.pdf) >dv:1

Even a tiny percentage of this amount and of the non-discharged difference of \$15,270,115,000 is a colossal amount of money, particularly because it is concentrated in the hands of only a few insiders of the bankruptcy and judicial systems. What makes all the difference is that because judges abuse their power to self-exempt from investigation and discipline and immunize insiders by finding in their favor if they are sued, they all can grab that money risklessly.

<sup>5</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/How\\_fraud\\_scheme\\_works.pdf](http://Judicial-Discipline-Reform.org/Follow_money/How_fraud_scheme_works.pdf)

<sup>6</sup> In the year to 30jun10, 1,572,597 cases were filed in the U.S. Bankruptcy Courts, a 20.4% increase over the 1,306,315 filed in the year to 30jun9, which continued the upward trend since 2006; <http://www.uscourts.gov/Statistics/BankruptcyStatistics.aspx> >12-month period ending June >2009-2010 Calendar Year comparison; and [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/latest\\_bkr\\_filings.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/latest_bkr_filings.pdf).

These figures do not include:

- a) 43,776 civil cases (=57,138 -13,362) filed in the 12 regional U.S. Courts of Appeals in the year to 31dec9 (Table B-1.—Appeals Commenced);
- b) 1,337 filed in the Court of Appeals for the Fed. Cir. (Table B-8. Appeals Filed); nor
- c) 278,884 civil cases filed in the U.S. District Courts in the same period.

Administrative Office of the United States Courts, *Statistical Tables for the Federal*

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*Judiciary*: December 31, 2009; Washington, D.C., 2010; <http://www.uscourts.gov/Statistics/StatisticalTablesForTheFederalJudiciary/December2009.aspx>; and [http://Judicial-Discipline-Reform.org/statistics&tables/caseload/1judicial\\_caseload.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/caseload/1judicial_caseload.pdf)

In all these 1,896,594 (=1,572,597 + 323,997 [=43,776 + 1,337 + 278,884]) civil cases, money could have been at stake and unaccountable federal judges could abusively wield power in self-interest to decide who kept it or had to pay it out to somebody.

<sup>7</sup> The Salient Facts of The *DeLano* Case, revealing the involvement of bankruptcy and legal system insiders in a bankruptcy fraud scheme; infra [DA:216](#).

The detailed statement of facts is at [GC:41§D](#). The *DeLano* Case: bankruptcy fraud through concealment of assets covered up to make a retirement gift to an insider

<sup>8</sup> Infra, [Ci:157§V](#)

<sup>9</sup> Appointment of bankruptcy judges [by their respective court of appeals]; [http://Judicial-Discipline-Reform.org/docs/28usc151-159\\_bkr\\_judges.pdf](http://Judicial-Discipline-Reform.org/docs/28usc151-159_bkr_judges.pdf)

<sup>10</sup> Judicial Misconduct Complaint under 28 U.S.C. §351 against U.S. Bankruptcy Judge John C. Ninfo, II, WBNY, Rochester, NY, for bias, prejudice, and abuse of judicial power in support of a bankruptcy fraud scheme and its cover up; [http://Judicial-Discipline-Reform.org/docs/DrRCordero\\_2v\\_JNinfo\\_6jun8.pdf](http://Judicial-Discipline-Reform.org/docs/DrRCordero_2v_JNinfo_6jun8.pdf)

<sup>11</sup> Infra, [DA:213](#). The *DeLano* case is so incriminating that Judge Sotomayor withheld it from the Senate Judiciary Committee that held hearings on her nomination for a justiceship. She violated her duty to comply with the Committee's request for her to produce all cases in which she had participated, let alone presided over, as she had in *DeLano*. In addition, she perjured herself, for she swore that she had complied with the production request; [http://Judicial-Discipline-Reform.org/SCt\\_nominee/Senate/1DrCordero-Senate.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/Senate/1DrCordero-Senate.pdf). Thus, she also violated her duty to the public to disclose all matters relevant to assess her integrity and competence to become a justice.

<sup>12</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_CA2\\_rehear.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_CA2_rehear.pdf)

<sup>13</sup> Federal judges earn judicial salaries, [http://Judicial-Discipline-Reform.org/docs/Schedule7\\_Judicial\\_Salaries.pdf](http://Judicial-Discipline-Reform.org/docs/Schedule7_Judicial_Salaries.pdf), that put them in the top 2% of income earners in our country; [http://www.census.gov/compendia/statab/cats/income\\_expenditures\\_poverty\\_wealth/income\\_for\\_persons.html](http://www.census.gov/compendia/statab/cats/income_expenditures_poverty_wealth/income_for_persons.html); and [http://Judicial-Discipline-Reform.org/docs/US\\_Census\\_Income\\_2010.pdf](http://Judicial-Discipline-Reform.org/docs/US_Census_Income_2010.pdf) >Table 689. Money Income of People--Number by Income Level and by Sex, Race, and Hispanic Origin: 2007: 4,777,000 people earned \$150,000 and above out of 238,148,000 representing 2.01% of the total. Those judges cannot end up with the few assets that they disclose year after year unless they squander their money or conceal it; [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/12table\\_JSotomayor-financials.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/12table_JSotomayor-financials.pdf)

<sup>14</sup> [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/JSotomayor\\_03-07\\_reports.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/JSotomayor_03-07_reports.pdf). Cf. Judge David Larimer, WBNY, the district judge in the bankruptcy officer's bankruptcy, infra at [GC:30§5](#) and [52§6](#), filed financial disclosure reports, [http://Judicial-Discipline-Reform.org/docs/J\\_Larimer\\_fin\\_disclosure\\_rep.pdf](http://Judicial-Discipline-Reform.org/docs/J_Larimer_fin_disclosure_rep.pdf), that cast doubt on their completeness and reliability. During the reported years, he

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disclosed up to 5 accounts with \$1,000 or less each, no transaction reported in a mutual fund or the other accounts, and a single loan of between \$15K-\$50K.

Where did his salary go?, which in 2008 was \$169,300, [http://Judicial-Discipline-Reform.org/docs/Schedule7\\_Judicial\\_Salaries.pdf](http://Judicial-Discipline-Reform.org/docs/Schedule7_Judicial_Salaries.pdf), placing him in the top 2% of income earners, [http://Judicial-Discipline-Reform.org/docs/US\\_Census\\_Income\\_2010.pdf](http://Judicial-Discipline-Reform.org/docs/US_Census_Income_2010.pdf). This question was also asked about Then-Judge Sotomayor's earnings, who at the time of her 2009 nomination was earning over \$210,000; and about the bankruptcy officer, whose bankruptcy petition is rife with incongruous, implausible, and suspicious disclosures(infra, GC:42§1). So are those of these and other judges year after year.

<sup>15</sup> Newspaper articles on Justice Nominee Judge Sotomayor's suspicious financial disclosures; [http://Judicial-Discipline-Reform.org/Sct\\_nominee/JSotomayor\\_integrity/6articles\\_JSotomayor\\_financials.pdf](http://Judicial-Discipline-Reform.org/Sct_nominee/JSotomayor_integrity/6articles_JSotomayor_financials.pdf)

<sup>16</sup> Complaint to the Attorney Grievance Committee for the NYS 7<sup>th</sup> Judicial District against attorneys engaged in misconduct contrary to law and/or the NYS Unified Court System, Part 1200 - Rules of Professional Conduct; 25feb10; [http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/16App\\_Div/DrRCordero-AppDiv4dpt.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/16App_Div/DrRCordero-AppDiv4dpt.pdf)

<sup>17</sup> Id., ri:132§IV; cf. infra, rr:121 and Ci:161§VI

<sup>18</sup> Id., ri:111

<sup>19</sup> Id., ri:169

<sup>20</sup> Infra, GC:i. Cover letter; GC:3§II. Complaint Overview; GC:14§III. Statement of Facts; also at [http://Judicial-Discipline-Reform.org/NYS\\_att\\_complaints/15DDC/1DrRCordero-Disciplinary\\_Com.pdf](http://Judicial-Discipline-Reform.org/NYS_att_complaints/15DDC/1DrRCordero-Disciplinary_Com.pdf)

<sup>21</sup> Infra, GC:i and viii; rr:91 and Ci:170; and Ci:128 and 168

<sup>22</sup> Infra, GC:1 and Ci:163§VII

<sup>23</sup> Infra, rr:88 and Ci:173

<sup>24</sup> Infra, Ci:154§B

<sup>25</sup> Infra, Ci:151§A

<sup>26</sup> Infra, GC:63§2; see also:

a) Synopsis of an Investigative Journalism Proposal; Leads for a Watergate-like *Follow the money!* investigation to answer the question: **HAS A FEDERAL JUDGESHIP BECOME A SAFE HAVEN FOR COORDINATED WRONGDOING?**; 1may10; [http://Judicial-Discipline-Reform.org/Follow\\_money/DrCordero-journalists.pdf](http://Judicial-Discipline-Reform.org/Follow_money/DrCordero-journalists.pdf); and infra at DA:215;

b) How to Conduct a Watergate-like *Follow the money!* Investigation To Expose Coordinated Wrongdoing in the Federal Judiciary; 28sep8; [http://Judicial-Discipline-Reform.org/docs/how\\_to\\_follow\\_money.pdf](http://Judicial-Discipline-Reform.org/docs/how_to_follow_money.pdf); and

c) Leads connected to *DeLano* and its concealment of assets as part of the DeLanos' participation in the judicially run bankruptcy fraud scheme and useful for the *Follow the money!* investigation to expose the scheme; infra at DA:217 and 218.

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<sup>27</sup> “This Office has long fought against the use of offshore accounts by tax cheats and other criminals,” said District Attorney Vance. “At a time when the City and State face severe fiscal shortfalls, and our schools, hospitals and parks face cutbacks and closures, we cannot afford to let wealthy citizens commit crimes by cheating on their taxes.” District Attorney Vance Announces Charges In Tax Evasion Cases of 3 UBS Clients, Defendants Hid Assets in Secret Swiss Bank Accounts, Will Repay NYS More than \$1 Million; 19may10; <http://www.manhattanda.org/whatsnew/press/2010-05-19b.shtml>

<sup>28</sup> That Then-Judge Sotomayor could have concealed assets, as did the scheme insider bankruptcy officer in *DeLano*, is possible, for she was nominated by President Obama, who also nominated known tax cheats Tim Geithner, Tom Daschle, and Nancy Killefer; [http://Judicial-Discipline-Reform.org/docs/Geithner\\_tax\\_evasion\\_jan9.pdf](http://Judicial-Discipline-Reform.org/docs/Geithner_tax_evasion_jan9.pdf); [http://Judicial-Discipline-Reform.org/docs/Tom\\_Daschle\\_tax\\_evasion\\_feb9.pdf](http://Judicial-Discipline-Reform.org/docs/Tom_Daschle_tax_evasion_feb9.pdf); and [http://Judicial-Discipline-Reform.org/docs/Nancy\\_Killefer\\_3feb9.pdf](http://Judicial-Discipline-Reform.org/docs/Nancy_Killefer_3feb9.pdf).

“In recent years, New Yorkers have experienced unacceptable incidents of dishonesty at all levels of government, from lower-level public employees to the highest ranks of our state government,” said DA Vance. “This legislation provides the tools to enable local prosecutors to regain their traditional role and responsibility in rooting out and prosecuting public corruption. New Yorkers deserve greater integrity in public service.” DA Vance, Senator Schneiderman, Assembly Member Kellner Announce Major Reforms To Combat Public Corruption; 4may10; <http://www.manhattanda.org/whatsnew/press/2010-05-04.shtml>; and [http://Judicial-Discipline-Reform.org/docs/DANY\\_Pub\\_Corrupt\\_Unit\\_20oct10.pdf](http://Judicial-Discipline-Reform.org/docs/DANY_Pub_Corrupt_Unit_20oct10.pdf)

If DA Vance honestly deplored that dishonesty has reached even “the highest ranks”, then he must show “integrity in public service” by applying “at all levels” that legislation’s enhanced prosecuting tools. He must expose those with “the highest ranks” who cover up their peers’ wrongdoing, even if only to shame them. President Obama, who vetted Then-Judge Sotomayor, and the Senators, who reviewed the financial documents that she submitted to the Senate Judiciary Committee and who posted them, [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_integrity/2SenJudCom\\_Questionnaire\\_JSotomayor.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_integrity/2SenJudCom_Questionnaire_JSotomayor.pdf), had evidence that she had failed to account for \$3,611,696 in earnings minus taxes and her ‘modest living’ cost; infra, DA:201. For their short term political benefit from putting the first Latina and third woman on the Court, they saddled the public with a life-tenured dishonest justice; [http://Judicial-Discipline-Reform.org/SCT\\_nominee/JSotomayor\\_integrity/15JSotomayor\\_wrongdoing.pdf](http://Judicial-Discipline-Reform.org/SCT_nominee/JSotomayor_integrity/15JSotomayor_wrongdoing.pdf).

<sup>29</sup> Unaccountability in the Federal Judiciary: Coordinated wrongdoing institutionalized as modus operandi; [http://Judicial-Discipline-Reform.org/docs/Dynamics\\_of\\_corruption.pdf](http://Judicial-Discipline-Reform.org/docs/Dynamics_of_corruption.pdf)

<sup>30</sup> Infra, Ci:144§III

<sup>31</sup> Why would judges not continue engaging in “self-serving criminal activity” if they knew that those with the authority and duty to investigate and prosecute them would abstain from doing so because they themselves were just as willing to abuse “the public’s confidence” to advance their own “self-serving activity”?; DA:iii.endnote 1

No benefit received for abstaining from investigating and prosecuting public servants could be large enough to buy the publicity, praise, and public confidence earned by investigating judges in order to defend public integrity. *Washington Post*

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Carl Bernstein and Bob Woodward are still remembered for their courageous exposition of the public corruption that led to the Watergate scandal, the imprisonment of the top White House aides, and the resignation of President Richard Nixon; [http://Judicial-Discipline-Reform.org/docs/WP\\_The\\_Watergate\\_Story.pdf](http://Judicial-Discipline-Reform.org/docs/WP_The_Watergate_Story.pdf). These reporters earned a place in history for their contribution to holding public employees accountable and cleaning up government. Who remembers those that killed the story of Judge Sotomayor's suspiciously few assets and likely concealment of them that reporters at major newspapers had begun to write about?; DA:v.endnote 14

<sup>32</sup> District Attorney Vance Announces Indictments of Two City Employees; 28apr10; <http://www.manhattanda.org/whatsnew/press/2010-04-28b.shtml>.

A repeatedly stated commitment of DA Vance is to “ensuring the criminal justice system is fair for all”. Manhattan District Attorney-Elect Cyrus Vance Announces Executive Staff, 29dec9; <http://www.manhattanda.org/whatsnew/press/vance-2009-12-29.shtml>

<sup>33</sup> Infra, DA:221

<sup>34</sup> Principled law enforcement authorities will take this opportunity to prove the sincerity of their promised “robust crime prevention [and] aggressive prosecution of white collar crime”, <http://www.manhattanda.org/officeoverview/history.shtml>, and their determination to “coordinate and oversee the investigation and prosecution of crimes committed by public employees, elected officials, appointed officials, candidates for public office, and others who hold the public trust”, <http://www.manhattanda.org/organization/pubintegrity/>. If they do not shy away from exposing the wrongdoing and cover-up of even powerful Supreme Court justices, NYS judges, and well-connected, generous donor-lawyers, they will have kept their word and will earn the respect, trust, and attention of those who matter the most at the next election campaign: the public. Cf. [http://Judicial-Discipline-Reform.org/Follow\\_money/Champion\\_of\\_Justice.pdf](http://Judicial-Discipline-Reform.org/Follow_money/Champion_of_Justice.pdf)

<sup>35</sup> [http://Judicial-Discipline-Reform.org/docs/SCt\\_knows\\_of\\_dismissals.pdf](http://Judicial-Discipline-Reform.org/docs/SCt_knows_of_dismissals.pdf)

<sup>36</sup> Sen. Howard Baker would ask that question of each witness that he examined at the nationally televised Watergate Committee hearings. He made himself known thereby to a national public and introduced that piercing question into our political language; [http://Judicial-Discipline-Reform.org/docs/CNN\\_Watergate.pdf](http://Judicial-Discipline-Reform.org/docs/CNN_Watergate.pdf) >wg:4

<sup>37</sup> NBC reported on 4nov10 Sen. Minority Leader M. McConnell, <http://mcconnell.senate.gov/public/>, as saying that to get America back on track, a president that can veto the agenda of the new majority must go and that his eyes are on 2012. Rep. D. Issa said that “GOP Oversight Will Search Out Waste, Fraud & Abuse...And Eliminate it”; <http://www.youtube.com/watch?v=oJMqxiHKIzc&feature=channel>. He and Rep. E. Cantor, <http://cantor.house.gov/>, will conduct a series of investigations of President Obama's administration to hold it accountable. Surely, the TEA Party will join them, because “The enemy of my enemy is my friend”. You can take the initiative to investigate Then-Judge Sotomayor's concealment of assets and tax evasion or join the enemy.

<sup>38</sup> Infra, GC:66§4

<sup>39</sup> Infra, GC:63§2

**DISTRICT ATTORNEY**  
OF THE  
**COUNTY OF NEW YORK**  
ONE HOGAN PLACE  
New York, N. Y. 10013  
(212) 335-9000



**CYRUS R. VANCE, JR.**  
DISTRICT ATTORNEY

November 22, 2010

Dr. Richard Cordero, Esq.  
59 Crescent Street  
Brooklyn, New York 11208-1515

Dear Mr. Cordero,

The New York County District Attorney's Office ("this Office") has received your bound packets containing allegations against various attorneys and judges. I have carefully reviewed all of the information that you provided in your mailings. After reviewing the information you provided, this Office has decided not to initiate a further criminal investigation.

Given all of the facts and circumstances and our assessment of the available evidence, we do not believe that we can establish a criminal charge beyond a reasonable doubt.

Although I understand that you may disagree with this decision, I want to thank you for bringing this matter to our attention. We will keep all of the information that you provided on file in the event related complaints or additional information comes to our attention in the future.

Sincerely,

A handwritten signature in black ink that reads "Daniel G. Cort".

Daniel G. Cort  
Assistant District Attorney  
Chief, Public Integrity Unit



January 21, 2011

D.A. Cyrus R. Vance, Jr.  
NY County District Attorney's Office  
One Hogan Place  
New York, NY 10013

Dear Mr. Vance,

1. Last November, I sent you<sup>1</sup> a complaint<sup>2</sup> with evidence of unlawful activity by public employees. As to that type of activity, DA Cyrus Vance, Jr., has stated "It is a top priority of the Office to investigate and prosecute those who violate the public's trust<sup>3</sup> [and] aggressively go after white-collar crime<sup>4</sup>". This is a petition for reconsideration of a dismissal that dishonors that statement.
2. The complaint concerns a bankruptcy fraud scheme<sup>5</sup> involving judges, attorneys, trustees, and the attorney disciplinary committees of the 4<sup>th</sup> and 1<sup>st</sup> Judicial Departments<sup>6</sup>. The evidence sub-mitted to you was gathered from cases that I had prosecuted from a federal bankruptcy court to the Supreme Court<sup>7</sup>, and the official statistics of the Administrative Office of the U.S. Courts<sup>8</sup>. It shows that in 2009 alone the scheme involved nationwide \$325.6 billion and affected millions of people.<sup>9</sup> Yet, the 1<sup>st</sup> Dept. Disciplinary Committee and each of its members refused to perform their duty to request a response from the 1<sup>st</sup> Dept. misconducting public officer-attorneys.<sup>10</sup> They also failed to execute the proposed Demand for Information and Evidence<sup>11</sup>, thus disregarding *every single document* apt to confirm the complaint. They knowingly participated in the cover-up of the scheme. Likewise, the protection that they afford colleague attorneys<sup>12</sup> through systematic complaint dismissal with no investigation<sup>13</sup> reveals self-interested<sup>14</sup> indifference to the harm inflicted upon the public by misconducting attorneys and the betrayal of the trust reposed on them to protect the public therefrom.<sup>15</sup>
3. This self-interested indifference and betrayal of public trust are exactly what was demonstrated by DA Vance's Chief of the Public Integrity Unit, ADA Daniel G. Cort, in his response to me attached hereto. In it he stated in pertinent part as follows:

Given all of the facts and circumstances and our assessment of the available evidence, we do not believe that we can establish a criminal charge beyond a reasonable doubt. DA:xvi

4. In filing a complaint, a citizen has neither the duty nor the capacity to divine the charges that the DA will bring and then gather and submit enough evidence as to spare him the need to use his subpoena power, means for covert investigation, and forensic resources, and require of him only to evaluate the odds of maintaining unblemished his winning scorecard. A complainant need only raise reasonable suspicion that unlawful activity may have taken or be taking place. That is the standard for asking financial institutions to file Suspicious Activity Reports (SAR), which in the words of DA Vance "give rise to the initial investigation"<sup>16</sup>. Such "initial" and subsequent investigations can include requests to the complainant for details or clarification. Forensics can turn up new evidence. Interviews with, and depositions and interrogations of, people mentioned in the complaint or found by investigators can secure testimony from witnesses, experts, and defendants. Plea bargains can reduce what still must be proved in court. Only then can it be determined what charge, if any, in what degree and under what law can be brought against which person. So it constitutes a double standard that denies equal protection of the law to demand of a citizen, with far fewer resources than such institutions, that he must submit a complaint that on its face be sufficient to "establish a criminal charge beyond a reasonable doubt". Therefore, it was *preposterous* for ADA Cort to dismiss the complaint out of hand on such ridiculous pretense.

<sup>1</sup> [http://Judicial-Discipline-Reform.org/DANY/2DA/9DrRCordero-NYCDACVance\\_11nov10.pdf](http://Judicial-Discipline-Reform.org/DANY/2DA/9DrRCordero-NYCDACVance_11nov10.pdf)

5. Moreover, staring at both ADA Cort and the 1<sup>st</sup> Dept. Disciplinary Committee was the unlawfulness of the complained-about activity. For instance, in the *DeLano* case<sup>17</sup>, the bankruptcy judge on his own called for an evidentiary hearing of the bankrupts' motion to disallow a claim only to deny the creditor *every single document* that he requested<sup>18</sup>, thus turning the hearing and the grant of the motion into a sham and suspicious.<sup>19</sup> He denied even the bankrupts' bank account statements, indisputably necessary to establish the good faith of any bankruptcy petition, which judges have a duty to do. The statements would have led to the concealed assets of the bankrupts, who declared \$291,470 earned in the three years preceding their filing, never mind the rest of at least \$673,657 unaccounted for, and incongruously pretended that they only had \$535 "in hand and on account".<sup>20</sup> Moreover, one of the bankrupts was a 39-year veteran of the banking industry who at filing time worked and remained working for a major bank, M&T, precisely as a bankruptcy officer. This alone made their petition inherently suspicious. Likewise, one of the lawyers for this officer and M&T was a partner in the same law firm in which the bankruptcy judge was a partner at the time of his appointment to the bench<sup>21</sup> by the judges of the 2<sup>nd</sup> Cir. Court. The other lawyer for the officer had appeared before the judge in 525 cases<sup>22</sup>; the trustee in 3,907<sup>23</sup>. Then the judges of the district and the 2<sup>nd</sup> Circuit courts<sup>24</sup>, Circuit Justice Ginsburg<sup>25</sup>, Chief Justice Roberts<sup>26</sup>, and the whole Court denied *every single document* requested by the creditor and needed also by them to ascertain the facts to which to apply the law. Such a blatant and coordinated violation of the right to discovery and thus, to due process, was suspicious per se.
6. This evidence in bankrupts' filings and court orders of coordinated unlawfulness was so persuasive that the suspicion raised by the complaint supported not only probable cause, but also a belief to a high probability that judges, bankruptcy system insiders, and lawyers were and are running a bankruptcy fraud scheme and its cover-up. A competent prosecutor with his eyes opened would have spotted the scheme.<sup>27</sup> If in spite of his constructive knowledge of the scheme's existence ADA Cort purposefully doubted it, he only had to stare back at the evidence by subpoenaing those bank account statements and the other documents listed in the proposed subpoena attached to the complaint.<sup>28</sup> He had the duty to ascertain the facts to perform the DA's Office function to enforce the law. But he disregarded access to doubt-dispelling facts to deliberately ignore them. For him to do so and dismiss the complaint on a ridiculous pretense constituted willful blindness<sup>29</sup>, suppression of probative evidence, and dereliction of his charge to ensure public integrity that revealed indifference to official misconduct and betrayed the trust of the public that he must protect from it.
7. ADA Cort also failed to carry out DA Vance's stated commitment to "ensuring the criminal justice system is fair for all"<sup>30</sup> and intended the reasonable consequences of his deliberate ignorance and preposterous dismissal: He protected in self-interest **a)** the judges that denied *every single document* and decide DA cases; **b)** his colleagues in and out of the Disciplinary Committee, who are key contributors to DA and judicial elections; **c)** Former Colleague ADA Sotomayor, who presided over *DeLano*<sup>31</sup> and was suspected by the *NYT*, *The Washington Post*, and Politico of having concealed assets<sup>32</sup>; **d)** DA Vance, who was a member of the Judicial Screening Panel, which makes recommendations for judicial appointments as part of the 1<sup>st</sup> Dept. Appellate Division, which appointed the members of the Committee; and **e)** fellow Democrat Lt. Gov. Robert Duffy, the mayor of Rochester, where *DeLano* and the other cases discussed in the complaint arose.
8. Thus, I respectfully request that you show the integrity and consistency that honors DA Vance's "measure of success[:] the number of true criminals we hold accountable and bring to justice, how successful we are in protecting the public, and whether we have done all we can to ensure the safety and integrity of our markets and institutions"<sup>33</sup>, and to that end **1)** investigate the complaint; **2)** openly or as Deep Throat inform me of what is going on; and **3)** ask me in for an interview.

Sincerely, *Dr. Richard Cordero, Esq.*

**ENDNOTES** (=ent.; for the digital file with all links active, click the link at ent.2)

- <sup>2</sup> [http://Judicial-Discipline-Reform.org/DANY/9DrRCordero-NYCDACVance\\_11nov10.pdf](http://Judicial-Discipline-Reform.org/DANY/9DrRCordero-NYCDACVance_11nov10.pdf)
- <sup>3</sup> District Attorney Vance Announces Indictments of Two City Employees; 28apr10; <http://www.manhattanda.org/whatsnew/press/2010-04-28b.shtml>
- <sup>4</sup> Vance Shapes Agenda for Manhattan Office; Will Conduct 'Internal Audit' of Staff, Set Priorities, Noeleen G. Walder; *New York Law Journal*; 17sep09
- <sup>5</sup> [http://Judicial-Discipline-Reform.org/Follow\\_money/How\\_fraud\\_scheme\\_works.pdf](http://Judicial-Discipline-Reform.org/Follow_money/How_fraud_scheme_works.pdf) and ent. 2, GC:54§7
- <sup>6</sup> Ent. 2, GC:i
- <sup>7</sup> a) [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_TrGordon\\_ScT.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_TrGordon_ScT.pdf);  
b) [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_ScT\\_3oct8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_ScT_3oct8.pdf)
- <sup>8</sup> <http://www.uscourts.gov/Statistics/JudicialBusiness.aspx>; [http://Judicial-Discipline-Reform.org/statistics&tables/judicial\\_misconduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/judicial_misconduct_complaints.pdf)
- <sup>9</sup> [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_stats/bkr\\_as\\_percent\\_new\\_cases.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_stats/bkr_as_percent_new_cases.pdf)
- <sup>10</sup> Ent. 2, Ci:135§I
- <sup>11</sup> Ent. 2, GC:69
- <sup>12</sup> Ent. 2, Ci:151§A
- <sup>13</sup> Ent. 2, Ci:148§IV
- <sup>14</sup> Ent. 2, Ci:161§VI
- <sup>15</sup> Ent. 2, Ci:154§B
- <sup>16</sup> DA Vance Delivers Remarks At 2010 Financial Symposium, sponsors: DA's Office and NY High Intensity Financial Crime Area; <http://www.manhattanda.org/whatsnew/press/2010-11-2.shtml>
- <sup>17</sup> Ent. 2, GC:41§D
- <sup>18</sup> Ent. 2, Ci:157§V
- <sup>19</sup> [http://Judicial-Discipline-Reform.org/docs/transcript\\_DeLano\\_1mar5.pdf](http://Judicial-Discipline-Reform.org/docs/transcript_DeLano_1mar5.pdf); ent. 2 at GC:51§5
- <sup>20</sup> Ent. 2, GC:42§1
- <sup>21</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_WDNY.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_WDNY.pdf) >Pst:1289§f
- <sup>22</sup> [http://Judicial-Discipline-Reform.org/docs/Werner\\_525\\_before\\_Ninfo.pdf](http://Judicial-Discipline-Reform.org/docs/Werner_525_before_Ninfo.pdf)
- <sup>23</sup> [http://Judicial-Discipline-Reform.org/docs/Trustee\\_Reiber\\_3909\\_cases.pdf](http://Judicial-Discipline-Reform.org/docs/Trustee_Reiber_3909_cases.pdf)
- <sup>24</sup> Ent. 7b >US:2484 4. Table of 12 document requests by Dr. Cordero and denials by CA2
- <sup>25</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero-JGinsburg\\_injunction\\_30jun8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero-JGinsburg_injunction_30jun8.pdf)
- <sup>26</sup> [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_to\\_Justices\\_4aug8.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_to_Justices_4aug8.pdf)
- <sup>27</sup> Ent. 2, Ci:144§III
- <sup>28</sup> Ent. 2, DA:221= [http://Judicial-Discipline-Reform.org/DANY/10DrRCordero\\_subpoena\\_1nov10.pdf](http://Judicial-Discipline-Reform.org/DANY/10DrRCordero_subpoena_1nov10.pdf)
- <sup>29</sup> Restatement (Second) of Torts § 12(1) & cmt. a (1965)
- <sup>30</sup> Manhattan District Attorney-Elect Cyrus Vance Announces Executive Staff, 29dec9; <http://www.manhattanda.org/whatsnew/press/vance-2009-12-29.shtml>
- <sup>31</sup> Ent. 2, DA:213; cf. [http://Judicial-Discipline-Reform.org/docs/DrCordero\\_v\\_DeLano\\_CA2\\_rehear.pdf](http://Judicial-Discipline-Reform.org/docs/DrCordero_v_DeLano_CA2_rehear.pdf)
- <sup>32</sup> Newspaper articles hinting at J. Sotomayor's concealment of assets; [http://judicial-discipline-reform.org/ScT\\_nominee/JSotomayor\\_integrity/6articles\\_JSotomayor\\_financials.pdf](http://judicial-discipline-reform.org/ScT_nominee/JSotomayor_integrity/6articles_JSotomayor_financials.pdf)
- <sup>33</sup> Ent. 16; Cf. "Mr. Vance...has vowed to pursue complex financial cases"; In Campaign for Top Prosecutor, Candidate Finds Own Path, John Eligon, *The New York Times*; 30aug9; <http://www.nytimes.com/2009/08/31/nyregion/31vance.html>



STATE OF NEW YORK  
OFFICE OF THE ATTORNEY GENERAL

ERIC T. SCHNEIDERMAN  
ATTORNEY GENERAL

DIVISION OF CRIMINAL JUSTICE  
PUBLIC INTEGRITY BUREAU

February 24, 2011

Mr. Richard Cordero  
59 Crescent Street  
Brooklyn, New York 11208

RE: Complaint # 11-0132

Dear Mr. Cordero:

On behalf of Attorney General Eric T. Schneiderman, I thank you for the information you provided to the Public Integrity Bureau in your complaint dated February 4, 2011.

The Public Integrity Bureau seeks to vindicate the public's interest in honest government. When government actors, or private individuals acting in concert with them, engage in corrupt, fraudulent, or other illegal behavior in the course of their public duties, the Public Integrity Bureau may investigate or take enforcement action. The Public Integrity Bureau receives thousands of complaints each year. Due to the high volume of complaints, the limits of our resources, and the constraints of our jurisdiction, the Bureau cannot act on or otherwise investigate every complaint.

We will review your correspondence closely to evaluate whether sufficient basis exists for action by the Attorney General. If we need any additional information, we will contact you. In the meantime, if you obtain any additional relevant information, please do not hesitate to send it to us at the address below referencing the complaint number listed above.

Please be advised that this Office does not provide legal opinions nor represent individuals. If you believe that you may have an individual claim, you may wish to consult a private attorney. Your local bar association provides attorney referrals. ***Please note: The complaint you have filed with this Office has no effect on any statute of limitations filing deadlines that might apply to your claim.*** By filing a complaint with this Office, you have not initiated a lawsuit or a proceeding, nor has this Office initiated a lawsuit or a proceeding on your behalf.

Thank you again for bringing this matter to our attention.

Very truly yours,

Public Integrity Bureau

**Dr. Richard Cordero, Esq.**

Ph.D., University of Cambridge, England  
M.B.A., University of Michigan Business School  
D.E.A., La Sorbonne, Paris

59 Crescent St., Brooklyn, NY 11208  
[Dr.Richard.Cordero.Esq@gmail.com](mailto:Dr.Richard.Cordero.Esq@gmail.com)  
tel. (718) 827-9521

March 14, 2011

Mr. Eric M. Schneiderman  
New York State Attorney General  
Office of the Attorney General  
The Capitol  
Albany, NY12224-0341

Dear Mr. Schneiderman,

Over a month ago, I sent you a file containing and analyzing evidence of public corruption that involves judges, attorneys, and other insiders of the bankruptcy and legal systems running a bankruptcy fraud scheme and covering it up. Given the substantial amounts of money at stake and the detriment of New York residents and taxpayers, I requested that you conduct a *Follow the money!* investigation.

In addition, I sent you evidence supporting probable cause to suspect interference with email, mail, and phone communications of third parties to prevent them from exposing the fraud scheme and its cover-up. Hence, I requested that you also conduct a *Follow the wire!* investigation. Copies of the corresponding cover letters are below.

You publicly committed yourself to investigating and prosecuting public corruption as a top priority and recovering taxes that evaded payment to a state in financial crisis.

However, I have not received even your acknowledgment of receipt of my evidentiary file and request for those investigations.

I am a New Yorker, a voter, and a person injured by such public corruption. In addition, I am a person who took the time, made the effort, and spent the necessary money, to submit to you a professionally prepared file with evidence supporting the request that you fulfill the duty of your Office and the expectations to which you gave rise before and after being elected. In light of all those capacities of mine and responsibilities of yours, I can reasonably claim a right to at least your acknowledgement of receipt of my submission and a statement of the position that you take on the evidence and my requests.

Therefore, I respectfully request again that you respond to the evidence and my requests. You can retrieve the file containing them through the link in the footer.<sup>1</sup>

I look forward to hearing from you.

Sincerely, *Dr. Richard Cordero, Esq.*

<sup>1</sup> [http://Judicial-Discipline-Reform.org/AG/1DrRCordero-AGESchneiderman\\_4feb11.pdf](http://Judicial-Discipline-Reform.org/AG/1DrRCordero-AGESchneiderman_4feb11.pdf)

**Dr. Richard Cordero, Esq.**

Ph.D., University of Cambridge, England  
M.B.A., University of Michigan Business School  
D.E.A., La Sorbonne, Paris

59 Crescent St., Brooklyn, NY 11208  
[Dr.Richard.Cordero.Esq@gmail.com](mailto:Dr.Richard.Cordero.Esq@gmail.com)  
tel. (718) 827-9521

March 14, 2011

Mr. Joseph D'Amico  
Chief of the Investigations Division, Downstate  
NY State Attorney General's Office  
120 Broadway  
New York, NY 10271

Dear Mr. D'Amico,

Over a month ago, I sent you a file containing and analyzing evidence of public corruption that involves judges, attorneys, and other insiders of the bankruptcy and legal systems running a bankruptcy fraud scheme and covering it up. Given the substantial amounts of money at stake to the detriment of New York residents and taxpayers, I requested that you conduct a *Follow the money!* investigation.

In addition, I sent you evidence supporting probable cause to suspect interference with email, mail, and phone communications of third parties to prevent them from exposing the fraud scheme and its cover-up. Hence, I requested that you also conduct a *Follow the wire!* investigation. Copies of the corresponding cover letters are below.

Attorney General Eric Schneiderman publicly committed himself to investigating and prosecuting public corruption as a top priority and recovering taxes that evaded payment to a state in financial crisis.

However, I have not received even your acknowledgment of receipt of my evidentiary file and request for those investigations.

I am a New Yorker, a voter, and a person injured by such public corruption. In addition, I am a person who took the time, made the effort, and spent the necessary money, to submit to you a professionally prepared file with evidence supporting the request that you fulfill the duty of your Office and the expectations to which A.G. Schneiderman gave rise before and after being elected. In light of all those capacities of mine and responsibilities of yours, I can reasonably claim a right to at least your acknowledgement of receipt of my submission and a statement of the position that you take on the evidence and my request.

Therefore, I respectfully request again that you respond to the evidence and my requests. You can retrieve the file containing both through the link in the footer.<sup>1</sup>

I look forward to hearing from you.

Sincerely, *Dr. Richard Cordero, Esq.*

<sup>1</sup> [http://Judicial-Discipline-Reform.org/AG/5DrRCordero-AAGJDAmico\\_11feb11.pdf](http://Judicial-Discipline-Reform.org/AG/5DrRCordero-AAGJDAmico_11feb11.pdf)



U.S. Department of Justice

United States Attorney  
District of New Jersey

Lorraine S. Gerson  
Assistant U.S. Attorney

970 Broad Street, Suite  
Newark, NJ 07102  
(973) 645-2789

March 15, 2011

Dr. Richard Cordero, Esq.  
59 Crescent Street  
Brooklyn, NY 11208

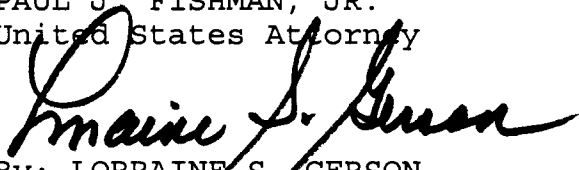
Dear Dr. Cordero:

Your letter dated March 10, 2011, alleging fraud and misconduct throughout the judicial system in relation to bankruptcy proceedings has been received. As you may know, as an Assistant United States Attorney, I am responsible for prosecuting cases investigated by various federal agencies, such as the Federal Bureau of Investigation ("FBI") or the United States Secret Service. I have neither the resources nor the authority to undertake the type of investigation you seek. If you wish, you can direct your complaint to the FBI in New York at 26 Federal Plaza, 23rd Floor, New York, NY 10278-0004, telephone no. 212-384-1000, where the complained of events appear to have occurred.

I regret that I am unable to provide any other assistance. Thank you for your good wishes.

Very truly yours,

PAUL J. FISHMAN, JR.  
United States Attorney

  
By: LORRAINE S. GERSON  
Assistant U.S. Attorney

Dr. Richard Cordero, Esq.

Ph.D., University of Cambridge, England  
M.B.A., University of Michigan Business School  
D.E.A., La Sorbonne, Paris

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tel. (718) 827-9521

March 18, 2011

Mr. Thomas Mullen  
Chief Investigator, Organized Crime Task Force  
NYS Attorney General's Regional Office  
101 E. Post Road, White Plains, NY 10601

emailed to [Thomas.Mullen@AG.NY.gov](mailto:Thomas.Mullen@AG.NY.gov)  
on March 20 and 21

Dear Mr. Mullen,

Thank you for caring to call me to acknowledge receipt of my letter of 14 instant concerning the evidentiary file that I had sent you in support of my complaint of last February 4<sup>1</sup>.

Your experience with the AG's Public Integrity Bureau in connection with this matter is quite revealing. When you called it to ask whether it had sent me an acknowledgment of receipt of that file of mine that you had forwarded to it, it did not know whether it had received it. Nevertheless, one must assume that it made a due diligence effort to provide that information to you, a colleague and chief of a key unit of the AG's Office. What is more, PIB apparently could not even find out and tell you that I had also sent originals of my complaint to it and to AG Eric Schneiderman to his Albany address, which based on what you told me would also have been forwarded to PIB. You stated that upon receiving a complaint, PIB assigns a case number to it. One must also assume that PIB enters all complaints on a computerized database. Hence, when you called, PIB should have been able to find all the complaints under my name and thereunder either one or more complaint numbers. So PIB did not know that it had received three complaint files from me, let alone whether it had acknowledged receipt of any. What this reveals is that it is unlikely that PIB will take any action on my complaint, for it does not even know and cannot find out, not even upon your request, that it has received it.

Thus, I respectfully request that you reconsider your decision and investigate my complaint. Kindly examine these weighty reasons for your doing so, which tie to what we discussed.

1. The complaint's supporting evidence points to judges and insiders of the bankruptcy and legal systems running and covering up a bankruptcy fraud scheme. The statistics of the Administrative Office of the U.S. Courts allow determining what enables schemers to become such and the amount at stake nationwide. To begin with, the amount is \$325.6 bl.; [http://www.uscourts.gov/News/TheThirdBranch/10-07-01/BAPCPA\\_Report\\_Looks\\_at\\_Filers\\_in\\_Non-business\\_Bankruptcies.aspx](http://www.uscourts.gov/News/TheThirdBranch/10-07-01/BAPCPA_Report_Looks_at_Filers_in_Non-business_Bankruptcies.aspx) >Assets and Liabilities.<sup>2</sup> It is reported to Congress as required by the Bankruptcy Abuse Prevention and Consumer Protection Act of 2005, whose title so prominently recognizes that there is abuse in the bankruptcy system. The 2009 BAPCPA Report states as follows:

During calendar year 2009, nearly 1.4 million bankruptcy petitions were filed by individuals with predominantly nonbusiness debt...Consumer debtors seeking bankruptcy protection under [the Bankruptcy Code, 11 U.S.C.] during 2009 reported holding total assets in the aggregate amount of \$200 billion and total liabilities in the aggregate amount of \$325 billion. <http://www.uscourts.gov/Statistics/BankruptcyStatistics.aspx> >Calendar year 2009 >Full Report (pdf) >p.5 >Summary of Findings >2<sup>nd</sup> paragraph

2. That amount results from the 1,402,816, bankruptcy cases filed in the federal bankruptcy courts in FY09 -1oct08-30sep09-; <http://www.uscourts.gov/Statistics.aspx> > [Judicial Business of the United States Courts](#) >Judicial Business 2009 (Annual Report of the Director) >Table F-2. However, only 2,313 bankruptcy cases made it on appeal to the district courts or approximately

<sup>1</sup> [http://Judicial-Discipline-Reform.org/AG/1DrRCordero-AAGTMullen\\_4feb11.pdf](http://Judicial-Discipline-Reform.org/AG/1DrRCordero-AAGTMullen_4feb11.pdf)



only 1 in every 606, that is, a miniscule 0.16%. As to those that went even further, only 793 of the 57,740 appeals to the courts of appeals of the 12 regional circuits had bankruptcy as their source; id. >[Table B-3](#). This means that approximately only 0.06% of all FY09 bankruptcy cases or only 1 out of every 1,769 was reviewed in a circuit court. (Cf. more comprehensive statistical analysis at [http://Judicial-Discipline-Reform.org/statistics&tables/bkr\\_as\\_percent\\_new\\_cases.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/bkr_as_percent_new_cases.pdf))

3. In any event, bankruptcy judges are appointed by their respective court of appeals for renewable 14-year terms; [http://Judicial-Discipline-Reform.org/docs/28usc151-159\\_bkr\\_judges.pdf](http://Judicial-Discipline-Reform.org/docs/28usc151-159_bkr_judges.pdf) >§152(a)(1). Hence, even if a ruling of a bankruptcy judge went on appeal all the way to a court of appeals, it would be reviewed by the very circuit judges that appointed him or her. Naturally, they have a bias toward their appointee, lest their overturning his or her rulings indict their own sound judgment for having appointed an incompetent or corrupt bankruptcy judge.
4. The risk for bankruptcy judges of their rulings reaching the U.S. Supreme Court is all but nil. In FY09, that Court disposed of only 83 cases; <http://www.uscourts.gov/Statistics/JudicialBusiness/JudicialBusiness2009.aspx> >[Table A-1](#). That year 1,750,109 new cases were commenced in the bankruptcy ([Table F-2](#)) and the district courts ([Tables S-7](#) and [D](#)); id. Using that figure as an estimate of the pool of cases from which those 83 were taken up and disposed of by the Supreme Court, the odds of a bankruptcy ruling reaching disposition by the Supreme Court was 1 in 21,155.
5. The statistics allow the conclusion that once bankruptcy judges hand down their rulings, they can be all but certain that they will not be challenged on appeal, but if they are, they will be affirmed. Their rulings are in practice unreviewable. That assures bankruptcy judges that they will not suffer any adverse consequence if they are biased, deny discovery, resolve conflicts of interest to their benefit, disregard the law, the facts, and due process, and run a fraud scheme.
6. The main reason for this is that non-business bankrupts file 95.8% of all bankruptcies, that is, 1,344,095 out of 1,402,816, and business bankrupts only 4.2%; id. >[Table F-2](#). Almost by definition non-business bankrupts do not have money to pay lawyers even to fill out their bankruptcy petition, let alone to represent them in bankruptcy court, much less to appeal first to the district court or a bankruptcy appellate panel, and then to a court of appeals, and thereafter to the Supreme Court. As a result, the great majority of non-business bankrupts appear pro se. Given their lack of legal knowledge compounded by the enormous complexity of the Bankruptcy Code and their emotional fragility due to their dire financial situation, pro se bankrupts stand still before wrongdoing judges and insiders as ready to eat \$325 bl. „filet mignon“ prey.
7. And why would they not eat it? The de facto unreviewability of bankruptcy rulings has a most insidious consequence: It assures judges of wrongdoing risklessness. This is a most powerful incentive to do wrong. It is only strengthened by the most powerful motive: *money!*

“...the need to increase judicial salaries had again become the most pressing issue facing the Judiciary”; Chief Justice W. Rehnquist, 2002 Year-end Report on the Federal Judiciary; <http://www.supremecourtus.gov/publicinfo/year-end/year-endreports.html> >2002 Report, p.2. “I must renew the Judiciary’s modest petition: Simply provide cost-of-living increases that have been unfairly denied!”; C.J. John Roberts, Jr.; id. >2008 Rep., p.9. “That sense of inequity [in judicial salaries] erodes the morale of our judges” [and their moral principles too?]; C.J. Rehnquist, Before the Nat’l Commission on the Public Service, 15jul02; [http://www.supremecourtus.gov/publicinfo/speeches/sp\\_07-15-02.html](http://www.supremecourtus.gov/publicinfo/speeches/sp_07-15-02.html).

8. The opportunity to engage in wrongdoing presents itself to bankruptcy judges in over 1.5 ml.

cases a year (1,596,355 cases in FY10; <http://www.uscourts.gov/Statistics/JudicialBusiness/JudicialBusiness2010.aspx> >Table F). Their means to do wrong is their judicial power: the power to approve or deny any bankruptcy petition for any reason and no reason at all; [http://Judicial-Discipline-Reform.org/Follow\\_money/How\\_fraud\\_scheme\\_works.pdf](http://Judicial-Discipline-Reform.org/Follow_money/How_fraud_scheme_works.pdf).

9. It is also the power abused by judges to immunize their peers from any complaint about their conduct. In the 1sep96-31oct08 12 fiscal years reported online, federal judges dismissed without any investigation 99.82% of the 9,466 complaints<sup>3</sup> filed against federal judges and magistrates(AG:i 2<sup>nd</sup> par.)<sup>1</sup>. In fact, in the 221 years since the creation of the Federal Judiciary in 1789, the number of federal judges impeached and removed is 8; [http://www.fjc.gov/history/home.nsf/page/judges\\_impeachments.html](http://www.fjc.gov/history/home.nsf/page/judges_impeachments.html). Assured of their immunity, they coordinate their wrongdoing among themselves. They also abuse their power to immunize insiders, such as attorneys and bankruptcy trustees, with whom they coordinate their wrongdoing to increase its efficiency, scope, and profitability. After all, they have nothing to fear, for they are Judges Above the Law.
10. If the Russian or the Italian mafia that you investigate had similar opportunity, motive, and means to eat as much as they wanted of nationwide \$325 bl. „filet mignon“ prey without you, any other unit of the AG’s office, or any other authority even investigating them, not to mention putting them out of business, never mind putting them in jail, would they gorge themselves? Does taking the oath of office render those who take it immune to the allure of money, particularly when grabbing it becomes all but irresistible with the assurance of risklessness?
11. You too took that oath: to uphold the U.S. and NY constitutions and the laws thereunder and protect and serve the public. You also take orders from PIB, as you told me. This complaint confronts you with the choice either to keep your oath and save your integrity or breach both to follow orders and protect the interests of your bosses and their supporters. They may order you to stay away from the complaint, not because it lacks facts common to those of organized crime, but rather because its investigation can expose the hidden interests of those well connected:
  - a) The bankruptcy fraud scheme was discovered in Rochester, whose mayor until recently was Current Lt. Governor Robert Duffy.
  - b) The attorneys involved in the scheme are in Rochester, Buffalo, NYC, and elsewhere, as are the members of the attorney grievance and disciplinary committees(AG:i 3<sup>rd</sup> par.), who dismissed the complaint without even asking the complained-about attorneys to respond to it(Ci:135§I). They were among the key contributors to the race for attorney general and will be such, unless embarrassed by an investigation, for the reelection campaign.
  - c) NYS Appellate Division judges appointed those members.(id.) They would be loath for there to be exposed that those that they appointed „for their integrity“ did not keep their oath to protect the integrity of the legal profession and the interests of the public, but instead protected their interest in maintaining in force their implicit or explicit reciprocal cover-up pact: They abused their power to insulate from investigation colleagues participating in the scheme either to repay in kind the insulation from investigation into their own wrongdoing that they received from previous committee members or ensure that they will be so insulated by future members.
  - d) No district attorney or attorney general wants to investigate federal judges involved in the scheme for fear that those judges will retaliate by scuttling their cases in federal court, making them look ineffectual and undeserving of reelection. Nor do they want an investigation that leads to the Supreme Court through Justice Sotomayor.(GC:61§1) During her confirmation hearings in the U.S. Senate, she was suspected by *The New York Times*, *The Washington Post*, and Politico

<sup>3</sup> complaint tables at [http://Judicial-Discipline-Reform.org/statistics&tables/judicial\\_misconduct\\_complaints.pdf](http://Judicial-Discipline-Reform.org/statistics&tables/judicial_misconduct_complaints.pdf)

of having concealed assets; [http://Judicial-Discipline-Reform.org/SCt\\_nominee/JSotomayor\\_integrity/6articles\\_JSotomayor\\_financials.pdf](http://Judicial-Discipline-Reform.org/SCt_nominee/JSotomayor_integrity/6articles_JSotomayor_financials.pdf). As Then-Judge Sotomayor of the Court of Appeals for the 2<sup>nd</sup> Circuit (CA2), she presided (AG:213) over *DeLano* (GC:41§D), a test case for exposing the bankruptcy fraud scheme. (Cf. GC:17§§B-C) To protect herself and her colleagues, including the scheming bankruptcy judge reappointed by CA2 (GC:32¶63), she condoned his denial of, and in turn denied, *every single document* requested by the creditor/appellant and needed also by the judges to find the facts to which to apply the law, a blatant deprivation of discovery rights and due process. (GC:58 §8) So incriminating is *DeLano* that she concealed it from the Senate; [http://judicial-discipline-reform.org/SCt\\_nominee/Senate/1DrCordero-Senate.pdf](http://judicial-discipline-reform.org/SCt_nominee/Senate/1DrCordero-Senate.pdf). The reason for this is that its bankrupt was a 39-year veteran banker who at the time of filing his own bankruptcy was and remained employed by a major bank as a bankruptcy officer! A consummate insider, he knew too much to be allowed to be indicted, for in plea bargain he could trade up to bigger fish and trigger a domino effect. Hence, federal scheming and asset-concealing judges (AG:v/ent.14-15; 201) protected his retirement to a golden nest of at least \$673,657 unaccounted-for by denying the request for even his bank account statements (GC:42§1).

12. Does all this sound to you like a criminal organization? Does it dwarf by comparison the Russian and Italian mafia and subverts more profoundly than the mafia does government by officials duty-bound to act for the benefit, not of themselves and their colleagues and supporters (Ci:151§A), but of "We, the People"? You can see all over this complaint the greatest tandem of corruptors: money and power. (Ci:144§III on a pattern of wrongdoing; rr:121 on conflict of interests). Yet, you can look away on orders. But neither willful blindness nor the "I was just following orders" defense would excuse the breach of your oath and your duty to investigate the rich in organized connections as well as the poor in moral principles. You can keep your oath and do your duty even as a reluctant hero and still become a Champion of Justice. (GC:66§4)
13. To that end, you can conduct a Watergate-like *Follow the money!* investigation of the bankruptcy fraud scheme. (GC:63§2) A starting point is the proposed subpoena. (DA:221) It lists documents that will lead to the concealed assets in *DeLano* and demonstrate coordinated wrongdoing to protect an insider and cover up the scheme. You can also undertake a *Follow the wire!* investigation of the probable cause to suspect interference with the email, mail, and phone communications of those trying to expose the scheme. (DA:241) If PIB or the AG found out about your investigations and ordered you to shut them down, two events illustrate the right thing to do: If you had been the FBI agent that told his superiors that he had been informed about Arabs that wanted to learn only to fly airplanes, but neither to take them off nor to land them, would you have obeyed the order contrary to common sense and prudence to drop the matter? If you had probable cause to suspect a group of Bernie Madoffs of running a Ponzi scheme, would you let it go after the AG refused to investigate? Harry Markopolos described his efforts to expose Madoff in *No One Would Listen*, a bestseller. Yours could be titled *No One Would Dare Investigate Them, Until I Did*. (GC:63§138) A bestseller too and the basis for a movie? (GC:61§1)
14. You can choose between continuing your career either as one among many others who take orders or as Thomas Mullen, the Serpico of the AG's Office and our generation's Deep Throat, the deputy FBI director who secretly gave information to *Washington Post* Reporters Bob Woodward and Carl Bernstein that helped them expose the Watergate Scandal, causing President Nixon to resign and all his White House aides to go to prison. Those precedents support a realistic choice. You can honor your oath and follow the dictates of a good conscience. (GC:61§A) You can even be rewarded for it. (AG:248/ent.38)

So I look forward to hearing from you.

Sincerely, *Dr. Richard Cordero, Esq.*

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